# **BetaShares Proxy Voting Report**

Reporting Period: 01/07/2020 to 30/06/2021



### **Ubisoft Entertainment SA**

**Meeting Date:** 02/07/2020 **Record Date:** 30/06/2020

Country: France

**Primary Security ID:** F9396N106

Ticker: UBI

Shares Voted: 6,392

Meeting Type: Annual/Special

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Treatment of Losses   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Consolidated Financial Statements and Statutory Reports   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Auditors' Special Report on<br>Related-Party Transactions Mentioning the<br>Absence of New Transactions | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Compensation of Corporate Officers  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Compensation of Yves Guillemot,<br>Chairman and CEO   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Compensation of Claude Guillemot, Vice-CEO  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Compensation of Michel Guillemot, Vice-CEO  | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Compensation of Gerard Guillemot, Vice-CEO  | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Compensation of Christian Guillemot, Vice-CEO   | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Remuneration Policy for Chairman and CEO  | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Remuneration Policy for Vice-CEOs   | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Remuneration Policy of Directors  | Mgmt      | For      | For                  | For                 |
| 14                 | Reelect Yves Guillemot as Director  | Mgmt      | For      | Against              | Against             |
| 15                 | Reelect Gerard Guillemot as Director  | Mgmt      | For      | Against              | Against             |
| 16                 | Reelect Florence Naviner as Director  | Mgmt      | For      | For                  | For                 |
| 17                 | Elect John Parkes as Representative of<br>Employee Shareholders to the Board                                    | Mgmt      | For      | For                  | For                 |
| 18                 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
| 19                 | Authorize Decrease in Share Capital via<br>Cancellation of Repurchased Shares                                   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorize Capitalization of Reserves of Up to<br>EUR 10 Million for Bonus Issue or Increase in<br>Par Value     | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Ubisoft Entertainment SA**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 21                 | Authorize Issuance of Equity or Equity-Linked<br>Securities with Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 1.45 Million  | Mgmt      | For      | For                  | For                 |
| 22                 | Authorize Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 0.85 Million                                     | Mgmt      | For      | For                  | For                 |
| 23                 | Approve Issuance of Equity or Equity-Linked<br>Securities for Private Placements, up to<br>Aggregate Nominal Amount of EUR 0.85 Million   | Mgmt      | For      | For                  | For                 |
| 24                 | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind  | Mgmt      | For      | For                  | For                 |
| 25                 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans  | Mgmt      | For      | For                  | For                 |
| 26                 | Authorize Capital Issuances for Use in Employee<br>Stock Purchase Plans (International<br>Subsidiaries)   | Mgmt      | For      | For                  | For                 |
| 27                 | Authorize Capital Issuances for Use in Employee<br>Stock Purchase Plans, Reserved for Employees<br>and Corporate Officers of International<br>Subsidiaries (Specific Countries) | Mgmt      | For      | For                  | For                 |
| 28                 | Authorize up to 1 Percent of Issued Capital for<br>Use in Stock Option Plans to Employees,<br>Excluding Corporate Executive Officers  | Mgmt      | For      | Against              | Against             |
| 29                 | Authorize up to 0.2 Percent of Issued Capital for Use in Stock Option Plans to Corporate Executive Officers   | Mgmt      | For      | For                  | For                 |
| 30                 | Set Total Limit for Capital Increase to Result from Issuance Requests Under Items 21-27 at EUR 4 Million  | Mgmt      | For      | For                  | For                 |
| 31                 | Amend Article 8 of Bylaws Re: Employee Representatives  | Mgmt      | For      | For                  | For                 |
| 32                 | Amend Article 12 of Bylaws Re: CEO and Vice-CEO Age Limit   | Mgmt      | For      | For                  | For                 |
| 33                 | Amend Articles of Bylaws to Comply with Legal Changes   | Mgmt      | For      | For                  | For                 |
| 34                 | Delegate Powers to the Board to Amend Bylaws to Comply with Legal Changes   | Mgmt      | For      | Against              | Against             |
| 35                 | Authorize Filing of Required Documents/Other Formalities  | Mgmt      | For      | For                  | For                 |

# **CrowdStrike Holdings, Inc.**

Meeting Date: 06/07/2020 Country: USA Primary Security ID: 22788C105

Record Date: 11/05/2020 Meeting Type: Annual Ticker: CRWD

Reporting Period: 01/07/2020 to 30/06/2021

## **CrowdStrike Holdings, Inc.**

Shares Voted: 118,512

| Proposal<br>Number | Proposal Text                                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Denis J. O'Leary               | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Joseph E. Sexton               | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Godfrey R. Sullivan            | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors | Mgmt      | For      | For                  | For                 |

## **Viva Energy Group Limited**

**Meeting Date:** 06/07/2020 **Record Date:** 04/07/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q9478L109

Ticker: VEA

**Shares Voted:** 1,241,534

| Proposal<br>Number | Proposal Text                                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                        | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Jane McAloon as Director                     | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Arnoud De Meyer as Director                  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Scott Wyatt | Mgmt      | For      | For                  | For                 |

### **Charter Hall Retail REIT**

**Meeting Date:** 09/07/2020 **Record Date:** 07/07/2020

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q2308D108

Ticker: CQR

Shares Voted: 368,155

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Ratify Past Issuance of Units to Certain<br>Institutional, Professional and Other Wholesale<br>Investors | Mgmt      | For      | For                  | For                 |

### **Severn Trent Plc**

Meeting Date: 15/07/2020 Record Date: 13/07/2020 **Country:** United Kingdom **Meeting Type:** Annual

**Primary Security ID:** G8056D159

Ticker: SVT

Reporting Period: 01/07/2020 to 30/06/2021

## **Severn Trent Plc**

Shares Voted: 15,419

| roposal |  |           |          | Voting     | Vote        |
|---------|--|-----------|----------|------------|-------------|
| lumber  | Proposal Text  | Proponent | Mgmt Rec | Policy Rec | Instruction |
| 1       | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For        | For         |
| 2       | Approve Remuneration Report  | Mgmt      | For      | For        | For         |
| 3       | Approve Final Dividend   | Mgmt      | For      | For        | For         |
| 4       | Re-elect Kevin Beeston as Director   | Mgmt      | For      | For        | For         |
| 5       | Re-elect James Bowling as Director   | Mgmt      | For      | For        | For         |
| 6       | Re-elect John Coghlan as Director  | Mgmt      | For      | For        | For         |
| 7       | Re-elect Olivia Garfield as Director   | Mgmt      | For      | For        | For         |
| 8       | Elect Christine Hodgson as Director  | Mgmt      | For      | For        | For         |
| 9       | Elect Sharmila Nebhrajani as Director  | Mgmt      | For      | For        | For         |
| 10      | Re-elect Dominique Reiniche as Director  | Mgmt      | For      | For        | For         |
| 11      | Re-elect Philip Remnant as Director  | Mgmt      | For      | For        | For         |
| 12      | Re-elect Angela Strank as Director   | Mgmt      | For      | For        | For         |
| 13      | Reappoint Deloitte LLP as Auditors   | Mgmt      | For      | For        | For         |
| 14      | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For        | For         |
| 15      | Authorise EU Political Donations and Expenditure   | Mgmt      | For      | For        | For         |
| 16      | Authorise Issue of Equity  | Mgmt      | For      | For        | For         |
| 17      | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For        | For         |
| 18      | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For        | For         |
| 19      | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For        | For         |
| 20      | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For        | For         |

# **VM**ware, Inc.

**Meeting Date:** 15/07/2020 **Record Date:** 18/05/2020

Country: USA Meeting Type: Annual Primary Security ID: 928563402

Ticker: VMW

Reporting Period: 01/07/2020 to 30/06/2021

# VMware, Inc.

Shares Voted: 29,324

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Anthony Bates                                   | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |

## **AusNet Services Ltd.**

**Meeting Date:** 16/07/2020 **Record Date:** 14/07/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q0708Q109

Ticker: AST

**Shares Voted:** 2,191,660

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Ralph Craven as Director   | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Sally Farrier as Director  | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect Nora Scheinkestel as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Renewal of Proportional Takeover<br>Provision                      | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Performance Rights and<br>Deferred Rights to Tony Narvaez | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Issuance of Up to 10 Percent of the Company's Issued Capital   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Issuance of Shares Under the Dividend Reinvestment Plan            | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Issuance of Shares Under the<br>Employee Incentive Scheme          | Mgmt      | For      | For                  | For                 |

#### **Dicker Data Ltd.**

**Meeting Date:** 23/07/2020 **Record Date:** 21/07/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q318AK102

Ticker: DDR

Reporting Period: 01/07/2020 to 30/06/2021

## **Dicker Data Ltd.**

Shares Voted: 166,754

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Fiona Brown as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Leanne Ralph as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of Shares to Sophisticated Investors or Professional Investors | Mgmt      | For      | For                  | For                 |

# **United Utilities Group Plc**

**Meeting Date:** 24/07/2020 **Record Date:** 22/07/2020

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G92755100

Ticker: UU

Shares Voted: 46,824

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Sir David Higgins as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Steve Mogford as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Mark Clare as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Brian May as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Stephen Carter as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Alison Goligher as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Paulette Rowe as Director   | Mgmt      | For      | For                  | For                 |
| 11                 | Reappoint KPMG LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 12                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 13                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **United Utilities Group Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 16                 | Authorise Market Purchase of Ordinary Shares                         | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise EU Political Donations and Expenditure                     | Mgmt      | For      | For                  | For                 |

# A. P. Eagers Limited

**Meeting Date:** 29/07/2020 **Record Date:** 27/07/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q0436N204

Ticker: APE

Shares Voted: 105,885

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Marcus John Birrell as Director                                      | Mgmt      | For      | Against              | Against             |
| 2b                 | Elect Tim Crommelin as Director  | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect Sophie Alexandra Moore as Director                                   | Mgmt      | For      | Against              | Against             |
| 3a                 | Elect David Scott Blackhall as Director                                    | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Gregory James Duncan as Director                                     | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect Michelle Victoria Prater as Director                                 | Mgmt      | For      | Against              | Against             |
| 4                  | Approve the Increase in Non-Executive Directors' Fee Cap                   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 6                  | Approve the Change of Company Name to<br>Eagers Automotive Limited         | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Renewal of Proportional Takeover<br>Provisions in the Constitution | Mgmt      | For      | For                  | For                 |
|                    |  |           |          |                      |                     |

### **ALS Limited**

**Meeting Date:** 29/07/2020 **Record Date:** 27/07/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q0266A116

Ticker: ALQ

Shares Voted: 353,057

| Proposal<br>Number | Proposal Text                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Elect John Mulcahy as Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **ALS Limited**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Elect Leslie Desjardins as Director                  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Raj<br>Naran  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Amendments to the Company's Constitution | Mgmt      | For      | For                  | For                 |
| 6                  | Appoint Ernst & Young as Auditor of the Company      | Mgmt      | For      | For                  | For                 |

# **Australian Agricultural Company Limited**

**Meeting Date:** 29/07/2020 **Record Date:** 27/07/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q08448112

Ticker: AAC

Shares Voted: 72,734

| Proposal<br>Number | Proposal Text                        | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report          | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Shehan Dissanayake as Director | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Jessica Rudd as Director       | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Tom Keene as Director          | Mgmt      | For      | For                  | For                 |

# **Booz Allen Hamilton Holding Corporation**

**Meeting Date:** 29/07/2020 **Record Date:** 08/06/2020

Country: USA Meeting Type: Annual **Primary Security ID:** 099502106

Ticker: BAH

Shares Voted: 50,372

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Ralph W. Shrader                                | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Joan Lordi C. Amble                             | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Michele A. Flournoy                             | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Certificate of Incorporation                             | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Tufin Software Technologies Ltd.**

**Meeting Date:** 29/07/2020 **Record Date:** 02/07/2020

Country: Israel
Meeting Type: Annual

**Primary Security ID:** M8893U102

Ticker: TUFN

Shares Voted: 15,812

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Reelect Yuval Shachar as Director  | Mgmt      | For      | For                  | For                 |
| 1.2                | Reelect Reuven Harrison as Director  | Mgmt      | For      | For                  | For                 |
| 1.3                | Reelect Edouard Cukierman as Director  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Amended Compensation of Non-Executive Directors  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Amended Compensation Policy for the Directors and Officers of the Company  | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Articles Re: Forum Selection Provision   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Liability Insurance Policy to Directors/Officers   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Grant of RSUs to Reuven Kitov,<br>Chairman and CEO   | Mgmt      | For      | Against              | Against             |
| 7                  | Approve Grant of RSUs to Reuven Harrison,<br>Director and CTO  | Mgmt      | For      | Against              | Against             |
| 8                  | Reappoint Kesselman & Kesselman as Auditors  | Mgmt      | For      | For                  | For                 |
| A                  | Vote FOR if you are a controlling shareholder or<br>have a personal interest in one or several<br>resolutions, as indicated in the proxy card;<br>otherwise, vote AGAINST. You may not abstain.<br>If you vote FOR, please provide an explanation<br>to your account manager | Mgmt      | None     | Refer                | Against             |

### **Charter Hall Social Infrastructure REIT**

**Meeting Date:** 30/07/2020 **Record Date:** 28/07/2020

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q2308H109

Ticker: CQE

Shares Voted: 236,593

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Ratify Past Issuance of Units to Certain<br>Institutional, Professional and Other Wholesale<br>Investors | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Macquarie Group Limited**

**Meeting Date:** 30/07/2020 **Record Date:** 28/07/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q57085286

Ticker: MQG

Shares Voted: 298,258

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Diane J Grady as Director   | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Nicola M Wakefield Evans as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Stephen Mayne as Director   | SH        | Against  | Against              | Against             |
| 4                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Participation of Shemara<br>Wikramanayake in the Macquarie Group<br>Employee Retained Equity Plan | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Agreement to Issue MGL Ordinary<br>Shares on Exchange of Macquarie Bank Capital<br>Notes 2        | Mgmt      | For      | For                  | For                 |

# **Check Point Software Technologies Ltd.**

**Meeting Date:** 03/08/2020 **Record Date:** 25/06/2020

**Country:** Israel **Meeting Type:** Annual

**Primary Security ID:** M22465104

Ticker: CHKP

Shares Voted: 49,142

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.a                | Elect Gil Shwed as Director  | Mgmt      | For      | For                  | For                 |
| 1.b                | Elect Jerry Ungerman as Director   | Mgmt      | For      | For                  | For                 |
| 1.c                | Elect Dan Propper as Director  | Mgmt      | For      | For                  | For                 |
| 1.d                | Elect Tal Shavit as Director   | Mgmt      | For      | For                  | For                 |
| 1.e                | Elect Eyal Waldman as Director   | Mgmt      | For      | For                  | For                 |
| 1.f                | Elect Shai Weiss as Director   | Mgmt      | For      | For                  | For                 |
| 2a                 | Elect Irwin Federman as External Director  | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Ray Rothrock as External Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Reappoint Kost, Forer, Gabbay & Kasierer as<br>Auditors and Authorize Board to Fix Their<br>Remuneration | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Compensation Terms of Check Point's CEO  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Check Point Software Technologies Ltd.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 5                  | Approve Amended Compensation of Non- Executive Directors   | Mgmt      | For      | For                  | For                 |
| Α                  | Vote FOR if you are NOT a controlling shareholder and do NOT have a personal interest in one or several resolutions, as indicated in the proxy card; otherwise, vote AGAINST. If you vote AGAINST, please provide an explanation to your account manager | Mgmt      | None     | Refer                | For                 |

# Xilinx, Inc.

**Meeting Date:** 05/08/2020 **Record Date:** 10/06/2020

Country: USA Meeting Type: Annual Primary Security ID: 983919101

Ticker: XLNX

3 71 -

Shares Voted: 15,299

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Dennis Segers                                   | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Raman K. Chitkara                               | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Saar Gillai                                     | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Ronald S. Jankov                                | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Mary Louise Krakauer                            | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Thomas H. Lee                                   | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Jon A. Olson                                    | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Victor Peng                                     | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Elizabeth W. Vanderslice                        | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

### **Electronic Arts Inc.**

**Meeting Date:** 06/08/2020 **Record Date:** 12/06/2020

Country: USA

Meeting Type: Annual

**Primary Security ID:** 285512109

Ticker: EA

Reporting Period: 01/07/2020 to 30/06/2021

### **Electronic Arts Inc.**

Shares Voted: 18,088

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Leonard S. Coleman                              | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Jay C. Hoag                                     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Jeffrey T. Huber                                | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Lawrence F. Probst, III                         | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Talbott Roche                                   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Richard A. Simonson                             | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Luis A. Ubinas                                  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Heidi J. Ueberroth                              | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Andrew Wilson                                   | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 3                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 4                  | Provide Right to Act by Written Consent                        | SH        | Against  | For                  | For                 |

# **Investec Australia Property Fund**

**Meeting Date:** 13/08/2020 **Record Date:** 11/08/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q496B8136

Ticker: IAP

Shares Voted: 77,768

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve the Issuance of Units for Cash   | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Past Issuance of Units to Certain<br>Institutional, Professional and Other Wholesale<br>Investors | Mgmt      | For      | For                  | For                 |
| 3                  | Approve the Amendments to the Company's Constitution   | Mgmt      | For      | For                  | For                 |

### **Xero Limited**

**Meeting Date:** 13/08/2020 **Record Date:** 11/08/2020

**Country:** New Zealand **Meeting Type:** Annual

Primary Security ID: Q98665104

Ticker: XRO

Reporting Period: 01/07/2020 to 30/06/2021

### **Xero Limited**

Shares Voted: 324,939

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Authorize Board to Fix Remuneration of the Auditors | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Lee Hatton as Director                        | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Rod Drury as Director                         | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Mark Cross as Director                        | Mgmt      | For      | For                  | For                 |

# **Microchip Technology Incorporated**

**Meeting Date:** 18/08/2020 **Record Date:** 24/06/2020

Country: USA

Meeting Type: Annual

Primary Security ID: 595017104

Ticker: MCHP

Shares Voted: 15,282

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Steve Sanghi                                    | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Matthew W. Chapman                              | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director L.B. Day  | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Esther L. Johnson                               | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Wade F. Meyercord                               | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **Fisher & Paykel Healthcare Corporation Limited**

**Meeting Date:** 21/08/2020 **Record Date:** 19/08/2020

**Country:** New Zealand **Meeting Type:** Annual

Primary Security ID: Q38992105

Ticker: FPH

**Shares Voted:** 1,164,928

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Elect Pip Greenwood as Director     | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Geraldine McBride as Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Fisher & Paykel Healthcare Corporation Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Authorize Board to Fix Remuneration of the Auditors   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors   | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Issuance of Performance Share Rights to Lewis Gradon  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Options to Lewis Gradon   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve 2019 Performance Share Rights Plan<br>Rules - North American Plan and 2019 Share<br>Option Plan Rules - North American Plan | Mgmt      | For      | For                  | For                 |

### **Centuria Industrial REIT**

**Meeting Date:** 24/08/2020 **Record Date:** 22/08/2020

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q2227X102

Ticker: CIP

Shares Voted: 226,325

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Ratify Past Issuance of Securities to Existing and New Institutional Investors | Mgmt      | For      | For                  | For                 |
| 2                  | Approve the Amendments to the CIP's Constitution                               | Mgmt      | For      | For                  | For                 |

### **Metcash Limited**

**Meeting Date:** 26/08/2020 **Record Date:** 24/08/2020

Country: Australia
Meeting Type: Annual

**Primary Security ID:** Q6014C106

Ticker: MTS

**Shares Voted:** 1,165,554

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Robert Murray as Director  | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Tonianne Dwyer as Director | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report      | Mgmt      | For      | For                  | For                 |
| 4                  | Adopt New Constitution           | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Collins Foods Limited**

**Meeting Date:** 27/08/2020 **Record Date:** 25/08/2020

Country: Australia

Ticker: CKF

Primary Security ID: Q26412108

Meeting Type: Annual

Shares Voted: 81,151

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Elect Christine Holman as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Russell Tate as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Collins Foods Limited Executive and Employee Incentive Plan        | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Renewal of Proportional Takeover<br>Provisions in the Constitution | Mgmt      | For      | For                  | For                 |

## **International Flavors & Fragrances Inc.**

**Meeting Date:** 27/08/2020 **Record Date:** 13/07/2020

Country: USA

Meeting Type: Special

Primary Security ID: 459506101

Ticker: IFF

Shares Voted: 6,027

| Proposal<br>Number | Proposal Text                          | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Issue Shares in Connection with Merger | Mgmt      | For      | For                  | For                 |
| 2                  | Adjourn Meeting                        | Mgmt      | For      | For                  | For                 |

### **Zip Co Limited**

**Meeting Date:** 31/08/2020 **Record Date:** 29/08/2020

Country: Australia
Meeting Type: Special

Primary Security ID: Q9899L134

Ticker: Z1P

Shares Voted: 47,610

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Issuance of Merger Consideration<br>Shares to QuadPay Inc. Stockholders and<br>Approve Issuance of Performance Consideration<br>Shares and Tenure Consideration Shares to<br>QuadPay Inc. Founders | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Grant of New Options to QuadPay Inc.<br>Optionholders  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Zip Co Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Approve Issuance of Convertible Notes to CVI Investments, Inc.  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Warrants to CVI Investments, Inc.   | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of Shares to Certain<br>Institutional, Sophisticated and Professional<br>Investors | Mgmt      | For      | For                  | For                 |
| 6                  | Ratify Past Issuance of Warrants to<br>Amazon.com NV Investment Holdings LLC                            | Mgmt      | For      | For                  | For                 |
| 7                  | Ratify Past Issuance of Shares to SpotCap<br>Vendors  | Mgmt      | For      | For                  | For                 |

# **Ashtead Group Plc**

**Meeting Date:** 08/09/2020 **Record Date:** 04/09/2020

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G05320109

Ticker: AHT

Shares Voted: 33,481

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports    | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend                               | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Paul Walker as Director                     | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Brendan Horgan as Director                  | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Michael Pratt as Director                   | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Angus Cockburn as Director                  | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Lucinda Riches as Director                  | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Tanya Fratto as Director                    | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Lindsley Ruth as Director                   | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Jill Easterbrook as Director                   | Mgmt      | For      | For                  | For                 |
| 12                 | Reappoint Deloitte LLP as Auditors                   | Mgmt      | For      | For                  | For                 |
| 13                 | Authorise Board to Fix Remuneration of Auditors      | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise Issue of Equity                            | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Issue of Equity without Pre-emptive Rights | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Ashtead Group Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 16                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 19                 | Approve Reduction of Capital   | Mgmt      | For      | For                  | For                 |

# **Logitech International S.A.**

**Meeting Date:** 09/09/2020 **Record Date:** 03/09/2020

**Country:** Switzerland **Meeting Type:** Annual

**Primary Security ID:** H50430232

Ticker: LOGN

Shares Voted: 14,123

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory   | Mgmt      | For      | For                  | For                 |
|                    | Reports   |           |          |                      |                     |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation                              | Mgmt      | For      | For                  | For                 |
| 3                  | Appropriation of Retained Earnings and Declaration of Dividend                              | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Creation of CHF 4.3 Million Pool of<br>Authorized Capital without Preemptive Rights | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Discharge of Board and Senior<br>Management   | Mgmt      | For      | For                  | For                 |
| 6A                 | Elect Director Patrick Aebischer  | Mgmt      | For      | For                  | For                 |
| 6B                 | Elect Director Wendy Becker   | Mgmt      | For      | For                  | For                 |
| 6C                 | Elect Director Edouard Bugnion  | Mgmt      | For      | For                  | For                 |
| 6D                 | Elect Director Bracken Darrell  | Mgmt      | For      | For                  | For                 |
| 6E                 | Elect Director Guy Gecht  | Mgmt      | For      | For                  | For                 |
| 6F                 | Elect Director Didier Hirsch  | Mgmt      | For      | For                  | For                 |
| 6G                 | Elect Director Neil Hunt  | Mgmt      | For      | For                  | For                 |
| 6H                 | Elect Director Marjorie Lao   | Mgmt      | For      | For                  | For                 |
| 6I                 | Elect Director Neela Montgomery   | Mgmt      | For      | For                  | For                 |
| 63                 | Elect Director Michael Polk   | Mgmt      | For      | For                  | For                 |
| 6K                 | Elect Director Riet Cadonau   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Logitech International S.A.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 6L                 | Elect Director Deborah Thomas  | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Wendy Becker as Board Chairman   | Mgmt      | For      | For                  | For                 |
| 8A                 | Appoint Edouard Bugnion as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 8B                 | Appoint Neil Hunt as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 8C                 | Appoint Michael Polk as Member of the Compensation Committee   | Mgmt      | For      | For                  | For                 |
| 8D                 | Appoint Riet Cadonau as Member of the Compensation Committee   | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Remuneration of Board of Directors in the Amount of CHF 3,500,000  | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Remuneration of the Group<br>Management Team in the Amount of USD<br>29,400,000                                    | Mgmt      | For      | For                  | For                 |
| 11                 | Ratify KPMG AG as Auditors and Ratify KPMG<br>LLP as Independent Registered Public<br>Accounting Firm for Fiscal Year 2021 | Mgmt      | For      | For                  | For                 |
| 12                 | Designate Etude Regina Wenger & Sarah<br>Keiser-Wuger as Independent Representative  | Mgmt      | For      | For                  | For                 |
| Α                  | Authorize Independent Representative to Vote on Any Amendment to Previous Resolutions                                      | Mgmt      | For      | Against              | Against             |

# NetApp, Inc.

Meeting Date: 10/09/2020 **Record Date:** 17/07/2020

Country: USA

Meeting Type: Annual

Primary Security ID: 64110D104

Ticker: NTAP

Shares Voted: 26,447

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director T. Michael Nevens | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Deepak Ahuja      | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Gerald Held       | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Kathryn M. Hill   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Deborah L. Kerr   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director George Kurian     | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Scott F. Schenkel | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director George T. Shaheen | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# NetApp, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 4                  | Provide Right to Act by Written Consent                        | SH        | Against  | For                  | For                 |

# **NICE Ltd. (Israel)**

**Meeting Date:** 10/09/2020 **Record Date:** 12/08/2020

Country: Israel

**Primary Security ID:** M7494X101

Ticker: NICE

Meeting Type: Annual

Shares Voted: 4,571

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Reelect David Kostman as Director  | Mgmt      | For      | For                  | For                 |
| 1b                 | Reelect Rimon Ben-Shaoul as Director   | Mgmt      | For      | For                  | For                 |
| 1c                 | Reelect Yehoshua (Shuki) Ehrlich as Director   | Mgmt      | For      | For                  | For                 |
| 1d                 | Reelect Leo Apotheker as Director  | Mgmt      | For      | For                  | For                 |
| 1e                 | Reelect Joseph (Joe) Cowan as Director   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Current Liability Insurance Policy and<br>Future Amended Liability Insurance Policy to<br>Directors/Officers   | Mgmt      | For      | For                  | For                 |
| 2a                 | Vote FOR if you are a controlling shareholder or<br>have a personal interest in Item 2, as indicated<br>in the proxy card; otherwise, vote AGAINST. You<br>may not abstain. If you vote FOR, please<br>provide an explanation to your account<br>manager | Mgmt      | None     | Refer                | Against             |
| 3                  | Approve Extension of Annual Bonus Plan of CEO  | Mgmt      | For      | For                  | For                 |
| 3a                 | Vote FOR if you are a controlling shareholder or have a personal interest in Item 3, as indicated in the proxy card; otherwise, vote AGAINST. You may not abstain. If you vote FOR, please provide an explanation to your account manager                | Mgmt      | None     | Refer                | Against             |
| 4                  | Reappoint Kost Forer Gabay & Kasierer as<br>Auditors and Authorize Board to Fix Their<br>Remuneration  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Take-Two Interactive Software, Inc.**

**Meeting Date:** 16/09/2020 **Record Date:** 20/07/2020

Country: USA

Meeting Type: Annual

Primary Security ID: 874054109

Ticker: TTWO

Shares Voted: 14,211

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Strauss Zelnick                                 | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Michael Dornemann                               | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director J Moses   | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Michael Sheresky                                | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director LaVerne Srinivasan                              | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Susan Tolson                                    | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Paul Viera                                      | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Roland Hernandez                                | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

## **Cromwell Property Group**

**Meeting Date:** 18/09/2020 **Record Date:** 16/09/2020

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q2995J103

Ticker: CMW

**Shares Voted:** 5,748,541

| Proposal<br>Number | Proposal Text                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Elect Gary Weiss as Director   | SH        | Against  | Against              | Against             |
| 2                  | Elect Joseph Gersh as Director | SH        | Against  | For                  | For                 |

## Tesla, Inc.

**Meeting Date:** 22/09/2020 **Record Date:** 31/07/2020

Country: USA Meeting Type: Annual Primary Security ID: 88160R101

Ticker: TSLA

Reporting Period: 01/07/2020 to 30/06/2021

# Tesla, Inc.

Shares Voted: 19,964

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Elon Musk                                       | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Robyn Denholm                                   | Mgmt      | For      | Against              | Against             |
| 1.3                | Elect Director Hiromichi Mizuno                                | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 4                  | Report on Paid Advertising                                     | SH        | Against  | Against              | Against             |
| 5                  | Adopt Simple Majority Vote                                     | SH        | Against  | For                  | For                 |
| 6                  | Report on Employee Arbitration                                 | SH        | Against  | For                  | For                 |
| 7                  | Additional Reporting on Human Rights                           | SH        | Against  | For                  | For                 |

## **Mercury NZ Limited**

**Meeting Date:** 24/09/2020 **Record Date:** 22/09/2020

**Country:** New Zealand **Meeting Type:** Annual

Primary Security ID: Q5971Q108

Ticker: MCY

Shares Voted: 138,330

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Elect Hannah Hamling as Director  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Andy Lark as Director       | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Scott St John as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Patrick Strange as Director | Mgmt      | For      | For                  | For                 |

## NetEase, Inc.

**Meeting Date:** 25/09/2020 **Record Date:** 26/08/2020

**Country:** Cayman Islands **Meeting Type:** Annual

**Primary Security ID:** G6427A102

Ticker: 9999

Shares Voted: 5,039

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect William Lei Ding as Director | Mamt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## NetEase, Inc.

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1b                 | Elect Alice Yu-Fen Cheng as Director  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Denny Ting Bun Lee as Director  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Joseph Tze Kay Tong as Director   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Lun Feng as Director  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Michael Man Kit Leung as Director   | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Michael Sui Bau Tong as Director  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Appointment of PricewaterhouseCoopers Zhong Tian LLP and PricewaterhouseCoopers as Auditors | Mgmt      | For      | For                  | For                 |

### **ASX Limited**

**Meeting Date:** 30/09/2020 **Record Date:** 29/09/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q0604U105

Ticker: ASX

Shares Voted: 446,424

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3a                 | Elect Damian Roche as Director                         | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Rob Woods as Director                            | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report                            | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Performance Rights to Dominic Stevens | Mgmt      | For      | For                  | For                 |

# **Viva Energy Group Limited**

**Meeting Date:** 30/09/2020 **Record Date:** 28/09/2020

Country: Australia
Meeting Type: Special

**Primary Security ID:** Q9478L109

Ticker: VEA

**Shares Voted:** 1,229,399

| Proposal<br>Number | Proposal Text                             | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Return of Capital to Shareholders | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidation of Shares           | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Meridian Energy Limited**

**Meeting Date:** 01/10/2020 **Record Date:** 28/09/2020

**Country:** New Zealand **Meeting Type:** Annual

**Primary Security ID:** Q5997E121

Ticker: MEL

Shares Voted: 147,182

| Proposal<br>Number |                                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Elect Mark Verbiest as Director | Mgmt      | For      | For                  | For                 |

#### **Perenti Global Limited**

**Meeting Date:** 02/10/2020 **Record Date:** 30/09/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q73992101

Ticker: PRN

Shares Voted: 247,773

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                            | Mgmt      | For      | Against              | Against             |
| 2                  | Elect Ian Howard Cochrane as Director                  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Alexandra Clare Atkins as Director               | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Andrea Hall as Director                          | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Performance Rights to Mark Norwell | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of STI Rights to Mark Norwell         | Mgmt      | For      | Against              | Against             |

## **Saracen Mineral Holdings Limited**

**Meeting Date:** 06/10/2020 **Record Date:** 04/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8309T109

Ticker: SAR

Shares Voted: 994,794

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Elect Sally Langer as Director   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Roric Smith as Director    | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Samantha Tough as Director | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report      | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Long Term Incentive Plan | Mgmt      | None     | For                  | For                 |
|                    | Approve Remuneration Report      | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Saracen Mineral Holdings Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 6                  | Approve Issuance of Performance Rights to Raleigh Finlayson | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Issuance of Share Rights to Sally Langer            | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Termination Benefits                                | Mgmt      | For      | For                  | For                 |

## **AGL Energy Limited**

**Meeting Date:** 07/10/2020 **Record Date:** 05/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q01630195

Ticker: AGL

Shares Voted: 580,630

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 3a                 | Elect Peter Botten as Director   | Mgmt      | For      | Against              | Against             |
| 3b                 | Elect Mark Bloom as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights under the AGL Long Term Incentive Plan to Brett Redman | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Termination Benefits for Eligible Senior Executives                                | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Reinsertion of Proportional Takeover Provisions                                    | Mgmt      | For      | For                  | For                 |
| 7a                 | Approve the Amendments to the Company's Constitution                                       | SH        | Against  | Against              | Against             |
| 7b                 | Approve Coal Closure Dates   | SH        | Against  | Against              | Against             |

## **Analog Devices, Inc.**

**Meeting Date:** 08/10/2020 **Record Date:** 31/08/2020

Country: USA

Meeting Type: Special

Primary Security ID: 032654105

Ticker: ADI

Shares Voted: 25,266

| Proposal<br>Number | Proposal Text                               | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Issue Shares in Connection with Acquisition | Mgmt      | For      | For                  | For                 |
| 2                  | Adjourn Meeting                             | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Brambles Limited**

**Meeting Date:** 08/10/2020 **Record Date:** 06/10/2020

Country: Australia

Primary Security ID: Q6634U106 Ticker: BXB

Meeting Type: Annual

**Shares Voted:** 4,040,252

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect John Patrick Mullen as Director                                   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Nora Lia Scheinkestel as Director                                 | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Kenneth Stanley McCall as Director                                | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Tahira Hassan as Director   | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Nessa O'Sullivan as Director                                      | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Brambles Limited MyShare Plan                                   | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Participation of Graham Chipchase in the Performance Share Plan | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Participation of Nessa O'Sullivan in the Performance Share Plan | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Participation of Nessa O'Sullivan in the MyShare Plan           | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Extension of On-Market Share<br>Buy-Backs                       | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

# **Maxim Integrated Products, Inc.**

**Meeting Date:** 08/10/2020 **Record Date:** 31/08/2020

Country: USA
Meeting Type: Special

**Primary Security ID:** 57772K101

Ticker: MXIM

Shares Voted: 18,318

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Merger Agreement           | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote on Golden Parachutes | Mgmt      | For      | For                  | For                 |
| 3                  | Adjourn Meeting                    | Mgmt      | For      | For                  | For                 |

### **Mimecast Limited**

**Meeting Date:** 08/10/2020 **Record Date:** 06/10/2020

Country: Jersey
Meeting Type: Annual

Primary Security ID: G14838109

Ticker: MIME

Reporting Period: 01/07/2020 to 30/06/2021

### **Mimecast Limited**

Shares Voted: 72,506

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Elect Director Christopher FitzGerald                          | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Director Neil Murray                                     | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Director Robert P. Schechter                             | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 5                  | Authorize Board to Fix Remuneration of Auditors                | Mgmt      | For      | For                  | For                 |
| 6                  | Accept Financial Statements and Statutory Reports              | Mgmt      | For      | For                  | For                 |
| 7                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Share Repurchase Program                               | Mgmt      | For      | For                  | For                 |

# **Transurban Group Ltd.**

**Meeting Date:** 08/10/2020 **Record Date:** 06/10/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q9194A106

Ticker: TCL

**Shares Voted:** 2,246,184

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Terence Bowen as Director                       | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Neil Chatfield as Director                      | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect Jane Wilson as Director                         | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                           | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Awards to Scott Charlton | Mgmt      | For      | For                  | For                 |

### **Commonwealth Bank of Australia**

**Meeting Date:** 13/10/2020 **Record Date:** 11/10/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q26915100

Ticker: CBA

Reporting Period: 01/07/2020 to 30/06/2021

## **Commonwealth Bank of Australia**

**Shares Voted:** 1,689,982

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Rob Whitfield as Director  | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Simon Moutter as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Grant of Restricted Share Units and Performance Rights to Matt Comyn | Mgmt      | For      | Against              | Against             |
| 5                  | Approve the Amendments to the Company's Constitution                         | SH        | Against  | Against              | Against             |

## **Telstra Corporation Limited**

**Meeting Date:** 13/10/2020 **Record Date:** 11/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8975N105

Ticker: TLS

**Shares Voted:** 19,440,761

| Proposal<br>Number | Proposal Text                                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3a                 | Elect Peter R Hearl as Director                    | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Bridget Loudon as Director                   | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect John P Mullen as Director                    | Mgmt      | For      | For                  | For                 |
| 3d                 | Elect Elana Rubin as Director                      | Mgmt      | For      | For                  | For                 |
| 4                  | Adopt New Constitution                             | Mgmt      | For      | For                  | For                 |
| 5a                 | Approve Grant of Restricted Shares to Andrew Penn  | Mgmt      | For      | For                  | For                 |
| 5b                 | Approve Grant of Performance Rights to Andrew Penn | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Remuneration Report                        | Mgmt      | For      | For                  | For                 |

## **Aurizon Holdings Limited**

**Meeting Date:** 14/10/2020 **Record Date:** 12/10/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q0695Q104

Ticker: AZJ

Reporting Period: 01/07/2020 to 30/06/2021

# **Aurizon Holdings Limited**

**Shares Voted:** 1,658,204

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Sarah Ryan as Director                          | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Lyell Strambi as Director                       | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Grant of Performance Rights to Andrew Harding | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Potential Termination Benefits                | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report                           | Mgmt      | For      | For                  | For                 |

## **BHP Group Limited**

**Meeting Date:** 14/10/2020 **Record Date:** 12/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q1498M100

Ticker: BHP

**Shares Voted:** 3,189,886

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                       | Mgmt      | For      | For                  | For                 |
| 2                  | Appoint Ernst & Young as Auditor of the Company                            | Mgmt      | For      | For                  | For                 |
| 3                  | Authorize the Risk and Audit Committee to Fix Remuneration of the Auditors | Mgmt      | For      | For                  | For                 |
| 4                  | Approve General Authority to Issue Shares in BHP Group Plc                 | Mgmt      | For      | For                  | For                 |
| 5                  | Approve General Authority to Issue Shares in BHP Group Plc for Cash        | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Repurchase of Shares in BHP Group Plc                          | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Remuneration Report for UK Law<br>Purposes                         | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Remuneration Report for Australian<br>Law Purposes                 | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Grant of Awards to Mike Henry                                      | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Leaving Entitlements   | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Xiaoqun Clever as Director   | Mgmt      | For      | For                  | For                 |
| 12                 | Elect Gary Goldberg as Director  | Mgmt      | For      | For                  | For                 |
| 13                 | Elect Mike Henry as Director   | Mgmt      | For      | For                  | For                 |
|                    |  |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

