Wholesale Client Certificate

Certificate issued under section 761G(7)(c) of the Corporations Act 2001.

betashares

Please complete the form and submit your certificate via the Betashares Direct App.
If there is more than one individual or entity, a separate form is required for each person or entity.

The certificate will be valid for two (2) years from the date the letter is signed by a Certified Accountant.

Section 1 – Investor Details

Full name of the individual or entity

Residential or registered office address (PO Box NOT accepted)

Suburb

Postcode

State

Email address

Telephone

Controlled Companies and/or Trusts – **where applicable**

It is confirmed for the purposes of the Corporations Act 2001 that the above person controls the following companies or trusts:

Full name of the controlled entities (including ABN/ACN/ARBN, if any):

By being certified as a wholesale client, I confirm that:

a. I acknowledge I will lose any retail protections available under the Corporations Act 2001 and may not receive certain retail disclosure documents (where applicable);

b. I acknowledge I may lose access to external dispute resolution services; and

c. I understand the implications of being certified as a wholesale client.

I declare that I have read and understood this form, and that the information set out is true and correct.

Full name of investor (block letters)

Signature

Date (DD/MM/YYYY)

Section 2 – Accountant Details

Accountant's full name

Professional body

Membership designation (where applicable)

Name of firm

Business address

Suburb

Postcode

State

Email address

Telephone

I certify that the following is true and correct:

a. I am a Qualified Accountant within the meaning of section 88B of the Corporations Act;

b. I comply with the relevant professional body's continuing education requirements;

c. This certificate is given at the request of the investor described in Section 1 of this certificate;

d. This certificate is given for the purpose of section 761G(7)(c) of the Corporations Act 2001; and

e. The investor has: (a) net assets of at least $2,500,000; or (b) a gross income for each of the last 2 financial years of at least $250,000 a year.

Title and full name of accountant (block letters)

Signature

Date (DD/MM/YYYY)

"Qualified Accountant" means a member of professional body that is approved by ASIC in writing for the purpose of the definition. ASIC has indicated that you are a qualified accountant if you are a member of:

a. CPA Australia ("CPA Australia") who is entitled to use the post-nominals "CPA" or "FCPA", and is subject to and complies with CPA Australia's continuing professional development requirements;

b. Chartered Accountants Australia and New Zealand ("CA ANZ") who is entitled to use the post-nominals of "CA" or "FCA" and is subject to and complies with the CA ANZ continuing professional education requirements; or

c. The Institute of Public Accountants ("IPA") who is entitled to use the post-nominals "AIPA","MIPA"or "FIPA" and is subject to and complies with the IPA's continuing professional education requirements.