

# BetaShares Global Sustainability Leaders ETF

**ASX: ETHI**

31 January 2018



**BetaShares**  
Exchange Traded Funds

## Investment Objective

The Fund aims to track the performance of an index (before fees and expenses) that provides exposure to 100 large global stocks (excluding Australia) which are climate change leaders (as measured by their relative carbon efficiency) and which are not materially engaged in activities deemed inconsistent with responsible investment considerations.

## Performance

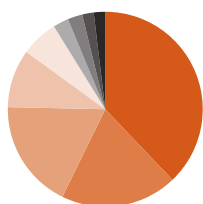
Value of \$100 invested since inception



PERIOD	FUND RETURN	INDEX RETURN
1 mth	3.18%	3.24%
3 mth	3.89%	4.00%
6 mth	13.73%	13.99%
1 yr	23.80%	24.32%
3 yr (p.a)	-	-
5 yr (p.a)	-	-
Inception	19.52%	19.81%

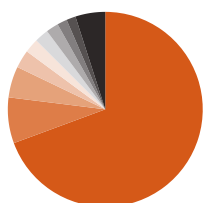
Fund returns are calculated in A\$ using net asset value per unit at the start and end of the specified period and do not reflect the brokerage or the bid ask spread that investors incur when buying and selling units on the ASX. Returns are after fund management costs, assume reinvestment of any distributions and do not take into account income tax. Returns for periods longer than one year are annualised. Past performance is not an indicator of future performance. Source: BetaShares, Bloomberg.

## Sector Allocation



Information Technology	37.9%
Consumer Discretionary	19.4%
Healthcare	18.1%
Financials	9.7%
Industrials	6.2%
Consumer Staples	2.6%
Telecommunication Services	2.4%
Real Estate	1.9%
Materials	1.9%

## Country Allocation



United States	69.4%
Japan	7.6%
Switzerland	5.2%
Hong Kong	3.0%
Sweden	2.4%
Denmark	2.3%
Ireland	2.1%
Spain	1.6%
Finland	1.5%
Other	5.0%

## Top 10 Exposures

COMPANY	WEIGHTING	COMPANY	WEIGHTING
Facebook Inc	4.2%	Roche Holding AG	3.1%
Intel Corp	4.1%	Mastercard Inc	2.8%
Home Depot	4.0%	NVIDIA Corp	2.1%
Visa Inc	4.0%	Medtronic PLC	2.1%
Apple Inc	3.7%	Novo Nordisk A/S	2.0%

## Trading Information

BetaShares Funds can be bought or sold during the trading day on the ASX, and trade like shares.

EXCHANGE	ASX
ASX CODE	ETHI
CURRENCY	AUD
TRADING HOURS	10:00-16:00 (AEST)
BLOOMBERG CODE	ETHI AU
IRESS CODE	ETHI.AXW

## Fund Information

DISTRIBUTIONS	SEMI-ANNUAL
MANAGEMENT FEE	0.49% P.A
EXPENSES	CAPPED AT 0.10% P.A
FUND INCEPTION	5 JANUARY 2017

## Underlying Index Characteristics

INDEX	NASDAQ FUTURE GLOBAL SUSTAINABILITY LEADERS INDEX
PRICE/EARNINGS RATIO	26.36 x
MARKET CAP	8848.0B
NUMBER OF COMPONENTS	100
DIVIDEND YIELD (12 MONTH TRAILING)	1.55%

## Contact

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CERTIFIED BY RIAA

There are risks associated with an investment in the Fund, including market risk, international investment risk, non-traditional index methodology risk and foreign exchange risk. For more information on risks and other features of the Fund please see the Product Disclosure Statement.

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## Investment Strategy

The Fund will generally invest in a portfolio of global equity securities that comprise the Index in proportion to the weightings of the securities in the Index.

The Index includes 100 large global stocks from developed market countries (excluding Australia) that have been identified as “Climate Leaders” and that have also passed certain eligibility screens designed to exclude companies with direct or significant exposure to the fossil fuel industry or that are engaged in other activities deemed inconsistent with responsible investment considerations.

- ▶ **Climate Leaders** are companies that have a carbon impact which is at least 60% lower than the average carbon impact for a company’s industry, or are otherwise superior performers in relation to “Scope 4” carbon emissions (also known as “avoided emissions”).
  - *Carbon impact*: calculated based on the total greenhouse gas emissions from a company’s operations, fuel use, supply chain and business activities, divided by its market capitalisation.
  - *Avoided emissions*: superior performers are those companies involved in commercialising technologies that have net positive climate benefits through substantial greenhouse gas emission reductions (e.g., primary business activities in renewable energy, energy efficiency, sustainable agriculture and land use, and carbon sequestration).
  - *Fossil Fuel Screen* is applied to the universe of Climate Leaders which removes companies with any direct involvement in the fossil fuel industry, as well as companies with material indirect exposure and those with particularly high use of fossil fuels.
- ▶ **Eligibility screens** are applied to remove companies which have exposure to other activities deemed inconsistent with responsible investment considerations (subject to certain materiality thresholds):
  - Gambling
  - Tobacco
  - Armaments
  - Uranium and nuclear energy
  - Destruction of valuable environments
  - Animal cruelty
  - Chemicals of concern
  - Mandatory detention of asylum seekers
  - Alcohol
  - Junk foods
  - Pornography
  - Recent significant fines or convictions
  - Human rights and supply chain concerns

For more information on the Index see the Index methodology document available on our website.

The Index is weighted by market capitalisation, with a maximum weight per security of 4% and a minimum weight of 0.5% at each annual rebalance date.

## Benefits of BetaShares Global Sustainability Leaders ETF

- ▶ **Diversification** – with a single trade, investors can get exposure to a diversified portfolio of sustainable, ethical companies from a broad range of global locations
- ▶ **Cost effective** – Fund tracks the performance of an index (no ‘active manager’ fees)
- ▶ **Large company exposure** – strategy invests only in large global companies
- ▶ **Reduced administration** – as an Australian domiciled fund, investors do not need to fill out W8 BEN forms, or risk any potential U.S. estate tax issues
- ▶ **Transparent** – portfolio holdings, value of the Fund’s assets and net asset value per unit available daily on our website
- ▶ **Liquidity** – available to trade on the ASX like any share

## How to use the BetaShares Global Sustainability Leaders ETF in your portfolio

The Fund can be used to implement a variety of investment strategies. For example:

- ▶ A core component of a global equities allocation providing transparency and diversification benefits in addition to being consistent with ethical and sustainable objectives
- ▶ Tactical exposure to global equities

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