## **BHP Group Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 14                 | Elect Christine O'Reilly as Director  | Mgmt      | For      | For                  | For                 |
| 15                 | Elect Dion Weisler as Director  | Mgmt      | For      | For                  | For                 |
| 16                 | Elect Terry Bowen as Director   | Mgmt      | For      | For                  | For                 |
| 17                 | Elect Malcolm Broomhead as Director   | Mgmt      | For      | For                  | For                 |
| 18                 | Elect Ian Cockerill as Director   | Mgmt      | For      | For                  | For                 |
| 19                 | Elect Anita Frew as Director  | Mgmt      | For      | For                  | For                 |
| 20                 | Elect Susan Kilsby as Director  | Mgmt      | For      | For                  | For                 |
| 21                 | Elect John Mogford as Director  | Mgmt      | For      | For                  | For                 |
| 22                 | Elect Ken MacKenzie as Director   | Mgmt      | For      | For                  | For                 |
| 23                 | Amend Constitution of BHP Group Limited   | SH        | Against  | Against              | Against             |
| 24                 | Adopt Interim Cultural Heritage Protection<br>Measures  | SH        | Against  | Against              | Against             |
| 25                 | Approve Suspension of Memberships of Industry<br>Associations where COVID-19 Related Advocacy<br>is Inconsistent with Paris Agreement Goals | SH        | Against  | Against              | Against             |

# **Cleanaway Waste Management Limited**

**Meeting Date:** 14/10/2020 **Record Date:** 12/10/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q2506H109

Ticker: CWY

**Shares Voted:** 5,362,980

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Philippe Etienne as Director  | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Terry Sinclair as Director  | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect Samantha Hogg as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors | Mgmt      | For      | For                  | For                 |

### **CSL Limited**

Meeting Date: 14/10/2020 Record Date: 12/10/2020 **Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q3018U109

Ticker: CSL

Reporting Period: 01/07/2020 to 30/06/2021

### **CSL Limited**

Shares Voted: 455,079

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Bruce Brook as Director                              | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Carolyn Hewson as Director                           | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect Pascal Soriot as Director                            | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                                | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Share Units to Paul Perreault | Mgmt      | For      | Against              | Against             |

## **ARB Corp. Ltd.**

**Meeting Date:** 15/10/2020 **Record Date:** 13/10/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q0463W135

Ticker: ARB

Shares Voted: 48,264

| Proposal<br>Number | Proposal Text                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report    | Mgmt      | For      | For                  | For                 |
| 3.1                | Elect Andrew Brown as Director | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Andrew Stott as Director | Mgmt      | For      | Against              | Against             |

## Paychex, Inc.

**Meeting Date:** 15/10/2020 **Record Date:** 17/08/2020

Country: USA

Meeting Type: Annual

Primary Security ID: 704326107

Ticker: PAYX

Shares Voted: 46,467

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director B. Thomas Golisano  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Thomas F. Bonadio   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Joseph G. Doody     | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director David J.S. Flaschen | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Pamela A. Joseph    | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Martin Mucci        | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## Paychex, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1g                 | Elect Director Joseph M. Tucci                                 | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Joseph M. Velli                                 | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Kara Wilson                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |

## **Perpetual Limited**

**Meeting Date:** 15/10/2020 **Record Date:** 13/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q9239H108

Ticker: PPT

Shares Voted: 46,787

| Proposal<br>Number | Proposal Text                              | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Tony D'Aloisio as Director           | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Fiona Trafford-Walker as Director    | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Rob | Mgmt      | For      | For                  | For                 |

# Varian Medical Systems, Inc.

**Meeting Date:** 15/10/2020 **Record Date:** 08/09/2020

Country: USA
Meeting Type: Special

**Primary Security ID:** 92220P105

Ticker: VAR

Shares Voted: 2,908

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Merger Agreement           | Mgmt      | For      | For                  | For                 |
| 2                  | Adjourn Meeting                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote on Golden Parachutes | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

### **Iluka Resources Limited**

**Meeting Date:** 16/10/2020 **Record Date:** 14/10/2020

Country: Australia
Meeting Type: Special

Primary Security ID: Q4875J104

Ticker: ILU

**Shares Voted:** 388,197

| Proposal<br>Number |  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Equal Capital Reduction, In-Specie | Mgmt      | For      | For                  | For                 |

## **SKYCITY Entertainment Group Limited**

**Meeting Date:** 16/10/2020 **Record Date:** 14/10/2020

**Country:** New Zealand **Meeting Type:** Annual

Primary Security ID: Q8513Z115

Ticker: SKC

Shares Voted: 215,169

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Rob Campbell as Director                      | Mgmt      | For      | Against              | Against             |
| 2                  | Elect Sue Suckling as Director                      | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Jennifer Owen as Director                     | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Murray Jordan as Director                     | Mgmt      | For      | For                  | For                 |
| 5                  | Authorize Board to Fix Remuneration of the Auditors | Mgmt      | For      | For                  | For                 |

## **Bapcor Limited**

**Meeting Date:** 20/10/2020 **Record Date:** 18/10/2020

Country: Australia
Meeting Type: Annual

**Primary Security ID:** Q1921R106

Ticker: BAP

Shares Voted: 865,535

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report   | Mgmt      | For      | Against              | Against             |
| 2                  | Elect Jennifer Macdonald as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect James Todd as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Mark Powell as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of Shares to Existing Institutional Shareholders and Other Institutional Investors | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Bapcor Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 6                  | Approve the Increase in Non-Executive Directors' Remuneration Fee Cap | Mgmt      | None     | Against              | Against             |
| 7                  | Approve Issuance of Performance Rights to Darryl Abotomey             | Mgmt      | For      | For                  | For                 |
| 8                  | Approve the Amendments to the Company's Constitution                  | Mgmt      | For      | For                  | For                 |

# **Cochlear Limited**

**Meeting Date:** 20/10/2020 **Record Date:** 18/10/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q25953102

Ticker: COH

Shares Voted: 171,860

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Approve Financial Statements and Reports of the Directors and Auditors | Mgmt      | For      | For                  | For                 |
| 2.1                | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3.1                | Elect Andrew Denver as Director  | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Bruce Robinson as Director                                       | Mgmt      | For      | For                  | For                 |
| 3.3                | Elect Michael Daniell as Director                                      | Mgmt      | For      | For                  | For                 |
| 4.1                | Elect Stephen Mayne as Director  | SH        | Against  | Against              | Against             |
| 5.1                | Approve Grant of Securities to Dig Howitt                              | Mgmt      | For      | For                  | For                 |
| 6.1                | Adopt New Constitution   | Mgmt      | For      | For                  | For                 |
| 7.1                | Approve Proportional Takeover Provisions in the Proposed Constitution  | Mgmt      | For      | For                  | For                 |

## **IDP Education Limited**

**Meeting Date:** 20/10/2020 **Record Date:** 18/10/2020

**Country:** Australia **Meeting Type:** Annual **Primary Security ID:** Q48215109

Ticker: IEL

Shares Voted: 425,324

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 2a                 | Elect David Battersby as Director | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Ariane Barker as Director   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **IDP Education Limited**

| Proposal<br>Number |                             | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------|-----------|----------|----------------------|---------------------|
| 3                  | Approve Remuneration Report | Mgmt      | For      | Against              | Against             |

# **Mcmillan Shakespeare Limited**

Meeting Date: 20/10/2020 Record Date: 18/10/2020 Country: Australia
Meeting Type: Annual

**Primary Security ID:** Q58998107

Ticker: MMS

Shares Voted: 14,629

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                                   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect John Bennetts as Director                               | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Helen Kurincic as Director                              | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Kathy Parsons as Director                               | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Indeterminate Rights to Michael Salisbury | Mgmt      | For      | For                  | For                 |
| 7                  | Approve the Amendments to the Company's Constitution          | Mgmt      | For      | Against              | Against             |

# **Origin Energy Limited**

**Meeting Date:** 20/10/2020 **Record Date:** 18/10/2020

Country: Australia
Meeting Type: Annual

**Primary Security ID:** Q71610101

Ticker: ORG

**Shares Voted:** 1,612,749

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Elect Maxine Brenner as Director                     | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                          | Mgmt      | For      | Against              | Against             |
| 5a                 | Approve the Amendments to the Company's Constitution | SH        | Against  | Against              | Against             |
| 5b                 | Approve Consent and Fracking                         | SH        | Against  | Against              | Against             |
| 5c                 | Approve Lobbying and COVID-19 Recovery               | SH        | Against  | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

### **Stockland**

**Meeting Date:** 20/10/2020 **Record Date:** 18/10/2020

Country: Australia

Meeting Type: Annual/Special

Primary Security ID: Q8773B105

Ticker: SGP

**Shares Voted:** 6,566,297

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Kate McKenzie as Director                   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Tom Pockett as Director                     | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Andrew Stevens as Director                  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report                       | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Renewal of Termination Benefits Framework | Mgmt      | For      | For                  | For                 |

## **Tabcorp Holdings Limited**

**Meeting Date:** 20/10/2020 **Record Date:** 18/10/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q8815D101

Ticker: TAH

**Shares Voted:** 1,846,201

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Bruce Akhurst as Director                           | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Anne Brennan as Director                            | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect David Gallop as Director                            | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                               | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to David Attenborough | Mgmt      | For      | Against              | Against             |

## **Mystate Limited**

**Meeting Date:** 21/10/2020 **Record Date:** 19/10/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q64892104

Ticker: MYS

Shares Voted: 14,918

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Elect Miles Hampton as Director | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Andrea Waters as Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Mystate Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of 16,822 Shares to Melos Sulicich                               | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Participation of Melos Sulicich in the Executive Long Term Incentive Plan | Mgmt      | For      | For                  | For                 |

### **Orora Limited**

**Meeting Date:** 21/10/2020 **Record Date:** 19/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q7142U117

Ticker: ORA

Shares Voted: 860,375

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Elect Abi Cleland as Director                              | Mgmt      | For      | For                  | For                 |
| 3a                 | Approve Grant of Deferred Performance Rights to Brian Lowe | Mgmt      | For      | For                  | For                 |
| 3b                 | Approve Grant of Performance Rights to Brian Lowe          | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report                                | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Amendments to the Company's Constitution       | Mgmt      | For      | Against              | Against             |

## **Service Stream Limited**

**Meeting Date:** 21/10/2020 **Record Date:** 19/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8462H165

Ticker: SSM

Shares Voted: 96,448

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Peter Dempsey as Director                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Grant of Performance Rights to Leigh<br>Geoffrey Mackender | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **APA Group**

**Meeting Date:** 22/10/2020 **Record Date:** 20/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q0437B100

Ticker: APA

Shares Voted: 926,470

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Peter Wasow as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Shirley In't Veld as Director                                     | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Rhoda Phillippo as Director                                       | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Performance Rights to Robert Wheals                    | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Amendments to the Constitution of Australian Pipeline Trust | Mgmt      | For      | Against              | Against             |
| 7                  | Approve the Amendments to the Constitution of APT Investment Trust      | Mgmt      | For      | Against              | Against             |
| 8                  | Elect Victoria Walker as Director                                       | SH        | Against  | Against              | Against             |

# **Auckland International Airport Ltd.**

**Meeting Date:** 22/10/2020 **Record Date:** 20/10/2020

**Country:** New Zealand **Meeting Type:** Annual

Primary Security ID: Q06213146

Ticker: AIA

Shares Voted: 294,194

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Julia Hoare as Director                       | Mgmt      | For      | For                  | For                 |
| 2                  | Authorize Board to Fix Remuneration of the Auditors | Mgmt      | For      | For                  | For                 |

### **Charter Hall Long WALE REIT**

**Meeting Date:** 22/10/2020 **Record Date:** 20/10/2020

**Country:** Australia **Meeting Type:** Annual **Primary Security ID:** Q2308E106

Ticker: CLW

Shares Voted: 311,395

| Proposal<br>Number | Proposal Text                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Flect Glenn Fraser as Director | Mamt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Charter Hall Long WALE REIT**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Ratify Past Issuance of Stapled Securities to Institutional, Professional and Other Wholesale Investors | Mgmt      | For      | For                  | For                 |

### **Crown Resorts Limited**

**Meeting Date:** 22/10/2020 **Record Date:** 20/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q3015N108

Ticker: CWN

Shares Voted: 352,977

| Proposal Text                          | Proponent  | Mgmt Rec  | Voting<br>Policy Rec  | Vote<br>Instruction  |
|--|--|---|---|--|
| Elect Jane Halton as Director          | Mgmt   | For   | For   | For  |
| Elect John Horvath as Director         | Mgmt   | For   | For   | For  |
| Elect Guy Jalland as Director          | Mgmt   | For   | Against   | Against  |
| Elect Bryan Young as Director          | SH   | Against   | Against   | Against  |
| Approve Remuneration Report            | Mgmt   | For   | For   | For  |
| Appoint KPMG as Auditor of the Company | Mgmt   | For   | For   | For  |
|  | Elect Jane Halton as Director Elect John Horvath as Director Elect Guy Jalland as Director Elect Bryan Young as Director Approve Remuneration Report | Elect Jane Halton as Director Mgmt  Elect John Horvath as Director Mgmt  Elect Guy Jalland as Director Mgmt  Elect Bryan Young as Director SH  Approve Remuneration Report Mgmt | Elect Jane Halton as Director Mgmt For Elect John Horvath as Director Mgmt For Elect Guy Jalland as Director Mgmt For Elect Bryan Young as Director SH Against Approve Remuneration Report Mgmt For | Proposal TextProponentMgmt RecPolicy RecElect Jane Halton as DirectorMgmtForForElect John Horvath as DirectorMgmtForForElect Guy Jalland as DirectorMgmtForAgainstElect Bryan Young as DirectorSHAgainstAgainstApprove Remuneration ReportMgmtForFor |

#### **Healius Limited**

**Meeting Date:** 22/10/2020 **Record Date:** 20/10/2020

Country: Australia
Meeting Type: Annual

**Primary Security ID:** Q4548G107

Ticker: HLS

 $\textbf{Shares Voted:}\ 1,\!518,\!131$ 

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Robert Hubbard as Director                                   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Securities Under the Short-Term Incentive Plan | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Securities to Malcolm Parmenter                | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Amendments to the Company's Constitution               | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

# **Magellan Financial Group Ltd.**

**Meeting Date:** 22/10/2020 **Record Date:** 20/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q5713S107

Ticker: MFG

Shares Voted: 342,556

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report     | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect John Eales as Director    | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Robert Fraser as Director | Mgmt      | For      | Against              | Against             |
| 3c                 | Elect Karen Phin as Director    | Mgmt      | For      | For                  | For                 |

## **Megaport Ltd.**

**Meeting Date:** 22/10/2020 **Record Date:** 20/10/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q5941Y108

Ticker: MP1

Shares Voted: 326,811

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | None     | For                  | For                 |
| 2                  | Elect Bevan Slattery as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Past Issuance of 7.10 Million Megaport<br>Shares to Institutional, Experienced,<br>Sophisticated and Professional Investors | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Past Issuance of 5.26 Million Megaport<br>Shares to Institutional, Experienced,<br>Sophisticated and Professional Investors | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Options to Vincent English  | Mgmt      | None     | Against              | Against             |

# **Suncorp Group Limited**

**Meeting Date:** 22/10/2020 **Record Date:** 20/10/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q88040110

Ticker: SUN

**Shares Voted:** 3,892,935

| Proposal<br>lumber | Proposal Text               | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Suncorp Group Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Grant of Performance Rights to Steve Johnston | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Elmer Funke Kupper as Director                  | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Simon Machell as Director                       | Mgmt      | For      | For                  | For                 |

## **The Star Entertainment Group Limited**

**Meeting Date:** 22/10/2020 **Record Date:** 20/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8719T103

Ticker: SGR

Shares Voted: 815,717

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Elect John O'Neill as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Katie Lahey as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Issuance of Shares to Matt Bekier                                  | Mgmt      | For      | Against              | Against             |
| 6                  | Approve Grant of Performance Rights to Matt Bekier                         | Mgmt      | For      | For                  | For                 |
| 7                  | Approve the Amendments to the Company's Constitution                       | Mgmt      | For      | Against              | Against             |
| 8                  | Approve Renewal of Proportional Takeover<br>Provisions in the Constitution | Mgmt      | For      | For                  | For                 |

## **Webjet Limited**

**Meeting Date:** 22/10/2020 **Record Date:** 20/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q9570B108

Ticker: WEB

Shares Voted: 226,897

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Don Clarke as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Brad Holman as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Past Issuance of Institutional Placement<br>Shares to Institutional Investors | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Webjet Limited**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 5                  | Approve Issuance of Equity Settled Notes to Professional and Wholesale Investors | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Webjet Limited Long Term Incentive Plan                                  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Grant of Options to John Guscic  | Mgmt      | For      | Against              | Against             |

# **Whitehaven Coal Limited**

**Meeting Date:** 22/10/2020 **Record Date:** 20/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q97664108

Ticker: WHC

Shares Voted: 562,109

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Grant of Rights to Paul Flynn                | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Julie Beeby as Director                        | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Raymond Zage as Director                       | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Amendments to the Company's Constitution | SH        | Against  | Against              | Against             |
| 6                  | Approve Capital Protection                           | SH        | Against  | Against              | Against             |

## **De Grey Mining Ltd**

**Meeting Date:** 23/10/2020 **Record Date:** 21/10/2020

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q3147X115

Ticker: DEG

Shares Voted: 406,816

| Proposal<br>Number | Proposal Text                                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Issuance of Shares to DGO Gold Limited | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Issuance of Shares to Peter Hood       | Mgmt      | For      | For                  | For                 |

#### **Dexus**

**Meeting Date:** 23/10/2020 **Record Date:** 21/10/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q3190P134

Ticker: DXS

Reporting Period: 01/07/2020 to 30/06/2021

#### **Dexus**

**Shares Voted:** 2,933,479

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                             | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Grant of Performance Rights to Darren Steinberg | Mgmt      | For      | For                  | For                 |
| 3.1                | Elect Patrick Allaway as Director                       | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Richard Sheppard as Director                      | Mgmt      | For      | For                  | For                 |
| 3.3                | Elect Peter St George as Director                       | Mgmt      | For      | For                  | For                 |

Ticker: EVT

## **Event Hospitality & Entertainment Ltd.**

Meeting Date: 23/10/2020 **Record Date: 21/10/2020** 

Country: Australia

**Primary Security ID:** Q3663F100

Meeting Type: Annual

Shares Voted: 16,900

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                                   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Valerie Anne Davies as Director                         | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Richard Gordon Newton as Director                       | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Re-insertion of Proportional Takeover Provisions      | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Performance Rights to Jane Megan Hastings | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Issuance of Rights to Jane Megan Hastings             | Mgmt      | For      | Against              | Against             |
| 8                  | Approve Payment of Incentive to Hans Richard Eberstaller      | Mgmt      | For      | For                  | For                 |

## **Insurance Australia Group Ltd.**

Meeting Date: 23/10/2020 **Record Date:** 21/10/2020

Country: Australia Meeting Type: Annual Primary Security ID: Q49361100

Ticker: IAG

Reporting Period: 01/07/2020 to 30/06/2021

# **Insurance Australia Group Ltd.**

**Shares Voted:** 2,273,204

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Simon Allen as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Duncan Boyle as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Sheila McGregor as Director                                       | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Jonathan Nicholson as Director                                    | Mgmt      | For      | For                  | For                 |
| 6                  | Adopt New Constitution  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Proportional Takeover Provisions                                | Mgmt      | For      | For                  | For                 |
| 8                  | Approve the Amendments to the Company's Constitution                    | SH        | Against  | Against              | Against             |
| 9                  | Approve IAG World Heritage Policy                                       | SH        | Against  | Against              | Against             |
| 10                 | Approve Relationship with Industry Associations                         | SH        | Against  | Against              | Against             |
| 11                 | Approve Issuance of Executive Performance<br>Rights to Nicholas Hawkins | Mgmt      | For      | For                  | For                 |

**Shares Voted:** 4,395,749

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Simon Allen as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Duncan Boyle as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Sheila McGregor as Director                                       | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Jonathan Nicholson as Director                                    | Mgmt      | For      | For                  | For                 |
| 6                  | Adopt New Constitution  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Proportional Takeover Provisions                                | Mgmt      | For      | For                  | For                 |
| 8                  | Approve the Amendments to the Company's Constitution                    | SH        | Against  | Against              | For                 |
| 9                  | Approve IAG World Heritage Policy                                       | SH        | Against  | Against              | For                 |
| 10                 | Approve Relationship with Industry Associations                         | SH        | Against  | Against              | For                 |
| 11                 | Approve Issuance of Executive Performance<br>Rights to Nicholas Hawkins | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Qantas Airways Limited**

**Meeting Date:** 23/10/2020 **Record Date:** 21/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q77974550

Ticker: QAN

**Shares Voted:** 1,489,596

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2.1                | Elect Maxine Brenner as Director  | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Jacqueline Hey as Director  | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Michael L'Estrange as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Participation of Alan Joyce in the Long<br>Term Incentive Plan        | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Remuneration Report   | Mgmt      | For      | Against              | Against             |
| 5                  | Ratify Past Issuance of Shares to Existing and<br>New Institutional Investors | Mgmt      | For      | For                  | For                 |

# **Worley Limited**

**Meeting Date:** 23/10/2020 **Record Date:** 21/10/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q9858A103

Ticker: WOR

Shares Voted: 312,663

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Christopher Haynes as Director                                       | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Martin Parkinson as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Deferred Equity Rights to Robert Christopher Ashton       | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Long-Term Performance Rights to Robert Christopher Ashton | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Spill Resolution   | Mgmt      | Against  | Against              | Against             |

# **Bega Cheese Limited**

**Meeting Date:** 27/10/2020 **Record Date:** 25/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q14034104

Ticker: BGA

Reporting Period: 01/07/2020 to 30/06/2021

# **Bega Cheese Limited**

Shares Voted: 591,145

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report        | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Terrence O'Brien as Director | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Peter Margin as Director     | Mgmt      | For      | For                  | For                 |

# **Bendigo and Adelaide Bank Limited**

**Meeting Date:** 27/10/2020 **Record Date:** 25/10/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q1458B102

Ticker: BEN

**Shares Voted:** 1,596,861

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Jacqueline Hey as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Jim Hazel as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Anthony Fels as Director  | SH        | Against  | Against              | Against             |
| 5                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Shares to Marnie Baker under the Loan Funded Share Plan                                     | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Grant of Performance Rights to Marnie<br>Baker under the Omnibus Equity Plan                            | Mgmt      | For      | For                  | For                 |
| 8a                 | Approve First Capital Reduction Scheme  | Mgmt      | For      | For                  | For                 |
| 8b                 | Approve Second Capital Reduction Scheme   | Mgmt      | For      | For                  | For                 |
| 9                  | Ratify Past Issuance of Placement Shares to Existing and New Domestic and International Institutional Investors | Mgmt      | For      | For                  | For                 |

## **Blackmores Limited**

**Meeting Date:** 27/10/2020 **Record Date:** 25/10/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q15790100

Ticker: BKL

Shares Voted: 42,109

| Proposal<br>Number | Proposal Text               | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Blackmores Limited**

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect David Ansell as Director                    | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Grant of STI Shares to Alastair Symington | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of LTI Shares to Alastair Symington | Mgmt      | For      | For                  | For                 |

### **Boral Limited**

**Meeting Date:** 27/10/2020 **Record Date:** 25/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q16969109

Ticker: BLD

**Shares Voted:** 1,003,878

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2.1                | Elect Kathryn Fagg as Director                             | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Paul Rayner as Director                              | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Rob Sindel as Director                               | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Deborah O'Toole as Director                          | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Ryan Stokes as Director                              | Mgmt      | For      | Against              | Against             |
| 3                  | Approve Remuneration Report                                | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of LTI Rights to Zlatko<br>Todorcevski       | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Fixed Equity Rights to Zlatko Todorcevski | Mgmt      | For      | For                  | For                 |

# **Cintas Corporation**

**Meeting Date:** 27/10/2020 **Record Date:** 02/09/2020

**Country:** USA **Meeting Type:** Annual

Primary Security ID: 172908105

Ticker: CTAS

Shares Voted: 7,306

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Gerald S. Adolph   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director John F. Barrett    | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Melanie W. Barstad | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Karen L. Carnahan  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Cintas Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1e                 | Elect Director Robert E. Coletti                               | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Scott D. Farmer                                 | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Joseph Scaminace                                | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Ronald W. Tysoe                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 4                  | Report on Political Contributions Disclosure                   | SH        | Against  | For                  | For                 |

# **Corporate Travel Management Limited**

**Meeting Date:** 27/10/2020 **Record Date:** 25/10/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q2909K105

Ticker: CTD

Shares Voted: 68,513

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve the Remuneration Report  | Mgmt      | None     | For                  | For                 |
| 2a                 | Elect Jonathan Brett as Director                                       | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Sophia (Sophie) Mitchell as Director                             | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect Ewen Crouch as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve the Company's Omnibus Incentive Plan                           | Mgmt      | None     | Against              | Against             |
| <b>4</b> a         | Approve Grant of 125,000 Share Appreciation<br>Rights to Laura Ruffles | Mgmt      | For      | For                  | For                 |
| 4b                 | Approve Grant of 62,500 Share Appreciation Rights to Laura Ruffles     | Mgmt      | For      | Against              | Against             |

# **GUD Holdings Limited**

**Meeting Date:** 27/10/2020 **Record Date:** 25/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q43709106

Ticker: GUD

Shares Voted: 240,768

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Elect Jennifer Douglas as Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **GUD Holdings Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Approve Remuneration Report                                     | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Rights to Graeme Whickman                      | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Renewal of Proportional Takeover<br>Approval Provisions | Mgmt      | For      | For                  | For                 |

# **Link Administration Holdings Limited**

**Meeting Date:** 27/10/2020 **Record Date:** 25/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q5S646100

Ticker: LNK

Shares Voted: 408,229

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Elect Peeyush Gupta as Director                              | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Sally Pitkin as Director                               | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                                  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Link Group Omnibus Equity Plan                       | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Performance Share Rights to Vivek Bhatia | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Amendments to the Company's Constitution         | Mgmt      | For      | Against              | Against             |

# **Regis Healthcare Limited**

**Meeting Date:** 27/10/2020 **Record Date:** 25/10/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q8059P125

Ticker: REG

Shares Voted: 32,859

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Elect Graham Hodges as Director | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report     | Mgmt      | For      | For                  | For                 |

## **Sealink Travel Group Limited**

**Meeting Date:** 27/10/2020 **Record Date:** 25/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8382W102

Ticker: SLK

Reporting Period: 01/07/2020 to 30/06/2021

# **Sealink Travel Group Limited**

Shares Voted: 371,828

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report       | Mgmt      | For      | Against              | Against             |
| 3                  | Elect Neil Smith as Director      | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Lance Hockridge as Director | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Jeffrey Ellison as Director | Mgmt      | For      | Against              | Against             |

# Tyro Payments Ltd.

**Meeting Date:** 27/10/2020 **Record Date:** 25/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q9275X108

Ticker: TYR

Shares Voted: 230,667

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Catherine Harris as Director                                       | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Participation by Directors in Remuneration Sacrifice Rights Plan | Mgmt      | None     | For                  | For                 |
| 4                  | Approve Grant of Service Rights to Robbie Cooke                          | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Performance Rights to Robbie Cooke                      | Mgmt      | For      | For                  | For                 |

### **Codan Limited**

**Meeting Date:** 28/10/2020 **Record Date:** 26/10/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q2595M100

Ticker: CDA

Shares Voted: 70,369

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Graeme Barclay as Director                     | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Grant of Performance Rights to Donald McGurk | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **National Storage REIT**

**Meeting Date:** 28/10/2020 **Record Date:** 26/10/2020

Country: Australia

Meeting Type: Annual/Special

Primary Security ID: Q6605D109

Ticker: NSR

Shares Voted: 636,906

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Claire Fidler as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Steven Leigh as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Howard Brenchley as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Ratify Past Issuance of Stapled Securities to Existing and New Institutional and Professional Investors | Mgmt      | For      | For                  | For                 |

## **Netwealth Group Limited**

**Meeting Date:** 28/10/2020 **Record Date:** 26/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q6625S102

Ticker: NWL

Shares Voted: 52,422

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report     | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Michael Heine as Director | Mgmt      | For      | For                  | For                 |

For

#### St. Barbara Limited

Elect Timothy Antonie as Director

**Meeting Date:** 28/10/2020 **Record Date:** 26/10/2020

Country: Australia
Meeting Type: Annual

Mgmt

Primary Security ID: Q8744Q173

For

For

Ticker: SBM

Shares Voted: 665,044

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Tim Netscher as Director                              | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Issuance of FY20 Performance Rights to Craig Jetson | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### St. Barbara Limited

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4                  | Approve Issuance of FY21 Performance Rights to Craig Jetson | Mgmt      | For      | For                  | For                 |

# **Steadfast Group Limited**

**Meeting Date:** 28/10/2020 **Record Date:** 26/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8744R106

Ticker: SDF

Shares Voted: 663,746

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                             | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Grant of Deferred Equity Awards to Robert Kelly | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Frank O'Halloran as Director                      | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Anne O'Driscoll as Director                       | Mgmt      | For      | For                  | For                 |

# **Super Retail Group Limited**

**Meeting Date:** 28/10/2020 **Record Date:** 26/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q88009107

Ticker: SUL

Shares Voted: 146,265

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 3.1                | Elect Howard Mowlem as Director                                    | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Reginald Rowe as Director                                    | Mgmt      | For      | For                  | For                 |
| 3.3                | Elect Annabelle Chaplain as Director                               | Mgmt      | For      | For                  | For                 |
| 3.4                | Elect Gary Dunne as Director                                       | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to<br>Anthony Michael Heraghty | Mgmt      | For      | Against              | Against             |
| 5                  | Approve the Increase in Non-Executive Directors' Fee Pool          | Mgmt      | None     | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Tassal Group Limited**

**Meeting Date:** 28/10/2020 **Record Date:** 26/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8881G103

Ticker: TGR

Shares Voted: 77,644

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Elect John Watson as Director                        | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Richard Haire as Director                      | Mgmt      | For      | For                  | For                 |
| 5                  | Elect James Fazzino as Director                      | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Long-Term Incentive Plan                     | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Grant of Performance Rights to Mark Ryan     | Mgmt      | For      | For                  | For                 |
| 8                  | Approve the Amendments to the Company's Constitution | Mgmt      | For      | Against              | Against             |

### **Vocus Group Ltd.**

**Meeting Date:** 28/10/2020 **Record Date:** 26/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q9479K100

Ticker: VOC

**Shares Voted:** 1,645,122

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report        | Mgmt      | For      | For                  | For                 |
| 3                  | Elect David Wiadrowski as Director | Mgmt      | For      | Against              | Against             |
| 4                  | Elect Bruce Akhurst as Director    | Mgmt      | For      | For                  | For                 |

### Aena S.M.E. SA

**Meeting Date:** 29/10/2020 **Record Date:** 23/10/2020

**Country:** Spain **Meeting Type:** Annual

**Primary Security ID:** E526K0106

Ticker: AENA

Shares Voted: 6,230

| Proposal<br>Number | Proposal Text                             | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Standalone Financial Statements   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidated Financial Statements | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### Aena S.M.E. SA

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Approve Allocation of Income and Dividends  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Non-Financial Information Statement   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Reclassification of Voluntary Reserves to Capitalization Reserves   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Discharge of Board  | Mgmt      | For      | For                  | For                 |
| 7.1                | Reelect Amancio Lopez Seijas as Director  | Mgmt      | For      | For                  | For                 |
| 7.2                | Reelect Jaime Terceiro Lomba as Director  | Mgmt      | For      | For                  | For                 |
| 7.3                | Elect Irene Cano Piquero as Director  | Mgmt      | For      | For                  | For                 |
| 7.4                | Elect Francisco Javier Marin San Andres as<br>Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Authorize Share Repurchase Program  | Mgmt      | For      | For                  | For                 |
| 9                  | Advisory Vote on Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Principles for Climate Change Action and Environmental Governance   | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Instructions to the Board to Present<br>the Climate Action Plan at the 2021 AGM and<br>Updated Climate Action Reports at the AGM that<br>May be Held as from 2022 (Inclusive) and to<br>Submit them to a Consultative Vote as a<br>Separate Agenda Item | SH        | Against  | For                  | For                 |
| 12                 | Add New Article 50 bis  | SH        | Against  | For                  | For                 |
| 13                 | Authorize Board to Ratify and Execute Approved Resolutions  | Mgmt      | For      | For                  | For                 |

# **Australian Ethical Investment Limited**

**Meeting Date:** 29/10/2020 **Record Date:** 27/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q0951T107

Ticker: AEF

Shares Voted: 148,404

| Proposal<br>Number | Proposal Text                         | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report           | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Stephen Gibbs as Director       | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Julie Orr as Director           | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Katherine Greenhill as Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Challenger Limited**

**Meeting Date:** 29/10/2020 **Record Date:** 27/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q22685103

Ticker: CGF

Shares Voted: 500,730

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Steven Gregg as Director  | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect JoAnne Stephenson as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report   | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Grant of Performance Share Rights to Richard Howes                          | Mgmt      | For      | Against              | Against             |
| 5                  | Ratify Past Issuance of Shares to Institutional Investors                           | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Challenger Capital Notes 3 to Brokers and Institutional Holders | Mgmt      | For      | For                  | For                 |
| 7                  | Adopt New Constitution  | Mgmt      | For      | Against              | Against             |
| 8                  | Approve Insertion of Proportional Takeover Provisions                               | Mgmt      | For      | For                  | For                 |

### **JB Hi-Fi Limited**

**Meeting Date:** 29/10/2020 **Record Date:** 27/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q5029L101

Ticker: JBH

Shares Voted: 308,026

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Melanie Wilson as Director                     | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Beth Laughton as Director                      | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Restricted Shares to Richard Murray | Mgmt      | For      | Against              | Against             |

### **Reece Limited**

**Meeting Date:** 29/10/2020 **Record Date:** 27/10/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q80528138

Ticker: REH

Reporting Period: 01/07/2020 to 30/06/2021

#### **Reece Limited**

Shares Voted: 204,926

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Alan Wilson as Director                        | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Megan Quinn as Director                        | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Options to Peter Wilson             | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Amendments to the Company's Constitution | Mgmt      | For      | Against              | Against             |

## **Reliance Worldwide Corporation Limited**

**Meeting Date:** 29/10/2020 **Record Date:** 27/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8068F100

Ticker: RWC

Shares Voted: 585,899

| Proposal<br>Number | Proposal Text                        | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------------|-----------|----------|----------------------|---------------------|
| 2.1                | Elect Christine Bartlett as Director | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Ian Rowden as Director         | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Russell Chenu as Director      | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Stuart Crosby as Director      | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report          | Mgmt      | For      | For                  | For                 |

#### South32 Ltd.

**Meeting Date:** 29/10/2020 **Record Date:** 27/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q86668102

Ticker: S32

**Shares Voted:** 5,200,890

| Proposal<br>Number | Proposal Text                               | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Frank Cooper as Director              | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Xiaoling Liu as Director              | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect Ntombifuthi (Futhi) Mtoba as Director | Mgmt      | For      | For                  | For                 |
| 2d                 | Elect Karen Wood as Director                | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### South32 Ltd.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3                  | Elect Guy Lansdown as Director                         | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report                            | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Grant of Rights to Graham Kerr                 | Mgmt      | For      | Against              | Against             |
| 6                  | Approve Renewal of Proportional Takeover<br>Provisions | Mgmt      | For      | For                  | For                 |

### **Austal Limited**

**Meeting Date:** 30/10/2020 **Record Date:** 28/10/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q07106109

Ticker: ASB

Shares Voted: 264,881

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                              | Mgmt      | For      | For                  | For                 |
| 2                  | Elect John Rothwell as Director                          | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Michael McCormack as Director                      | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Share Rights to Sarah<br>Adam-Gedge  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Share Rights to Chris Indermaur      | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Share Rights to Michael<br>McCormack | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Issuance of STI Rights to David Singleton        | Mgmt      | For      | For                  | For                 |

### **Carsales.Com Limited**

**Meeting Date:** 30/10/2020 **Record Date:** 28/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q21411121

Ticker: CAR

Shares Voted: 162,246

| Proposal<br>Number | Proposal Text                            | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report              | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Patrick O'Sullivan as Director     | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Walter James Pisciotta as Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Carsales.Com Limited**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 4a                 | Approve Grant of Performance Rights to Cameron McIntyre                | Mgmt      | For      | For                  | For                 |
| 4b                 | Approve Grant of Options and Performance<br>Rights to Cameron McIntyre | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Conditional Spill Resolution                                   | Mgmt      | Against  | Against              | Against             |

# **EML Payments Limited**

**Meeting Date:** 30/10/2020 **Record Date:** 28/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q3482X100

Ticker: EML

Shares Voted: 211,384

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                                    | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Melanie Wilson as Director                               | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Tony Adcock as Director                                  | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect George Gresham as Director                               | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Short-Term Incentive Options to Tom Cregan    | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Long-Term Incentive Options to Tom Cregan     | Mgmt      | For      | Against              | Against             |
| 6                  | Ratify Past Issuance of Shares to Institutions and the Vendors | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Renewal of Proportional Takeover<br>Provisions         | Mgmt      | For      | For                  | For                 |
| 8                  | Approve the Amendments to the Company's Constitution           | Mgmt      | For      | Against              | Against             |

# **GWA Group Limited**

**Meeting Date:** 30/10/2020 **Record Date:** 28/10/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q4394K202

Ticker: GWA

Shares Voted: 442,098

| Proposal<br>Number |                                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Elect Jane McKellar as Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **GWA Group Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Richard Thornton as Director                      | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                             | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Tim Salt         | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Performance Rights to Richard Thornton | Mgmt      | For      | For                  | For                 |

## **Southern Cross Media Group Limited**

**Meeting Date:** 30/10/2020 **Record Date:** 28/10/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q8571C107

Ticker: SXL

**Shares Voted:** 5,382,434

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Rob Murray as Director                                  | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Helen Nash as Director                                  | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect Carole Campbell as Director                             | Mgmt      | For      | For                  | For                 |
| 2d                 | Elect Ido Leffler as Director                                 | Mgmt      | For      | For                  | For                 |
| 2e                 | Elect Heith Mackay-Cruise as Director                         | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                                   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve the Amendments to the Company's Constitution          | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Partial Takeover Provisions                           | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Share Consolidation                                   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Grant of Performance Rights to Grant Blackley         | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Financial Assistance by the Wholly Owned Subsidiaries | Mgmt      | For      | For                  | For                 |

# **Lam Research Corporation**

**Meeting Date:** 03/11/2020 **Record Date:** 04/09/2020

Country: USA

Meeting Type: Annual

**Primary Security ID:** 512807108

Ticker: LRCX

Reporting Period: 01/07/2020 to 30/06/2021

# **Lam Research Corporation**

Shares Voted: 10,192

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Sohail U. Ahmed                                 | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Timothy M. Archer                               | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Eric K. Brandt                                  | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Michael R. Cannon                               | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Catherine P. Lego                               | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Bethany J. Mayer                                | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Abhijit Y. Talwalkar                            | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Lih Shyng (Rick L.) Tsai                        | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Leslie F. Varon                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

# **Amcor plc**

**Meeting Date:** 04/11/2020 **Record Date:** 14/09/2020

Country: Jersey
Meeting Type: Annual

Primary Security ID: G0250X107

Ticker: AMCR

Shares Voted: 892,088

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Graeme Liebelt      | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Armin Meyer         | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Ronald Delia        | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Andrea Bertone      | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Karen Guerra        | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Nicholas (Tom) Long | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Arun Nayar          | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Jeremy Sutcliffe    | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director David Szczupak      | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Philip Weaver       | Mgmt      | For      | For                  | For                 |
|                    |                                    |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

## **Amcor plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Ratify PricewaterhouseCoopers AG as Auditors                   | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **Cedar Woods Properties Limited**

**Meeting Date:** 04/11/2020 **Record Date:** 02/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q2156A107

Ticker: CWP

Shares Voted: 10,816

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Adopt New Constitution   | Mgmt      | For      | Against              | Against             |
| 2                  | Elect Jane M Muirsmith as Director                             | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                                    | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Zero-Price Options to Nathan Blackburne    | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Performance Rights to<br>Nathan Blackburne | Mgmt      | For      | For                  | For                 |

