

# Super Risk Assurance & Compliance Manager

# About the Role

## Summary

Title	Super Risk Assurance & Compliance Manager
Team	Superannuation
Manager	Head of Superannuation
Position Type	Permanent, full time
Location	Sydney or Melbourne

## About the Role

Betashares is seeking a risk and compliance manager with strong superannuation experience to join the team. Reporting to the Head of Superannuation Product, this important role will maintain the line 1 superannuation risk and compliance framework to support a growing and dynamic superannuation business.

Betashares aims to become the leading customer-focused financial services brand in Australia. To advance this objective, we are actively looking to enhance our product portfolio by introducing a market-leading superannuation solution. Betashares has announced that it has reached an agreement to acquire Bendigo and Adelaide Bank's superannuation business.

### About Us

Betashares was founded in 2009 and launched with the vision to create intelligent and accessible investment solutions for Australian investors. Over the past decade our dedication to helping Australian investors achieve their financial goals has seen Betashares grow from 'challenger' to market leader, driving disruption and innovation in the exchange traded funds (ETFs) industry.

Betashares offers the broadest range of exchange traded funds on the ASX and is trusted by over a million investors. As of January 2024, Betashares manages over \$33 billion in assets

We currently have offices in Sydney, Melbourne, Brisbane, Perth and New Zealand and a growing team of over 140 people.

### **Our Values**

As our business continues to grow, we're committed to creating a workplace that gives us all the best opportunity to succeed, and that is enjoyable to be a part of.

We prize ambition and drive, but equally we value honesty and humility.

We support each other, and we respect our clients and our competitors. Innovation is in our DNA, and we are always looking for better ways to do things and are willing to take measured risks and learn from our mistakes along the way.



#### What we offer

Betashares believes our most important asset is our people and we are proud of the culture we have built – but we are always striving to be better. We want every Betashares employee to be doing their best work and developing their careers.

In addition to a competitive salary, we also offer:

- Staff career development and training allowance.
- Access to a health and wellbeing platform with physical, mental, social and financial support programs available.
- Volunteering days off, so you can contribute to a cause that matters to you.
- Newly renovated CBD offices with fully stocked kitchen, team breakfasts and catered lunches on a regular basis.
- Fun and inclusive social events.

This role is a fantastic opportunity for someone looking to further their career in a growing and dynamic business.

#### **Role Responsibilities**

- Managing the line 1 risk requirements of the superannuation risk management framework
- Identifying and implementing risk and compliance framework improvements
- Proactively identifying new and emerging risks
- Undertaking control effectiveness testing and identifying improvement opportunities
- Conducting risk assessments
- Monitoring for adherence to the superannuation compliance plan
- Managing breaches and incidents
- Assisting with regulatory liaison
- Ensuring ongoing compliance obligations are met
- Preparing risk and compliance reporting to the Board and relevant committees
- Conducting risk and compliance related staff training
- Provide risk and compliance advice to the business
- Managing the implementation of superannuation regulatory changes
- Liaising with the line 2 risk and compliance function.

#### Key skills and experience

- 7+ years' experience in a risk and compliance role focused on superannuation
- Strong superannuation experience and knowledge of the associated legislation and compliance requirements
- Demonstrable experience providing support to senior management and/or Board in financial services organisations
- Excellent communication and stakeholder management skills, with the ability to present ideas, perspectives and issues to senior management
- Must possess strong analytical skills



- Strong technical knowledge, writing skills and attention to detail
- Excellent organisational skills with ability to multi task
- Ability to work independently, as well as cooperatively and as a member of a team

Ready to make an impact? If you have full working rights in Australia, please submit your CV to <u>careers@Betashares.com.au</u>. Your interest will be treated in strict confidence.