# **Domino's Pizza Enterprises Limited**

**Meeting Date:** 04/11/2020 **Record Date:** 02/11/2020

Country: Australia
Meeting Type: Annual

**Primary Security ID:** Q32503106

Ticker: DMP

Shares Voted: 33,565

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                               | Mgmt      | None     | For                  | For                 |
| 2                  | Elect Doreen Huber as Director                            | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Grant Bourke as Director                            | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Grant of Short Term Incentive Options to Don Meij | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Long Term Incentive Options to Don Meij  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Amendments to the Company's Constitution      | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

# **KLA Corporation**

**Meeting Date:** 04/11/2020 **Record Date:** 16/09/2020

Country: USA

Primary Security ID: 482480100

Meeting Type: Annual Ticker: KLAC

Shares Voted: 24,222

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Edward Barnholt                                 | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Robert Calderoni                                | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Jeneanne Hanley                                 | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Emiko Higashi                                   | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Kevin Kennedy                                   | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Gary Moore                                      | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Marie Myers                                     | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Kiran Patel                                     | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Victor Peng                                     | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Robert Rango                                    | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Richard Wallace                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Adopt Proxy Access Right                                       | SH        | Against  | For                  | For                 |

#### **Ansell Limited**

**Meeting Date:** 05/11/2020 **Record Date:** 03/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q04020105

Ticker: ANN

**Shares Voted:** 361,719

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Nigel Garrard as Director                             | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Christina Stercken as Director                        | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect William Reilly as Director                            | Mgmt      | For      | For                  | For                 |
| 3                  | Adopt New Constitution                                      | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Grant of Performance Share Rights to Magnus Nicolin | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Ansell Limited**

| Proposal<br>Number |                             | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------|-----------|----------|----------------------|---------------------|
| 5                  | Approve Remuneration Report | Mgmt      | For      | For                  | For                 |

# **Coles Group Limited**

**Meeting Date:** 05/11/2020 **Record Date:** 03/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q26203408

Ticker: COL

**Shares Voted:** 1,341,718

| Proposal<br>Number | Proposal Text                                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2.1                | Elect Paul O'Malley as Director                    | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect David Cheesewright as Director               | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Wendy Stops as Director                      | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                        | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of STI Shares to Steven Cain         | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Performance Rights to Steven Cain | Mgmt      | For      | For                  | For                 |

# **Credit Corp. Group Limited**

**Meeting Date:** 05/11/2020 **Record Date:** 03/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q2980K107

Ticker: CCP

Shares Voted: 48,413

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Leslie Martin as Director | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Donald McLay as Director  | Mgmt      | For      | Against              | Against             |
| 3                  | Approve Remuneration Report     | Mgmt      | For      | For                  | For                 |

#### **Downer EDI Limited**

**Meeting Date:** 05/11/2020 **Record Date:** 03/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q32623151

Ticker: DOW

Reporting Period: 01/07/2020 to 30/06/2021

## **Downer EDI Limited**

Shares Voted: 719,180

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Mike Harding as Director                    | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                       | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Grant Fenn | Mgmt      | For      | For                  | For                 |

#### **Estia Health Ltd.**

**Meeting Date:** 05/11/2020 **Record Date:** 03/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q3627L102

Ticker: EHE

Shares Voted: 66,135

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Warwick Smith as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Helen Kurincic as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Long Term Incentive<br>Performance Rights to Ian Thorley | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Retention-based<br>Performance Rights to Ian Thorley     | Mgmt      | For      | Against              | Against             |

# **Flight Centre Travel Group Limited**

**Meeting Date:** 05/11/2020 **Record Date:** 03/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q39175106

Ticker: FLT

Shares Voted: 119,748

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Elect John Eales as Director   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Past Issuance of Shares to Existing and New Institutional Investors | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Inghams Group Limited**

**Meeting Date:** 05/11/2020 **Record Date:** 03/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q4912E100

Ticker: ING

Shares Voted: 225,784

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Elect Michael Ihlein as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Jacqueline McArthur as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Helen Nash as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 6                  | Approve Grant of Performance Rights to Jim<br>Leighton under FY20 Transformational Incentive<br>Plan (TIP) | Mgmt      | For      | Against              | Against             |
| 7                  | Approve Grant of Performance Rights to Jim<br>Leighton under FY21 Long Term Incentive Plan<br>(LTIP)       | Mgmt      | For      | For                  | For                 |

### **James Hardie Industries Plc**

**Meeting Date:** 05/11/2020 **Record Date:** 04/11/2020

**Country:** Ireland **Meeting Type:** Annual

Primary Security ID: G4253H119

Ticker: JHX

Shares Voted: 323,843

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve the Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Moe Nozari as Director  | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Nigel Stein as Director   | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect Harold Wiens as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Authorize Board to Fix Remuneration of Auditors   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Grant of Fiscal Year 2021 Return on Capital Employed Restricted Stock Units to Jack Truong              | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Grant of Fiscal Year 2021 Relative<br>Total Shareholder Return Restricted Stock Units<br>to Jack Truong | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Renewal of Authority for Director to Issues Shares without Pre-emptive Rights                               | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **James Hardie Industries Plc**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 8                  | Approve the Amendments to the Company's Articles of Association                   | Mgmt      | For      | Against              | Against             |
| 9                  | Approve 2020 Non-Executive Director Equity Plan and Issuance of Shares Thereunder | Mgmt      | None     | For                  | For                 |

## **Maxim Integrated Products, Inc.**

**Meeting Date:** 05/11/2020 **Record Date:** 11/09/2020

Country: USA

**Primary Security ID:** 57772K101

Meeting Type: Annual

Ticker: MXIM

Shares Voted: 19,362

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director William (Bill) P. Sullivan                      | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Tunc Doluca                                     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Tracy C. Accardi                                | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director James R. Bergman                                | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Joseph R. Bronson                               | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Robert E. Grady                                 | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Mercedes Johnson                                | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director William D. Watkins                              | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director MaryAnn Wright                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

## **NIB Holdings Limited**

**Meeting Date:** 05/11/2020 **Record Date:** 03/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q67889107

Ticker: NHF

**Shares Voted:** 1,316,663

| Proposal<br>Number | Proposal Text                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report    | Mgmt      | For      | For                  | For                 |
| 3                  | Elect David Gordon as Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **NIB Holdings Limited**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 4                  | Elect Lee Ausburn as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Anne Loveridge as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Participation of Mark Fitzgibbon in the Long-Term Incentive Plan | Mgmt      | For      | For                  | For                 |

# **Treasury Wine Estates Limited**

**Meeting Date:** 05/11/2020 **Record Date:** 03/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q9194S107

Ticker: TWE

Shares Voted: 572,428

| Proposal<br>Number | Proposal Text                                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Antonia Korsanos as Director              | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Ed Chan as Director                       | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect Louisa Cheang as Director                 | Mgmt      | For      | For                  | For                 |
| 2d                 | Elect Warwick Every-Burns as Director           | Mgmt      | For      | For                  | For                 |
| 2e                 | Elect Garry Hounsell as Director                | Mgmt      | For      | For                  | For                 |
| 2f                 | Elect Colleen Jay as Director                   | Mgmt      | For      | For                  | For                 |
| 2g                 | Elect Lauri Shanahan as Director                | Mgmt      | For      | For                  | For                 |
| 2h                 | Elect Paul Rayner as Director                   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                     | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Tim Ford | Mgmt      | For      | For                  | For                 |

### **Chorus Ltd.**

**Meeting Date:** 06/11/2020 **Record Date:** 05/11/2020

**Country:** New Zealand **Meeting Type:** Annual

Primary Security ID: Q6634X100

Ticker: CNU

**Shares Voted:** 1,125,124

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Elect Prue Flacks as Director   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Jack Matthews as Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Chorus Ltd.**

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Elect Kate Jorgensen as Director                  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Board to Fix Remuneration of the Auditors | Mgmt      | For      | For                  | For                 |

# **Spark New Zealand Ltd.**

**Meeting Date:** 06/11/2020 **Record Date:** 04/11/2020

Country: New Zealand Meeting Type: Annual Primary Security ID: Q8619N107

Ticker: SPK

**Shares Voted:** 4,381,118

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Deloitte Limited as Auditor of the Company and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Paul Berriman as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Charles Sitch as Director  | Mgmt      | For      | For                  | For                 |

# **AVITA Therapeutics, Inc.**

**Meeting Date:** 09/11/2020 **Record Date:** 10/09/2020

Country: USA Meeting Type: Annual Primary Security ID: 05380C102

Ticker: RCEL

Shares Voted: 19,109

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Louis Panaccio   | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Michael Perry  | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Jeremy Curnock Cook  | Mgmt      | For      | Abstain              | Abstain             |
| 1.4                | Elect Director Louis Drapeau  | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Suzanne Crowe  | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Grant Thornton LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Omnibus Stock Plan  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Issuance of Shares to Louis Panaccio                                      | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **AVITA Therapeutics, Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 6                  | Approve Issuance of Shares to Suzanne Crowe                    | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Issuance of Shares to Louis Drapeau                    | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Issuance of Shares to Jeremy Curnock Cook              | Mgmt      | For      | For                  | For                 |
| 9                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 10                 | Advisory Vote on Say on Pay Frequency                          | Mgmt      | One Year | One Year             | One Year            |

# **AUB Group Limited**

**Meeting Date:** 10/11/2020 **Record Date:** 08/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q0647P113

Ticker: AUB

Shares Voted: 50,006

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                               | Mgmt      | For      | For                  | For                 |
| 2                  | Elect David Clarke as Director                            | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Paul Lahiff as Director                             | Mgmt      | For      | For                  | For                 |
| 4                  | Adopt New Constitution                                    | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Proportional Takeover Provisions                  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Performance Options to Michael Emmett | Mgmt      | For      | For                  | For                 |

# **Charter Hall Retail REIT**

**Meeting Date:** 10/11/2020 **Record Date:** 08/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q2308D108

Ticker: CQR

Shares Voted: 383,394

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Elect Michael Gorman as Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Domain Holdings Australia Ltd.**

**Meeting Date:** 10/11/2020 **Record Date:** 08/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q3R22A108

Ticker: DHG

Shares Voted: 149,459

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 2                  | Elect Hugh Marks as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Lizzie Young as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Performance Rights to Jason Pellegrino                 | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Renewal of Proportional Takeover<br>Provisions in the Constitution | Mgmt      | For      | For                  | For                 |

# **Ingenia Communities Group**

**Meeting Date:** 10/11/2020 **Record Date:** 08/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q4912D185

Ticker: INA

Shares Voted: 773,264

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3.1                | Elect Amanda Heyworth as Director   | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Pippa Downes as Director  | Mgmt      | For      | For                  | For                 |
| 3.3                | Elect Greg Hayes as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Securities Under Ingenia<br>Communities Group Rights Plan   | Mgmt      | For      | For                  | For                 |
| 5.1                | Approve Grant of Amended FY20 Short-Term Incentive Plan Rights to Simon Owen  | Mgmt      | For      | For                  | For                 |
| 5.2                | Approve Grant of FY21 Fixed Remuneration Rights, Short-Term Incentive Plan Rights and Long-Term Incentive Plan Rights to Simon Owen | Mgmt      | For      | For                  | For                 |

# Radware Ltd.

**Meeting Date:** 10/11/2020 **Record Date:** 07/10/2020

**Country:** Israel **Meeting Type:** Annual

Primary Security ID: M81873107

Ticker: RDWR

Reporting Period: 01/07/2020 to 30/06/2021

### Radware Ltd.

Shares Voted: 26,019

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Gabi Seligsohn as Director   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Stanley B. Stern as Director   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Naama Zeldis as Director   | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Articles Re: Shareholder Proposals   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Grants of Equity-Based Awards to the President and CEO   | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Amendments to Compensation Policy for the Directors and Officers of the Company  | Mgmt      | For      | For                  | For                 |
| 5                  | Authorize Yehuda Zisapel to Act as Chairman  | Mgmt      | For      | For                  | For                 |
| 6                  | Reappoint Kost Forer Gabbay & Kasierer as<br>Auditors and Authorize Board to Fix Their<br>Remuneration   | Mgmt      | For      | For                  | For                 |
| A                  | Vote FOR if you are NOT a controlling<br>shareholder and do NOT have a personal<br>interest in one or several resolutions, as<br>indicated in the proxy card; otherwise, vote<br>AGAINST | Mgmt      | None     | Refer                | For                 |

## **Sims Limited**

**Meeting Date:** 10/11/2020 **Record Date:** 09/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID:~Q8505L116

Ticker: SGM

Shares Voted: 551,486

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Heather Ridout as Director                      | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Thomas Gorman as Director                       | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                           | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Alistair Field | Mgmt      | For      | For                  | For                 |

### **Unibail-Rodamco-Westfield SE**

**Meeting Date:** 10/11/2020 **Record Date:** 03/11/2020

**Country:** France **Meeting Type:** Special

**Primary Security ID:** F95094581

Ticker: URW

Reporting Period: 01/07/2020 to 30/06/2021

## **Unibail-Rodamco-Westfield SE**

Shares Voted: 35,925

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Authorize Issuance of Equity or Equity-Linked<br>Securities with Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 3.5 Billion   | Mgmt      | For      | For                  | For                 |
| 2                  | Authorize Capital Issuances for Use in Employee Stock Purchase Plans  | Mgmt      | For      | For                  | For                 |
| 3                  | Authorize Filing of Required Documents/Other Formalities  | Mgmt      | For      | For                  | For                 |
| Α                  | Elect Leon Bressier as Supervisory Board<br>Member  | SH        | Against  | For                  | For                 |
| В                  | Elect Susana Gallardo as Supervisory Board<br>Member  | SH        | Against  | For                  | For                 |
| С                  | Elect Xavier Niel as Supervisory Board Member   | SH        | Against  | For                  | For                 |
| 4                  | Other Resolutions (Amendments to the Resolutions as Described in the Notice of Meeting or New Resolutions Proposed During the Meeting) - VOTE FOR (If you wish to vote as proxy seems fit) or ABSTAIN | Mgmt      | For      | Abstain              | Abstain             |

# **Automatic Data Processing, Inc.**

**Meeting Date:** 11/11/2020 **Record Date:** 14/09/2020

Country: USA Meeting Type: Annual Primary Security ID: 053015103

Ticker: ADP

Shares Voted: 67,904

| Proposal<br>Number | Proposal Text                        | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Peter Bisson          | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Richard T. Clark      | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Linnie M. Haynesworth | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director John P. Jones         | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Francine S. Katsoudas | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Nazzic S. Keene       | Mgmt      | For      | For                  | For                 |
| <b>1</b> g         | Elect Director Thomas J. Lynch       | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Scott F. Powers       | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director William J. Ready      | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Carlos A. Rodriguez   | Mgmt      | For      | For                  | For                 |
|                    |                                      |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

# **Automatic Data Processing, Inc.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1k                 | Elect Director Sandra S. Wijnberg   | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation                | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Deloitte & Touche LLP as Auditors                                      | Mgmt      | For      | For                  | For                 |
| 4                  | Report on Non-Management Employee<br>Representation on the Board of Directors | SH        | Against  | Against              | Against             |

# **Bingo Industries Limited**

**Meeting Date:** 11/11/2020 **Record Date:** 09/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q1501H106

Ticker: BIN

**Shares Voted:** 1,018,924

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Daniel Girgis as Director  | Mgmt      | For      | Against              | Against             |
| 3                  | Approve BINGO Equity Incentive Plan  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Short Term Incentive<br>Performance Rights to Daniel Tartak | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Long Term Incentive<br>Performance Rights to Daniel Tartak  | Mgmt      | For      | For                  | For                 |

## **Clinuvel Pharmaceuticals Limited**

**Meeting Date:** 11/11/2020 **Record Date:** 09/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q2516X144

Ticker: CUV

Shares Voted: 7,404

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report         | Mgmt      | For      | Against              | Against             |
| 2                  | Elect Willem Blijdorp as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Jeffrey Rosenfeld as Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Computershare Limited**

**Meeting Date:** 11/11/2020 **Record Date:** 09/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q2721E105

Ticker: CPU

Shares Voted: 381,381

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Elect Joseph Velli as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Abi Cleland as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 5a                 | Approve Grant of Performance Rights and Share Appreciation Rights to Stuart Irving | Mgmt      | For      | Against              | Against             |

## **Fortescue Metals Group Ltd.**

**Meeting Date:** 11/11/2020 **Record Date:** 09/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q39360104

Ticker: FMG

**Shares Voted:** 1,973,138

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Andrew Forrest as Director                                | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Mark Barnaba as Director                                  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Penny Bingham-Hall as Director                            | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Jennifer Morris as Director                               | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Grant of Performance Rights to Elizabeth Gaines         | Mgmt      | For      | Against              | Against             |
| 7                  | Approve Renewal of Proportional Takeover<br>Approval Provisions | Mgmt      | For      | For                  | For                 |

### **Newcrest Mining Ltd.**

**Meeting Date:** 11/11/2020 **Record Date:** 09/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q6651B114

Ticker: NCM

Reporting Period: 01/07/2020 to 30/06/2021

## **Newcrest Mining Ltd.**

Shares Voted: 837,501

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Sally-Anne Layman as Director  | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Roger Higgins as Director  | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect Gerard Bond as Director  | Mgmt      | For      | For                  | For                 |
| 3a                 | Approve Grant of Performance Rights to Sandeep Biswas                          | Mgmt      | For      | For                  | For                 |
| 3b                 | Approve Grant of Performance Rights to Gerard Bond                             | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Renewal of Proportional Takeover Bid<br>Provisions in the Constitution | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Amendments to the Company's Constitution                           | Mgmt      | For      | Against              | Against             |

### **Breville Group Limited**

**Meeting Date:** 12/11/2020 **Record Date:** 10/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q1758G108

Ticker: BRG

Shares Voted: 257,299

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Tim Antonie as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Dean Howell as Director   | Mgmt      | For      | Against              | Against             |
| 5                  | Ratify Past Issuance of New Shares to<br>Professional and Sophisticated Investors | Mgmt      | For      | For                  | For                 |

### **Charter Hall Group**

**Meeting Date:** 12/11/2020 **Record Date:** 10/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q2308A138

Ticker: CHC

Reporting Period: 01/07/2020 to 30/06/2021

## **Charter Hall Group**

**Shares Voted:** 1,242,438

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Elect David Ross as Director                             | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                              | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Service Rights to David Harrison     | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Performance Rights to David Harrison | Mgmt      | For      | For                  | For                 |

### **Data#3 Limited**

**Meeting Date:** 12/11/2020 **Record Date:** 10/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q3118R105

Ticker: DTL

Shares Voted: 94,319

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                                | Mgmt      | None     | For                  | For                 |
| 2                  | Elect Richard Anderson as Director                         | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Mark Gray as Director                                | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Performance Rights to Laurence Baynham | Mgmt      | None     | For                  | For                 |

## **Fox Corporation**

**Meeting Date:** 12/11/2020 **Record Date:** 14/09/2020

Country: USA

Meeting Type: Annual

**Primary Security ID:** 35137L105

Ticker: FOXA

Shares Voted: 18,908

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director K. Rupert Murdoch   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Lachlan K. Murdoch  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Chase Carey         | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Anne Dias           | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Roland A. Hernandez | Mamt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Fox Corporation**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1f                 | Elect Director Jacques Nasser                                     | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Paul D. Ryan                                       | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                              | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive<br>Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **GDI Property Group Limited**

**Meeting Date:** 12/11/2020 **Record Date:** 10/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q3R72G104

Ticker: GDI

Shares Voted: 63,680

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                             | Mgmt      | None     | For                  | For                 |
| 2                  | Elect Giles Woodgate as Director                        | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Issuance of Performance Rights to Steve Gillard | Mgmt      | For      | For                  | For                 |

### **Medibank Private Limited**

**Meeting Date:** 12/11/2020 **Record Date:** 10/11/2020

**Country:** Australia **Meeting Type:** Annual Primary Security ID: Q5921Q109

Ticker: MPL

**Shares Voted:** 8,162,773

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Tracey Batten as Director                       | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Anna Bligh as Director                          | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Mike Wilkins as Director                        | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report                           | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Grant of Performance Rights to Craig Drummond | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Nearmap Ltd.**

**Meeting Date:** 12/11/2020 **Record Date:** 10/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q6S16D102

Ticker: NEA

Shares Voted: 195,976

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Susan Klose as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Clifford Rosenberg as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Director Options to Robert<br>Newman   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Replacement of Constitution   | Mgmt      | For      | Against              | Against             |
| 6                  | Ratify Past Issuance of Shares to Sophisticated,<br>Professional and Institutional Investors, and<br>International Resident Investors | Mgmt      | For      | For                  | For                 |

## **Nine Entertainment Co. Holdings Limited**

**Meeting Date:** 12/11/2020 **Record Date:** 10/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q6813N105

Ticker: NEC

**Shares Voted:** 4,034,666

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Peter Costello as Director                                     | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Grant of Additional 2020 Performance<br>Rights to Hugh Marks | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Grant of 2021 Performance Rights to Hugh Marks               | Mgmt      | For      | Against              | Against             |
| 5                  | Approve the Amendments to the Company's Constitution                 | Mgmt      | For      | For                  | For                 |

#### **Seven West Media Limited**

**Meeting Date:** 12/11/2020 **Record Date:** 10/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q8461Y102

Ticker: SWM

Reporting Period: 01/07/2020 to 30/06/2021

### **Seven West Media Limited**

Shares Voted: 871,541

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Elect Kerry Stokes as Director                         | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Teresa Dyson as Director                         | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Michael Ziegelaar as Director                    | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report                            | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Grant of Performance Rights to James Warburton | Mgmt      | For      | For                  | For                 |

### **Sonic Healthcare Limited**

**Meeting Date:** 12/11/2020 **Record Date:** 10/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8563C107

Ticker: SHL

**Shares Voted:** 1,195,199

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Elect Mark Compton as Director   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Neville Mitchell as Director                                       | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Suzanne Crowe as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Chris Wilks as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Increase in Non-Executive Directors' Remuneration Fee Pool   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Sonic Healthcare Limited Employee Option Plan                    | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Sonic Healthcare Limited Performance Rights Plan                 | Mgmt      | For      | For                  | For                 |
| 9                  | Approve the Grant of Options and Performance Rights to Colin Goldschmidt | Mgmt      | For      | For                  | For                 |
| 10                 | Approve the Grant of Options and Performance Rights to Chris Wilks       | Mgmt      | For      | For                  | For                 |
| 11                 | Approve the Amendments to the Company's Constitution                     | Mgmt      | For      | For                  | For                 |
| 12                 | Approve the Conditional Spill Resolution                                 | Mgmt      | Against  | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

# **Vicinity Centres**

**Meeting Date:** 12/11/2020 **Record Date:** 10/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q9395F102

Ticker: VCX

**Shares Voted:** 11,122,677

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Peter Kahan as Director  | Mgmt      | For      | Against              | Against             |
| 3b                 | Elect Karen Penrose as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights and<br>Restricted Rights to Grant Kelley                     | Mgmt      | For      | Against              | Against             |
| 5                  | Ratify Past Issuance of Stapled Securities to Institutional Investors and Retail Securityholders | Mgmt      | For      | For                  | For                 |

#### **Wesfarmers Limited**

**Meeting Date:** 12/11/2020 **Record Date:** 10/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q95870103

Ticker: WES

**Shares Voted:** 1,048,820

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Michael Alfred Chaney as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of KEEPP Deferred Shares and KEEPP Performance Shares to Robert Scott | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Additional Performance-Tested Shares to Robert Scott               | Mgmt      | For      | For                  | For                 |

## **Woolworths Group Ltd.**

**Meeting Date:** 12/11/2020 **Record Date:** 10/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q98418108

Ticker: WOW

**Shares Voted:** 1,116,766

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Elect Scott Perkins as Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Woolworths Group Ltd.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Approve Remuneration Report                                     | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Share Rights to Brad Banducci      | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Renewal of Approach to Termination Benefits for 3 Years | Mgmt      | For      | For                  | For                 |

### **NextDC Ltd.**

**Meeting Date:** 13/11/2020 **Record Date:** 11/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q6750Y106

Ticker: NXT

**Shares Voted:** 1,784,764

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Stuart Davis as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Eileen Doyle as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors | Mgmt      | None     | For                  | For                 |
| 5                  | Ratify Past Issuance of Placement Shares to Existing Institutional Investors      | Mgmt      | For      | Against              | Against             |
| 6                  | Approve the Grant of Performance Rights to Craig Scroggie                         | Mgmt      | For      | For                  | For                 |

### **Orocobre Limited**

**Meeting Date:** 13/11/2020 **Record Date:** 11/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q7142R106

Ticker: ORE

Shares Voted: 439,005

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3a                 | Elect Robert Hubbard as Director                                    | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Masaharu Katayama as Director                                 | Mgmt      | For      | For                  | For                 |
| 4a                 | Approve Grant of STI Performance Rights to<br>Martin Perez de Solay | Mgmt      | None     | For                  | For                 |
| 4b                 | Approve Grant of LTI Performance Rights to<br>Martin Perez de Solay | Mgmt      | None     | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Orocobre Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 5a                 | Ratify Past Issuance of Advantage Acquisition<br>Shares to Shareholders of Advantage Lithium<br>Corporation         | Mgmt      | For      | For                  | For                 |
| 5b                 | Ratify Past Issuance of Tranche 1 Placement<br>Shares to Institutional, Professional and<br>Sophisticated Investors | Mgmt      | For      | Against              | Against             |
| 6                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |

# **Polynovo Limited**

**Meeting Date:** 13/11/2020 **Record Date:** 11/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q7704D107

Ticker: PNV

Shares Voted: 412,503

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3a                 | Elect David McQuillan as Director   | Mgmt      | For      | For                  | For                 |
| 4a                 | Elect Robyn Elliott as Director   | Mgmt      | For      | For                  | For                 |
| 4b                 | Elect Christine Emmanuel as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report   | Mgmt      | For      | Against              | Against             |
| 6                  | Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Employee Share Option Plan  | Mgmt      | For      | Against              | Against             |

# **Abacus Property Group**

**Meeting Date:** 17/11/2020 **Record Date:** 15/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q0015N229

Ticker: ABP

Shares Voted: 930,754

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                                   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Myra Salkinder as Director                              | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Security Acquisition Rights to Steven Sewell | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Afterpay Limited**

Meeting Date: 17/11/2020 **Record Date: 15/11/2020** 

Country: Australia Meeting Type: Annual Primary Security ID: Q3583G105

Ticker: APT

Shares Voted: 418,368

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2A                 | Elect Gary Briggs as Director  | Mgmt      | For      | For                  | For                 |
| 2B                 | Elect Patrick O'Sullivan as Director   | Mgmt      | For      | For                  | For                 |
| 2C                 | Elect Sharon Rothstein as Director   | Mgmt      | For      | For                  | For                 |
| 2D                 | Elect Nicholas Molnar as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Past Issuance of Shares to Institutional and Professional Investors   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Convertible Note Pursuant to Pagantis Acquisition  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Application of Supplementary Terms of<br>Afterpay Equity Incentive Plan to Equity Awards<br>Issued to Participants in California | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Grant of Options to Anthony Eisen  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Grant of Options to Nick Molnar  | Mgmt      | For      | For                  | For                 |

## **Investec Australia Property Fund**

Meeting Date: 17/11/2020

Country: Australia

Primary Security ID: Q496B8136

Ticker: IAP

**Record Date:** 13/11/2020

Meeting Type: Special

Shares Voted: 85,201

| Proposal<br>Number | Proposal Text    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Proposal | Mamt      | For      | For                  | For                 |

## **New Hope Corporation Limited**

Meeting Date: 17/11/2020 **Record Date:** 15/11/2020

Country: Australia Meeting Type: Annual Primary Security ID: Q66635105

Ticker: NHC

Reporting Period: 01/07/2020 to 30/06/2021

# **New Hope Corporation Limited**

Shares Voted: 623,742

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                                | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Robert Millner as Director                           | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Jacqueline McGill as Director                        | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Performance Rights to Reinhold Schmidt | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Amendments to the Company's Constitution       | SH        | Against  | Against              | Against             |
| 6                  | Approve Capital Protection                                 | SH        | Against  | Against              | Against             |

### **Pilbara Minerals Limited**

**Meeting Date:** 17/11/2020 **Record Date:** 15/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q7539C100

Ticker: PLS

**Shares Voted:** 3,090,643

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Sally-Anne Layman Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Stephen Scudamore as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Employee Award Plan Amendment  | Mgmt      | None     | For                  | For                 |
| 5                  | Approve Issuance of Employee Options and Employee Performance Rights to Ken Brinsden | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Share Rights to Ken<br>Brinsden                                  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Issuance of STI Shares to Ken Brinsden                                       | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Issuance of Share Rights to Anthony Kiernan                                  | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Issuance of Share Rights to Stephen Scudamore                                | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Issuance of Share Rights to Nicholas Cernotta                                | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Issuance of Share Rights to Sally-Anne Layman                                | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Termination of Benefits  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Pilbara Minerals Limited**

| Proposal<br>Number |                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------|-----------|----------|----------------------|---------------------|
| 13                 | Approve Section 195 | Mgmt      | None     | For                  | For                 |

## **PointsBet Holdings Limited**

Meeting Date: 17/11/2020 Record Date: 15/11/2020 Country: Australia
Meeting Type: Annual

Primary Security ID: Q7262X107

Ticker: PBH

Shares Voted: 50,240

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Manjit Gombra Singh as Director   | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Past Issuance of Shares to Institutional Investors                                   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Key Employee Equity Plan  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Performance Rights to Sam Swanell                                       | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Subscription Shares and Subscription Options to NBCUniversal Media, LLC | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Acquisition of Relevant Interests by NBCUniversal Media, LLC                        | Mgmt      | For      | For                  | For                 |

### **REA Group Limited**

**Meeting Date:** 17/11/2020 **Record Date:** 15/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8051B108

Ticker: REA

Shares Voted: 92,509

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Nick Dowling as Director   | Mgmt      | For      | Against              | Against             |
| 4a                 | Approve Issuance of 7,093 Performance Rights to Owen Wilson under the REA Group Long-Term Incentive Plan | Mgmt      | For      | Against              | Against             |
| 4b                 | Approve Issuance of 12,541 Performance Rights to Owen Wilson under the Recovery Incentive Plan           | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

# **Ardent Leisure Group Limited**

**Meeting Date:** 18/11/2020 **Record Date:** 16/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q0499P138

Ticker: ALG

Shares Voted: 142,634

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report      | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Gary Weiss as Director     | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Randy Garfield as Director | Mgmt      | For      | For                  | For                 |

# **Cromwell Property Group**

**Meeting Date:** 18/11/2020 **Record Date:** 16/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q2995J103

Ticker: CMW

**Shares Voted:** 1,348,007

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Leon Blitz as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Andrew Fay as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect John Humphrey as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Spill Resolution  | Mgmt      | Against  | Against              | Against             |
| 7                  | Approve Grant of 2019 Performance Rights and Stapled Securities to Paul Weightman | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Grant of 2020 Performance Rights and Stapled Securities to Paul Weightman | Mgmt      | For      | For                  | For                 |

### IGO Ltd.

**Meeting Date:** 18/11/2020 **Record Date:** 16/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q4875H108

Ticker: IGO

Shares Voted: 402,355

| Proposal<br>Number | Proposal Text                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Elect Debra Bakker as Director | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report    | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### IGO Ltd.

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4                  | Approve Issuance of Service Rights to Peter Bradford        | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Performance Rights to<br>Peter Bradford | Mgmt      | For      | For                  | For                 |

## **Lifestyle Communities Ltd.**

**Meeting Date:** 18/11/2020 **Record Date:** 16/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q5557L143

Ticker: LIC

Shares Voted: 45,896

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Georgina Williams as Director                  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Mark Sutherland Blackburn as Director          | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Amendments to the Company's Constitution | Mgmt      | For      | Against              | Against             |

## **News Corporation**

**Meeting Date:** 18/11/2020 **Record Date:** 21/09/2020

Country: USA Meeting Type: Annual Primary Security ID: 65249B109

Ticker: NWSA

Shares Voted: 69,081

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director K. Rupert Murdoch  | Mgmt      | For      | Against              | Against             |
| 1b                 | Elect Director Lachlan K. Murdoch | Mgmt      | For      | Against              | Against             |
| 1c                 | Elect Director Robert J. Thomson  | Mgmt      | For      | Against              | Against             |
| 1d                 | Elect Director Kelly Ayotte       | Mgmt      | For      | Against              | Against             |
| 1e                 | Elect Director Jose Maria Aznar   | Mgmt      | For      | Against              | Against             |
| 1f                 | Elect Director Natalie Bancroft   | Mgmt      | For      | Against              | Against             |
| <b>1</b> g         | Elect Director Peter L. Barnes    | Mgmt      | For      | Against              | Against             |
| 1h                 | Elect Director Ana Paula Pessoa   | Mgmt      | For      | Against              | Against             |
| 1i                 | Elect Director Masroor Siddiqui   | Mgmt      | For      | Against              | Against             |
|                    |                                   |           |          |                      |                     |

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## **News Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Advisory Vote on Say on Pay Frequency                          | Mgmt      | One Year | One Year             | One Year            |
| 5                  | Adopt Simple Majority Vote                                     | SH        | Against  | For                  | For                 |

# **Pact Group Holdings Ltd**

**Meeting Date:** 18/11/2020 **Record Date:** 16/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q72539119

Ticker: PGH

Shares Voted: 455,152

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Jonathan Ling as Director                      | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Michael Wachtel as Director                    | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Performance Rights to Sanjay Dayal  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Amendments to the Company's Constitution | Mgmt      | For      | Against              | Against             |

## **Seven Group Holdings Limited**

**Meeting Date:** 18/11/2020 **Record Date:** 16/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q84384108

Ticker: SVW

Shares Voted: 107,107

| Proposal<br>Number | Proposal Text                                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Elect Kerry Stokes as Director                   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Chris Mackay as Director                   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Warwick Smith as Director                  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report                      | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Grant of Share Rights to Ryan Stokes | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Superloop Limited**

**Meeting Date:** 18/11/2020 **Record Date:** 16/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8800A112

Ticker: SLC

Shares Voted: 582,495

| Proposal<br>Number | Proposal Text                           | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report             | Mgmt      | None     | Against              | Against             |
| 2                  | Elect Stephanie Lai as Director         | Mgmt      | For      | Against              | Against             |
| 3                  | Elect Vivian Stewart as Director        | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Drew Kelton as Director           | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Grant of Options to Drew Kelton | Mgmt      | None     | Against              | Against             |
| 6                  | Approve Grant of Options to Paul Tyler  | Mgmt      | None     | Against              | Against             |
|                    |   |           |          |                      |                     |

### The a2 Milk Company Limited

**Meeting Date:** 18/11/2020 **Record Date:** 16/11/2020

**Country:** New Zealand **Meeting Type:** Annual

Primary Security ID: Q2774Q104

Ticker: ATM

**Shares Voted:** 1,817,554

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Authorize Board to Fix Remuneration of the Auditors | Mgmt      | For      | For                  | For                 |
| 2                  | Elect David Hearn as Director                       | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Julia Hoare as Director                       | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Jesse Wu as Director                          | Mgmt      | For      | For                  | For                 |

### **Western Digital Corporation**

**Meeting Date:** 18/11/2020 **Record Date:** 21/09/2020

Country: USA Meeting Type: Annual **Primary Security ID:** 958102105

Ticker: WDC

Shares Voted: 21,966

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Kimberly E. Alexy | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Martin I. Cole    | Mgmt      | For      | For                  | For                 |

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## **Western Digital Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1c                 | Elect Director Kathleen A. Cote                                | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Tunc Doluca                                     | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director David V. Goeckeler                              | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Matthew E. Massengill                           | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Paula A. Price                                  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Stephanie A. Streeter                           | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |

### **Altium Limited**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q0268D100

Ticker: ALU

Shares Voted: 443,549

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report        | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Lynn Mickleburgh as Director | Mgmt      | For      | For                  | For                 |

#### **Arena REIT**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q0457C152

Ticker: ARF

Shares Voted: 193,807

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 2                  | Elect David Ross as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Past Issuance of Securities to Existing and New Institutional Investors | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Deferred STI Rights to Rob de Vos                             | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Arena REIT**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 5                  | Approve Grant of LTI Performance Rights to Rob de Vos    | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Grant of Deferred STI Rights to Gareth Winter    | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Grant of LTI Performance Rights to Gareth Winter | Mgmt      | For      | For                  | For                 |
| 8                  | Approve the Amendment of Constitutions                   | Mgmt      | For      | Against              | Against             |

## **Aventus Retail Property Fund**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q1R25C105

Ticker: AVN

Shares Voted: 115,594

| Proposal<br>Number | Proposal Text                          | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Elect Ray Itaoui as Director           | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report            | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Issuance of Restricted Stapled | Mgmt      | For      | For                  | For                 |

## **Bluescope Steel Limited**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q1415L177

Ticker: BSL

Shares Voted: 603,530

| Proposal<br>Number | Proposal Text                                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                    | Mgmt      | For      | Against              | Against             |
| 3a                 | Elect John Bevan as Director                   | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Penny Bingham-Hall as Director           | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect Rebecca Dee-Bradbury as Director         | Mgmt      | For      | For                  | For                 |
| 3d                 | Elect Jennifer Lambert as Director             | Mgmt      | For      | For                  | For                 |
| 3e                 | Elect Kathleen Conlon as Director              | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Share Rights to Mark Vassella | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Bluescope Steel Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 5                  | Approve Grant of Alignment Rights to Mark<br>Vassella | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Renewal of Proportional Takeover Provisions   | Mgmt      | For      | For                  | For                 |

## **Broadridge Financial Solutions, Inc.**

**Meeting Date:** 19/11/2020 **Record Date:** 24/09/2020

Country: USA

**Primary Security ID:** 11133T103

Meeting Type: Annual

Ticker: BR

Shares Voted: 8,824

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Leslie A. Brun                                  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Pamela L. Carter                                | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Richard J. Daly                                 | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Robert N. Duelks                                | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Timothy C. Gokey                                | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Brett A. Keller                                 | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Maura A. Markus                                 | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Thomas J. Perna                                 | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Alan J. Weber                                   | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Amit K. Zavery                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 4                  | Report on Political Contributions Disclosure                   | SH        | Against  | Against              | For                 |

## **Flexigroup Limited**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q39082104

Ticker: FXL

Reporting Period: 01/07/2020 to 30/06/2021

## **Flexigroup Limited**

Shares Voted: 86,977

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Christine Christian as Director                                  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve the Change of Company Name to humm Group Limited               | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Participation of Rebecca James in the Long Term Incentive Plan | Mgmt      | For      | For                  | For                 |

## **Goodman Group**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q4229W132

Ticker: GMG

**Shares Voted:** 3,049,266

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Appoint KPMG as Auditors of Goodman Logistics (HK) Limited and Authorize the Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 2a                 | Elect Stephen Johns as Director of Goodman Limited   | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Stephen Johns as Director of Goodman<br>Logistics (HK) Ltd   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Mark Johnson as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Performance Rights to Gregory Goodman  | Mgmt      | For      | Against              | Against             |
| 6                  | Approve Issuance of Performance Rights to Danny Peeters  | Mgmt      | For      | Against              | Against             |
| 7                  | Approve Issuance of Performance Rights to Anthony Rozic  | Mgmt      | For      | Against              | Against             |
|                    |  |           |          |                      |                     |

## **Growthpoint Properties Australia**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q4359J133

Ticker: GOZ

Reporting Period: 01/07/2020 to 30/06/2021

# **Growthpoint Properties Australia**

Shares Voted: 765,873

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Norbert Sasse as Director                                       | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Grant Jackson as Director                                       | Mgmt      | For      | Against              | Against             |
| 3c                 | Elect Josephine Sukkar as Director                                    | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of 49,732 Performance Rights to Timothy Collyer         | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of 243,161 Performance Rights to Timothy Collyer        | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Grant of 43,414 STI Performance<br>Rights to Timothy Collyer  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Grant of 101,306 STI Performance<br>Rights to Timothy Collyer | Mgmt      | For      | Against              | Against             |

### **IPH Limited**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q496B9100

Ticker: IPH

Shares Voted: 139,254

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Elect Richard Grellman as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Performance Rights to Andrew Blattman                     | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of Baldwins Acquisition<br>Shares to the Baldwin Vendors | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |

### **Mineral Resources Limited**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q60976109

Ticker: MIN

Reporting Period: 01/07/2020 to 30/06/2021

### **Mineral Resources Limited**

Shares Voted: 153,605

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Peter Wade as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect James McClements as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Susan (Susie) Corlett as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors | Mgmt      | None     | For                  | For                 |

### **Mirvac Group**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q62377108

Ticker: MGR

**Shares Voted:** 11,200,658

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2.1                | Elect Christine Nildra Bartlett as Director   | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Samantha Joy Mostyn as Director   | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Alan Robert Harold Sindel as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve the Participation of Susan<br>Lloyd-Hurwitz in the Mirvac Group Long Term<br>Performance Plan | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Amendments to the Constitution of Mirvac Property Trust                                   | Mgmt      | For      | Against              | Against             |

### **ResMed Inc.**

**Meeting Date:** 19/11/2020 **Record Date:** 22/09/2020

Country: USA

Meeting Type: Annual

**Primary Security ID:** 761152107

Ticker: RMD

**Shares Voted:** 1,215,000

| Proposal<br>Number |                                | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Karen Drexler   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Michael Farrell | Mamt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### ResMed Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

### **Seek Limited**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q8382E102

Ticker: SEK

**Shares Voted:** 1,354,822

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 3a                 | Elect Julie Fahey as Director  | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Vanessa Wallace as Director  | Mgmt      | For      | Against              | Against             |
| 3c                 | Elect Linda Kristjanson as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Renewal of Proportional Takeover Provisions  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Equity Right to Andrew Bassat   | Mgmt      | For      | Against              | Against             |
| 6                  | Approve Grant of Wealth Sharing Plan Options and Wealth Sharing Plan Rights to Andrew Bassat | Mgmt      | For      | Against              | Against             |
| 7                  | Approve Conditional Spill Resolution   | Mgmt      | Against  | Against              | Against             |
|                    |  |           |          |                      |                     |

## **The Citadel Group Limited**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q2R37N105

Ticker: CGL

Shares Voted: 79,621

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report        | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Peter Leahy as Director      | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Robert Alexander as Director | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Jayne Shaw as Director       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **The Citadel Group Limited**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 6a                 | Approve Issuance of 61,551 Share Rights to<br>Mark McConnell | Mgmt      | For      | For                  | For                 |
| 6b                 | Approve Issuance of 89,148 Share Rights to<br>Mark McConnell | Mgmt      | For      | For                  | For                 |
| 7                  | Approve the Increase in Non-Executive Directors' Fee Pool    | Mgmt      | None     | Against              | Against             |

# **Uniti Group Limited**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q93293100

Ticker: UWL

Shares Voted: 829,852

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report   | Mgmt      | For      | Against              | Against             |
| 2                  | Elect Kathryn Gramp as Director   | Mgmt      | For      | For                  | For                 |
| 3a                 | Ratify Past Issuance of Placement Shares to<br>New and Existing Sophisticated Investors | Mgmt      | For      | For                  | For                 |
| 3b                 | Ratify Past Issuance of 12.35 Million Shares to<br>Vendors of 1300 Holdings Pty Ltd     | Mgmt      | For      | For                  | For                 |
| 3c                 | Ratify Past Issuance of 140,550 Shares to<br>Vendors of Pivit Pty Ltd                   | Mgmt      | For      | For                  | For                 |
| <del>4</del> a     | Approve Issuance of Share Rights to Graeme Barclay                                      | Mgmt      | For      | Against              | Against             |
| 4b                 | Approve Issuance of Share Rights to Michael Simmons                                     | Mgmt      | For      | For                  | For                 |
| 4c                 | Approve Issuance of Share Rights to Vaughan Bowen                                       | Mgmt      | For      | For                  | For                 |
| 5a                 | Approve Issuance of Options to Graeme Barclay   | Mgmt      | For      | Against              | Against             |
| 5b                 | Approve Issuance of Options to Kathryn Gramp  | Mgmt      | For      | Against              | Against             |
| 5c                 | Approve Issuance of Options to John Lindsay   | Mgmt      | For      | Against              | Against             |
| 5d                 | Approve Issuance of Options to Vaughan Bowen  | Mgmt      | For      | Against              | Against             |
| 5e                 | Approve Issuance of Options to Michael Simmons  | Mgmt      | For      | Against              | Against             |
| 6                  | Approve the Increase in Non-Executive Directors' Fee Pool                               | Mgmt      | For      | Against              | Against             |
| 7                  | Approve the Amendments to the Company's Constitution                                    | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

## **Uniti Group Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 8                  | Approve Financial Assistance in Relation to the Acquisition of OptiComm Limited | Mgmt      | For      | For                  | For                 |

#### **Virtus Health Limited**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q945A0106

Ticker: VRT

Shares Voted: 18,055

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Sonia Petering as Director                     | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Kate Munnings | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Loyalty Shares to Lyndon Hale       | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Amendments to the Company's Constitution | Mgmt      | For      | For                  | For                 |

#### **Western Areas Ltd.**

**Meeting Date:** 19/11/2020 **Record Date:** 17/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q9618L100

Ticker: WSA

Shares Voted: 48,268

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Yasmin Broughton as Director                    | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Natalia Streltsova as Director                  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Timothy Netscher as Director                    | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report                           | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Performance Rights to Daniel Lougher | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Accent Group Limited**

**Meeting Date:** 20/11/2020 **Record Date:** 18/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q0R618101

Ticker: AX1

Shares Voted: 40,939

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                               | Mgmt      | For      | Against              | Against             |
| 3a                 | Elect Michael Hapgood as Director                         | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Joshua Lowcock as Director                          | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Daniel Agostinelli | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Amendments to the Company's Constitution      | Mgmt      | For      | Against              | Against             |
| 6                  | Approve the Spill Resolution                              | Mgmt      | Against  | Against              | Against             |

#### **CNOOC Limited**

**Meeting Date:** 20/11/2020 **Record Date:** 13/11/2020

**Country:** Hong Kong **Meeting Type:** Special

**Primary Security ID:** Y1662W117

Ticker: 883

**Shares Voted:** 2,686,199

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Supplemental Agreement and<br>Amendments to the Existing Non-Compete<br>Undertaking and Related Transactions | Mgmt      | For      | For                  | For                 |

# **Kogan.com Limited**

**Meeting Date:** 20/11/2020 **Record Date:** 18/11/2020

**Country:** Australia **Meeting Type:** Annual Primary Security ID: Q53502102

Ticker: KGN

Shares Voted: 146,285

| Proposal<br>Number | Proposal Text                            | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report              | Mgmt      | For      | Against              | Against             |
| 3                  | Elect Harry Debney as Director           | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Stephen Mayne as Director          | SH        | Against  | For                  | For                 |
| 5.1                | Approve Grant of Options to Ruslan Kogan | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

### **Kogan.com Limited**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 5.2                | Approve Grant of Options to David Shafer                                   | Mgmt      | For      | Against              | Against             |
| 6                  | Ratify Past Issuance of Shares to New and Existing Institutional Investors | Mgmt      | For      | For                  | For                 |

## **Lendlease Group**

**Meeting Date:** 20/11/2020 **Record Date:** 18/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q55368114

Ticker: LLC

Shares Voted: 650,434

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Robert Welanetz as Director                        | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Philip Coffey as Director                          | Mgmt      | For      | Against              | Against             |
| 2c                 | Elect Jane Hemstritch as Director                        | Mgmt      | For      | Against              | Against             |
| 3                  | Approve Remuneration Report                              | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Issuance of Performance Rights to Stephen McCann | Mgmt      | For      | Against              | Against             |

### **Platinum Asset Management Ltd.**

**Meeting Date:** 20/11/2020 **Record Date:** 18/11/2020

Country: Australia
Meeting Type: Annual

**Primary Security ID:** Q7587R108

Ticker: PTM

Shares Voted: 257,973

| Proposal<br>Number | Proposal Text                                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Guy Strapp as Director                    | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Stephen Menzies as Director               | Mgmt      | For      | For                  | For                 |
| 3                  | Appoint Ernst & Young as Auditor of the Company | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report                     | Mgmt      | For      | For                  | For                 |

### **Silver Lake Resources Limited**

**Meeting Date:** 20/11/2020 **Record Date:** 18/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q85014100

Ticker: SLR

Reporting Period: 01/07/2020 to 30/06/2021

### **Silver Lake Resources Limited**

Shares Voted: 715,327

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report       | Mgmt      | For      | For                  | For                 |
| 2                  | Elect David Quinlivan as Director | Mgmt      | For      | For                  | For                 |

# **Westgold Resources Limited**

**Meeting Date:** 20/11/2020 **Record Date:** 18/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q97159232

Ticker: WGX

Shares Voted: 35,216

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                               | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Wayne Bramwell as Director                          | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Peter Cook as Director                              | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Fiona Van Maanen as Director                        | Mgmt      | For      | Against              | Against             |
| 5                  | Elect Peter Schwann as Director                           | Mgmt      | For      | For                  | For                 |
| 6                  | Ratify Past Issuance of Shares to Institutional Investors | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Employee Share and Option Plan                    | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Grant of Options (ZEPO) to Peter Cook             | Mgmt      | For      | Against              | Against             |
| 9                  | Approve the Grant of Performance Rights to Peter Cook     | Mgmt      | For      | Against              | Against             |

#### **Bubs Australia Ltd.**

**Meeting Date:** 23/11/2020 **Record Date:** 21/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q186BF103

Ticker: BUB

Shares Voted: 859,614

| Proposal<br>Number | Proposal Text                | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 2                  | Elect Dennis Lin as Director | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Bubs Australia Ltd.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Ratify Past Issuance of 31.58 Million Shares to<br>Institutional, Professional and Sophisticated<br>Clients of the Lead Manager | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Past Issuance of 35.37 Million Shares to<br>Institutional, Professional and Sophisticated<br>Clients of the Lead Manager | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of Shares to Eligible Shareholders   | Mgmt      | For      | For                  | For                 |
| 6                  | Ratify Past Issuance of Shares to CW Retail<br>Services Pty Ltd   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Issuance of Options to Kristy Carr  | Mgmt      | For      | Against              | Against             |

## **Whispir Limited**

**Meeting Date:** 23/11/2020 **Record Date:** 21/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q9764B104

Ticker: WSP

Shares Voted: 64,882

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                            | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Brendan Fleiter as Director                      | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Sara La Mela as Director                         | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Performance Rights to Jeromy Wells | Mgmt      | For      | For                  | For                 |

## **AVEVA Group Plc**

**Meeting Date:** 24/11/2020 **Record Date:** 20/11/2020

**Country:** United Kingdom **Meeting Type:** Special

**Primary Security ID:** G06812120

Ticker: AVV

Shares Voted: 4,406

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Matters Relating to the Acquisition of OSIsoft, LLC | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Bravura Solutions Limited**

Meeting Date: 24/11/2020 **Record Date: 22/11/2020** 

Country: Australia Meeting Type: Annual **Primary Security ID:** Q17548167

Ticker: BVS

Shares Voted: 468,950

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                           | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Alexa Henderson as Director                     | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Libby Roy as Director                           | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Performance Rights to Tony Klim   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Performance Rights to Martin Deda | Mgmt      | For      | For                  | For                 |

### **Brickworks Limited**

Meeting Date: 24/11/2020 **Record Date: 22/11/2020** 

Country: Australia Meeting Type: Annual Primary Security ID: Q17659105

Ticker: BKW

Shares Voted: 99,565

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                              | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Grant of Performance Rights to Lindsay Partridge | Mgmt      | For      | For                  | For                 |
| <b>4</b> a         | Elect Deborah R. Page as Director                        | Mgmt      | For      | For                  | For                 |
| 4b                 | Elect Robyn N. Stubbs as Director                        | Mgmt      | For      | For                  | For                 |

## **Mayne Pharma Group Limited**

Meeting Date: 24/11/2020 **Record Date: 22/11/2020** 

Country: Australia Meeting Type: Annual Primary Security ID: Q5857C108

Ticker: MYX

Shares Voted: 615,822

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Elect Roger Corbett as Director | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Nancy Dolan as Director   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Mayne Pharma Group Limited**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Shares under Executive<br>Share Loan Scheme and Performance Rights<br>under Employee Rights and Option Plan to Scott<br>Richards | Mgmt      | For      | For                  | For                 |

#### Mesoblast Ltd.

**Meeting Date:** 24/11/2020 **Record Date:** 22/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q6005U107

Ticker: MSB

**Shares Voted:** 1,251,227

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Donal O'Dwyer as Director   | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Issuance of LTI Options to Silviu Itescu                              | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of Shares to Existing and<br>New Institutional Investors | Mgmt      | For      | For                  | For                 |

### MobileIron, Inc.

**Meeting Date:** 24/11/2020 **Record Date:** 23/10/2020

Country: USA

Meeting Type: Special

Primary Security ID: 60739U204

Ticker: MOBL

Shares Voted: 90,540

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Merger Agreement           | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote on Golden Parachutes | Mgmt      | For      | For                  | For                 |
| 3                  | Adjourn Meeting                    | Mgmt      | For      | For                  | For                 |

## **Monadelphous Group Limited**

**Meeting Date:** 24/11/2020 **Record Date:** 22/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q62925104

Ticker: MND

Reporting Period: 01/07/2020 to 30/06/2021

# **Monadelphous Group Limited**

Shares Voted: 85,847

| Proposal<br>Number | Proposal Text                               | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Peter Dempsey as Director             | Mgmt      | For      | Against              | Against             |
| 2                  | Elect Helen Gillies as Director             | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Grant of Options to Robert Velletri | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report                 | Mgmt      | For      | For                  | For                 |

### **Nanosonics Ltd.**

**Meeting Date:** 24/11/2020 **Record Date:** 22/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q6499K102

Ticker: NAN

Shares Voted: 675,359

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Steve Sargent as Director   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Marie McDonald as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Lisa McIntyre as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Performance Rights to<br>Michael Kavanagh Under the Short Term<br>Incentive Scheme                        | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Share Appreciation Rights and Performance Rights to Michael Kavanagh Under the Long Term Incentive Scheme | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Renewal of Proportional Takeover<br>Provisions in Constitution  | Mgmt      | For      | For                  | For                 |

## **Ramsay Health Care Limited**

**Meeting Date:** 24/11/2020 **Record Date:** 22/11/2020

Country: Australia
Meeting Type: Annual

**Primary Security ID:** Q7982Y104

Ticker: RHC

Shares Voted: 510,199

| Proposal<br>Number | Proposal Text               | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report | Mamt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Ramsay Health Care Limited**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3.1                | Elect Michael Stanley Siddle as Director             | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Karen Lee Collett Penrose as Director          | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Craig McNally | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Non-Executive Director Share Rights Plan     | Mgmt      | None     | For                  | For                 |
| 6                  | Approve the Spill Resolution                         | Mgmt      | Against  | Against              | Against             |

## **Beach Energy Limited**

**Meeting Date:** 25/11/2020 **Record Date:** 23/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q13921103

Ticker: BPT

**Shares Voted:** 1,609,553

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Glenn Davis as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Richard Richards as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Securities to Matthew Kay<br>Under the Beach 2019 Short Term Incentive<br>Offer | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Securities to Matthew Kay<br>Under the Beach 2020 Long Term Incentive<br>Offer  | Mgmt      | For      | For                  | For                 |
| 6a                 | Approve the Amendments to the Company's Constitution  | SH        | Against  | Against              | Against             |
| 6b                 | Approve Capital Protection  | SH        | Against  | Against              | Against             |

# **BigTinCan Holdings Limited**

**Meeting Date:** 25/11/2020 **Record Date:** 23/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q1498V100

Ticker: BTH

Shares Voted: 451,739

| Proposal<br>Number |                             | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report | Mamt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **BigTinCan Holdings Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Wayne Stevenson as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Past Issuance of Shares to Zunos Vendors   | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Past Issuance of Shares to Existing and<br>New Institutional and Sophisticated Investors | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Increase in Aggregate Remuneration for Non-Executive Directors                      | Mgmt      | For      | Against              | Against             |
| 6                  | Approve Bigtincan Holdings Limited Rights Plan  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Grant of Performance Share<br>Appreciation Rights to David Keane                        | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Bigtincan Holdings Limited NED Equity Plan  | Mgmt      | None     | For                  | For                 |

# Fletcher Building Ltd.

**Meeting Date:** 25/11/2020 **Record Date:** 23/11/2020

**Country:** New Zealand **Meeting Type:** Annual

Primary Security ID: Q3915B105

Ticker: FBU

**Shares Voted:** 2,272,268

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Martin Brydon as Director                     | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Barbara Chapman as Director                   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Bruce Hassall as Director                     | Mgmt      | For      | For                  | For                 |
| 4                  | Authorize Board to Fix Remuneration of the Auditors | Mgmt      | For      | For                  | For                 |

# **Harvey Norman Holdings Limited**

**Meeting Date:** 25/11/2020 **Record Date:** 23/11/2020

**Country:** Australia **Meeting Type:** Annual **Primary Security ID:** Q4525E117

Ticker: HVN

Shares Voted: 526,890

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report     | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Gerald Harvey as Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Harvey Norman Holdings Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4                  | Elect Chris Mentis as Director  | Mgmt      | For      | Against              | Against             |
| 5                  | Elect Luisa Catanzaro as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Amendments to the Company's Constitution Re: Virtual General Meetings | Mgmt      | For      | Against              | Against             |
| 7                  | Approve the Amendments to the Company's Constitution Re: Small Holding            | Mgmt      | For      | For                  | For                 |
| 8                  | Approve the Amendments to the Company's Constitution Re: Uncontactable Members    | Mgmt      | For      | For                  | For                 |
| 14                 | Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors | Mgmt      | For      | For                  | For                 |

## **Integrated Research Limited**

**Meeting Date:** 25/11/2020 **Record Date:** 23/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q49372107

Ticker: IRI

Shares Voted: 351,255

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Issuance of Performance Rights to John Ruthven                               | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Anne Myers as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve the Increase in Maximum Aggregate<br>Remuneration of Non-Executive Directors | Mgmt      | For      | For                  | For                 |

## **IOOF Holdings Ltd.**

**Meeting Date:** 25/11/2020 **Record Date:** 23/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q49809108

Ticker: IFL

Shares Voted: 401,311

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 2a                 | Elect John Selak as Director      | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Elizabeth Flynn as Director | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **IOOF Holdings Ltd.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 4                  | Approve Grant of Performance Rights to Renato Mota                   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Financial Assistance in Relation to the Acquisition | Mgmt      | For      | For                  | For                 |

### **Kathmandu Holdings Ltd.**

**Meeting Date:** 25/11/2020 **Record Date:** 23/11/2020

Country: New Zealand Meeting Type: Annual

**Primary Security ID:** Q5213W103

Ticker: KMD

**Shares Voted:** 1,263,438

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect John Harvey as Director                       | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Philip Bowman as Director                     | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Brent Scrimshaw as Director                   | Mgmt      | For      | Against              | Against             |
| 4                  | Authorize Board to Fix Remuneration of the Auditors | Mgmt      | For      | For                  | For                 |

#### **Northern Star Resources Limited**

**Meeting Date:** 25/11/2020 **Record Date:** 23/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q6951U101

Ticker: NST

Shares Voted: 691,723

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve FY20 Share Plan  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Issuance of Performance Rights to Bill Beament                       | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Peter O'Connor as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Increase in Aggregate<br>Remuneration of Non-Executive Directors | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Performance Rights to Raleigh Finlayson                  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Pro Medicus Limited**

**Meeting Date:** 25/11/2020 **Record Date:** 23/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q77301101

Ticker: PME

Shares Voted: 179,376

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Deena Shiff as Director  | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Anthony Hall as Director   | Mgmt      | For      | Against              | Against             |
| 3c                 | Elect Leigh Farrell as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve the Increase in Maximum Aggregate<br>Remuneration of Non-Executive Directors | Mgmt      | For      | For                  | For                 |

## **Regis Resources Limited**

**Meeting Date:** 25/11/2020 **Record Date:** 23/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8059N120

Ticker: RRL

Shares Voted: 511,650

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Lynda Burnett as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Russell Barwick as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect James Mactier as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Long Term Incentive<br>Performance Rights to Jim Beyer  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Grant of Short Term Incentive<br>Performance Rights to Jim Beyer | Mgmt      | For      | For                  | For                 |
| 7                  | Adopt New Constitution   | Mgmt      | For      | Against              | Against             |
| 8                  | Approve Proportional Takeover Provisions                                 | Mgmt      | For      | For                  | For                 |

## **Shopping Centres Australasia Property Group RE Ltd.**

**Meeting Date:** 25/11/2020 **Record Date:** 23/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q8501T105

Ticker: SCP

Reporting Period: 01/07/2020 to 30/06/2021

# **Shopping Centres Australasia Property Group RE Ltd.**

Shares Voted: 851,792

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Philip Marcus Clark as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Issuance of Long Term Incentive Rights to Anthony Mellowes  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Long Term Incentive Rights to Mark Fleming  | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of Stapled Units to<br>Institutional and Professional Investors                        | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Amendments to the SCA Retail<br>Trust Constitution and the SCA Management<br>Trust Constitution | Mgmt      | For      | Against              | Against             |

# **Evolution Mining Limited**

**Meeting Date:** 26/11/2020 **Record Date:** 24/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q3647R147

Ticker: EVN

**Shares Voted:** 1,545,702

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | None     | For                  | For                 |
| 2                  | Approve the Spill Resolution                                       | Mgmt      | None     | Against              | Against             |
| 3                  | Elect Jason Attew as Director                                      | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Peter Smith as Director                                      | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Victoria (Vicky) Binns as Director                           | Mgmt      | For      | For                  | For                 |
| 6                  | Elect James (Jim) Askew as Director                                | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Thomas (Tommy) McKeith as Director                           | Mgmt      | For      | Against              | Against             |
| 8                  | Elect Andrea Hall as Director                                      | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Issuance of Performance Rights to Jacob (Jake) Klein       | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Issuance of Performance Rights to Lawrence (Lawrie) Conway | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Employee Share Option and<br>Performance Rights Plan       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Hansen Technologies Limited**

**Meeting Date:** 26/11/2020 **Record Date:** 24/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q4474Z103

Ticker: HSN

Shares Voted: 207,198

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 2                  | Elect David Trude as Director                        | Mgmt      | For      | For                  | For                 |
| 3                  | Elect David Howell as Director                       | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Don Rankin as Director                         | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Performance Rights to Andrew Hansen | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Performance Rights Plan                      | Mgmt      | None     | For                  | For                 |
| 7                  | Approve Replacement of Constitution                  | Mgmt      | For      | Against              | Against             |

## **Lynas Corporation Limited**

**Meeting Date:** 26/11/2020 **Record Date:** 24/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q5683J210

Ticker: LYC

Shares Voted: 760,149

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect John Humphrey as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Grant Murdoch as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Vanessa Guthrie as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Lynas Corporation Limited<br>Performance Rights Plan   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Performance Rights to Amanda Lacaze  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Change of Company Name to Lynas<br>Rare Earths Limited and Amend Constitution to<br>Reflect Change in Company Name | Mgmt      | For      | For                  | For                 |

## **NRW Holdings Limited**

**Meeting Date:** 26/11/2020 **Record Date:** 24/11/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q6951V109

Ticker: NWH

Reporting Period: 01/07/2020 to 30/06/2021

## **NRW Holdings Limited**

Shares Voted: 383,636

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Elect Michael Arnett as Director | Mgmt      | For      | Against              | Against             |
| 2                  | Elect Fiona Murdoch as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report      | Mgmt      | None     | Against              | Against             |

### **PainChek Limited**

**Meeting Date:** 26/11/2020 **Record Date:** 24/11/2020

Country: Australia
Meeting Type: Annual

**Primary Security ID:** Q7S247103

Ticker: PCK

**Shares Voted:** 1,206,509

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Ross Harricks as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve the Issuance of Up to 10 Percent of the Company's Issued Capital   | Mgmt      | For      | Against              | Against             |
| 4                  | Ratify Past Issuance of Shares to Professional and Sophisticated Investors | Mgmt      | For      | For                  | For                 |

## **Perseus Mining Limited**

**Meeting Date:** 26/11/2020 **Record Date:** 24/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q74174105

Ticker: PRU

**Shares Voted:** 1,181,057

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                                    | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Sean Harvey as Director                                  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect John McGloin as Director                                 | Mgmt      | For      | Against              | Against             |
| 4                  | Elect Elissa Brown as Director                                 | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Renewal of Performance Rights Plan                     | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Performance Rights to Jeffrey Quartermaine | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Perseus Mining Limited**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 7                  | Approve the Amendments to the Company's Constitution | Mgmt      | For      | For                  | For                 |

## **Qube Holdings Limited**

**Meeting Date:** 26/11/2020 **Record Date:** 24/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q7834B112

Ticker: QUB

**Shares Voted:** 1,298,659

| Proposal<br>Number | Proposal Text   | Proponent  | Mgmt Rec      | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|------------|---------------|----------------------|---------------------|
|                    | Toposul Text  | - roponent | - Inglite Nee | · oney rece          | 1150 400011         |
| 1                  | Elect Jacqueline McArthur as Director                       | Mgmt       | For           | For                  | For                 |
| 2                  | Elect Nicole Hollows as Director                            | Mgmt       | For           | For                  | For                 |
| 3                  | Approve Remuneration Report                                 | Mgmt       | For           | Against              | Against             |
| 4                  | Approve Grant of Share Appreciation Rights to Maurice James | Mgmt       | For           | For                  | For                 |
| 5                  | Approve Qube Long Term Incentive (SAR) Plan                 | Mgmt       | For           | For                  | For                 |
| 6                  | Approve the Amendments to the Company's Constitution        | Mgmt       | For           | Against              | Against             |
| 7                  | Approve Reinsertion of Proportional Takeover Provisions     | Mgmt       | For           | For                  | For                 |

## **Ramelius Resources Limited**

**Meeting Date:** 26/11/2020 **Record Date:** 24/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q7982E108

Ticker: RMS

Shares Voted: 596,921

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                                    | Mgmt      | For      | For                  | For                 |
| 2                  | Elect David Clifford Southam as Director                       | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Grant of Performance Rights to Mark<br>William Zeptner | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **ResApp Health Limited**

**Meeting Date:** 26/11/2020 **Record Date:** 24/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8085W102

Ticker: RAP

Shares Voted: 912,058

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Chris Ntoumenopoulos as Director                                   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Michael Stein as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Past Issuance of Shares to Exempt Investors                       | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of Shares to Avanti Med Limited                     | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Director Incentive Options to Michael Stein          | Mgmt      | For      | Against              | Against             |
| 7                  | Approve the Issuance of Up to 10 Percent of the Company's Issued Capital | Mgmt      | For      | For                  | For                 |
| 8                  | Appoint Ernst & Young as Auditor of the Company                          | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Replacement of Constitution                                      | Mgmt      | For      | For                  | For                 |

## **Rhipe Limited**

**Meeting Date:** 26/11/2020 **Record Date:** 24/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8137K106

Ticker: RHP

Shares Voted: 174,584

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Dawn Edmonds as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Michael Tierney as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of Shares to Institutional and Sophisticated Investors | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Shares to Participating Directors                       | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Rhipe Limited Performance Rights Plan Rules                         | Mgmt      | For      | Against              | Against             |
| 8                  | Approve Issuance of Performance Rights to Dominic O'Hanlon                  | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

### **Wisetech Global Limited**

**Meeting Date:** 26/11/2020 **Record Date:** 24/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q98056106

Ticker: WTC

Shares Voted: 528,345

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                              | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Maree Isaacs as Director                           | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Arlene Tansey as Director                          | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Equity Incentives Plan                           | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Grant of Share Rights to Non-Executive Directors | Mgmt      | For      | For                  | For                 |

#### **EnviroSuite Limited**

**Meeting Date:** 27/11/2020 **Record Date:** 24/11/2020

Country: Australia
Meeting Type: Annual

**Primary Security ID:** Q3541Y107

Ticker: EVS

**Shares Voted:** 1,306,149

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                           | Mgmt      | For      | Against              | Against             |
| 2                  | Elect David Johnstone as Director                     | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Grant of Options to Susan Klose               | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Issuance of Performance Rights to Peter White | Mgmt      | For      | Against              | Against             |
| 5                  | Approve New Equity Plan                               | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Amendments to the Company's Constitution  | Mgmt      | For      | Against              | Against             |

## **Omni Bridgeway Limited**

**Meeting Date:** 27/11/2020 **Record Date:** 25/11/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q7128A101

Ticker: OBL

Reporting Period: 01/07/2020 to 30/06/2021

## **Omni Bridgeway Limited**

Shares Voted: 659,865

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Hugh McLernon as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Karen Phin as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Raymond van Hulst as Director                                      | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Tranche 1 Deferred Consideration Shares to Sellers   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Tranche 1 Additional Consideration Shares to Sellers | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Issuance of Performance Rights to Raymond van Hulst              | Mgmt      | For      | For                  | For                 |
| 8                  | Approve the Deed of Indemnity, Insurance and Access                      | Mgmt      | For      | For                  | For                 |

### **Sandfire Resources Ltd.**

**Meeting Date:** 27/11/2020 **Record Date:** 25/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q82191109

Ticker: SFR

Shares Voted: 110,913

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                              | Mgmt      | For      | Against              | Against             |
| 2                  | Elect Sally Langer as Director                           | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Derek La Ferla as Director                         | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Roric Smith as Director                            | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Sandfire Resources Limited Equity Incentive Plan | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Grant of ZEPOs to Karl Simich                    | Mgmt      | For      | Against              | Against             |

#### **Atomos Limited**

**Meeting Date:** 30/11/2020 **Record Date:** 28/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q0611M104

Ticker: AMS

Reporting Period: 01/07/2020 to 30/06/2021

## **Atomos Limited**

Shares Voted: 246,147

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Christopher Tait as Director   | Mgmt      | For      | Against              | Against             |
| 3A                 | Ratify Past Issuance of Shares to Professional and Sophisticated Investors | Mgmt      | For      | For                  | For                 |
| 3B                 | Ratify Past Issuance of 29,628 Shares to Neil Chatfield                    | Mgmt      | For      | For                  | For                 |
| 3C                 | Ratify Past Issuance of 44,329 Shares to Neil<br>Chatfield                 | Mgmt      | For      | For                  | For                 |
| 3D                 | Ratify Past Issuance of 15,477 Shares to Neil Chatfield                    | Mgmt      | For      | For                  | For                 |
| 4A                 | Approve Issuance of Options to Jeromy Young                                | Mgmt      | None     | Against              | Against             |
| 4B                 | Approve Issuance of Performance Rights to Jeromy Young                     | Mgmt      | None     | For                  | For                 |
| 4C                 | Approve Issuance of Performance Rights to Christopher Tait                 | Mgmt      | None     | For                  | For                 |
| 4D                 | Approve Issuance of Performance Rights to Stephen Stanley                  | Mgmt      | None     | Against              | Against             |
| 4E                 | Approve Issuance of Performance Rights to Hossein Yassaie                  | Mgmt      | None     | Against              | Against             |

## **Dubber Corp. Ltd.**

**Meeting Date:** 30/11/2020 **Record Date:** 28/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q3288G111

Ticker: DUB

Shares Voted: 273,575

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 2                  | Elect Peter Clare as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve the Issuance of Up to 10 Percent of the Company's Issued Capital                 | Mgmt      | For      | Against              | Against             |
| 4                  | Ratify Past Issuance of 15 Million Shares to<br>Professional and Sophisticated Investors | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Shares to Stephen McGovern   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Shares to Peter<br>Pawlowitsch                                       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Dubber Corp. Ltd.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 7                  | Ratify Past Issuance of Shares to CallN Pty Ltd   | Mgmt      | For      | For                  | For                 |
| 8                  | Ratify Past Issuance of 27.59 Million Shares to<br>Professional and Sophisticated Investors | Mgmt      | For      | For                  | For                 |
| 9                  | Ratify Past Issuance of 4.23 Million Shares to<br>Professional and Sophisticated Investors  | Mgmt      | For      | For                  | For                 |
| 10                 | Approve 2020 Dubber Employee Incentive Plan   | Mgmt      | For      | Against              | Against             |
| 11                 | Approve Grant of ZEPOs to Stephen McGovern  | Mgmt      | For      | Against              | Against             |
| 12                 | Approve Grant of ZEPOs to Peter Pawlowitsch   | Mgmt      | For      | Against              | Against             |

## **LiveTiles Limited**

**Meeting Date:** 30/11/2020 **Record Date:** 28/11/2020

Country: Australia
Meeting Type: Annual

**Primary Security ID:** Q55815106

Ticker: LVT

**Shares Voted:** 830,811

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 2                  | Elect Dana Rasmussen as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Marc Stigter as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Appoint BDO Audit Pty Ltd as Auditor of the Company                      | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Issuance of Up to 10 Percent of the Company's Issued Capital | Mgmt      | For      | Against              | Against             |
| 6                  | Ratify Past Issuance of CYCL Shares to CYCL Vendors                      | Mgmt      | For      | For                  | For                 |
| 7                  | Ratify Past Issuance of Wizdom Shares to Wizdom Vendors                  | Mgmt      | For      | For                  | For                 |
| 8                  | Ratify Past Issuance of MIP Shares to<br>Employees of the Company        | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Issuance of CY2020 Earn-out Shares to CYCL Vendors               | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Management Incentive Plan  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Mach7 Technologies Limited**

**Meeting Date:** 30/11/2020 **Record Date:** 28/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q5692C107

Ticker: M7T

Shares Voted: 308,384

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2A                 | Elect Robert Bazzani as Director   | Mgmt      | For      | For                  | For                 |
| 2B                 | Elect Eliot Siegel as Director   | Mgmt      | For      | For                  | For                 |
| 3A                 | Approve Issuance of Performance Rights to Michael Lampron                | Mgmt      | None     | For                  | For                 |
| 3B                 | Approve Issuance of Options to David Chambers                            | Mgmt      | None     | Against              | Against             |
| 3C                 | Approve Issuance of Options to Eliot Siegel                              | Mgmt      | None     | Against              | Against             |
| 3D                 | Approve Issuance of Options to Robert Bazzani                            | Mgmt      | None     | Against              | Against             |
| 3E                 | Approve Issuance of Shares to David Chambers                             | Mgmt      | None     | For                  | For                 |
| 3F                 | Approve Issuance of Shares to Eliot Siegel                               | Mgmt      | None     | For                  | For                 |
| 3G                 | Approve Issuance of Shares to Robert Bazzani                             | Mgmt      | None     | For                  | For                 |
| 4                  | Approve Long Term Incentive Plan   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Issuance of Up to 10 Percent of the Company's Issued Capital | Mgmt      | For      | Against              | Against             |

## **People Infrastructure Ltd**

**Meeting Date:** 30/11/2020 **Record Date:** 28/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q73809107

Ticker: PPE

Shares Voted: 115,594

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Elect Declan Sherman as Director   | Mgmt      | For      | Against              | Against             |
| 2                  | Approve Remuneration Report  | Mgmt      | None     | For                  | For                 |
| 3                  | Approve Renewal of Proportional Takeover Provisions                      | Mgmt      | For      | For                  | For                 |
| 4                  | Approve the Issuance of Up to 10 Percent of the Company's Issued Capital | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

### **Petroleo Brasileiro SA**

Meeting Date: 30/11/2020

**Record Date:** 

Country: Brazil

Meeting Type: Special

**Primary Security ID:** P78331140

Ticker: PETR4

Shares Voted: 663,500

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Amend Articles and Consolidate Bylaws  | Mgmt      | For      | Against              | Against             |
| 2                  | In the Event of a Second Call, the Voting<br>Instructions Contained in this Remote Voting<br>Card May Also be Considered for the Second<br>Call? | Mgmt      | None     | For                  | For                 |

## **Zip Co Limited**

**Meeting Date:** 30/11/2020 **Record Date:** 28/11/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q9899L134

Ticker: Z1P

Shares Voted: 222,363

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                             | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Peter Gray as Director                            | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Pippa Downes as Director                          | Mgmt      | For      | For                  | For                 |
| 4                  | Approve the Adoption of a New Constitution              | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Issuance of Shares to Larry Diamond             | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Shares to Peter Gray                | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Issuance of Performance Rights to Larry Diamond | Mgmt      | For      | Against              | Against             |
| 8                  | Approve Issuance of Performance Rights to Peter Gray    | Mgmt      | For      | Against              | Against             |

## **The Citadel Group Limited**

**Meeting Date:** 01/12/2020 **Record Date:** 29/11/2020

**Country:** Australia **Meeting Type:** Court

Primary Security ID: Q2R37N105

Ticker: CGL

Reporting Period: 01/07/2020 to 30/06/2021

## **The Citadel Group Limited**

Shares Voted: 84,925

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Scheme of Arrangement in Relation to<br>the Proposed Acquisition of the Company by<br>Pacific Group Bidco Pty Ltd | Mgmt      | For      | For                  | For                 |

### CaixaBank SA

Meeting Date: 02/12/2020 **Record Date:** 27/11/2020

Country: Spain

**Primary Security ID:** E2427M123

Meeting Type: Special

Ticker: CABK

Shares Voted: 325,654

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Company's Balance Sheet as of June 30, 2020        | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Merger by Absorption of Bankia SA                  | Mgmt      | For      | For                  | For                 |
| 3.1                | Elect Jose Ignacio Goirigolzarri Tellaeche as<br>Director  | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Joaquin Ayuso Garcia as Director                     | Mgmt      | For      | For                  | For                 |
| 3.3                | Elect Francisco Javier Campo Garcia as Director            | Mgmt      | For      | For                  | For                 |
| 3.4                | Elect Eva Castillo Sanz as Director                        | Mgmt      | For      | For                  | For                 |
| 3.5                | Elect Teresa Santero Quintilla as Director                 | Mgmt      | For      | For                  | For                 |
| 3.6                | Elect Fernando Maria Costa Duarte Ulrich as Director       | Mgmt      | For      | For                  | For                 |
| 4                  | Authorize Board to Ratify and Execute Approved Resolutions | Mgmt      | For      | For                  | For                 |

## **Microsoft Corporation**

Meeting Date: 02/12/2020 **Record Date:** 08/10/2020

Country: USA Meeting Type: Annual Primary Security ID: 594918104

Ticker: MSFT

Shares Voted: 444,715

| Proposa<br>Number |                                | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|-------------------|--------------------------------|-----------|----------|----------------------|---------------------|
| 1.1               | Elect Director Reid G. Hoffman | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Microsoft Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.2                | Elect Director Hugh F. Johnston                                | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Teri L. List-Stoll                              | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Satya Nadella                                   | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Sandra E. Peterson                              | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Penny S. Pritzker                               | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Charles W. Scharf                               | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Arne M. Sorenson                                | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director John W. Stanton                                 | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director John W. Thompson                                | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Emma N. Walmsley                                | Mgmt      | For      | For                  | For                 |
| 1.12               | Elect Director Padmasree Warrior                               | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 4                  | Report on Employee Representation on the Board of Directors    | SH        | Against  | Against              | Against             |

## **B&M European Value Retail SA**

**Meeting Date:** 03/12/2020 **Record Date:** 19/11/2020

**Country:** Luxembourg **Meeting Type:** Special

**Primary Security ID:** L1175H106

Ticker: BME

Shares Voted: 57,474

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Amend Article 5, 6, 9 24, and 28 of the Articles of Association  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Dematerialisation of Shares  | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Article 8 Re: Transparency Disclosures<br>for Acquisitions and Disposals of Shares Over<br>Certain Thresholds                  | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Article 35 Re: Insert Additional Article 35 to Include Provisions for Regulation of Takeovers, Squeeze-Out and Sell-Out Rights | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Ferguson Plc**

**Meeting Date:** 03/12/2020 **Record Date:** 01/12/2020

**Country:** Jersey **Meeting Type:** Annual

Primary Security ID: G3421J106

Ticker: FERG

Shares Voted: 15,139

| Proposal |  | _         |          | Voting     | Vote        |
|----------|--|-----------|----------|------------|-------------|
| Number   | Proposal Text  | Proponent | Mgmt Rec | Policy Rec | Instruction |
| 1        | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For        | For         |
| 2        | Approve Remuneration Report  | Mgmt      | For      | Against    | Against     |
| 3        | Approve Final Dividend   | Mgmt      | For      | For        | For         |
| 4        | Elect Bill Brundage as Director  | Mgmt      | For      | For        | For         |
| 5        | Re-elect Tessa Bamford as Director   | Mgmt      | For      | For        | For         |
| 6        | Re-elect Geoff Drabble as Director   | Mgmt      | For      | For        | For         |
| 7        | Re-elect Catherine Halligan as Director  | Mgmt      | For      | For        | For         |
| 8        | Re-elect Kevin Murphy as Director  | Mgmt      | For      | For        | For         |
| 9        | Re-elect Alan Murray as Director   | Mgmt      | For      | For        | For         |
| 10       | Re-elect Tom Schmitt as Director   | Mgmt      | For      | For        | For         |
| 11       | Re-elect Dr Nadia Shouraboura as Director  | Mgmt      | For      | For        | For         |
| 12       | Re-elect Jacqueline Simmonds as Director   | Mgmt      | For      | For        | For         |
| 13       | Reappoint Deloitte LLP as Auditors   | Mgmt      | For      | For        | For         |
| 14       | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For        | For         |
| 15       | Authorise EU Political Donations and Expenditure   | Mgmt      | For      | For        | For         |
| 16       | Authorise Issue of Equity  | Mgmt      | For      | For        | For         |
| 17       | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For        | For         |
| 18       | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For        | For         |
| 19       | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For        | For         |

### **Associated British Foods Plc**

**Meeting Date:** 04/12/2020 **Record Date:** 02/12/2020

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G05600138

Ticker: ABF

Reporting Period: 01/07/2020 to 30/06/2021

## **Associated British Foods Plc**

Shares Voted: 23,905

| Proposal |  |           |          | Voting     | Vote        |
|----------|--|-----------|----------|------------|-------------|
| Number   | Proposal Text  | Proponent | Mgmt Rec | Policy Rec | Instruction |
| 1        | Accept Financial Statements and Statutory Reports                    | Mgmt      | For      | For        | For         |
| 2        | Approve Remuneration Report  | Mgmt      | For      | For        | For         |
| 3        | Re-elect Emma Adamo as Director                                      | Mgmt      | For      | For        | For         |
| 4        | Re-elect Graham Allan as Director                                    | Mgmt      | For      | For        | For         |
| 5        | Re-elect John Bason as Director                                      | Mgmt      | For      | For        | For         |
| 6        | Re-elect Ruth Cairnie as Director                                    | Mgmt      | For      | For        | For         |
| 7        | Re-elect Wolfhart Hauser as Director                                 | Mgmt      | For      | For        | For         |
| 8        | Re-elect Michael McLintock as Director                               | Mgmt      | For      | For        | For         |
| 9        | Re-elect Richard Reid as Director                                    | Mgmt      | For      | For        | For         |
| 10       | Re-elect George Weston as Director                                   | Mgmt      | For      | For        | For         |
| 11       | Reappoint Ernst & Young LLP as Auditors                              | Mgmt      | For      | For        | For         |
| 12       | Authorise the Audit Committee to Fix Remuneration of Auditors        | Mgmt      | For      | For        | For         |
| 13       | Authorise Political Donations and Expenditure                        | Mgmt      | For      | For        | For         |
| 14       | Authorise Issue of Equity  | Mgmt      | For      | For        | For         |
| 15       | Authorise Issue of Equity without Pre-emptive Rights                 | Mgmt      | For      | For        | For         |
| 16       | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt      | For      | For        | For         |
| 17       | Adopt New Articles of Association                                    | Mgmt      | For      | For        | For         |

## Copart, Inc.

**Meeting Date:** 04/12/2020 **Record Date:** 12/10/2020

Country: USA Meeting Type: Annual **Primary Security ID:** 217204106

Ticker: CPRT

Shares Voted: 17,584

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Willis J. Johnson | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director A. Jayson Adair   | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Matt Blunt        | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# Copart, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.4                | Elect Director Steven D. Cohan                                 | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Daniel J. Englander                             | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director James E. Meeks                                  | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Thomas N. Tryforos                              | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Diane M. Morefield                              | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Stephen Fisher                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 3                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

# **De Grey Mining Ltd**

**Meeting Date:** 04/12/2020 **Record Date:** 02/12/2020

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q3147X115

Ticker: DEG

Shares Voted: 434,469

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Simon Lill as Director   | Mgmt      | For      | Against              | Against             |
| 3                  | Elect Andrew Beckwith as Director  | Mgmt      | For      | Against              | Against             |
| 4                  | Elect Glenn Jardine as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of Shares to Professional and Sophisticated Investors | Mgmt      | For      | For                  | For                 |
| 6                  | Appoint Ernst & Young as Auditor of the Company                            | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Incentive Performance Rights Plan                                  | Mgmt      | For      | Against              | Against             |
| 8                  | Approve Issuance of Performance Rights to Glenn Jardine                    | Mgmt      | For      | Against              | Against             |
| 9                  | Approve Issuance of Zero Exercise Price Options to Glenn Jardine           | Mgmt      | For      | Against              | Against             |
| 10                 | Approve Issuance of Zero Exercise Price Options to Andrew Beckwith         | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

## **Eagers Automotive Limited**

**Meeting Date:** 04/12/2020 **Record Date:** 02/12/2020

Country: Australia
Meeting Type: Special

Primary Security ID: Q3R14D102

Ticker: APE

Shares Voted: 120,401

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Benefit to Automotive<br>Properties Pty Ltd and APPL Properties Pty Ltd<br>as a Consequence of the Acquisition by<br>Associated Finance Pty Ltd | Mgmt      | For      | For                  | For                 |

### **Premier Investments Ltd.**

**Meeting Date:** 04/12/2020 **Record Date:** 02/12/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q7743D100

Ticker: PMV

Shares Voted: 78,859

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report      | Mgmt      | For      | Against              | Against             |
| 3a                 | Elect Solomon Lew as Director    | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Henry Lanzer as Director   | Mgmt      | For      | Against              | Against             |
| 3c                 | Elect Michael McLeod as Director | Mgmt      | For      | Against              | Against             |

## **Village Roadshow Limited**

**Meeting Date:** 07/12/2020 **Record Date:** 05/12/2020

**Country:** Australia **Meeting Type:** Court

Primary Security ID: Q94510106

Ticker: VRL

Shares Voted: 24,836

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Scheme of Arrangement Between<br>Village Roadshow Limited and Its Shareholders<br>in Relation to the Proposed Acquisition of Village<br>Roadshow Limited (Structure A Scheme) | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Village Roadshow Limited**

**Meeting Date:** 07/12/2020 **Record Date:** 05/12/2020

**Country:** Australia **Meeting Type:** Court

**Primary Security ID:** Q94510106

Ticker: VRL

Shares Voted: 24,836

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Scheme of Arrangement Between<br>Village Roadshow Limited and Its Shareholders<br>in Relation to the Proposed Acquisition of Village<br>Roadshow Limited (Structure B Scheme) | Mgmt      | For      | For                  | For                 |

# **Bank of Queensland Ltd.**

**Meeting Date:** 08/12/2020 **Record Date:** 06/12/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q12764116

Ticker: BOQ

**Shares Voted:** 1,471,679

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Bruce Carter as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Grant of Performance Shares and Premium Priced Options to George Frazis | Mgmt      | For      | For                  | For                 |
| 4                  | Approve the Amendments to the Company's Constitution                            | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |

## **GVC Holdings Plc**

**Meeting Date:** 09/12/2020 **Record Date:** 07/12/2020

**Country:** Isle of Man **Meeting Type:** Special

**Primary Security ID:** G427A6103

Ticker: GVC

Shares Voted: 40,208

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Change of Company Name to Entain plc; Adopt New Memorandum and Articles of Association | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## Palo Alto Networks, Inc.

**Meeting Date:** 09/12/2020 **Record Date:** 13/10/2020

Country: USA

Primary Security ID: 697435105

Ticker: PANW

Shares Voted: 32,683

Meeting Type: Annual

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Nikesh Arora                                    | Mgmt      | For      | Withhold             | Withhold            |
| 1b                 | Elect Director Carl Eschenbach                                 | Mgmt      | For      | Withhold             | Withhold            |
| 1c                 | Elect Director Lorraine Twohill                                | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |

## **Washington H. Soul Pattinson and Company Limited**

**Meeting Date:** 09/12/2020 **Record Date:** 07/12/2020

Country: Australia

**Primary Security ID:** Q85717108

Meeting Type: Annual

Ticker: SOL

Shares Voted: 133,020

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                             | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Josephine L Sukkar as Director                    | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Tiffany L Fuller as Director                      | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect Thomas CD Millner as Director                     | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Todd J<br>Barlow | Mgmt      | For      | For                  | For                 |

## Cisco Systems, Inc.

**Meeting Date:** 10/12/2020 **Record Date:** 12/10/2020

Country: USA

Meeting Type: Annual

**Primary Security ID:** 17275R102

Ticker: CSCO

Shares Voted: 596,498

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director M. Michele Burns | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Wesley G. Bush   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## Cisco Systems, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1c                 | Elect Director Michael D. Capellas                             | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Mark Garrett                                    | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Kristina M. Johnson                             | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Roderick C. McGeary                             | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Charles H. Robbins                              | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Brenton L. Saunders                             | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Lisa T. Su                                      | Mgmt      | For      | For                  | For                 |
| 2                  | Change State of Incorporation from California to Delaware      | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 4                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 6                  | Require Independent Board Chair                                | SH        | Against  | Against              | Against             |

# **Pendal Group Limited**

**Meeting Date:** 11/12/2020 **Record Date:** 09/12/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q74148109

Ticker: PDL

Shares Voted: 322,207

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2a                 | Elect James Evans as Director                                | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Deborah Page as Director                               | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                                  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Share Rights to Emilio Gonzalez | Mgmt      | For      | For                  | For                 |

## Westpac Banking Corp.

**Meeting Date:** 11/12/2020 **Record Date:** 09/12/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q97417101

Ticker: WBC

Reporting Period: 01/07/2020 to 30/06/2021

## Westpac Banking Corp.

**Shares Voted:** 4,302,992

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Grant of Performance Share Rights to Peter King | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                             | Mgmt      | For      | For                  | For                 |
| 4a                 | Elect Peter Nash as Director                            | Mgmt      | For      | For                  | For                 |
| 4b                 | Elect John McFarlane as Director                        | Mgmt      | For      | For                  | For                 |
| 4c                 | Elect Christopher Lynch as Director                     | Mgmt      | For      | For                  | For                 |
| 4d                 | Elect Michael Hawker as Director                        | Mgmt      | For      | For                  | For                 |
| 5a                 | Elect Noel Davis as Director                            | SH        | Against  | Against              | Against             |
| 5b                 | Elect Paul Whitehead as Director                        | SH        | Against  | Against              | Against             |

## **Semiconductor Manufacturing International Corporation**

**Meeting Date:** 15/12/2020 **Record Date:** 09/12/2020

Country: Cayman Islands

**Primary Security ID:** G8020E119

Meeting Type: Special

Ticker: 981

Shares Voted: 892,500

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Framework Agreement Between the<br>Company and SemiconductorManufacturing<br>North China (Beijing) Corporation and SMNC<br>Framework Agreement Annual Caps  | Mgmt      | For      | Against              | Against             |
| 2                  | Approve Amendment Agreement Between the<br>Company and Semiconductor Manufacturing<br>South China Corporation and SMSC Framework<br>Agreement Revised Annual Caps   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Centralized Fund Management Agreement Entered into Among the Company, Semiconductor Manufacturing International (Beijing) Corporation and Semiconductor Manufacturing South China Corporation and Centralized Fund Management Agreement Annual Caps | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Framework Agreement Between the<br>Company and Sino IC Leasing Co., Ltd., and<br>Sino IC Leasing Framework Agreement Annual<br>Caps   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Restricted Share Units to Zhou<br>Zixue Under the 2014 Equity Incentive Plan   | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

# **Semiconductor Manufacturing International Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 6                  | Approve Grant of Restricted Share Units to Zhao<br>Haijun Under the 2014 Equity Incentive Plan             | Mgmt      | For      | Against              | Against             |
| 7                  | Approve Grant of Restricted Share Units to<br>Liang Mong Song Under the 2014 Equity<br>Incentive Plan      | Mgmt      | For      | Against              | Against             |
| 8                  | Approve Grant of Restricted Share Units to Gao<br>Yonggang Under the 2014 Equity Incentive Plan            | Mgmt      | For      | Against              | Against             |
| 9                  | Approve Grant of Restricted Share Units to Chen<br>Shanzhi Under the 2014 Equity Incentive Plan            | Mgmt      | For      | Against              | Against             |
| 10                 | Approve Grant of Restricted Share Units to<br>William Tudor Brown Under the 2014 Equity<br>Incentive Plan  | Mgmt      | For      | Against              | Against             |
| 11                 | Approve Grant of Restricted Share Units to Tong<br>Guohua Under the 2014 Equity Incentive Plan             | Mgmt      | For      | Against              | Against             |
| 12                 | Approve Grant of Restricted Share Units to Cong<br>Jingsheng Jason Under the 2014 Equity<br>Incentive Plan | Mgmt      | For      | Against              | Against             |

## **Australia & New Zealand Banking Group Limited**

Meeting Date: 16/12/2020 Record Date: 14/12/2020 Country: Australia
Meeting Type: Annual

Primary Security ID: Q09504137

Ticker: ANZ

**Shares Voted:** 3,341,547

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Ilana Rachel Atlas as Director                   | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect John Thomas Macfarlane as Director               | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                            | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Shayne C Elliot | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Amendments to the Company's Constitution   | SH        | Against  | Against              | Against             |
| 6                  | Approve Transition Planning Disclosure                 | SH        | Against  | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

### **Elders Limited**

**Meeting Date:** 17/12/2020 **Record Date:** 15/12/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q3414A186

Ticker: ELD

Shares Voted: 146,633

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Diana Eilert as Director                                 | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Matthew Quinn as Director                                | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Performance Rights to Mark<br>Charles Allison | Mgmt      | For      | For                  | For                 |
| 6                  | Approve the Amendments to the Company's Constitution           | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Reinstatement of Proportional Takeover Rule            | Mgmt      | For      | For                  | For                 |
| 8                  | Approve the Spill Resolution                                   | Mgmt      | Against  | Against              | Against             |

## AhnLab, Inc.

**Meeting Date:** 18/12/2020 **Record Date:** 20/11/2020

**Country:** South Korea **Meeting Type:** Special

**Primary Security ID:** Y0027T108

**Ticker:** 053800

Shares Voted: 15,624

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Won Yoo-jae as Outside Director               | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Won Jae-cheon as Outside Director             | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Ko Deuk-seong as Outside Director             | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Won Yoo-jae as a Member of Audit<br>Committee | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Won Jae-cheon as a Member of Audit Committee  | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Ko Deuk-seong as a Member of Audit Committee  | Mgmt      | For      | For                  | For                 |

#### **Incitec Pivot Limited**

**Meeting Date:** 18/12/2020 **Record Date:** 16/12/2020

Country: Australia
Meeting Type: Annual

**Primary Security ID:** Q4887E101

Ticker: IPL

Reporting Period: 01/07/2020 to 30/06/2021

### **Incitec Pivot Limited**

**Shares Voted:** 2,007,452

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2a                 | Elect George Biltz as Director                      | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Brian Kruger as Director                      | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                         | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Jeanne Johns | Mgmt      | For      | For                  | For                 |

## **National Australia Bank Limited**

**Meeting Date:** 18/12/2020 **Record Date:** 16/12/2020

Country: Australia
Meeting Type: Annual

Primary Security ID: Q65336119

Ticker: NAB

**Shares Voted:** 3,753,506

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2a                 | Elect David Armstrong as Director   | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Peeyush Gupta as Director   | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect Ann Sherry as Director  | Mgmt      | For      | For                  | For                 |
| 2d                 | Elect Simon McKeon as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Ross<br>McEwan   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Selective Buy-Back of 20 Million<br>Preference Shares Associated with the National<br>Income Securities (NIS Buy-back Scheme) | Mgmt      | For      | For                  | For                 |
| 6a                 | Approve the Amendments to the Company's Constitution  | SH        | Against  | Against              | Against             |
| 6b                 | Approve Transition Planning Disclosure  | SH        | Against  | Against              | Against             |

### **Nufarm Limited**

**Meeting Date:** 18/12/2020 **Record Date:** 16/12/2020

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q7007B105

Ticker: NUF

Reporting Period: 01/07/2020 to 30/06/2021

### **Nufarm Limited**

Shares Voted: 313,866

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                           | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Gordon Davis as Director                        | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect John Gillam as Director                         | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect Peter Margin as Director                        | Mgmt      | For      | For                  | For                 |
| 3d                 | Elect Marie McDonald as Director                      | Mgmt      | For      | For                  | For                 |
| 4                  | Adopt New Constitution                                | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Insertion of Proportional Takeover Provisions | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                           | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Lynne Saint as Director                         | Mgmt      | For      | For                  | For                 |

### Orica Ltd.

**Meeting Date:** 22/12/2020 **Record Date:** 20/12/2020

Country: Australia
Meeting Type: Annual

**Primary Security ID:** Q7160T109

Ticker: ORI

Shares Voted: 384,030

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2.1                | Elect Malcolm Broomhead as Director                     | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect John Beevers as Director                          | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                             | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Alberto Calderon | Mgmt      | For      | For                  | For                 |

## **Informa Plc**

**Meeting Date:** 23/12/2020 **Record Date:** 21/12/2020

**Country:** United Kingdom **Meeting Type:** Special

**Primary Security ID:** G4770L106

Ticker: INF

Shares Voted: 103,707

| Proposal<br>Number |                             | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Policy | Mamt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

### **Informa Plc**

| Proposal<br>Number | Proposal Text                                | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Adopt the Informa Equity Revitalisation Plan | Mgmt      | For      | Against              | Against             |

#### **Flutter Entertainment Plc**

**Meeting Date:** 29/12/2020 **Record Date:** 27/12/2020

Country: Ireland
Meeting Type: Special

Primary Security ID: G3643J108

Ticker: FLTR

Shares Voted: 9,230

| Proposal<br>Number |   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Acquisition of the Fastball Shares by | Mgmt      | For      | For                  | For                 |

## **Zscaler**, Inc.

**Meeting Date:** 06/01/2021 **Record Date:** 11/11/2020

Country: USA

**Primary Security ID:** 98980G102

Meeting Type: Annual

Ticker: ZS

Shares Voted: 88,994

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Jagtar (Jay) Chaudhry                              | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Amit Sinha   | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive<br>Officers' Compensation | Mgmt      | For      | Against              | Against             |

### **Pioneer Natural Resources Company**

Meeting Date: 12/01/2021

Country: USA

**Primary Security ID:** 723787107

**Record Date:** 07/12/2020

Meeting Type: Special

Ticker: PXD

Shares Voted: 31,221

| Proposal<br>Number | Proposal Text                          | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Issue Shares in Connection with Merger | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# Micron Technology, Inc.

**Meeting Date:** 14/01/2021 **Record Date:** 18/11/2020

Country: USA

Meeting Type: Annual

**Primary Security ID:** 595112103

Ticker: MU

Shares Voted: 89,576

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Richard M. Beyer                                | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Lynn A. Dugle                                   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Steven J. Gomo                                  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Mary Pat McCarthy                               | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Sanjay Mehrotra                                 | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Robert E. Switz                                 | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director MaryAnn Wright                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |

## ConocoPhillips

**Meeting Date:** 15/01/2021 **Record Date:** 11/12/2020

Country: USA

Meeting Type: Special

Primary Security ID: 20825C104

Ticker: COP

Shares Voted: 190,157

| Proposal<br>Number | Proposal Text                          | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Issue Shares in Connection with Merger | Mgmt      | For      | For                  | For                 |

# **Saracen Mineral Holdings Limited**

**Meeting Date:** 15/01/2021 **Record Date:** 13/01/2021

**Country:** Australia **Meeting Type:** Court

**Primary Security ID:** Q8309T109

Ticker: SAR

Reporting Period: 01/07/2020 to 30/06/2021

## **Saracen Mineral Holdings Limited**

**Shares Voted:** 1,117,047

| Proposal<br>Number |   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Scheme of Arrangement in Relation to the Proposed Acquisition of the Company by | Mgmt      | For      | For                  | For                 |

## **RSA Insurance Group Plc**

Meeting Date: 18/01/2021 Record Date: 14/01/2021 **Country:** United Kingdom **Meeting Type:** Special

Primary Security ID: G7705H157

Ticker: RSA

Shares Voted: 76,042

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Matters Relating to the Recommended Cash Acquisition of RSA Insurance Group plc by Regent Bidco Limited                                   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Re-registration of the Company as a Private Limited Company by the Name of RSA Insurance Group Limited; Adopt New Articles of Association | Mgmt      | For      | For                  | For                 |

## **RSA Insurance Group Plc**

**Meeting Date:** 18/01/2021 **Record Date:** 14/01/2021

**Country:** United Kingdom **Meeting Type:** Court

Primary Security ID: G7705H157

Ticker: RSA

Shares Voted: 76,042

| Proposal<br>Number | Proposal Text                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Scheme of Arrangement | Mgmt      | For      | For                  | For                 |

#### **Flutter Entertainment Plc**

Meeting Date: 19/01/2021 Record Date: 17/01/2021 **Country:** Ireland **Meeting Type:** Special

Primary Security ID: G3643J108

Ticker: FLTR

Reporting Period: 01/07/2020 to 30/06/2021

### **Flutter Entertainment Plc**

Shares Voted: 9,533

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Migration of the Migrating Shares to<br>Euroclear Bank's Central Securities Depository                              | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Articles of Association   | Mgmt      | For      | For                  | For                 |
| 3A                 | Conditional upon the Adoption of Resolutions 1 and 2, Adopt New Articles of Association                                     | Mgmt      | For      | For                  | For                 |
| 3B                 | Conditional upon the Adoption of Resolution 1 and Resolution 2 not being Validly Adopted, Adopt New Articles of Association | Mgmt      | For      | For                  | For                 |
| 4                  | Authorise Company to Take All Actions to Implement the Migration  | Mgmt      | For      | For                  | For                 |

## **Australian Pharmaceutical Industries Limited**

**Meeting Date:** 20/01/2021 **Record Date:** 18/01/2021

Country: Australia
Meeting Type: Annual

**Primary Security ID:** Q1075Q102

Ticker: API

**Shares Voted:** 1,120,941

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                            | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Lee Ausburn as Director                          | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Jennifer Macdonald as Director                   | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Janine Allis as Director                         | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Clive Stiff as Director                          | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Grant of Performance Rights to Richard Vincent | Mgmt      | For      | Against              | Against             |

## **Costco Wholesale Corporation**

**Meeting Date:** 21/01/2021 **Record Date:** 12/11/2020

Country: USA Meeting Type: Annual **Primary Security ID:** 22160K105

Ticker: COST

Shares Voted: 34,879

| Proposal<br>Number | Proposal Text                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Susan L. Decker | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Costco Wholesale Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.2                | Elect Director Kenneth D. Denman                               | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Richard A. Galanti                              | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director W. Craig Jelinek                                | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Sally Jewell                                    | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Charles T. Munger                               | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Jeffrey S. Raikes                               | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

## **Intuit Inc.**

**Meeting Date:** 21/01/2021 **Record Date:** 23/11/2020

Country: USA

Meeting Type: Annual

Primary Security ID: 461202103

Ticker: INTU

Shares Voted: 44,704

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Eve Burton                                      | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Scott D. Cook                                   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Richard L. Dalzell                              | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Sasan K. Goodarzi                               | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Deborah Liu                                     | Mgmt      | For      | For                  | For                 |
| <b>1</b> f         | Elect Director Tekedra Mawakana                                | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Suzanne Nora Johnson                            | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Dennis D. Powell                                | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Brad D. Smith                                   | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Thomas Szkutak                                  | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Raul Vazquez                                    | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Jeff Weiner                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Melrose Industries Plc**

**Meeting Date:** 21/01/2021 **Record Date:** 19/01/2021

Country: United Kingdom

Meeting Type: Special

Primary Security ID: G5973J178

Ticker: MRO

Shares Voted: 358,795

| Proposal<br>Number | Proposal Text                                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Employee Share Plan                   | Mgmt      | For      | Against              | Against             |
| 2                  | Approve Amendments to the Remuneration Policy | Mgmt      | For      | Against              | Against             |

### **Cosan SA**

Meeting Date: 22/01/2021

**Record Date:** 

Country: Brazil

Meeting Type: Special

Primary Security ID: P31573101

Ticker: CSAN3

Shares Voted: 28,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Amend Articles  | Mgmt      | For      | Against              | Against             |
| 2                  | Fix Number of Directors at Nine   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Classification of Dan Ioschpe, Jose<br>Alexandre Scheinkman, Ana Paula Pessoa and<br>Vasco Augusto Pinto da Fonseca Dias Junior as<br>Independent Directors   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Directors   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Agreement to Absorb and Absorption of Cosan Limited (CZZ), Ratify Apsis Consultoria Empresarial Ltda. as the Independent Firm to Appraise Proposed Transaction, Approve Issuance of Shares in Connection with the Transaction and Amend Article 5 | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Agreement to Absorb and Absorption of Cosan Logistica S.A., Ratify Apsis Consultoria Empresarial Ltda. as the Independent Firm to Appraise Proposed Transaction, Approve Capital Increase in Connection with the Transaction and Amend Article 5  | Mgmt      | For      | For                  | For                 |
| 7                  | Consolidate Bylaws  | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

# **Becton, Dickinson and Company**

**Meeting Date:** 26/01/2021 **Record Date:** 07/12/2020

Country: USA

Meeting Type: Annual

Primary Security ID: 075887109

Ticker: BDX

Shares Voted: 9,708

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
|                    | · · · · · · · · · · · · · · · · · · ·                               | <u> </u>  |          | <u> </u>             |                     |
| 1a                 | Elect Director Catherine M. Burzik                                  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director R. Andrew Eckert                                     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Vincent A. Forlenza                                  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Claire M. Fraser                                     | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Jeffrey W. Henderson                                 | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Christopher Jones                                    | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Marshall O. Larsen                                   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director David F. Melcher                                     | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Thomas E. Polen                                      | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Claire Pomeroy                                       | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Rebecca W. Rimel                                     | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Timothy M. Ring                                      | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Bertram L. Scott                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                                | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation      | Mgmt      | For      | Against              | Against             |
| 4                  | Reduce Ownership Threshold for Shareholders to Call Special Meeting | SH        | Against  | For                  | For                 |

## Visa Inc.

**Meeting Date:** 26/01/2021 **Record Date:** 27/11/2020

Country: USA
Meeting Type: Annual

**Primary Security ID:** 92826C839

Ticker: V

Shares Voted: 121,567

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Lloyd A. Carney                        | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Mary B. Cranston                       | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Francisco Javier<br>Fernandez-Carbajal | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## Visa Inc.

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1d                 | Elect Director Alfred F. Kelly, Jr.   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Ramon Laguarta   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director John F. Lundgren   | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Robert W. Matschullat  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Denise M. Morrison   | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Suzanne Nora Johnson   | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Linda J. Rendle  | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director John A. C. Swainson  | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Maynard G. Webb, Jr.   | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation                        | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify KPMG LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Omnibus Stock Plan  | Mgmt      | For      | For                  | For                 |
| 5                  | Provide Holders of At Least 15% Class A<br>Common Stock Right to Call Special Meeting | Mgmt      | For      | For                  | For                 |
| 6                  | Provide Right to Act by Written Consent   | SH        | Against  | For                  | For                 |
| 7                  | Amend Principles of Executive Compensation Program                                    | SH        | Against  | Against              | For                 |

# **Kerry Group Plc**

**Meeting Date:** 28/01/2021 **Record Date:** 26/01/2021

**Country:** Ireland **Meeting Type:** Special

Primary Security ID: G52416107

Ticker: KRZ

Shares Voted: 14,661

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Migration of the Participating Securities to Euroclear Bank's Central Securities Depository | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Articles of Association   | Mgmt      | For      | For                  | For                 |
| 3                  | Authorise Company to Take All Actions to Implement the Migration                                    | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Walgreens Boots Alliance, Inc.**

**Meeting Date:** 28/01/2021 **Record Date:** 30/11/2020

Country: USA

Meeting Type: Annual

Primary Security ID: 931427108

Ticker: WBA

Shares Voted: 70,995

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Jose E. Almeida   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Janice M. Babiak  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director David J. Brailer  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director William C. Foote  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Ginger L. Graham  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Valerie B. Jarrett  | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director John A. Lederer   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Dominic P. Murphy   | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Stefano Pessina   | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Nancy M. Schlichting  | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director James A. Skinner  | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Deloitte & Touche LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation                         | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Omnibus Stock Plan   | Mgmt      | For      | For                  | For                 |
| 5                  | Require Independent Board Chair  | SH        | Against  | For                  | For                 |
| 6                  | Report on Health Risks of Continued In-Store<br>Tobacco Sales in the Age of COVID 2021 | SH        | Against  | Against              | Against             |

## **SNAM SpA**

**Meeting Date:** 02/02/2021 **Record Date:** 22/01/2021

**Country:** Italy **Meeting Type:** Special

**Primary Security ID:** T8578N103

Ticker: SRG

Shares Voted: 361,783

| Proposal<br>Number | Proposal Text                               | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Amend Company Bylaws Re: Article 2          | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Company Bylaws Re: Article 12         | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Company Bylaws Re: Articles 13 and 24 | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Accenture plc**

**Meeting Date:** 03/02/2021 **Record Date:** 07/12/2020

**Country:** Ireland **Meeting Type:** Annual

Primary Security ID: G1151C101

Ticker: ACN

Shares Voted: 56,120

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Jaime Ardila  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Herbert Hainer  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Nancy McKinstry   | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Beth E. Mooney  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Gilles C. Pelisson  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Paula A. Price  | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Venkata (Murthy) Renduchintala                              | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director David Rowland   | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Arun Sarin  | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Julie Sweet   | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Frank K. Tang   | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Tracey T. Travis  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation             | Mgmt      | For      | For                  | For                 |
| 3                  | Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 4                  | Renew the Board's Authority to Issue Shares<br>Under Irish Law             | Mgmt      | For      | For                  | For                 |
| 5                  | Authorize Board to Opt-Out of Statutory<br>Pre-Emption Rights              | Mgmt      | For      | For                  | For                 |
| 6                  | Determine Price Range for Reissuance of Treasury Shares                    | Mgmt      | For      | For                  | For                 |
|                    |  |           |          |                      |                     |

## **Imperial Brands Plc**

**Meeting Date:** 03/02/2021 **Record Date:** 01/02/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G4720C107

Ticker: IMB

Reporting Period: 01/07/2020 to 30/06/2021

# **Imperial Brands Plc**

Shares Voted: 70,274

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                 | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 3                  | Approve Remuneration Policy  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve International Sharesave Plan                                 | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Long Term Incentive Plan                                     | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Deferred Share Bonus Plan                                    | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 8                  | Elect Stefan Bomhard as Director                                     | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Susan Clark as Director                                     | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Therese Esperdy as Director                                 | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Alan Johnson as Director                                       | Mgmt      | For      | For                  | For                 |
| 12                 | Elect Robert Kunze-Concewitz as Director                             | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Simon Langelier as Director                                 | Mgmt      | For      | For                  | For                 |
| 14                 | Elect Pierre-Jean Sivignon as Director                               | Mgmt      | For      | For                  | For                 |
| 15                 | Re-elect Steven Stanbrook as Director                                | Mgmt      | For      | For                  | For                 |
| 16                 | Re-elect Jonathan Stanton as Director                                | Mgmt      | For      | For                  | For                 |
| 17                 | Re-elect Oliver Tant as Director                                     | Mgmt      | For      | For                  | For                 |
| 18                 | Reappoint Ernst & Young LLP as Auditors                              | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise the Audit Committee to Fix Remuneration of Auditors        | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise EU Political Donations and Expenditure                     | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Issue of Equity without Pre-emptive Rights                 | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Market Purchase of Ordinary Shares                         | Mgmt      | For      | For                  | For                 |
| 24                 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Compass Group Plc**

Meeting Date: 04/02/2021 **Record Date:** 02/02/2021

Country: United Kingdom Meeting Type: Annual

Primary Security ID: G23296208

Ticker: CPG

Shares Voted: 132,894

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Policy  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Ian Meakins as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Dominic Blakemore as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Gary Green as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Karen Witts as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Carol Arrowsmith as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect John Bason as Director  | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Stefan Bomhard as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect John Bryant as Director   | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Anne-Francoise Nesmes as Director   | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Nelson Silva as Director  | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Ireena Vittal as Director   | Mgmt      | For      | For                  | For                 |
| 15                 | Reappoint KPMG LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise EU Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 22                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise the Company to Call General Meeting with 14 Working Days' Notice   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **DCC Plc**

**Meeting Date:** 04/02/2021 **Record Date:** 02/02/2021

**Country:** Ireland **Meeting Type:** Special

Primary Security ID: G2689P101

Ticker: DCC

Shares Voted: 7,345

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Migration of the Migrating Shares to<br>Euroclear Bank's Central Securities Depository | Mgmt      | For      | For                  | For                 |
| 2                  | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |
| 3                  | Authorise Company to Take All Actions to Implement the Migration                               | Mgmt      | For      | For                  | For                 |

# **The Sage Group Plc**

**Meeting Date:** 04/02/2021 **Record Date:** 02/02/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G7771K142

Ticker: SGE

Shares Voted: 122,639

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | Abstain             |
| 3                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Sangeeta Anand as Director                                       | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Irana Wasti as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Sir Donald Brydon as Director                                 | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Dr John Bates as Director                                     | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Jonathan Bewes as Director                                    | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Annette Court as Director                                     | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Drummond Hall as Director                                     | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Steve Hare as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Jonathan Howell as Director                                   | Mgmt      | For      | For                  | For                 |
| 13                 | Reappoint Ernst & Young LLP as Auditors                                | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise the Audit and Risk Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Political Donations and Expenditure                          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **The Sage Group Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 16                 | Amend Discretionary Share Plan   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 22                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |

Shares Voted: 81,361

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Sangeeta Anand as Director                                       | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Irana Wasti as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Sir Donald Brydon as Director                                 | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Dr John Bates as Director                                     | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Jonathan Bewes as Director                                    | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Annette Court as Director                                     | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Drummond Hall as Director                                     | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Steve Hare as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Jonathan Howell as Director                                   | Mgmt      | For      | For                  | For                 |
| 13                 | Reappoint Ernst & Young LLP as Auditors                                | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise the Audit and Risk Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Political Donations and Expenditure                          | Mgmt      | For      | For                  | For                 |
| 16                 | Amend Discretionary Share Plan   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights                   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **The Sage Group Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 19                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 22                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |

## **Charter Hall Long WALE REIT**

**Meeting Date:** 05/02/2021 **Record Date:** 03/02/2021

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q2308E106

Ticker: CLW

Shares Voted: 382,045

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Ratify Past Issuance of Stapled Securities to<br>Certain Institutional, Professional and Other<br>Wholesale Investors | Mgmt      | For      | For                  | For                 |

## **Smurfit Kappa Group Plc**

**Meeting Date:** 05/02/2021 **Record Date:** 03/02/2021

**Country:** Ireland **Meeting Type:** Special

Primary Security ID: G8248F104

Ticker: SKG

Shares Voted: 19,255

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Migration of the Migrating Shares to<br>Euroclear Bank's Central Securities Depository | Mgmt      | For      | For                  | For                 |
| 2                  | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |
| 3                  | Authorise Company to Take All Actions to Implement the Migration                               | Mgmt      | For      | For                  | For                 |

#### **CRH Plc**

**Meeting Date:** 09/02/2021 **Record Date:** 07/02/2021

**Country:** Ireland **Meeting Type:** Special

Primary Security ID: G25508105

Ticker: CRH

Reporting Period: 01/07/2020 to 30/06/2021

## **CRH Plc**

Shares Voted: 58,530

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Migration of the Migrating Shares to<br>Euroclear Bank's Central Securities Depository | Mgmt      | For      | For                  | For                 |
| 2                  | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |
| 3                  | Authorise Company to Take All Actions to Implement the Migration                               | Mgmt      | For      | For                  | For                 |
| 4                  | Adopt New Articles of Association Re: Article 51(d)  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Capital Reorganisation   | Mgmt      | For      | For                  | For                 |
| 6                  | Amend Articles of Association  | Mgmt      | For      | For                  | For                 |

## **Graincorp Limited**

**Meeting Date:** 11/02/2021 **Record Date:** 09/02/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q42655102

Ticker: GNC

Shares Voted: 277,449

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                                   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Daniel Mangelsdorf as Director                          | Mgmt      | For      | For                  | For                 |
| 4a                 | Approve Grant of 282,738 Performance Rights to Robert Spurway | Mgmt      | For      | For                  | For                 |
| 4b                 | Approve Grant of 239,259 Performance Rights to Robert Spurway | Mgmt      | For      | For                  | For                 |

## **Tesco Plc**

**Meeting Date:** 11/02/2021 **Record Date:** 09/02/2021

**Country:** United Kingdom **Meeting Type:** Special

**Primary Security ID:** G87621101

Ticker: TSCO

Shares Voted: 735,122

| Proposal<br>Number | Proposal Text               | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Special Dividend    | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Share Consolidation | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Tesco Pic**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3                  | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 4                  | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 5                  | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 6                  | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |

## **Adyen NV**

**Meeting Date:** 12/02/2021 **Record Date:** 15/01/2021

**Country:** Netherlands **Meeting Type:** Special

Primary Security ID: N3501V104

Ticker: ADYEN

Shares Voted: 2,575

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Alexander Matthey to Management Board         | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Caoimhe Treasa Keogan to Supervisory<br>Board | Mgmt      | For      | For                  | For                 |

# **Cromwell Property Group**

**Meeting Date:** 12/02/2021 **Record Date:** 10/02/2021

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q2995J103

Ticker: CMW

**Shares Voted:** 1,371,434

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Jane Tongs as Director  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Tanya Cox as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Lisa Scenna as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Accelerated Vesting of Performance Rights                     | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Performance Rights not to Lapse<br>Despite Ceasing Employment | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Raymond James Financial, Inc.**

**Meeting Date:** 18/02/2021 **Record Date:** 21/12/2020

Country: USA

Meeting Type: Annual

Primary Security ID: 754730109

Ticker: RJF

Shares Voted: 12,095

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Charles G. von Arentschildt                     | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Marlene Debel                                   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Robert M. Dutkowsky                             | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Jeffrey N. Edwards                              | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Benjamin C. Esty                                | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Anne Gates                                      | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Francis S. Godbold                              | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Thomas A. James                                 | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Gordon L. Johnson                               | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Roderick C. McGeary                             | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Paul C. Reilly                                  | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Raj Seshadri                                    | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Susan N. Story                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |

## **United Malt Group Ltd.**

**Meeting Date:** 18/02/2021 **Record Date:** 16/02/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q9326E109

Ticker: UMG

Shares Voted: 258,376

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                              | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Gary W. Mize as Director                           | Mgmt      | For      | For                  | For                 |
| 4                  | Appoint PricewaterhouseCoopers as Auditor of the Company | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Performance Rights to Mark Palmquist    | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **United Malt Group Ltd.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 6                  | Ratify Past Issuance of Placement Shares to Sophisticated and Institutional Investors | Mgmt      | For      | For                  | For                 |

## **Eclipx Group Limited**

**Meeting Date:** 19/02/2021 **Record Date:** 17/02/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q3383Q105

Ticker: ECX

Shares Voted: 181,617

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Gail Pemberton as Director                    | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Linda Jenkinson as Director                   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                         | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Renewal of Proportional Takeover Provisions | Mgmt      | For      | For                  | For                 |

## **Apple Inc.**

**Meeting Date:** 23/02/2021 **Record Date:** 28/12/2020

Country: USA

Meeting Type: Annual

Primary Security ID: 037833100

Ticker: AAPL

**Shares Voted:** 1,351,011

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director James Bell                                      | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Tim Cook  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Al Gore   | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Andrea Jung                                     | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Art Levinson                                    | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Monica Lozano                                   | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Ron Sugar                                       | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Sue Wagner                                      | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Apple Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 4                  | Proxy Access Amendments                              | SH        | Against  | For                  | For                 |
| 5                  | Improve Principles of Executive Compensation Program | SH        | Against  | Against              | Against             |

# **Technology One Limited**

**Meeting Date:** 23/02/2021 **Record Date:** 21/02/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q89275103

Ticker: TNE

**Shares Voted:** 1,078,449

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Elect Peter Ball as Director      | Mgmt      | For      | For                  | For                 |
| 2                  | Elect John Mactaggart as Director | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report       | Mgmt      | For      | For                  | For                 |

## **Infineon Technologies AG**

Meeting Date: 25/02/2021 Record Date: **Country:** Germany **Meeting Type:** Annual

Primary Security ID: D35415104

Ticker: IFX

Shares Voted: 144,674

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Allocation of Income and Dividends of EUR 0.22 per Share                                      | Mgmt      | For      | For                  | For                 |
| 3.1                | Approve Discharge of Management Board<br>Member Reinhard Ploss for Fiscal 2020                        | Mgmt      | For      | For                  | For                 |
| 3.2                | Approve Discharge of Management Board<br>Member Helmut Gassel for Fiscal 2020                         | Mgmt      | For      | For                  | For                 |
| 3.3                | Approve Discharge of Management Board<br>Member Jochen Hanebeck for Fiscal 2020                       | Mgmt      | For      | For                  | For                 |
| 3.4                | Approve Discharge of Management Board<br>Member Sven Schneider for Fiscal 2020                        | Mgmt      | For      | For                  | For                 |
| 4.1                | Approve Discharge of Supervisory Board<br>Member Wolfgang Eder for Fiscal 2020                        | Mgmt      | For      | For                  | For                 |
| 4.2                | Approve Discharge of Supervisory Board<br>Member Peter Bauer (until Feb. 20, 2020) for<br>Fiscal 2020 | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

# **Infineon Technologies AG**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4.3                | Approve Discharge of Supervisory Board<br>Member Xiaoqun Clever (from Feb. 20, 2020)<br>for Fiscal 2020     | Mgmt      | For      | For                  | For                 |
| 4.4                | Approve Discharge of Supervisory Board<br>Member Johann Dechant for Fiscal 2020                             | Mgmt      | For      | For                  | For                 |
| 4.5                | Approve Discharge of Supervisory Board<br>Member Herbert Diess (until Feb. 20, 2020) for<br>Fiscal 2020     | Mgmt      | For      | For                  | For                 |
| 4.6                | Approve Discharge of Supervisory Board<br>Member Friedrich Eichiner (from Feb. 20, 2020)<br>for Fiscal 2020 | Mgmt      | For      | For                  | For                 |
| 4.7                | Approve Discharge of Supervisory Board<br>Member Annette Engelfried for Fiscal 2020                         | Mgmt      | For      | For                  | For                 |
| 4.8                | Approve Discharge of Supervisory Board<br>Member Peter Gruber for Fiscal 2020                               | Mgmt      | For      | For                  | For                 |
| 4.9                | Approve Discharge of Supervisory Board<br>Member Gerhard Hobbach (until Feb. 20, 2020)<br>for Fiscal 2020   | Mgmt      | For      | For                  | For                 |
| 4.10               | Approve Discharge of Supervisory Board<br>Member Hans-Ulrich Holdenried for Fiscal 2020                     | Mgmt      | For      | For                  | For                 |
| 4.11               | Approve Discharge of Supervisory Board<br>Member Renate Koecher (until Feb. 20, 2020)<br>for Fiscal 2020    | Mgmt      | For      | For                  | For                 |
| 4.12               | Approve Discharge of Supervisory Board<br>Member Susanne Lachenmann for Fiscal 2020                         | Mgmt      | For      | For                  | For                 |
| 4.13               | Approve Discharge of Supervisory Board<br>Member Geraldine Picaud for Fiscal 2020                           | Mgmt      | For      | For                  | For                 |
| 4.14               | Approve Discharge of Supervisory Board<br>Member Manfred Puffer for Fiscal 2020                             | Mgmt      | For      | For                  | For                 |
| 4.15               | Approve Discharge of Supervisory Board<br>Member Melanie Riedl (from Feb. 20, 2020) for<br>Fiscal 2020      | Mgmt      | For      | For                  | For                 |
| 4.16               | Approve Discharge of Supervisory Board<br>Member Kerstin Schulzendorf for Fiscal 2020                       | Mgmt      | For      | For                  | For                 |
| 4.17               | Approve Discharge of Supervisory Board<br>Member Juergen Scholz for Fiscal 2020                             | Mgmt      | For      | For                  | For                 |
| 4.18               | Approve Discharge of Supervisory Board<br>Member Ulrich Spiesshofer (from Feb. 20, 2020)<br>for Fiscal 2020 | Mgmt      | For      | For                  | For                 |
| 4.19               | Approve Discharge of Supervisory Board<br>Member Margret Suckale (from Feb. 20, 2020)<br>for Fiscal 2020    | Mgmt      | For      | For                  | For                 |
| 4.20               | Approve Discharge of Supervisory Board<br>Member Eckart Suenner (until Feb. 20, 2020)<br>for Fiscal 2020    | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Infineon Technologies AG**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 4.21               | Approve Discharge of Supervisory Board<br>Member Diana Vitale for Fiscal 2020          | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify KPMG AG as Auditors for Fiscal 2021   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Remuneration Policy  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Remuneration of Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Creation of EUR 30 Million Pool of<br>Capital for Employee Stock Purchase Plan | Mgmt      | For      | For                  | For                 |
| 9                  | Amend Articles Re: Information for Registration in the Share Register                  | Mgmt      | For      | For                  | For                 |
| 10                 | Amend Articles Re: Supervisory Board's Rules of Procedure                              | Mgmt      | For      | For                  | For                 |

Primary Security ID: G9413V106

# **Virgin Money UK Plc**

**Meeting Date:** 25/02/2021 **Record Date:** 23/02/2021

Country: United Kingdom

Meeting Type: Annual Ticker: VMUK

**Shares Voted:** 1,058,553

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports             | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                                   | Mgmt      | For      | For                  | For                 |
| 3                  | Re-elect David Bennett as Director                            | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Paul Coby as Director                                | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect David Duffy as Director                              | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Geeta Gopalan as Director                            | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Darren Pope as Director                              | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Amy Stirling as Director                             | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Tim Wade as Director                                 | Mgmt      | For      | For                  | For                 |
| 10                 | Reappoint Ernst & Young LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 11                 | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 12                 | Authorise Issue of Equity                                     | Mgmt      | For      | For                  | For                 |
| 13                 | Authorise Issue of Equity without Pre-emptive Rights          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Virgin Money UK Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 14                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Issue of Equity in Connection with AT1 Securities  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity without Pre-emptive Rights in Connection with AT1 Securities                                   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Off-Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise EU Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity in Connection with the Conduct Indemnity Deed  | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with the Conduct<br>Indemnity Deed                 | Mgmt      | For      | For                  | For                 |

## **Aristocrat Leisure Limited**

**Meeting Date:** 26/02/2021 **Record Date:** 24/02/2021

Country: Australia
Meeting Type: Annual

Primary Security ID: Q0521T108

Ticker: ALL

Shares Voted: 497,703

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Elect Neil Chatfield as Director                           | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Grant of Performance Share Rights to Trevor Croker | Mgmt      | For      | Against              | Against             |
| 3                  | Approve Remuneration Report                                | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Non-Executive Director Rights Plan                 | Mgmt      | None     | For                  | For                 |

## **Select Harvests Limited**

Meeting Date: 26/02/2021 Record Date: 24/02/2021 Country: Australia
Meeting Type: Annual

Primary Security ID: Q8458J100

Ticker: SHV

Reporting Period: 01/07/2020 to 30/06/2021

### **Select Harvests Limited**

Shares Voted: 240,541

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2a                 | Elect Michael Iwaniw as Director   | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Fred Grimwade as Director  | Mgmt      | For      | Against              | Against             |
| 3                  | Ratify Past Issuance of Shares to Professional and Institutional Investors | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Participation of Paul Thompson in the Long-Term Incentive Plan     | Mgmt      | For      | For                  | For                 |

## **Alibaba Health Information Technology Limited**

**Meeting Date:** 01/03/2021 **Record Date:** 23/02/2021

**Country:** Bermuda **Meeting Type:** Special

Primary Security ID: G0171K101

Ticker: 241

Shares Voted: 924,000

| Proposal<br>Number |  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Revised Annual Cap Under the<br>Technical Services Framework Agreement | Mgmt      | For      | For                  | For                 |

### Baidu, Inc.

**Meeting Date:** 01/03/2021 **Record Date:** 28/01/2021

**Country:** Cayman Islands **Meeting Type:** Special

Primary Security ID: 056752108

Ticker: BIDU

Shares Voted: 26,612

| Proposal<br>Number |                                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve One-to-Eighty Stock Split | Mgmt      | For      | For                  | For                 |

## **Analog Devices, Inc.**

Meeting Date: 10/03/2021 Record Date: 04/01/2021 Country: USA

Meeting Type: Annual

Primary Security ID: 032654105

Ticker: ADI

Reporting Period: 01/07/2020 to 30/06/2021

## **Analog Devices, Inc.**

Shares Voted: 34,214

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Ray Stata                                       | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Vincent Roche                                   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director James A. Champy                                 | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Anantha P. Chandrakasan                         | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Bruce R. Evans                                  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Edward H. Frank                                 | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Laurie H. Glimcher                              | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Karen M. Golz                                   | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Mark M. Little                                  | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Kenton J. Sicchitano                            | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Susie Wee                                       | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

## **Centuria Industrial REIT**

**Meeting Date:** 10/03/2021 **Record Date:** 08/03/2021

**Country:** Australia **Meeting Type:** Special

**Primary Security ID:** Q2227X102

Ticker: CIP

Shares Voted: 335,506

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Ratify Past Issuance of Securities to Existing and New Institutional Investors | Mgmt      | For      | For                  | For                 |

## **QUALCOMM Incorporated**

**Meeting Date:** 10/03/2021 **Record Date:** 11/01/2021

Country: USA Meeting Type: Annual Primary Security ID: 747525103

Ticker: QCOM

Reporting Period: 01/07/2020 to 30/06/2021

## **QUALCOMM Incorporated**

Shares Voted: 106,999

| Duamagal           |   |           |          | Voting     | Vote        |
|--------------------|---|-----------|----------|------------|-------------|
| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Policy Rec | Instruction |
| 1a                 | Elect Director Sylvia Acevedo                                     | Mgmt      | For      | For        | For         |
| 1b                 | Elect Director Mark Fields  | Mgmt      | For      | For        | For         |
| 1c                 | Elect Director Jeffrey W. Henderson                               | Mgmt      | For      | For        | For         |
| 1d                 | Elect Director Gregory N. Johnson                                 | Mgmt      | For      | For        | For         |
| 1e                 | Elect Director Ann M. Livermore                                   | Mgmt      | For      | For        | For         |
| 1f                 | Elect Director Harish Manwani                                     | Mgmt      | For      | For        | For         |
| 1g                 | Elect Director Mark D. McLaughlin                                 | Mgmt      | For      | For        | For         |
| 1h                 | Elect Director Jamie S. Miller                                    | Mgmt      | For      | For        | For         |
| 1i                 | Elect Director Steve Mollenkopf                                   | Mgmt      | For      | For        | For         |
| 1j                 | Elect Director Clark T. "Sandy" Randt, Jr.                        | Mgmt      | For      | For        | For         |
| 1k                 | Elect Director Irene B. Rosenfeld                                 | Mgmt      | For      | For        | For         |
| 11                 | Elect Director Kornelis "Neil" Smit                               | Mgmt      | For      | For        | For         |
| 1m                 | Elect Director Jean-Pascal Tricoire                               | Mgmt      | For      | For        | For         |
| 1n                 | Elect Director Anthony J. Vinciquerra                             | Mgmt      | For      | For        | For         |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For      | For        | For         |
| 3                  | Advisory Vote to Ratify Named Executive<br>Officers' Compensation | Mgmt      | For      | For        | For         |

# **Applied Materials, Inc.**

Meeting Date: 11/03/2021 Record Date: 14/01/2021 Country: USA Meeting Type: Annual Primary Security ID: 038222105

Ticker: AMAT

Shares Voted: 192,677

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Rani Borkar       | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Judy Bruner       | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Xun (Eric) Chen   | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Aart J. de Geus   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Gary E. Dickerson | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Applied Materials, Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1f                 | Elect Director Thomas J. Iannotti                              | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Alexander A. Karsner                            | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Adrianna C. Ma                                  | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Yvonne McGill                                   | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Scott A. McGregor                               | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 5                  | Amend Qualified Employee Stock Purchase Plan                   | Mgmt      | For      | For                  | For                 |
| 6                  | Require Independent Board Chairman                             | SH        | Against  | Against              | Against             |
| 7                  | Improve Executive Compensation Program and Policy              | SH        | Against  | Against              | Against             |

## F5 Networks, Inc.

**Meeting Date:** 11/03/2021 **Record Date:** 06/01/2021

Country: USA Meeting Type: Annual Primary Security ID: 315616102

Ticker: FFIV

Shares Voted: 52,801

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Sandra E. Bergeron   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Elizabeth L. Buse    | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Michel Combes        | Mgmt      | For      | Against              | Against             |
| 1d                 | Elect Director Michael L. Dreyer    | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Alan J. Higginson    | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Peter S. Klein       | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Francois Locoh-Donou | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Nikhil Mehta         | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Marie E. Myers       | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Sripada Shivananda   | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Omnibus Stock Plan            | Mgmt      | For      | For                  | For                 |
|                    |                                     |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

## F5 Networks, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 4                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

### **S&P Global Inc.**

**Meeting Date:** 11/03/2021 **Record Date:** 19/01/2021

Country: USA

Meeting Type: Special

Primary Security ID: 78409V104

Ticker: SPGI

Shares Voted: 24,563

| Proposal<br>Number | Proposal Text                          | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Issue Shares in Connection with Merger | Mgmt      | For      | For                  | For                 |

## **Kunlun Energy Company Limited**

**Meeting Date:** 12/03/2021 **Record Date:** 05/03/2021

Country: Bermuda

Meeting Type: Special

Primary Security ID: G5320C108

Ticker: 135

Shares Voted: 793,729

| Proposal<br>Number | Proposal Text                                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Equity Transfer Agreement and Related | Mgmt      | For      | For                  | For                 |

# Samsung Electronics Co., Ltd.

**Meeting Date:** 17/03/2021 **Record Date:** 31/12/2020

Country: South Korea Meeting Type: Annual

**Primary Security ID:** Y74718100

**Ticker:** 005930

Shares Voted: 630,048

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Allocation of Income | Mgmt      | For      | For                  | For                 |
| 2.1.1              | Elect Park Byung-gook as Outside Director             | Mgmt      | For      | Against              | Against             |
| 2.1.2              | Elect Kim Jeong as Outside Director                   | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

# **Samsung Electronics Co., Ltd.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2.2.1              | Elect Kim Kinam as Inside Director   | Mgmt      | For      | For                  | For                 |
| 2.2.2              | Elect Kim Hyun-suk as Inside Director                                      | Mgmt      | For      | For                  | For                 |
| 2.2.3              | Elect Koh Dong-jin as Inside Director                                      | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Kim Sun-uk as Outside Director to Serve as an Audit Committee Member | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Total Remuneration of Inside Directors and Outside Directors       | Mgmt      | For      | For                  | For                 |

## **Samsung SDS Co., Ltd.**

Meeting Date: 17/03/2021 Record Date: 31/12/2020 **Country:** South Korea **Meeting Type:** Annual

Primary Security ID: Y7T72C103

**Ticker:** 018260

Shares Voted: 6,423

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Allocation of Income                | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Hwang Sungwoo as Inside Director                               | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Koo Hyeong-jun as Inside Director                              | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Total Remuneration of Inside Directors and Outside Directors | Mgmt      | For      | For                  | For                 |

# **Siemens Gamesa Renewable Energy SA**

**Meeting Date:** 17/03/2021 **Record Date:** 12/03/2021

**Country:** Spain **Meeting Type:** Annual

**Primary Security ID:** E8T87A100

Ticker: SGRE

Shares Voted: 47,197

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Consolidated and Standalone Financial Statements  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidated and Standalone<br>Management Reports | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Non-Financial Information Statement               | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Discharge of Board                                | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Treatment of Net Loss                             | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Siemens Gamesa Renewable Energy SA**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 6                  | Ratify Appointment of and Elect Tim<br>Dawidowsky as Director | Mgmt      | For      | For                  | For                 |
| 7                  | Reelect Mariel von Schumann as Director                       | Mgmt      | For      | For                  | For                 |
| 8                  | Reelect Klaus Rosenfeld as Director                           | Mgmt      | For      | For                  | For                 |
| 9                  | Renew Appointment of Ernst & Young as Auditor                 | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Remuneration Policy                                   | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Restricted Stock Plan                                 | Mgmt      | For      | For                  | For                 |
| 12                 | Authorize Board to Ratify and Execute Approved Resolutions    | Mgmt      | For      | For                  | For                 |
| 13                 | Advisory Vote on Remuneration Report                          | Mgmt      | For      | For                  | For                 |

## **Starbucks Corporation**

Meeting Date: 17/03/2021 Record Date: 08/01/2021 Country: USA

Meeting Type: Annual

Primary Security ID: 855244109

Ticker: SBUX

Shares Voted: 111,038

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Richard E. Allison, Jr.   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Andrew Campion  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Mary N. Dillon  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Isabel Ge Mahe  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Mellody Hobson  | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Kevin R. Johnson  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Jorgen Vig Knudstorp  | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Satya Nadella   | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Joshua Cooper Ramo  | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Clara Shih  | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Javier G. Teruel  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation                           | Mgmt      | For      | Against              | Against             |
| 3                  | Ratify Deloitte & Touche LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 4                  | Adopt a Policy to Include Non-Management<br>Employees as Prospective Director Candidates | SH        | Against  | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

## **Trip.com Group Ltd.**

**Meeting Date:** 18/03/2021 **Record Date:** 16/02/2021

**Country:** Cayman Islands **Meeting Type:** Special

Primary Security ID: 89677Q107

Ticker: TCOM

Shares Voted: 51,562

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Change of Company's Authorized Share<br>Capital by One-to-Eight Subdivision of Shares | Mgmt      | For      | For                  | For                 |

## Chugai Pharmaceutical Co., Ltd.

**Meeting Date:** 23/03/2021 **Record Date:** 31/12/2020

Country: Japan Meeting Type: Annual Primary Security ID: J06930101

**Ticker:** 4519

Shares Voted: 28,800

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 30 | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Oku, Masayuki                                  | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Ichimaru, Yoichiro                             | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Christoph Franz                                | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Director William N. Anderson                            | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director James H. Sabry                                 | Mgmt      | For      | For                  | For                 |
| 3                  | Appoint Statutory Auditor Ohashi, Yoshiaki                    | Mgmt      | For      | For                  | For                 |

## AhnLab, Inc.

**Meeting Date:** 24/03/2021 **Record Date:** 31/12/2020

**Country:** South Korea **Meeting Type:** Annual

**Primary Security ID:** Y0027T108

**Ticker:** 053800

Shares Voted: 18,586

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Allocation of Income | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Articles of Incorporation                       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# AhnLab, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3                  | Elect Kim Gi-in as Inside Director                                   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Total Remuneration of Inside Directors and Outside Directors | Mgmt      | For      | For                  | For                 |

# **NAVER Corp.**

**Meeting Date:** 24/03/2021 **Record Date:** 31/12/2020

**Country:** South Korea **Meeting Type:** Annual

**Primary Security ID:** Y62579100

Ticker: 035420

Shares Voted: 31,708

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Allocation of Income                      | Mgmt      | For      | For                  | For                 |
| 2.1                | Amend Articles of Incorporation (Company Address)                          | Mgmt      | For      | For                  | For                 |
| 2.2                | Amend Articles of Incorporation (Transfer Agent)                           | Mgmt      | For      | For                  | For                 |
| 2.3                | Amend Articles of Incorporation (Register of Shareholders)                 | Mgmt      | For      | For                  | For                 |
| 2.4                | Amend Articles of Incorporation (Register of Shareholders)                 | Mgmt      | For      | For                  | For                 |
| 2.5                | Amend Articles of Incorporation (Directors' Term of Office)                | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Choi In-hyuk as Inside Director                                      | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Lee In-moo as Outside Director to Serve as an Audit Committee Member | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Lee Geon-hyeok as Outside Director                                   | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Lee Geon-hyeok as a Member of Audit Committee                        | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Total Remuneration of Inside Directors and Outside Directors       | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Stock Option Grants  | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Stock Option Grants  | Mgmt      | For      | For                  | For                 |

## **Givaudan SA**

Meeting Date: 25/03/2021 Record Date: **Country:** Switzerland **Meeting Type:** Annual

Primary Security ID: H3238Q102

Ticker: GIVN

Reporting Period: 01/07/2020 to 30/06/2021

## **Givaudan SA**

Shares Voted: 830

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation of Income and Dividends of CHF 64 per Share   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Discharge of Board of Directors  | Mgmt      | For      | For                  | For                 |
| 5.1.1              | Reelect Victor Balli as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.2              | Reelect Werner Bauer as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.3              | Reelect Lilian Biner as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.4              | Reelect Michael Carlos as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.5              | Reelect Ingrid Deltenre as Director  | Mgmt      | For      | For                  | For                 |
| 5.1.6              | Reelect Olivier Filliol as Director  | Mgmt      | For      | For                  | For                 |
| 5.1.7              | Reelect Sophie Gasperment as Director  | Mgmt      | For      | For                  | For                 |
| 5.1.8              | Reelect Calvin Grieder as Director and Board Chairman  | Mgmt      | For      | For                  | For                 |
| 5.2.1              | Reappoint Werner Bauer as Member of the Compensation Committee   | Mgmt      | For      | For                  | For                 |
| 5.2.2              | Reappoint Ingrid Deltenre as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 5.2.3              | Reappoint Victor Balli as Member of the Compensation Committee   | Mgmt      | For      | For                  | For                 |
| 5.3                | Designate Manuel Isler as Independent Proxy  | Mgmt      | For      | For                  | For                 |
| 5.4                | Ratify Deloitte AG as Auditors   | Mgmt      | For      | For                  | For                 |
| 6.1                | Approve Remuneration of Directors in the Amount of CHF 3.3 Million   | Mgmt      | For      | For                  | For                 |
| 6.2.1              | Approve Short Term Variable Remuneration of Executive Committee in the Amount of CHF 4.8 Million                 | Mgmt      | For      | For                  | For                 |
| 6.2.2              | Approve Fixed and Long Term Variable<br>Remuneration of Executive Committee in the<br>Amount of CHF 15.4 Million | Mgmt      | For      | For                  | For                 |
| 7                  | Transact Other Business (Voting)   | Mgmt      | For      | Against              | Against             |

## **NCsoft Corp.**

Meeting Date: 25/03/2021Country: South KoreaRecord Date: 31/12/2020Meeting Type: Annual

Primary Security ID: Y6258Y104

**Ticker:** 036570

Reporting Period: 01/07/2020 to 30/06/2021

# **NCsoft Corp.**

Shares Voted: 1,258

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Allocation of Income                              | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Articles of Incorporation  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Kim Taek-jin as Inside Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Hwang Chan-hyun as Outside Director to<br>Serve as an Audit Committee Member | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Total Remuneration of Inside Directors and Outside Directors               | Mgmt      | For      | For                  | For                 |

# **Novo Nordisk A/S**

**Meeting Date:** 25/03/2021 **Record Date:** 18/03/2021

**Country:** Denmark **Meeting Type:** Annual

**Primary Security ID:** K72807132

Ticker: NOVO.B

Shares Voted: 83,846

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation of Income and Dividends of DKK 5.85 Per Share   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report (Advisory Vote)  | Mgmt      | For      | For                  | For                 |
| 5.1                | Approve Remuneration of Directors for 2020 in the Aggregate Amount of DKK 17 Million   | Mgmt      | For      | For                  | For                 |
| 5.2                | Approve Remuneration of Directors for 2021 in<br>the Amount of DKK 2.2 Million for the Chairman,<br>DKK 1.47 Million for the Vice Chairman, and<br>DKK 736,000 for Other Directors; Approve<br>Remuneration for Committee Work | Mgmt      | For      | For                  | For                 |
| 6.1                | Reelect Helge Lund as Director and Board<br>Chairman   | Mgmt      | For      | For                  | For                 |
| 6.2                | Reelect Jeppe Christiansen as Director and Vice Chairman   | Mgmt      | For      | For                  | For                 |
| 6.3a               | Reelect Laurence Debroux as Director   | Mgmt      | For      | For                  | For                 |
| 6.3b               | Reelect Andreas Fibig as Director  | Mgmt      | For      | For                  | For                 |
| 6.3c               | Reelect Sylvie Gregoire as Director  | Mgmt      | For      | For                  | For                 |
| 6.3d               | Reelect Kasim Kutay as Director  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Novo Nordisk A/S**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 6.3e               | Reelect Martin Mackay as Director  | Mgmt      | For      | For                  | For                 |
| 6.3f               | Elect Henrik Poulsen as New Director   | Mgmt      | For      | For                  | For                 |
| 7                  | Ratify Deloitte as Auditors  | Mgmt      | For      | For                  | For                 |
| 8.1                | Approve DKK 8 Million Reduction in Share<br>Capital via B Share Cancellation   | Mgmt      | For      | For                  | For                 |
| 8.2                | Authorize Share Repurchase Program   | Mgmt      | For      | For                  | For                 |
| 8.3a               | Amend Articles Re: Delete Authorization to Increase Share Capital  | Mgmt      | For      | For                  | For                 |
| 8.3b               | Approve Creation of DKK 46.2 Million Pool of<br>Capital with Preemptive Rights; Approve<br>Creation of DKK 46.2 Million Pool of Capital<br>without Preemptive Rights; Maximum Increase<br>in Share Capital under Both Authorizations up to<br>DKK 46.2 Million | Mgmt      | For      | For                  | For                 |
| 8.4a               | Approve Indemnification of Members of the Board of Directors   | Mgmt      | For      | For                  | For                 |
| 8.4b               | Approve Indemnification of Members of Executive Management   | Mgmt      | For      | For                  | For                 |
| 8.5                | Amend Guidelines for Incentive-Based<br>Compensation for Executive Management and<br>Board   | Mgmt      | For      | For                  | For                 |
| 8.6a               | Allow Shareholder Meetings to be Held Partially or Fully by Electronic Means   | Mgmt      | For      | Against              | Against             |
| 8.6b               | Allow Electronic Distribution of Company Communication   | Mgmt      | For      | For                  | For                 |
| 8.6c               | Amend Articles Re: Differentiation of Votes  | Mgmt      | For      | For                  | For                 |
| 8.7                | Initiate Plan for Changed Ownership  | SH        | Against  | Against              | Against             |

# **Trend Micro, Inc.**

**Meeting Date:** 25/03/2021 **Record Date:** 31/12/2020

Country: Japan Meeting Type: Annual Primary Security ID: J9298Q104

Ticker: 4704

Shares Voted: 112,600

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 153                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Articles to Reduce Directors'<br>Term - Authorize Board to Determine Income<br>Allocation | Mgmt      | For      | Against              | Against             |
| 3.1                | Elect Director Chang Ming-Jang  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Trend Micro, Inc.**

| Proposal<br>Number | Proposal Text                             | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3.2                | Elect Director Eva Chen                   | Mgmt      | For      | For                  | For                 |
| 3.3                | Elect Director Mahendra Negi              | Mgmt      | For      | For                  | For                 |
| 3.4                | Elect Director Omikawa, Akihiko           | Mgmt      | For      | For                  | For                 |
| 3.5                | Elect Director Nonaka, Ikujiro            | Mgmt      | For      | For                  | For                 |
| 3.6                | Elect Director Koga, Tetsuo               | Mgmt      | For      | For                  | For                 |
| 4.1                | Appoint Statutory Auditor Sempo, Masaru   | Mgmt      | For      | For                  | For                 |
| 4.2                | Appoint Statutory Auditor Hasegawa, Fumio | Mgmt      | For      | For                  | For                 |
| 4.3                | Appoint Statutory Auditor Kameoka, Yasuo  | Mgmt      | For      | For                  | For                 |
| 4.4                | Appoint Statutory Auditor Fujita, Koji    | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Stock Option Plan                 | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

## **Netmarble Corp.**

**Meeting Date:** 26/03/2021 **Record Date:** 31/12/2020

**Country:** South Korea **Meeting Type:** Annual

Primary Security ID: Y6S5CG100

**Ticker:** 251270

Shares Voted: 4,341

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Allocation of Income                | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Articles of Incorporation                                      | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Total Remuneration of Inside Directors and Outside Directors | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Terms of Retirement Pay                                      | Mgmt      | For      | For                  | For                 |

## **Alibaba Health Information Technology Limited**

**Meeting Date:** 29/03/2021 **Record Date:** 23/03/2021

**Country:** Bermuda **Meeting Type:** Special Primary Security ID: G0171K101

Ticker: 241

Shares Voted: 974,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve 2022 Logistics Services Framework<br>Agreement, Proposed Annual Cap and Related<br>Transactions | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Alibaba Health Information Technology Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve 2022 Platform Services Framework<br>Agreement, Proposed Annual Cap and Related<br>Transactions    | Mgmt      | For      | For                  | For                 |
| 3                  | Approve 2022 Advertising Services Framework<br>Agreement, Proposed Annual Cap and Related<br>Transactions | Mgmt      | For      | For                  | For                 |
| 4                  | Approve 2022 Framework Technical Services<br>Agreement, Proposed Annual Cap and Related<br>Transactions   | Mgmt      | For      | For                  | For                 |
| 5                  | Authorize the Board to Deal with All Related Matters in Relation to Resolutions 1 to 4                    | Mgmt      | For      | For                  | For                 |

## **Bega Cheese Limited**

**Meeting Date:** 29/03/2021 **Record Date:** 27/03/2021

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q14034104

Ticker: BGA

Shares Voted: 744,332

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Assistance in Relation to the Acquisition of Bega Dairy and Drinks Pty Ltd | Mgmt      | For      | For                  | For                 |

## Kakao Corp.

**Meeting Date:** 29/03/2021 **Record Date:** 31/12/2020

**Country:** South Korea **Meeting Type:** Annual

Primary Security ID: Y4519H119

Ticker: 035720

Shares Voted: 11,447

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Allocation of Income                    | Mgmt      | For      | For                  | For                 |
| 2.1                | Amend Articles of Incorporation (Business Objectives)                    | Mgmt      | For      | For                  | For                 |
| 2.2                | Amend Articles of Incorporation (Amendments Relating to Fiscal Year End) | Mgmt      | For      | For                  | For                 |
| 2.3                | Amend Articles of Incorporation (Amendments Relating to Audit Committee) | Mgmt      | For      | For                  | For                 |
| 2.4                | Amend Articles of Incorporation (Miscellaneous)                          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## Kakao Corp.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2.5                | Amend Articles of Incorporation (Stock Split)                            | Mgmt      | For      | For                  | For                 |
| 3.1                | Elect Choi Se-jung as Outside Director                                   | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Cho Kyu-jin as Outside Director                                    | Mgmt      | For      | For                  | For                 |
| 3.3                | Elect Park Sae-rom as Outside Director                                   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Yun Seok as Outside Director to Serve as an Audit Committee Member | Mgmt      | For      | For                  | For                 |
| 5.1                | Elect Choi Se-jung as a Member of Audit Committee                        | Mgmt      | For      | For                  | For                 |
| 5.2                | Elect Cho Kyu-jin as a Member of Audit<br>Committee                      | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Total Remuneration of Inside Directors and Outside Directors     | Mgmt      | For      | Against              | Against             |
| 7                  | Approve Stock Option Grants  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Split-Off Agreement  | Mgmt      | For      | For                  | For                 |

# **SK Holdings Co., Ltd.**

**Meeting Date:** 29/03/2021 **Record Date:** 31/12/2020

**Country:** South Korea **Meeting Type:** Annual

**Primary Security ID:** Y8T642129

**Ticker:** 034730

Shares Voted: 8,194

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Allocation of Income                            | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Articles of Incorporation  | Mgmt      | For      | For                  | For                 |
| 3.1                | Elect Cho Dae-sik as Inside Director   | Mgmt      | For      | Against              | Against             |
| 3.2                | Elect Kim Seon-hui as Outside Director   | Mgmt      | For      | Against              | Against             |
| 4                  | Elect Lee Chan-geun as Outside Director to<br>Serve as an Audit Committee Member | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Total Remuneration of Inside Directors and Outside Directors             | Mgmt      | For      | For                  | For                 |

## **Neste Corp.**

Meeting Date: 30/03/2021 Record Date: 18/03/2021 **Country:** Finland **Meeting Type:** Annual

Primary Security ID: X5688A109

Ticker: NESTE

Reporting Period: 01/07/2020 to 30/06/2021

# **Neste Corp.**

Shares Voted: 86,765

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 7                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Allocation of Income and Dividends of EUR 0.80 Per Share   | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Discharge of Board and President   | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Remuneration Report (Advisory Vote)  | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Remuneration of Directors in the<br>Amount of EUR 67,900 for Chairman, EUR<br>49,600 for Vice Chairman, and EUR 35,700 for<br>Other Directors; Approve Remuneration for<br>Committee Work; Approve Meeting Fees            | SH        | None     | For                  | For                 |
| 12                 | Fix Number of Directors at Nine  | Mgmt      | For      | For                  | For                 |
| 13                 | Reelect Matti Kahkonen (Chair), Sonat Burman<br>Olsson, Nick Elmslie, Martina Floel,<br>Jean-Baptiste Renard, Jari Rosendal, Johanna<br>Soderstrom and Marco Wiren (Vice Chair) as<br>Directors; Elect John Abbott as New Director | Mgmt      | For      | For                  | For                 |
| 14                 | Approve Remuneration of Auditors   | Mgmt      | For      | For                  | For                 |
| 15                 | Ratify KPMG as Auditors  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorize Share Repurchase Program   | Mgmt      | For      | For                  | For                 |
| 17                 | Amend Articles Re: Number of Directors;<br>Auditors; Notice of General Meeting;<br>Remuneration Policy and Report on the Agenda<br>of AGMs   | Mgmt      | For      | For                  | For                 |

# Rakuten Group, Inc.

**Meeting Date:** 30/03/2021 **Record Date:** 31/12/2020

Country: Japan Meeting Type: Annual Primary Security ID: J64264104

**Ticker:** 4755

Shares Voted: 77,300

| Proposal<br>Number | Proposal Text                         | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Amend Articles to Change Company Name | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Mikitani, Hiroshi      | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Hosaka, Masayuki       | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Charles B. Baxter      | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## Rakuten Group, Inc.

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 2.4                | Elect Director Hyakuno, Kentaro    | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Kutaragi, Ken       | Mgmt      | For      | For                  | For                 |
| 2.6                | Elect Director Sarah J. M. Whitley | Mgmt      | For      | For                  | For                 |
| 2.7                | Elect Director Mitachi, Takashi    | Mgmt      | For      | For                  | For                 |
| 2.8                | Elect Director Murai, Jun          | Mgmt      | For      | For                  | For                 |
| 2.9                | Elect Director John V. Roos        | Mgmt      | For      | For                  | For                 |

## SK hynix, Inc.

**Meeting Date:** 30/03/2021 **Record Date:** 31/12/2020

**Country:** South Korea **Meeting Type:** Annual

Primary Security ID: Y8085F100

**Ticker:** 000660

Shares Voted: 110,740

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Allocation of Income                           | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Park Jung-Ho as Inside Director   | Mgmt      | For      | For                  | For                 |
| 3.1                | Elect Song Ho-Keun as Outside Director  | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Cho Hyun-Jae as Outside Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Yoon Tae-Hwa as Outside Director to<br>Serve as an Audit Committee Member | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Total Remuneration of Inside Directors and Outside Directors            | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Stock Option Grants   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Stock Option Grants   | Mgmt      | For      | For                  | For                 |

### **Swisscom AG**

Meeting Date: 31/03/2021 Record Date: **Country:** Switzerland **Meeting Type:** Annual

Primary Security ID: H8398N104

Ticker: SCMN

Shares Voted: 2,828

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Swisscom AG**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.2                | Approve Remuneration Report (Non-Binding)                                    | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Allocation of Income and Dividends of CHF 22 per Share               | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Board and Senior<br>Management                          | Mgmt      | For      | For                  | For                 |
| 4.1                | Reelect Roland Abt as Director   | Mgmt      | For      | For                  | For                 |
| 4.2                | Reelect Alain Carrupt as Director  | Mgmt      | For      | For                  | For                 |
| 4.3                | Elect Guus Dekkers as Director   | Mgmt      | For      | For                  | For                 |
| 4.4                | Reelect Frank Esser as Director  | Mgmt      | For      | For                  | For                 |
| 4.5                | Reelect Barbara Frei as Director   | Mgmt      | For      | For                  | For                 |
| 4.6                | Reelect Sandra Lathion-Zweifel as Director                                   | Mgmt      | For      | For                  | For                 |
| 4.7                | Reelect Anna Mossberg as Director  | Mgmt      | For      | For                  | For                 |
| 4.8                | Reelect Michael Rechsteiner as Director                                      | Mgmt      | For      | For                  | For                 |
| 4.9                | Elect Michael Rechsteiner as Board Chairman                                  | Mgmt      | For      | For                  | For                 |
| 5.1                | Reappoint Roland Abt as Member of the Compensation Committee                 | Mgmt      | For      | For                  | For                 |
| 5.2                | Reappoint Frank Esser as Member of the Compensation Committee                | Mgmt      | For      | For                  | For                 |
| 5.3                | Reappoint Barbara Frei as Member of the Compensation Committee               | Mgmt      | For      | For                  | For                 |
| 5.4                | Appoint Michael Rechsteiner as Member of the Compensation Committee          | Mgmt      | For      | For                  | For                 |
| 5.5                | Reappoint Renzo Simoni as Member of the Compensation Committee               | Mgmt      | For      | For                  | For                 |
| 6.1                | Approve Remuneration of Directors in the Amount of CHF 2.5 Million           | Mgmt      | For      | For                  | For                 |
| 6.2                | Approve Remuneration of Executive Committee in the Amount of CHF 8.7 Million | Mgmt      | For      | For                  | For                 |
| 7                  | Designate Reber Rechtsanwaelte as<br>Independent Proxy                       | Mgmt      | For      | For                  | For                 |
| 8                  | Ratify PricewaterhouseCoopers AG as Auditors                                 | Mgmt      | For      | For                  | For                 |
| 9                  | Transact Other Business (Voting)   | Mgmt      | For      | Against              | Against             |

## **OZ Minerals Ltd.**

Meeting Date: 01/04/2021 Record Date: 30/03/2021 **Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q7161P122

Ticker: OZL

Reporting Period: 01/07/2020 to 30/06/2021

## **OZ Minerals Ltd.**

Shares Voted: 390,890

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Peter Wasow as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Long Term Incentive Grant of<br>Performance Rights to Andrew Cole | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Short Term Incentive Grant of Performance Rights to Andrew Cole   | Mgmt      | For      | For                  | For                 |

### **Broadcom Inc.**

**Meeting Date:** 05/04/2021 **Record Date:** 08/02/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 11135F101

Ticker: AVGO

Shares Voted: 39,551

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec   | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|------------|----------------------|---------------------|
|                    | Troposur rext  | Торонене  | rigine Rec | 1 oney Rec           | Instruction .       |
| 1a                 | Elect Director Diane M. Bryant                                 | Mgmt      | For        | For                  | For                 |
| 1b                 | Elect Director Gayla J. Delly                                  | Mgmt      | For        | For                  | For                 |
| 1c                 | Elect Director Raul J. Fernandez                               | Mgmt      | For        | For                  | For                 |
| 1d                 | Elect Director Eddy W. Hartenstein                             | Mgmt      | For        | For                  | For                 |
| 1e                 | Elect Director Check Kian Low                                  | Mgmt      | For        | For                  | For                 |
| 1f                 | Elect Director Justine F. Page                                 | Mgmt      | For        | For                  | For                 |
| <b>1</b> g         | Elect Director Henry Samueli                                   | Mgmt      | For        | For                  | For                 |
| 1h                 | Elect Director Hock E. Tan                                     | Mgmt      | For        | For                  | For                 |
| <b>1</b> i         | Elect Director Harry L. You                                    | Mgmt      | For        | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For        | For                  | For                 |
|                    |  |           |            |                      |                     |
| 3                  | Amend Omnibus Stock Plan                                       | Mgmt      | For        | Against              | Against             |
| 4                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For        | For                  | For                 |

# **Advanced Micro Devices, Inc.**

**Meeting Date:** 07/04/2021 **Record Date:** 10/02/2021

Country: USA
Meeting Type: Special

Primary Security ID: 007903107

Ticker: AMD

Reporting Period: 01/07/2020 to 30/06/2021

## **Advanced Micro Devices, Inc.**

Shares Voted: 118,447

| Proposal<br>Number | Proposal Text                          | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Issue Shares in Connection with Merger | Mgmt      | For      | For                  | For                 |
| 2                  | Adjourn Meeting                        | Mgmt      | For      | For                  | For                 |

## Schlumberger N.V.

**Meeting Date:** 07/04/2021 **Record Date:** 17/02/2021

**Country:** Curacao **Meeting Type:** Annual Primary Security ID: 806857108

Ticker: SLB

Shares Voted: 269,499

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Patrick de La Chevardiere                       | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Miguel M. Galuccio                              | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Olivier Le Peuch                                | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Tatiana A. Mitrova                              | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Maria Moræus Hanssen                            | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Mark G. Papa                                    | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Henri Seydoux                                   | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Jeff W. Sheets                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Adopt and Approve Financials and Dividends                     | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 5                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 6                  | Amend Qualified Employee Stock Purchase Plan                   | Mgmt      | For      | For                  | For                 |
| 7                  | Amend Non-Employee Director Restricted Stock Plan              | Mgmt      | For      | For                  | For                 |

## Xilinx, Inc.

**Meeting Date:** 07/04/2021 **Record Date:** 10/02/2021

Country: USA

Meeting Type: Special

Primary Security ID: 983919101

Ticker: XLNX

Reporting Period: 01/07/2020 to 30/06/2021

# Xilinx, Inc.

Shares Voted: 24,168

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Merger Agreement           | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote on Golden Parachutes | Mgmt      | For      | For                  | For                 |
| 3                  | Adjourn Meeting                    | Mgmt      | For      | For                  | For                 |

# **Zurich Insurance Group AG**

**Meeting Date:** 07/04/2021

Record Date:

**Country:** Switzerland **Meeting Type:** Annual

**Primary Security ID:** H9870Y105

Ticker: ZURN

Shares Voted: 14,895

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 1.2                | Approve Remuneration Report (Non-Binding)  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Allocation of Income and Dividends of CHF 20.00 per Share                                      | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Board and Senior<br>Management  | Mgmt      | For      | For                  | For                 |
| 4.1a               | Reelect Michel Lies as Director and Board<br>Chairman  | Mgmt      | For      | For                  | For                 |
| 4.1b               | Reelect Joan Amble as Director   | Mgmt      | For      | For                  | For                 |
| 4.1c               | Reelect Catherine Bessant as Director  | Mgmt      | For      | For                  | For                 |
| 4.1d               | Reelect Dame Carnwath as Director  | Mgmt      | For      | For                  | For                 |
| 4.1e               | Reelect Christoph Franz as Director  | Mgmt      | For      | For                  | For                 |
| 4.1f               | Reelect Michael Halbherr as Director   | Mgmt      | For      | For                  | For                 |
| 4.1g               | Reelect Jeffrey Hayman as Director (pro-forma vote as Jeffrey Hayman is not available for appointment) | Mgmt      | For      | For                  | For                 |
| 4.1h               | Reelect Monica Maechler as Director  | Mgmt      | For      | For                  | For                 |
| 4.1i               | Reelect Kishore Mahbubani as Director  | Mgmt      | For      | For                  | For                 |
| 4.1j               | Reelect Jasmin Staiblin as Director  | Mgmt      | For      | For                  | For                 |
| 4.1k               | Reelect Barry Stowe as Director  | Mgmt      | For      | For                  | For                 |
| 4.11               | Elect Sabine Keller-Busse as Director  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Zurich Insurance Group AG**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 4.2.1              | Reappoint Michel Lies as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 4.2.2              | Reappoint Catherine Bessant as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 4.2.3              | Reappoint Christoph Franz as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 4.2.4              | Reappoint Kishore Mahbubani as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 4.2.5              | Reappoint Jasmin Staiblin as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 4.2.6              | Appoint Sabine Keller-Busse as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 4.3                | Designate Keller KLG as Independent Proxy  | Mgmt      | For      | For                  | For                 |
| 4.4                | Ratify Ernst & Young AG as Auditors  | Mgmt      | For      | For                  | For                 |
| 5.1                | Approve Remuneration of Directors in the Amount of CHF 5.9 Million   | Mgmt      | For      | For                  | For                 |
| 5.2                | Approve Remuneration of Executive Committee in the Amount of CHF 79.8 Million  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Extension of Existing Authorized Capital<br>Pool of CHF 4.5 Million with Partial Exclusion of<br>Preemptive Rights and Approve Amendment to<br>Existing Conditional Capital Pool | Mgmt      | For      | For                  | For                 |
| 7                  | Transact Other Business (Voting)   | Mgmt      | For      | Against              | Against             |

## **Adecco Group AG**

Meeting Date: 08/04/2021 Record Date: **Country:** Switzerland **Meeting Type:** Annual

Primary Security ID: H00392318

Ticker: ADEN

Shares Voted: 17,331

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Accept Financial Statements and Statutory Reports                  | Mgmt      | For      | For                  | For                 |
| 1.2                | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Allocation of Income and Dividends of CHF 2.50 per Share   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Board and Senior<br>Management                | Mgmt      | For      | For                  | For                 |
| 4.1                | Approve Remuneration of Directors in the Amount of CHF 5.1 Million | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Adecco Group AG**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4.2                | Approve Remuneration of Executive Committee in the Amount of CHF 32 Million | Mgmt      | For      | For                  | For                 |
| 5.1.1              | Reelect Jean-Christophe Deslarzes as Director and Board Chairman            | Mgmt      | For      | For                  | For                 |
| 5.1.2              | Reelect Ariane Gorin as Director  | Mgmt      | For      | For                  | For                 |
| 5.1.3              | Reelect Alexander Gut as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.4              | Reelect Didier Lamouche as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.5              | Reelect David Prince as Director  | Mgmt      | For      | For                  | For                 |
| 5.1.6              | Reelect Kathleen Taylor as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.7              | Reelect Regula Wallimann as Director  | Mgmt      | For      | For                  | For                 |
| 5.1.8              | Elect Rachel Duan as Director   | Mgmt      | For      | For                  | For                 |
| 5.2.1              | Reappoint Kathleen Taylor as Member of the Compensation Committee           | Mgmt      | For      | For                  | For                 |
| 5.2.2              | Reappoint Didier Lamouche as Member of the Compensation Committee           | Mgmt      | For      | For                  | For                 |
| 5.2.3              | Appoint Rachel Duan as Member of the Compensation Committee                 | Mgmt      | For      | For                  | For                 |
| 5.3                | Designate Keller KLG as Independent Proxy                                   | Mgmt      | For      | For                  | For                 |
| 5.4                | Ratify Ernst & Young AG as Auditors   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Creation of CHF 815,620 Pool of Capital without Preemptive Rights   | Mgmt      | For      | For                  | For                 |
| 7                  | Transact Other Business (Voting)  | Mgmt      | For      | Against              | Against             |

## **Alfen NV**

**Meeting Date:** 08/04/2021 **Record Date:** 11/03/2021

**Country:** Netherlands **Meeting Type:** Annual

Primary Security ID: N0227W101

Ticker: ALFEN

 $\textbf{Shares Voted:}\ 189$ 

| Proposal<br>Number | Proposal Text                          | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2.b                | Approve Remuneration Report            | Mgmt      | For      | For                  | For                 |
| 3                  | Adopt Financial Statements             | Mgmt      | For      | For                  | For                 |
| 5.a                | Approve Discharge of Management Board  | Mgmt      | For      | For                  | For                 |
| 5.b                | Approve Discharge of Supervisory Board | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Alfen NV**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 6.a                | Grant Board Authority to Issue Shares Up to 10<br>Percent of Issued Capital and Restrict/Exclude<br>Preemptive Rights | Mgmt      | For      | For                  | For                 |
| 6.b                | Authorize Repurchase of Up to 10 Percent of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
| 7                  | Ratify PricewaterhouseCoopers Accountants N.V. as Auditors  | Mgmt      | For      | For                  | For                 |

# **Nokia Oyj**

**Meeting Date:** 08/04/2021 **Record Date:** 25/03/2021

**Country:** Finland **Meeting Type:** Annual

**Primary Security ID:** X61873133

Ticker: NOKIA

Shares Voted: 623,953

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 7                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Treatment of Net Loss  | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Discharge of Board and President   | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Remuneration Report (Advisory Vote)  | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Remuneration of Directors in the Amount of EUR 440,000 to Chair, EUR 185,000 to Vice Chair and EUR 160,000 to Other Directors; Approve Remuneration for Committee Work; Approve Meeting Fees | Mgmt      | For      | For                  | For                 |
| 12                 | Fix Number of Directors at Eight   | Mgmt      | For      | For                  | For                 |
| 13                 | Reelect Sari Baldauf, Bruce Brown, Thomas<br>Dannenfeldt, Jeanette Horan, Edward Kozel,<br>Soren Skou, Carla Smits-Nusteling, and Kari<br>Stadigh as Directors                                       | Mgmt      | For      | Against              | Against             |
| 14                 | Approve Remuneration of Auditors   | Mgmt      | For      | For                  | For                 |
| 15                 | Ratify Deloitte as Auditors  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorize Share Repurchase Program   | Mgmt      | For      | For                  | For                 |
| 17                 | Approve Issuance of up to 550 Million Shares without Preemptive Rights   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Scentre Group**

**Meeting Date:** 08/04/2021 **Record Date:** 06/04/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8351E109

Ticker: SCG

**Shares Voted:** 5,991,899

| Proposal<br>Number | Proposal Text                                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                        | Mgmt      | For      | Against              | Against             |
| 3                  | Elect Carolyn Kay as Director                      | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Margaret Seale as Director                   | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Guy Russo as Director                        | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Grant of Performance Rights to Peter Allen | Mgmt      | For      | Against              | Against             |

# Synopsys, Inc.

**Meeting Date:** 08/04/2021 **Record Date:** 09/02/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 871607107

Ticker: SNPS

Shares Voted: 14,856

| Proposal   | Decreed Task  | Description | Marris Dan | Voting     | Vote<br>Instruction |
|------------|---|-------------|------------|------------|---------------------|
| Number     | Proposal Text   | Proponent   | Mgmt Rec   | Policy Rec | Instruction         |
| 1a         | Elect Director Aart J. de Geus                                      | Mgmt        | For        | For        | For                 |
| 1b         | Elect Director Chi-Foon Chan  | Mgmt        | For        | For        | For                 |
| 1c         | Elect Director Janice D. Chaffin                                    | Mgmt        | For        | For        | For                 |
| 1d         | Elect Director Bruce R. Chizen                                      | Mgmt        | For        | For        | For                 |
| 1e         | Elect Director Mercedes Johnson                                     | Mgmt        | For        | For        | For                 |
| 1f         | Elect Director Chrysostomos L. "Max" Nikias                         | Mgmt        | For        | For        | For                 |
| 1g         | Elect Director Jeannine P. Sargent                                  | Mgmt        | For        | For        | For                 |
| 1h         | Elect Director John Schwarz   | Mgmt        | For        | For        | For                 |
| <b>1</b> i | Elect Director Roy Vallee   | Mgmt        | For        | For        | For                 |
| 2          | Amend Omnibus Stock Plan  | Mgmt        | For        | For        | For                 |
| 3          | Advisory Vote to Ratify Named Executive Officers' Compensation      | Mgmt        | For        | For        | For                 |
| 4          | Ratify KPMG LLP as Auditors   | Mgmt        | For        | For        | For                 |
| 5          | Reduce Ownership Threshold for Shareholders to Call Special Meeting | SH          | Against    | For        | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Vestas Wind Systems A/S**

**Meeting Date:** 08/04/2021 **Record Date:** 01/04/2021

**Country:** Denmark **Meeting Type:** Annual

Primary Security ID: K9773J201

Ticker: VWS

Shares Voted: 40,289

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation of Income and Dividends of DKK 8.45 Per Share   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report (Advisory Vote)  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration of Directors in the<br>Amount of DKK 1.3 Million for Chairman, DKK<br>892,500 for Vice Chairman and DKK 446,250 for<br>Other Directors; Approve Remuneration for<br>Committee Work  | Mgmt      | For      | For                  | For                 |
| 6.a                | Reelect Anders Runevad as Director   | Mgmt      | For      | For                  | For                 |
| 6.b                | Reelect Bert Nordberg as Director  | Mgmt      | For      | For                  | For                 |
| 6.c                | Reelect Bruce Grant as Director  | Mgmt      | For      | For                  | For                 |
| 6.d                | Reelect Eva Merete Sofelde Berneke as Director   | Mgmt      | For      | For                  | For                 |
| 6.e                | Reelect Helle Thorning-Schmidt as Director   | Mgmt      | For      | For                  | For                 |
| 6.f                | Reelect Karl-Henrik Sundstrom as Director  | Mgmt      | For      | For                  | For                 |
| 6.g                | Reelect Lars Josefsson as Director   | Mgmt      | For      | For                  | For                 |
| 6.h                | Elect Kentaro Hosomi as New Director   | Mgmt      | For      | For                  | For                 |
| 7                  | Ratify PricewaterhouseCoopers as Auditors  | Mgmt      | For      | For                  | For                 |
| 8.1                | Approve Guidelines for Incentive-Based<br>Compensation for Executive Management and<br>Board   | Mgmt      | For      | For                  | For                 |
| 8.2                | Amend Articles Re: Change Par Value from DKK 1 to DKK 0.01 or Multiples Thereof  | Mgmt      | For      | For                  | For                 |
| 8.3                | Approve Creation of DKK 20.2 Million Pool of<br>Capital with Preemptive Rights; Approve<br>Creation of DKK 20.2 Million Pool of Capital<br>without Preemptive Rights; Maximum Increase<br>in Share Capital under Both Authorizations up to<br>DKK 20.2 Million | Mgmt      | For      | For                  | For                 |
| 8.4                | Allow Shareholder Meetings to be Held by Electronic Means Only   | Mgmt      | For      | For                  | For                 |
| 8.5                | Allow Electronic Distribution of Company Communication   | Mgmt      | For      | For                  | For                 |
| 8.6                | Authorize Board to Decide on the Distribution of Extraordinary Dividends   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Vestas Wind Systems A/S**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 8.7                | Authorize Share Repurchase Program   | Mgmt      | For      | For                  | For                 |
| 9                  | Authorize Editorial Changes to Adopted<br>Resolutions in Connection with Registration with<br>Danish Authorities | Mgmt      | For      | For                  | For                 |

### **Rio Tinto Plc**

**Meeting Date:** 09/04/2021 **Record Date:** 07/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G75754104

Ticker: RIO

Shares Voted: 86,909

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports          | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Policy                                   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report for UK Law Purposes               | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Remuneration Report for Australian<br>Law Purposes    | Mgmt      | For      | Against              | Against             |
| 5                  | Re-elect Megan Clark as Director                              | Mgmt      | For      | Against              | Against             |
| 6                  | Re-elect Hinda Gharbi as Director                             | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Simon Henry as Director                              | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Sam Laidlaw as Director                              | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Simon McKeon as Director                             | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Jennifer Nason as Director                           | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Jakob Stausholm as Director                          | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Simon Thompson as Director                           | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Ngaire Woods as Director                             | Mgmt      | For      | For                  | For                 |
| 14                 | Reappoint KPMG LLP as Auditors                                | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise UK Political Donations and Expenditure              | Mgmt      | For      | For                  | For                 |
| 17                 | Approve Global Employee Share Plan                            | Mgmt      | None     | For                  | For                 |
| 18                 | Approve UK Share Plan   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity                                     | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Rio Tinto Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 20                 | Authorise Issue of Equity without Pre-emptive Rights                 | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Market Purchase of Ordinary Shares                         | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt      | For      | For                  | For                 |

### **Acciona SA**

**Meeting Date:** 12/04/2021 **Record Date:** 07/04/2021

**Country:** Spain **Meeting Type:** Special

Primary Security ID: E0008Z109

Ticker: ANA

Shares Voted: 912

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Public Offering of Shares in Subsidiary<br>Acciona Energias Renovables SA | Mgmt      | For      | For                  | For                 |

### **EDP Renovaveis SA**

**Meeting Date:** 12/04/2021 **Record Date:** 01/04/2021

Country: Spain
Meeting Type: Annual

Primary Security ID: E3847K101

Ticker: EDPR

Shares Voted: 5,895

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Consolidated and Standalone Financial Statements  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Allocation of Income and Dividends  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Consolidated and Standalone<br>Management Reports, and Corporate<br>Governance Report                     | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Non-Financial Information Statement   | Mgmt      | For      | For                  | For                 |
| 5                  | Appraise Management of Company and Approve<br>Vote of Confidence to Board of Directors and<br>Executive Committee | Mgmt      | For      | For                  | For                 |
| 6a                 | Reelect Miguel Stilwell de Andrade as Director  | Mgmt      | For      | For                  | For                 |
| 6b                 | Reelect Rui Manuel Rodrigues Lopes Teixeira as Director   | Mgmt      | For      | For                  | For                 |
| 6c                 | Reelect Vera de Morais Pinto Pereira Carneiro as Director   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **EDP Renovaveis SA**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 6d                 | Reelect Ana Paula Garrido de Pina Marques as<br>Director   | Mgmt      | For      | For                  | For                 |
| 6e                 | Reelect Manuel Menendez Menendez as Director               | Mgmt      | For      | For                  | For                 |
| 6f                 | Reelect Acacio Liberado Mota Piloto as Director            | Mgmt      | For      | For                  | For                 |
| 6g                 | Reelect Allan J. Katz as Director                          | Mgmt      | For      | For                  | For                 |
| 6h                 | Reelect Joan Avalyn Dempsey as Director                    | Mgmt      | For      | For                  | For                 |
| 6i                 | Elect Antonio Sarmento Gomes Mota as Director              | Mgmt      | For      | For                  | For                 |
| 6j                 | Elect Miguel Nuno Simoes Nunes Ferreira Setas as Director  | Mgmt      | For      | For                  | For                 |
| 6k                 | Elect Rosa Maria Garcia Garcia as Director                 | Mgmt      | For      | For                  | For                 |
| 61                 | Elect Jose Manuel Felix Morgado as Director                | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Remuneration Policy                                | Mgmt      | For      | For                  | For                 |
| 8                  | Renew PricewaterhouseCoopers as Auditor                    | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Capital Raising                                    | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Dividends Charged Against Reserves                 | Mgmt      | For      | For                  | For                 |
| 11                 | Authorize Board to Ratify and Execute Approved Resolutions | Mgmt      | For      | For                  | For                 |

# **Petroleo Brasileiro SA**

**Meeting Date:** 12/04/2021 **Record Date:** 

**Country:** Brazil **Meeting Type:** Special

Primary Security ID: P78331140

Ticker: PETR4

Shares Voted: 629,300

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Remove Directors   | Mgmt      | For      | Against              | Against             |
| 2                  | Do You Wish to Adopt Cumulative Voting for the Election of the Members of the Board of Directors, Under the Terms of Article 141 of the Brazilian Corporate Law? | Mgmt      | None     | For                  | For                 |
| 3                  | Elect Directors  | Mgmt      | For      | For                  | For                 |
| 4                  | In Case There is Any Change to the Board Slate Composition, May Your Votes Still be Counted for the Proposed Slate?  | Mgmt      | None     | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

# **Petroleo Brasileiro SA**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 5                  | In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees below? | Mgmt      | None     | Abstain              | Abstain             |
| 6.1                | Percentage of Votes to Be Assigned - Elect<br>Eduardo Bacellar Leal Ferreira as Director                       | Mgmt      | None     | Abstain              | Abstain             |
| 6.2                | Percentage of Votes to Be Assigned - Elect<br>Joaquim Silva e Luna as Director                                 | Mgmt      | None     | Abstain              | Abstain             |
| 6.3                | Percentage of Votes to Be Assigned - Elect Ruy<br>Flaks Schneider as Independent Director                      | Mgmt      | None     | Abstain              | Abstain             |
| 6.4                | Percentage of Votes to Be Assigned - Elect<br>Marcio Andrade Weber as Independent Director                     | Mgmt      | None     | Abstain              | Abstain             |
| 6.5                | Percentage of Votes to Be Assigned - Elect<br>Murilo Marroquim de Souza as Independent<br>Director             | Mgmt      | None     | Abstain              | Abstain             |
| 6.6                | Percentage of Votes to Be Assigned - Elect<br>Sonia Julia Sulzbeck Villalobos as Independent<br>Director       | Mgmt      | None     | Abstain              | Abstain             |
| 6.7                | Percentage of Votes to Be Assigned - Elect<br>Cynthia Santana Silveira as Independent<br>Director              | Mgmt      | None     | Abstain              | Abstain             |
| 6.8                | Percentage of Votes to Be Assigned - Elect Ana<br>Silvia Corso Matte as Independent Director                   | Mgmt      | None     | Abstain              | Abstain             |
| 7                  | In Case Cumulative Voting Is Adopted, Do You Wish to Equally Distribute Your Votes Amongst the Nominees below? | Mgmt      | None     | For                  | For                 |
| 8.1                | Percentage of Votes to Be Assigned - Elect<br>Eduardo Bacellar Leal Ferreira as Director                       | Mgmt      | None     | Abstain              | Abstain             |
| 8.2                | Percentage of Votes to Be Assigned - Elect<br>Joaquim Silva e Luna as Director                                 | Mgmt      | None     | Abstain              | Abstain             |
| 8.3                | Percentage of Votes to Be Assigned - Elect Ruy<br>Flaks Schneider as Independent Director                      | Mgmt      | None     | Abstain              | Abstain             |
| 8.4                | Percentage of Votes to Be Assigned - Elect<br>Marcio Andrade Weber as Independent Director                     | Mgmt      | None     | Abstain              | Abstain             |
| 8.5                | Percentage of Votes to Be Assigned - Elect<br>Murilo Marroquim de Souza as Independent<br>Director             | Mgmt      | None     | Abstain              | Abstain             |
| 8.6                | Percentage of Votes to Be Assigned - Elect<br>Sonia Julia Sulzbeck Villalobos as Independent<br>Director       | Mgmt      | None     | Abstain              | Abstain             |
| 8.7                | Percentage of Votes to Be Assigned - Elect<br>Cynthia Santana Silveira as Independent<br>Director              | Mgmt      | None     | Abstain              | Abstain             |
| 8.8                | Percentage of Votes to Be Assigned - Elect Ana<br>Silvia Corso Matte as Independent Director                   | Mgmt      | None     | Abstain              | Abstain             |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Petroleo Brasileiro SA**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 8.9                | Percentage of Votes to Be Assigned - Elect<br>Leonardo Pietro Antonelli as Director Appointed<br>by Minority Shareholder                         | SH        | None     | For                  | For                 |
| 8.10               | Percentage of Votes to Be Assigned - Elect<br>Marcelo Gasparino da Silva as Director<br>Appointed by Minority Shareholder                        | SH        | None     | For                  | For                 |
| 8.11               | Percentage of Votes to Be Assigned - Elect<br>Pedro Rodrigues Galvao de Medeiros as Director<br>Appointed by Minority Shareholder                | SH        | None     | For                  | For                 |
| 9                  | Elect Eduardo Bacellar Leal Ferreira as Board<br>Chairman  | Mgmt      | For      | For                  | For                 |
| 10                 | In the Event of a Second Call, the Voting<br>Instructions Contained in this Remote Voting<br>Card May Also be Considered for the Second<br>Call? | Mgmt      | None     | For                  | For                 |

# **IQVIA Holdings Inc.**

**Meeting Date:** 13/04/2021 **Record Date:** 12/02/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 46266C105

Ticker: IQV

Shares Voted: 8,338

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Ari Bousbib  | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director John M. Leonard                                    | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Todd B. Sisitsky                                   | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Advisory Vote on Say on Pay Frequency                             | Mgmt      | None     | One Year             | One Year            |
| 3a                 | Eliminate Supermajority Vote Requirement to Amend Bylaws          | Mgmt      | For      | For                  | For                 |
| 3b                 | Eliminate Supermajority Vote Requirement for Removal of Directors | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For      | For                  | For                 |

### **CIMIC Group Limited**

**Meeting Date:** 14/04/2021 **Record Date:** 12/04/2021

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q2424E105

Ticker: CIM

Reporting Period: 01/07/2020 to 30/06/2021

# **CIMIC Group Limited**

Shares Voted: 95,146

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3.1                | Elect Russell Chenu as Director                      | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Peter Sassenfeld as Director                   | Mgmt      | For      | For                  | For                 |
| 3.3                | Elect Kathryn Spargo as Director                     | Mgmt      | For      | For                  | For                 |
| 4                  | Approve the Amendments to the Company's Constitution | Mgmt      | For      | Against              | Against             |

#### **Petroleo Brasileiro SA**

**Meeting Date:** 14/04/2021

Record Date:

Country: Brazil

Meeting Type: Special

Primary Security ID: P78331140

Ticker: PETR4

Shares Voted: 629,300

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Absorption of Companhia de<br>Desenvolvimento e Modernizacao de Plantas<br>IndustriaisS.A. (CDMPI)                              | Mgmt      | For      | For                  | For                 |
| 2                  | In the Event of a Second Call, the Voting Instructions Contained in this Remote Voting Card May Also be Considered for the Second Call? | Mgmt      | None     | For                  | For                 |

#### **Petroleo Brasileiro SA**

**Meeting Date:** 14/04/2021

**Record Date:** 

Country: Brazil
Meeting Type: Annual

Primary Security ID: P78331140

Ticker: PETR4

Shares Voted: 629,300

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports for Fiscal Year Ended Dec. 31, 2020 | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Allocation of Income and Dividends   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Fiscal Council Members   | Mgmt      | For      | Abstain              | Abstain             |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Petroleo Brasileiro SA**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 4                  | In Case One of the Nominees Leaves the Fiscal Council Slate Due to a Separate Minority Election, as Allowed Under Articles 161 and 240 of the Brazilian Corporate Law, May Your Votes Still Be Counted for the Proposed Slate? | Mgmt      | None     | Against              | Against             |
| 5                  | Elect Patricia Valente Stierli as Fiscal Council<br>Member and Robert Juenemann as Alternate<br>Appointed by Minority Shareholder  | SH        | None     | For                  | For                 |
| 6                  | Approve Remuneration of Company's<br>Management and Fiscal Council   | Mgmt      | For      | For                  | For                 |
| 7                  | In the Event of a Second Call, the Voting<br>Instructions Contained in this Remote Voting<br>Card May Also be Considered for the Second<br>Call?   | Mgmt      | None     | For                  | For                 |

### **Petroleo Brasileiro SA**

Meeting Date: 14/04/2021 Record Date: Country: Brazil
Meeting Type: Annual

**Primary Security ID:** P78331140

Ticker: PETR4

Shares Voted: 701,100

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Michele da Silva Gonsales Torres as Fiscal<br>Council Member and Antonio Emilio Bastos de<br>Aguiar Freire as Alternate Appointed by<br>Preferred Shareholder | SH        | None     | For                  | For                 |
| 2                  | In the Event of a Second Call, the Voting<br>Instructions Contained in this Remote Voting<br>Card May Also be Considered for the Second<br>Call?                    | Mgmt      | None     | For                  | For                 |

# **Smith & Nephew Plc**

**Meeting Date:** 14/04/2021 **Record Date:** 12/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G82343164

Ticker: SN

Shares Voted: 70,020

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Smith & Nephew Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Roland Diggelmann as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Erik Engstrom as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Robin Freestone as Director   | Mgmt      | For      | For                  | For                 |
| 7                  | Elect John Ma as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Elect Katarzyna Mazur-Hofsaess as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Elect Rick Medlock as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Elect Anne-Francoise Nesmes as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Marc Owen as Director   | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Roberto Quarta as Director  | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Angie Risley as Director  | Mgmt      | For      | For                  | For                 |
| 14                 | Elect Bob White as Director  | Mgmt      | For      | For                  | For                 |
| 15                 | Reappoint KPMG LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 22                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |

# **Marvell Technology Group Ltd.**

**Meeting Date:** 15/04/2021 **Record Date:** 25/02/2021

**Country:** Bermuda **Meeting Type:** Special

Primary Security ID: G5876H105

Ticker: MRVL

Shares Voted: 58,705

| Proposal<br>Number |  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Reduce Supermajority Vote Requirement to<br>Approve Merger | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Marvell Technology Group Ltd.**

| Proposal<br>Number | Proposal Text            | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Merger Agreement | Mgmt      | For      | For                  | For                 |
| 3                  | Adjourn Meeting          | Mgmt      | For      | For                  | For                 |

Shares Voted: 67,959

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Reduce Supermajority Vote Requirement to<br>Approve Merger | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Merger Agreement                                   | Mgmt      | For      | For                  | For                 |
| 3                  | Adjourn Meeting  | Mgmt      | For      | For                  | For                 |

### **Santos Limited**

**Meeting Date:** 15/04/2021 **Record Date:** 13/04/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q82869118

Ticker: STO

**Shares Voted:** 2,234,319

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Keith Spence as Director   | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Vanessa Guthrie as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Share Acquisition Rights to<br>Kevin Gallagher                  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Renewal of Proportional Takeover<br>Provisions for a Further Three Years | Mgmt      | For      | For                  | For                 |
| 6a                 | Approve the Amendments to the Company's Constitution to Insert New Clause 32A    | SH        | Against  | Against              | Against             |
| 6b                 | Approve Capital Protection   | SH        | Against  | For                  | For                 |

### **Woodside Petroleum Ltd.**

Meeting Date: 15/04/2021 Record Date: 13/04/2021 **Country:** Australia **Meeting Type:** Annual

Primary Security ID: 980228100

Ticker: WPL

Reporting Period: 01/07/2020 to 30/06/2021

### **Woodside Petroleum Ltd.**

**Shares Voted:** 1,321,882

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Christopher Haynes as Director   | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Richard Goyder as Director   | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect Gene Tilbrook Goh as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Peter Coleman                           | Mgmt      | For      | For                  | For                 |
| 5a                 | Approve the Amendments to the Company's Constitution Proposed by Market Forces | SH        | Against  | Against              | Against             |
| 5b                 | Approve Capital Protection   | SH        | Against  | For                  | For                 |

#### Coca-Cola Amatil Ltd.

**Meeting Date:** 16/04/2021 **Record Date:** 14/04/2021

**Country:** Australia **Meeting Type:** Court

Primary Security ID: Q2594P146

Ticker: CCL

Shares Voted: 496,474

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Scheme of Arrangement in Relation to<br>the Proposed Acquisition of the Company by<br>Coca-Cola European Partners plc Through CCEP<br>Australia Pty Ltd | Mgmt      | For      | For                  | For                 |

### **Swiss Re AG**

Meeting Date: 16/04/2021 Record Date: **Country:** Switzerland **Meeting Type:** Annual

**Primary Security ID:** H8431B109

Ticker: SREN

Shares Voted: 34,982

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Approve Remuneration Report                                      | Mgmt      | For      | For                  | For                 |
| 1.2                | Accept Financial Statements and Statutory Reports                | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Allocation of Income and Dividends of CHF 5.90 per Share | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Swiss Re AG**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Approve Variable Short-Term Remuneration of Executive Committee in the Amount of CHF 15.1 Million                     | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Discharge of Board of Directors   | Mgmt      | For      | For                  | For                 |
| 5.1.a              | Reelect Sergio Ermotti as Director and Board<br>Chairman  | Mgmt      | For      | For                  | For                 |
| 5.1.b              | Reelect Raymond Ch'ien as Director  | Mgmt      | For      | For                  | For                 |
| 5.1.c              | Reelect Renato Fassbind as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.d              | Reelect Karen Gavan as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.e              | Reelect Joachim Oechslin as Director  | Mgmt      | For      | For                  | For                 |
| 5.1.f              | Reelect Deanna Ong as Director  | Mgmt      | For      | For                  | For                 |
| 5.1.g              | Reelect Jay Ralph as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.h              | Reelect Joerg Reinhardt as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.i              | Reelect Philip Ryan as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.j              | Reelect Paul Tucker as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.k              | Reelect Jacques de Vaucleroy as Director  | Mgmt      | For      | For                  | For                 |
| 5.1.l              | Reelect Susan Wagner as Director  | Mgmt      | For      | For                  | For                 |
| 5.1.m              | Reelect Larry Zimpleman as Director   | Mgmt      | For      | For                  | For                 |
| 5.2.1              | Reappoint Raymond Ch'ien as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 5.2.2              | Reappoint Renato Fassbind as Member of the Compensation Committee   | Mgmt      | For      | For                  | For                 |
| 5.2.3              | Reappoint Karen Gavan as Member of the Compensation Committee   | Mgmt      | For      | For                  | For                 |
| 5.2.4              | Reappoint Joerg Reinhardt as Member of the Compensation Committee   | Mgmt      | For      | For                  | For                 |
| 5.2.5              | Reappoint Jacques de Vaucleroy as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 5.3                | Designate Proxy Voting Services GmbH as Independent Proxy   | Mgmt      | For      | For                  | For                 |
| 5.4                | Ratify KPMG as Auditors   | Mgmt      | For      | For                  | For                 |
| 6.1                | Approve Remuneration of Directors in the Amount of CHF 10.3 Million   | Mgmt      | For      | For                  | For                 |
| 6.2                | Approve Fixed and Variable Long-Term<br>Remuneration of Executive Committee in the<br>Amount of CHF 36.5 Million      | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Renewal of CHF 8.5 Million Pool of<br>Authorized Share Capital with Partial Exclusion<br>of Preemptive Rights | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Swiss Re AG**

| Proposal<br>Number |                                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 8                  | Transact Other Business (Voting) | Mgmt      | For      | Against              | Against             |

#### **Vonovia SE**

Meeting Date: 16/04/2021 Record Date: Country: Germany
Meeting Type: Annual

**Primary Security ID:** D9581T100

Ticker: VNA

Shares Voted: 51,706

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Allocation of Income and Dividends of EUR 1.69 per Share  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Management Board for Fiscal Year 2020  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Discharge of Supervisory Board for Fiscal Year 2020   | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify KPMG AG as Auditors for Fiscal Year 2021   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Remuneration Policy   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Remuneration of Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Creation of EUR 283 Million Pool of Capital with Partial Exclusion of Preemptive Rights   | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Issuance of Warrants/Bonds with<br>Warrants Attached/Convertible Bonds with<br>Partial Exclusion of Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 12 Billion;<br>Approve Creation of EUR 283 Million Pool of<br>Capital to Guarantee Conversion Rights | Mgmt      | For      | For                  | For                 |

### **Adobe Inc.**

**Meeting Date:** 20/04/2021 **Record Date:** 22/02/2021

Country: USA
Meeting Type: Annual

**Primary Security ID:** 00724F101

Ticker: ADBE

Shares Voted: 102,270

| Proposal<br>Number | Proposal Text                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Amy Banse       | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Melanie Boulden | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Adobe Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1c                 | Elect Director Frank Calderoni                                 | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director James Daley                                     | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Laura Desmond                                   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Shantanu Narayen                                | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Kathleen Oberg                                  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Dheeraj Pandey                                  | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director David Ricks                                     | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Daniel Rosensweig                               | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director John Warnock                                    | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 4                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **American Electric Power Company, Inc.**

**Meeting Date:** 20/04/2021 **Record Date:** 22/02/2021

Country: USA Meeting Type: Annual Primary Security ID: 025537101

Ticker: AEP

Shares Voted: 49,114

| Proposal<br>Number | Proposal Text                         | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Nicholas K. Akins      | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director David J. Anderson      | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director J. Barnie Beasley, Jr. | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Art A. Garcia          | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Linda A. Goodspeed     | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Thomas E. Hoaglin      | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Sandra Beach Lin       | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Margaret M. McCarthy   | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Stephen S. Rasmussen   | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Oliver G. Richard, III | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Daryl Roberts          | Mgmt      | For      | For                  | For                 |
|                    |                                       |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

# **American Electric Power Company, Inc.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.12               | Elect Director Sara Martinez Tucker                               | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive<br>Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **Northern Trust Corporation**

**Meeting Date:** 20/04/2021 **Record Date:** 22/02/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 665859104

Ticker: NTRS

Shares Voted: 22,220

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Linda Walker Bynoe                              | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Susan Crown                                     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Dean M. Harrison                                | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Jay L. Henderson                                | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Marcy S. Klevorn                                | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Siddharth N. (Bobby) Mehta                      | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Michael G. O'Grady                              | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Jose Luis Prado                                 | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Thomas E. Richards                              | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Martin P. Slark                                 | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director David H. B. Smith, Jr.                          | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Donald Thompson                                 | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Charles A. Tribbett, III                        | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |

#### **Audax Renovables SA**

Meeting Date: 21/04/2021 Record Date: 16/04/2021 Country: Spain
Meeting Type: Annual

**Primary Security ID:** E4971B115

Ticker: ADX

Reporting Period: 01/07/2020 to 30/06/2021

# **Audax Renovables SA**

Shares Voted: 39,118

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Standalone Financial Statements  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidated Financial Statements                                      | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation of Income and Dividends                                     | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Non-Financial Information Statement                                    | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Discharge of Board   | Mgmt      | For      | For                  | For                 |
| 6                  | Fix Number of Directors at 6   | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Ana Isabel Lopez Porta as Director                                       | Mgmt      | For      | For                  | For                 |
| 8                  | Elect Maria Rosa Gonzalez Sans as Director                                     | Mgmt      | For      | For                  | For                 |
| 10                 | Advisory Vote on Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Remuneration Policy  | Mgmt      | For      | Against              | Against             |
| 12                 | Approve Remuneration of Directors  | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Remuneration of Executive Directors                                    | Mgmt      | For      | Against              | Against             |
| 14                 | Amend Article 10 Re: Remote Attendance   | Mgmt      | For      | For                  | For                 |
| 15                 | Amend Articles of General Meeting Regulations                                  | Mgmt      | For      | For                  | For                 |
| 16                 | Amend Issuance of Non-Convertibles Bonds to Convertible Bonds                  | Mgmt      | For      | For                  | For                 |
| 17                 | Approve Terms and Conditions of the Conversion or Exchange                     | Mgmt      | For      | For                  | For                 |
| 18                 | Approve Capital Raising  | Mgmt      | For      | For                  | For                 |
| 19                 | Approve Exclusion of Preemptive Rights in Connection with the Capital Increase | Mgmt      | For      | For                  | For                 |
| 20                 | Authorize Board to Ratify and Execute Approved Resolutions Re: Items 16 to 19  | Mgmt      | For      | For                  | For                 |
| 21                 | Renew Appointment of KPMG Auditores as Auditor                                 | Mgmt      | For      | Against              | Against             |
| 23                 | Authorize Board to Ratify and Execute Approved Resolutions                     | Mgmt      | For      | For                  | For                 |

### **Bunzl Plc**

**Meeting Date:** 21/04/2021 **Record Date:** 19/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G16968110

Ticker: BNZL

Reporting Period: 01/07/2020 to 30/06/2021

# **Bunzl Plc**

Shares Voted: 34,156

| Dranagel           |  |           |          | Voting               | Vote        |
|--------------------|--|-----------|----------|----------------------|-------------|
| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Instruction |
| 1                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For         |
| 2                  | Approve Final Dividend   | Mgmt      | For      | For                  | For         |
| 3                  | Re-elect Peter Ventress as Director  | Mgmt      | For      | For                  | For         |
| 4                  | Re-elect Frank van Zanten as Director  | Mgmt      | For      | For                  | For         |
| 5                  | Re-elect Richard Howes as Director   | Mgmt      | For      | For                  | For         |
| 6                  | Re-elect Vanda Murray as Director  | Mgmt      | For      | For                  | For         |
| 7                  | Re-elect Lloyd Pitchford as Director   | Mgmt      | For      | For                  | For         |
| 8                  | Re-elect Stephan Nanninga as Director  | Mgmt      | For      | For                  | For         |
| 9                  | Elect Vin Murria as Director   | Mgmt      | For      | For                  | For         |
| 10                 | Elect Maria Fernanda Mejia as Director   | Mgmt      | For      | For                  | For         |
| 11                 | Reappoint PricewaterhouseCoopers LLP as Auditors   | Mgmt      | For      | For                  | For         |
| 12                 | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For         |
| 13                 | Approve Remuneration Policy  | Mgmt      | For      | For                  | For         |
| 14                 | Approve Remuneration Report  | Mgmt      | For      | For                  | For         |
| 15                 | Amend Long-Term Incentive Plan   | Mgmt      | For      | For                  | For         |
| 16                 | Approve US Employee Stock Purchase Plan  | Mgmt      | For      | For                  | For         |
| 17                 | Amend Savings Related Share Option Scheme  | Mgmt      | For      | For                  | For         |
| 18                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For         |
| 19                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For         |
| 20                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For         |
| 21                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For         |
| 22                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For         |
| 23                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For         |

Shares Voted: 27,803

| Proposal |               |           |            | Voting     | Vote          |
|----------|---------------|-----------|------------|------------|---------------|
| Number   | Proposal Text | Proponent | Mamt Rec   | Policy Rec | Instruction   |
| Nullibei | Proposal rext | Proponent | Myllic Rec | Policy Rec | Tilsti uction |

Reporting Period: 01/07/2020 to 30/06/2021

### **Bunzl Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 3                  | Re-elect Peter Ventress as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Frank van Zanten as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Richard Howes as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Vanda Murray as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Lloyd Pitchford as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Stephan Nanninga as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Elect Vin Murria as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Elect Maria Fernanda Mejia as Director   | Mgmt      | For      | For                  | For                 |
| 11                 | Reappoint PricewaterhouseCoopers LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 12                 | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Remuneration Policy  | Mgmt      | For      | For                  | For                 |
| 14                 | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 15                 | Amend Long-Term Incentive Plan   | Mgmt      | For      | For                  | For                 |
| 16                 | Approve US Employee Stock Purchase Plan  | Mgmt      | For      | For                  | For                 |
| 17                 | Amend Savings Related Share Option Scheme  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 23                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |

### **Akzo Nobel NV**

Meeting Date: 22/04/2021Country: NetherlandsRecord Date: 25/03/2021Meeting Type: Annual

Primary Security ID: N01803308

Ticker: AKZA

Reporting Period: 01/07/2020 to 30/06/2021

### **Akzo Nobel NV**

Shares Voted: 21,033

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3.a                | Adopt Financial Statements   | Mgmt      | For      | For                  | For                 |
| 3.c                | Approve Dividends of EUR 1.95 Per Share                                  | Mgmt      | For      | For                  | For                 |
| 3.d                | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 4.a                | Approve Discharge of Management Board                                    | Mgmt      | For      | For                  | For                 |
| 4.b                | Approve Discharge of Supervisory Board                                   | Mgmt      | For      | For                  | For                 |
| 5.a                | Amend Remuneration Policy for Management Board                           | Mgmt      | For      | For                  | For                 |
| 5.b                | Amend Remuneration Policy for Supervisory Board                          | Mgmt      | For      | For                  | For                 |
| 6.a                | Reelect T.F.J. Vanlancker to Management Board                            | Mgmt      | For      | For                  | For                 |
| 7.a                | Reelect P.W. Thomas to Supervisory Board                                 | Mgmt      | For      | For                  | For                 |
| 8.a                | Grant Board Authority to Issue Shares Up to 10 Percent of Issued Capital | Mgmt      | For      | For                  | For                 |
| 8.b                | Authorize Board to Exclude Preemptive Rights from Share Issuances        | Mgmt      | For      | For                  | For                 |
| 9                  | Authorize Repurchase of Up to 10 Percent of Issued Share Capital         | Mgmt      | For      | For                  | For                 |
| 10                 | Authorize Cancellation of Repurchased Shares                             | Mgmt      | For      | For                  | For                 |

# **Avery Dennison Corporation**

**Meeting Date:** 22/04/2021 **Record Date:** 22/02/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 053611109

Ticker: AVY

Shares Voted: 7,618

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Bradley A. Alford   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Anthony K. Anderson | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Mark J. Barrenechea | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Mitchell R. Butier  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Ken C. Hicks        | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Andres A. Lopez     | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Avery Dennison Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1g                 | Elect Director Patrick T. Siewert                              | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Julia A. Stewart                                | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Martha N. Sullivan                              | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |

#### **Dexus**

**Meeting Date:** 22/04/2021 **Record Date:** 20/04/2021

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q3190P134

Ticker: DXS

**Shares Voted:** 2,697,289

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Unstapling of the Units in DXO, DDF, DIT and DOT Pursuant to Each of Their Constitutions | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Amendments to the Constitutions  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Simplification for All Purposes  | Mgmt      | For      | For                  | For                 |

**Shares Voted:** 1,157,737

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Unstapling of the Units in DXO, DDF, DIT and DOT Pursuant to Each of Their Constitutions | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Amendments to the Constitutions  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Simplification for All Purposes  | Mgmt      | For      | For                  | For                 |

# **Gecina SA**

**Meeting Date:** 22/04/2021 **Record Date:** 20/04/2021

**Country:** France **Meeting Type:** Annual

**Primary Security ID:** F4268U171

Ticker: GFC

Reporting Period: 01/07/2020 to 30/06/2021

### **Gecina SA**

Shares Voted: 5,328

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
|                    |  | Parisin   |          | 2,                   |                     |
| 1                  | Approve Financial Statements and Statutory Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidated Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Transfer of Revaluation Surplus of Transferred Assets to Specific Reserves Account                     | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Allocation of Income and Dividends of EUR 5.30 per Share   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Stock Dividend Program   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Auditors' Special Report on<br>Related-Party Transactions Mentioning the<br>Absence of New Transaction | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Remuneration of Directors in the Aggregate Amount of EUR 700,000                                       | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Compensation of Corporate Officers   | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Compensation of Bernard Carayon,<br>Chairman of the Board Until Apr. 23, 2020                          | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Compensation of Jerome Brunel,<br>Chairman of the Board Since Apr. 23, 2020                            | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Compensation of CEO  | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Remuneration Policy of Board Members   | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Remuneration Policy of Chairman of the Board   | Mgmt      | For      | For                  | For                 |
| 14                 | Approve Remuneration Policy of CEO   | Mgmt      | For      | For                  | For                 |
| 15                 | Ratify Appointment of Carole Le Gall as Censor   | Mgmt      | For      | For                  | For                 |
| 16                 | Reelect Laurence Danon Arnaud as Director  | Mgmt      | For      | For                  | For                 |
| 17                 | Reelect Ivanhoe Cambridge as Director  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorize Filing of Required Documents/Other Formalities   | Mgmt      | For      | For                  | For                 |

### **Humana Inc.**

Meeting Date: 22/04/2021Country: USARecord Date: 22/02/2021Meeting Type: Annual

**Primary Security ID:** 444859102

Ticker: HUM

Reporting Period: 01/07/2020 to 30/06/2021

### **Humana Inc.**

Shares Voted: 5,317

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Kurt J. Hilzinger                                  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Raquel C. Bono                                     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Bruce D. Broussard                                 | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Frank A. D'Amelio                                  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Wayne A. I. Frederick                              | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director John W. Garratt                                    | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director David A. Jones, Jr.                                | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Karen W. Katz                                      | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Marcy S. Klevorn                                   | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director William J. McDonald                                | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Jorge S. Mesquita                                  | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director James J. O'Brien                                   | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Marissa T. Peterson                                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive<br>Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **Intuitive Surgical, Inc.**

**Meeting Date:** 22/04/2021 **Record Date:** 05/03/2021

**Country:** USA **Meeting Type:** Annual

Primary Security ID: 46120E602

Ticker: ISRG

 $\textbf{Shares Voted:}\ 11,948$ 

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Craig H. Barratt | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Joseph C. Beery  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Gary S. Guthart  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Amal M. Johnson  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Don R. Kania     | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Amy L. Ladd      | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Intuitive Surgical, Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1g                 | Elect Director Keith R. Leonard, Jr.                           | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Alan J. Levy                                    | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Jami Dover Nachtsheim                           | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Monica P. Reed                                  | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Mark J. Rubash                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | Against              | Against             |

### **National Grid Plc**

**Meeting Date:** 22/04/2021 **Record Date:** 20/04/2021

**Country:** United Kingdom **Meeting Type:** Special

Primary Security ID: G6S9A7120

Ticker: NG

Shares Voted: 296,606

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Acquisition of PPL WPD Investments Limited                              | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Increase in Borrowing Limit Under the Company's Articles of Association | Mgmt      | For      | For                  | For                 |

### **PowerCell Sweden AB**

**Meeting Date:** 22/04/2021 **Record Date:** 14/04/2021

**Country:** Sweden **Meeting Type:** Annual

**Primary Security ID:** W6698X106

Ticker: PCELL

Shares Voted: 2,280

| Proposal<br>Number | Proposal Text                                | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Elect Chairman of Meeting                    | Mgmt      | For      | For                  | For                 |
| 3                  | Prepare and Approve List of Shareholders     | Mgmt      | For      | For                  | For                 |
| 4                  | Designate Inspector(s) of Minutes of Meeting | Mgmt      | For      | For                  | For                 |
| 5                  | Acknowledge Proper Convening of Meeting      | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Agenda of Meeting                    | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **PowerCell Sweden AB**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 8.a                | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 8.b                | Approve Allocation of Income and Omission of Dividends   | Mgmt      | For      | For                  | For                 |
| 8.c                | Approve Discharge of Board and President   | Mgmt      | For      | For                  | For                 |
| 9                  | Determine Number of Members (7) and Deputy<br>Members (0) of Board   | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Remuneration of Directors in the<br>Amount of SEK 440,000 for Chairman and SEK<br>220,000 for Other Directors; Approve<br>Remuneration for Committee Work; Approve<br>Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 11.a               | Reelect Dirk De Boever as Director   | Mgmt      | For      | For                  | For                 |
| 11.b               | Reelect Helen Fasth Gillstedt as Director  | Mgmt      | For      | For                  | For                 |
| 11.c               | Reelect Uwe Hillmann as Director   | Mgmt      | For      | For                  | For                 |
| 11.d               | Reelect Magnus Jonsson (Chair) as Director   | Mgmt      | For      | For                  | For                 |
| 11.e               | Reelect Goran Linder s Director  | Mgmt      | For      | Against              | Against             |
| 11.f               | Reelect Annette Malm Justad as Director  | Mgmt      | For      | Against              | Against             |
| 11.g               | Reelect Riku-Pekka Hagg as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Ratify PricewaterhouseCoopers as Auditors  | Mgmt      | For      | For                  | For                 |
| 13                 | Authorize Board Chairman and Representatives of Two to Three of Company's Largest Shareholders to Serve on Nominating Committee  | Mgmt      | For      | For                  | For                 |
| 14                 | Approve Remuneration Policy And Other Terms of Employment For Executive Management   | Mgmt      | For      | Against              | Against             |
| 15                 | Amend Articles Re: Editorial Changes;<br>Participation at General Meetings; Proxies and<br>Postal Voting   | Mgmt      | For      | For                  | For                 |
| 16                 | Approve Performance Share Plan for Key<br>Employees  | Mgmt      | For      | Against              | Against             |
| 17                 | Approve Equity Plan Financing  | Mgmt      | For      | Against              | Against             |
| 18                 | Approve Issuance of up to 10 Percent of Share Capital without Preemptive Rights  | Mgmt      | For      | Against              | Against             |
| 19                 | Authorize Editorial Changes to Adopted<br>Resolutions in Connection with Registration with<br>Swedish Authorities  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **RELX Plc**

**Meeting Date:** 22/04/2021 **Record Date:** 20/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G7493L105

Ticker: REL

Shares Voted: 155,392

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 4                  | Reappoint Ernst & Young LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 5                  | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Paul Walker as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Elect June Felix as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Erik Engstrom as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Wolfhart Hauser as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Charlotte Hogg as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Marike van Lier Lels as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Nick Luff as Director   | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Robert MacLeod as Director  | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Linda Sanford as Director   | Mgmt      | For      | For                  | For                 |
| 15                 | Re-elect Andrew Sukawaty as Director   | Mgmt      | For      | For                  | For                 |
| 16                 | Re-elect Suzanne Wood as Director  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

### **SEGRO Plc**

**Meeting Date:** 22/04/2021 **Record Date:** 20/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

**Primary Security ID:** G80277141

Ticker: SGRO

Reporting Period: 01/07/2020 to 30/06/2021

# **SEGRO Plc**

Shares Voted: 105,044

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Gerald Corbett as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Mary Barnard as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Sue Clayton as Director   | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Soumen Das as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Carol Fairweather as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Christopher Fisher as Director  | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Andy Gulliford as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Martin Moore as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect David Sleath as Director  | Mgmt      | For      | For                  | For                 |
| 13                 | Reappoint PricewaterhouseCoopers LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise the Audit Committee to Fix<br>Remuneration of Auditors   | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 21                 | Approve Savings-Related Share Option Plan  | Mgmt      | For      | For                  | For                 |
| 22                 | Approve Share Incentive Plan   | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Board to Offer Scrip Dividend  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **SEGRO Plc**

Shares Voted: 99,439

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Gerald Corbett as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Mary Barnard as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Sue Clayton as Director   | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Soumen Das as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Carol Fairweather as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Christopher Fisher as Director  | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Andy Gulliford as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Martin Moore as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect David Sleath as Director  | Mgmt      | For      | For                  | For                 |
| 13                 | Reappoint PricewaterhouseCoopers LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 21                 | Approve Savings-Related Share Option Plan  | Mgmt      | For      | For                  | For                 |
| 22                 | Approve Share Incentive Plan   | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Board to Offer Scrip Dividend  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Taylor Wimpey Plc**

**Meeting Date:** 22/04/2021 **Record Date:** 20/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G86954107

Ticker: TW

Shares Voted: 302,442

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 3                  | Re-elect Irene Dorner as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Pete Redfern as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Chris Carney as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Jennie Daly as Director   | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Gwyn Burr as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Angela Knight as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Robert Noel as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Humphrey Singer as Director   | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Lord Jitesh Gadhia as Director   | Mgmt      | For      | For                  | For                 |
| 12                 | Elect Scilla Grimble as Director   | Mgmt      | For      | For                  | For                 |
| 13                 | Appoint PricewaterhouseCoopers LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 19                 | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 22                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Texas Instruments Incorporated**

**Meeting Date:** 22/04/2021 **Record Date:** 22/02/2021

Country: USA

Primary Security ID: 882508104

Meeting Type: Annual

Ticker: TXN

Shares Voted: 90,726

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Mark A. Blinn                                   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Todd M. Bluedorn                                | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Janet F. Clark                                  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Carrie S. Cox                                   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Martin S. Craighead                             | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Jean M. Hobby                                   | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Michael D. Hsu                                  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Ronald Kirk                                     | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Pamela H. Patsley                               | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Robert E. Sanchez                               | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Richard K. Templeton                            | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 4                  | Provide Right to Act by Written Consent                        | SH        | Against  | For                  | For                 |

### **Wolters Kluwer NV**

**Meeting Date:** 22/04/2021 **Record Date:** 25/03/2021

**Country:** Netherlands **Meeting Type:** Annual

**Primary Security ID:** N9643A197

Ticker: WKL

Shares Voted: 25,160

| Proposal<br>Number | Proposal Text                              | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2.c                | Approve Remuneration Report                | Mgmt      | For      | For                  | For                 |
| 3.a                | Adopt Financial Statements                 | Mgmt      | For      | For                  | For                 |
| 3.c                | Approve Dividends of EUR 1.36 Per Share    | Mgmt      | For      | For                  | For                 |
| 4.a                | Approve Discharge of Management Board      | Mgmt      | For      | For                  | For                 |
| 4.b                | Approve Discharge of Supervisory Board     | Mgmt      | For      | For                  | For                 |
| 5.a                | Reelect Frans Cremers to Supervisory Board | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Wolters Kluwer NV**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 5.b                | Reelect Ann Ziegler to Supervisory Board                                 | Mgmt      | For      | For                  | For                 |
| 6                  | Reelect Kevin Entricken to Management Board                              | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Remuneration Policy for Management Board                         | Mgmt      | For      | For                  | For                 |
| 8.a                | Grant Board Authority to Issue Shares Up To 10 Percent of Issued Capital | Mgmt      | For      | For                  | For                 |
| 8.b                | Authorize Board to Exclude Preemptive Rights from Share Issuances        | Mgmt      | For      | For                  | For                 |
| 9                  | Authorize Repurchase of Up to 10 Percent of Issued Share Capital         | Mgmt      | For      | For                  | For                 |
| 10                 | Authorize Cancellation of Repurchased Shares                             | Mgmt      | For      | For                  | For                 |

**Primary Security ID:** G4576K104

### **Hikma Pharmaceuticals Plc**

**Meeting Date:** 23/04/2021 **Record Date:** 21/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

ng Type: Annual Ticker: HIK

Shares Voted: 14,482

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports          | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 3                  | Reappoint PricewaterhouseCoopers LLP as Auditors              | Mgmt      | For      | For                  | For                 |
| 4                  | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Douglas Hurt as Director                                | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Said Darwazah as Director                            | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Siggi Olafsson as Director                           | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Mazen Darwazah as Director                           | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Patrick Butler as Director                           | Mgmt      | For      | Against              | Against             |
| 10                 | Re-elect Ali Al-Husry as Director                             | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Dr Pamela Kirby as Director                          | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect John Castellani as Director                          | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Nina Henderson as Director                           | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Cynthia Schwalm as Director                          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Hikma Pharmaceuticals Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 15                 | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 16                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

# **Swiss Life Holding AG**

Meeting Date: 23/04/2021 Record Date: **Country:** Switzerland **Meeting Type:** Annual

**Primary Security ID:** H8404J162

Ticker: SLHN

Shares Voted: 3,779

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 1.2                | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Allocation of Income and Dividends of CHF 21.00 per Share $$   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Board of Directors  | Mgmt      | For      | For                  | For                 |
| 4.1                | Approve Fixed Remuneration of Directors in the Amount of CHF 3.2 Million   | Mgmt      | For      | For                  | For                 |
| 4.2                | Approve Short-Term Variable Remuneration of Executive Committee in the Amount of CHF 3.7 Million                 | Mgmt      | For      | For                  | For                 |
| 4.3                | Approve Fixed and Long-Term Variable<br>Remuneration of Executive Committee in the<br>Amount of CHF 13.8 Million | Mgmt      | For      | For                  | For                 |
| 5.1                | Reelect Rolf Doerig as Director and Board<br>Chairman  | Mgmt      | For      | For                  | For                 |
| 5.2                | Reelect Thomas Buess as Director   | Mgmt      | For      | For                  | For                 |
| 5.3                | Reelect Adrienne Fumagalli as Director   | Mgmt      | For      | For                  | For                 |
| 5.4                | Reelect Ueli Dietiker as Director  | Mgmt      | For      | For                  | For                 |
| 5.5                | Reelect Damir Filipovic as Director  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Swiss Life Holding AG**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 5.6                | Reelect Frank Keuper as Director  | Mgmt      | For      | For                  | For                 |
| 5.7                | Reelect Stefan Loacker as Director  | Mgmt      | For      | For                  | For                 |
| 5.8                | Reelect Henry Peter as Director   | Mgmt      | For      | For                  | For                 |
| 5.9                | Reelect Martin Schmid as Director   | Mgmt      | For      | For                  | For                 |
| 5.10               | Reelect Franziska Sauber as Director  | Mgmt      | For      | For                  | For                 |
| 5.11               | Reelect Klaus Tschuetscher as Director  | Mgmt      | For      | For                  | For                 |
| 5.12               | Appoint Martin Schmid as Member of the Compensation Committee   | Mgmt      | For      | For                  | For                 |
| 5.13               | Reappoint Franziska Sauber as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 5.14               | Reappoint Klaus Tschuetscher as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 6                  | Designate Andreas Zuercher as Independent Proxy   | Mgmt      | For      | For                  | For                 |
| 7                  | Ratify PricewaterhouseCoopers AG as Auditors  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve CHF 48,582 Reduction in Share Capital as Part of the Share Buyback Program via Cancellation of Repurchased Shares | Mgmt      | For      | For                  | For                 |
| 9                  | Transact Other Business (Voting)  | Mgmt      | For      | Against              | Against             |

# **Fastenal Company**

**Meeting Date:** 24/04/2021 **Record Date:** 24/02/2021

Country: USA Meeting Type: Annual Primary Security ID: 311900104

Ticker: FAST

Shares Voted: 116,472

| Proposal<br>Number | Proposal Text                        | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Scott A. Satterlee    | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Michael J. Ancius     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Stephen L. Eastman    | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Daniel L. Florness    | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Rita J. Heise         | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Hsenghung Sam Hsu     | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Daniel L. Johnson     | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Nicholas J. Lundquist | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Fastenal Company**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| <b>1</b> i         | Elect Director Reyne K. Wisecup                                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **Assicurazioni Generali SpA**

**Meeting Date:** 26/04/2021 **Record Date:** 15/04/2021

Country: Italy

Meeting Type: Annual/Special

**Primary Security ID:** T05040109

Ticker: G

Shares Voted: 185,901

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Accept Financial Statements and Statutory Reports   | Mgmt      | For      | For                  | For                 |
| 1b                 | Approve Allocation of Income  | Mgmt      | For      | For                  | For                 |
| 2a                 | Amend Company Bylaws Re: Articles 8.1, 8.4, 8.5, 8.6, and 8.7   | Mgmt      | For      | For                  | For                 |
| 2b                 | Amend Company Bylaws Re: Article 9.1  | Mgmt      | For      | For                  | For                 |
| 3a                 | Approve Remuneration Policy   | Mgmt      | For      | For                  | For                 |
| 3b                 | Approve Second Section of the Remuneration Report   | Mgmt      | For      | For                  | For                 |
| <b>4</b> a         | Approve Group Long Term Incentive Plan  | Mgmt      | For      | For                  | For                 |
| 4b                 | Authorize Share Repurchase Program and<br>Reissuance of Repurchased Shares to Service<br>Group Long Term Incentive Plan | Mgmt      | For      | For                  | For                 |
| 4c                 | Authorize Board to Increase Capital to Service<br>Group Long Term Incentive Plan  | Mgmt      | For      | For                  | For                 |

# **Polymetal International Plc**

**Meeting Date:** 26/04/2021 **Record Date:** 22/04/2021

Country: Jersey
Meeting Type: Annual

Primary Security ID: G7179S101

Ticker: POLY

Shares Voted: 31,369

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Polymetal International Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Ian Cockerill as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Vitaly Nesis as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Manuel (Ollie) De Sousa-Oliveira as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Konstantin Yanakov as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Giacomo Baizini as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Tracey Kerr as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Italia Boninelli as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Victor Flores as Director   | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Andrea Abt as Director  | Mgmt      | For      | For                  | For                 |
| 13                 | Reappoint Deloitte LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |

#### Aena S.M.E. SA

Meeting Date: 27/04/2021 Record Date: 22/04/2021 Country: Spain
Meeting Type: Annual

**Primary Security ID:** E526K0106

Ticker: AENA

Shares Voted: 8,315

| Proposal<br>Number | Proposal Text                               | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Standalone Financial Statements     | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidated Financial Statements   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Treatment of Net Loss               | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Non-Financial Information Statement | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Discharge of Board                  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### Aena S.M.E. SA

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 6                  | Ratify Appointment of and Elect Juan Rio Cortes as Director  | Mgmt      | For      | For                  | For                 |
| 7.1                | Amend Articles Re: Allow Shareholder Meetings to be Held in Virtual-Only Format                                      | Mgmt      | For      | For                  | For                 |
| 7.2                | Amend Articles Re: Board Competences and Board Committees  | Mgmt      | For      | For                  | For                 |
| 7.3                | Amend Articles Re: Board Committees,<br>Remuneration and Climate Action Plan   | Mgmt      | For      | For                  | For                 |
| 8                  | Amend Articles of General Meeting Regulations<br>Re: Allow Shareholder Meetings to be Held in<br>Virtual-Only Format | Mgmt      | For      | For                  | For                 |
| 9                  | Advisory Vote on Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 10                 | Advisory Vote on Company's Climate Action Plan   | Mgmt      | For      | For                  | For                 |
| 11                 | Authorize Board to Ratify and Execute Approved Resolutions   | Mgmt      | For      | For                  | For                 |

### **Atlas Arteria**

**Meeting Date:** 27/04/2021 **Record Date:** 25/04/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q06180105

Ticker: ALX

Shares Voted: 925,416

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Ariane Barker as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve the Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Graeme Bevans                                    | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of ATLAX Shares to Existing Institutional Investors                | Mgmt      | For      | For                  | For                 |
| 2                  | Appoint PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Caroline Foulger as Director  | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Andrew Cook as Director   | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect Debra Goodin as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Fiona Beck as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of ATLIX Shares to Existing Institutional Investors                | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Bio-Rad Laboratories, Inc.**

**Meeting Date:** 27/04/2021 **Record Date:** 01/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 090572207

Ticker: BIO

Shares Voted: 1,007

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Melinda Litherland | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Arnold A. Pinkston | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditors       | Mgmt      | For      | For                  | For                 |

### **Centene Corporation**

**Meeting Date:** 27/04/2021 **Record Date:** 26/02/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 15135B101

Ticker: CNC

Shares Voted: 23,966

| Proposal |  |           |          | Voting     | Vote        |
|----------|--|-----------|----------|------------|-------------|
| Number   | Proposal Text  | Proponent | Mgmt Rec | Policy Rec | Instruction |
| 1a       | Elect Director Jessica L. Blume                                | Mgmt      | For      | For        | For         |
| 1b       | Elect Director Frederick H. Eppinger                           | Mgmt      | For      | For        | For         |
| 1c       | Elect Director David L. Steward                                | Mgmt      | For      | For        | For         |
| 1d       | Elect Director William L. Trubeck                              | Mgmt      | For      | For        | For         |
| 2        | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against    | Against     |
| 3        | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For        | For         |
| 4        | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For        | For         |
| 5        | Eliminate Supermajority Vote Requirement                       | Mgmt      | For      | For        | For         |
| 6        | Declassify the Board of Directors                              | SH        | For      | For        | For         |
|          |  |           |          |            |             |

# **Charter Communications, Inc.**

**Meeting Date:** 27/04/2021 **Record Date:** 26/02/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 16119P108

Ticker: CHTR

Reporting Period: 01/07/2020 to 30/06/2021

# **Charter Communications, Inc.**

Shares Voted: 15,352

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director W. Lance Conn  | Mgmt      | For      | Against              | Against             |
| 1b                 | Elect Director Kim C. Goodman   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Craig A. Jacobson  | Mgmt      | For      | Against              | Against             |
| 1d                 | Elect Director Gregory B. Maffei  | Mgmt      | For      | Against              | Against             |
| 1e                 | Elect Director John D. Markley, Jr.   | Mgmt      | For      | Against              | Against             |
| 1f                 | Elect Director David C. Merritt   | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director James E. Meyer   | Mgmt      | For      | Against              | Against             |
| 1h                 | Elect Director Steven A. Miron  | Mgmt      | For      | Against              | Against             |
| 1i                 | Elect Director Balan Nair   | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Michael A. Newhouse  | Mgmt      | For      | Against              | Against             |
| 1k                 | Elect Director Mauricio Ramos   | Mgmt      | For      | Against              | Against             |
| 11                 | Elect Director Thomas M. Rutledge   | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Eric L. Zinterhofer  | Mgmt      | For      | Against              | Against             |
| 2                  | Ratify KPMG LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 3                  | Report on Lobbying Payments and Policy  | SH        | Against  | For                  | For                 |
| 4                  | Require Independent Board Chair   | SH        | Against  | For                  | For                 |
| 5                  | Publish Annually a Report Assessing Diversity,<br>Equity, and Inclusion Efforts | SH        | Against  | For                  | For                 |
| 6                  | Report on Greenhouse Gas Emissions Disclosure                                   | SH        | Against  | For                  | For                 |
| 7                  | Adopt Policy to Annually Disclose EEO-1 Data                                    | SH        | Against  | For                  | For                 |

Shares Voted: 20,246

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director W. Lance Conn        | Mgmt      | For      | Against              | Against             |
| 1b                 | Elect Director Kim C. Goodman       | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Craig A. Jacobson    | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Gregory B. Maffei    | Mgmt      | For      | Against              | Against             |
| 1e                 | Elect Director John D. Markley, Jr. | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director David C. Merritt     | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Charter Communications, Inc.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1g                 | Elect Director James E. Meyer   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Steven A. Miron  | Mgmt      | For      | Against              | Against             |
| 1i                 | Elect Director Balan Nair   | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Michael A. Newhouse  | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Mauricio Ramos   | Mgmt      | For      | Against              | Against             |
| 11                 | Elect Director Thomas M. Rutledge   | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Eric L. Zinterhofer  | Mgmt      | For      | Against              | Against             |
| 2                  | Ratify KPMG LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 3                  | Report on Lobbying Payments and Policy  | SH        | Against  | For                  | For                 |
| 4                  | Require Independent Board Chair   | SH        | Against  | For                  | For                 |
| 5                  | Publish Annually a Report Assessing Diversity,<br>Equity, and Inclusion Efforts | SH        | Against  | For                  | For                 |
| 6                  | Report on Greenhouse Gas Emissions Disclosure                                   | SH        | Against  | For                  | For                 |
| 7                  | Adopt Policy to Annually Disclose EEO-1 Data                                    | SH        | Against  | For                  | For                 |

# **Exelon Corporation**

**Meeting Date:** 27/04/2021 **Record Date:** 01/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 30161N101

Ticker: EXC

Shares Voted: 98,935

| Proposal<br>Number | Proposal Text                            | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Anthony Anderson          | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Ann Berzin                | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Laurie Brlas              | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Marjorie Rodgers Cheshire | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Christopher Crane         | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Yves de Balmann           | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Linda Jojo                | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Paul Joskow               | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Robert Lawless            | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director John Richardson           | Mgmt      | For      | For                  | For                 |
|                    |  |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

### **Exelon Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1k                 | Elect Director Mayo Shattuck, III                              | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director John Young                                      | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 4                  | Report on Child Labor Audit                                    | SH        | Against  | Against              | Against             |

## **NOVONIX Limited**

**Meeting Date:** 27/04/2021 **Record Date:** 25/04/2021

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q6880B109

Ticker: NVX

Shares Voted: 458,178

| Proposal<br>Number | Proposal Text                                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Issuance of Shares to Trevor St Baker  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Issuance of Shares to Andrew N Liveris | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Issuance of Shares to Robert J Natter  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Shares to Robert Cooper    | Mgmt      | For      | For                  | For                 |

#### **PACCAR Inc**

**Meeting Date:** 27/04/2021 **Record Date:** 02/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 693718108

Ticker: PCAR

 $\textbf{Shares Voted:}\ 35{,}131$ 

| Proposal<br>Number | Proposal Text                          | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Mark C. Pigott          | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Dame Alison J. Carnwath | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Franklin L. Feder       | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director R. Preston Feight       | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Beth E. Ford            | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **PACCAR Inc**

| Proposal<br>Number | Proposal Text                         | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------------|-----------|----------|----------------------|---------------------|
| 1.6                | Elect Director Kirk S. Hachigian      | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Roderick C. McGeary    | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director John M. Pigott         | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Ganesh Ramaswamy       | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Mark A. Schulz         | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Gregory M. E. Spierkel | Mgmt      | For      | For                  | For                 |
| 2                  | Adopt Simple Majority Vote            | SH        | Against  | For                  | For                 |

# PerkinElmer, Inc.

**Meeting Date:** 27/04/2021 **Record Date:** 01/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 714046109

Ticker: PKI

Shares Voted: 5,502

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Peter Barrett                                   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Samuel R. Chapin                                | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Sylvie Gregoire                                 | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Alexis P. Michas                                | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Prahlad R. Singh                                | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Michel Vounatsos                                | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Frank Witney                                    | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Pascale Witz                                    | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

### Sekisui House, Ltd.

Meeting Date: 27/04/2021 Record Date: 31/01/2021 Country: Japan Meeting Type: Annual Primary Security ID: J70746136

Ticker: 1928

Reporting Period: 01/07/2020 to 30/06/2021

# Sekisui House, Ltd.

Shares Voted: 106,800

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 39  | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Articles to Amend Provisions on Number of Directors - Amend Provisions on Number of Statutory Auditors | Mgmt      | For      | For                  | For                 |
| 3.1                | Elect Director Nakai, Yoshihiro  | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Director Horiuchi, Yosuke  | Mgmt      | For      | For                  | For                 |
| 3.3                | Elect Director Nishida, Kumpei   | Mgmt      | For      | For                  | For                 |
| 3.4                | Elect Director Tanaka, Satoshi   | Mgmt      | For      | For                  | For                 |
| 3.5                | Elect Director Miura, Toshiharu  | Mgmt      | For      | For                  | For                 |
| 3.6                | Elect Director Ishii, Toru   | Mgmt      | For      | For                  | For                 |
| 3.7                | Elect Director Yoshimaru, Yukiko   | Mgmt      | For      | For                  | For                 |
| 3.8                | Elect Director Kitazawa, Toshifumi   | Mgmt      | For      | For                  | For                 |
| 3.9                | Elect Director Nakajima, Yoshimi   | Mgmt      | For      | For                  | For                 |
| 3.10               | Elect Director Takegawa, Keiko   | Mgmt      | For      | For                  | For                 |
| 4.1                | Appoint Statutory Auditor Ito, Midori  | Mgmt      | For      | For                  | For                 |
| 4.2                | Appoint Statutory Auditor Kobayashi, Takashi   | Mgmt      | For      | For                  | For                 |

### **Splitit Payments Limited**

**Meeting Date:** 27/04/2021 **Record Date:** 22/04/2021

**Country:** Australia **Meeting Type:** Special

**Primary Security ID:** M8T02E105

Ticker: SPT

Shares Voted: 527,583

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Vanessa LeFebvre as Director  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Scott Mahoney as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve the Increase in Non-Executive Directors' Remuneration Pool  | Mgmt      | For      | Against              | Against             |
| 4                  | Ratify the Appointment of Dawn Robertson as Chair of the Board  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Modifications to the Compensation Package, Including Proposed Issuance of Options to Dawn Robertson | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

## **Splitit Payments Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 6                  | Approve Modifications to the Compensation<br>Package, Including Proposed Issuance of<br>Options to Thierry Denis    | Mgmt      | For      | Against              | Against             |
| 7                  | Approve Modifications to the Compensation Package, Including Proposed Issuance of Options to Jan Koelble            | Mgmt      | For      | Against              | Against             |
| 8                  | Approve Modifications to the Compensation<br>Package, Including Proposed Issuance of<br>Options to Vanessa LeFebvre | Mgmt      | For      | Against              | Against             |
| 9                  | Approve Modifications to the Compensation<br>Package, Including Proposed Issuance of<br>Options to Scott Mahoney    | Mgmt      | For      | Against              | Against             |
| 10                 | Approve Remuneration Policy   | Mgmt      | For      | For                  | For                 |

# The Williams Companies, Inc.

Meeting Date: 27/04/2021 Record Date: 01/03/2021 Country: USA Meeting Type: Annual Primary Security ID: 969457100

Ticker: WMB

Shares Voted: 228,892

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Alan S. Armstrong                               | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Stephen W. Bergstrom                            | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Nancy K. Buese                                  | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Stephen I. Chazen                               | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Charles I. Cogut                                | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Michael A. Creel                                | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Stacey H. Dore                                  | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Vicki L. Fuller                                 | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Peter A. Ragauss                                | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Rose M. Robeson                                 | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Scott D. Sheffield                              | Mgmt      | For      | For                  | For                 |
| 1.12               | Elect Director Murray D. Smith                                 | Mgmt      | For      | For                  | For                 |
| 1.13               | Elect Director William H. Spence                               | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Ameriprise Financial, Inc.**

**Meeting Date:** 28/04/2021 **Record Date:** 01/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 03076C106

Ticker: AMP

Shares Voted: 16,981

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director James M. Cracchiolo                             | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Dianne Neal Blixt                               | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Amy DiGeso                                      | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Lon R. Greenberg                                | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Jeffrey Noddle                                  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Robert F. Sharpe, Jr.                           | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Brian T. Shea                                   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director W. Edward Walter, III                           | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Christopher J. Williams                         | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |

### **British American Tobacco plc**

**Meeting Date:** 28/04/2021 **Record Date:** 26/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

**Primary Security ID:** G1510J102

Ticker: BATS

Shares Voted: 201,997

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports          | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                                   | Mgmt      | For      | Against              | Against             |
| 3                  | Reappoint KPMG LLP as Auditors                                | Mgmt      | For      | For                  | For                 |
| 4                  | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Luc Jobin as Director                                | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Jack Bowles as Director                              | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Tadeu Marroco as Director                            | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **British American Tobacco plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 8                  | Re-elect Sue Farr as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Jeremy Fowden as Director                                   | Mgmt      | For      | Abstain              | Abstain             |
| 10                 | Re-elect Dr Marion Helmes as Director                                | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Holly Keller Koeppel as Director                            | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Savio Kwan as Director                                      | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Dimitri Panayotopoulos as Director                          | Mgmt      | For      | For                  | For                 |
| 14                 | Elect Karen Guerra as Director                                       | Mgmt      | For      | For                  | For                 |
| 15                 | Elect Darrell Thomas as Director                                     | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity without Pre-emptive Rights                 | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Market Purchase of Ordinary Shares                         | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise UK Political Donations and Expenditure                     | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt      | For      | For                  | For                 |

## **Cigna Corporation**

**Meeting Date:** 28/04/2021 **Record Date:** 08/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 125523100

Ticker: CI

Shares Voted: 15,849

| Proposal<br>Number | Proposal Text                         | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director David M. Cordani       | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director William J. DeLaney     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Eric J. Foss           | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Elder Granger          | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Isaiah Harris, Jr.     | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director George Kurian          | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Kathleen M. Mazzarella | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Mark B. McClellan      | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director John M. Partridge      | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Kimberly A. Ross       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Cigna Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1k                 | Elect Director Eric C. Wiseman                                 | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Donna F. Zarcone                                | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 5                  | Provide Right to Act by Written Consent                        | SH        | Against  | For                  | For                 |
| 6                  | Report on Gender Pay Gap                                       | SH        | Against  | For                  | For                 |
| 7                  | Disclose Board Matrix Including Ideological Perspectives       | SH        | Against  | Against              | Against             |

### **Hong Kong Exchanges & Clearing Ltd.**

**Meeting Date:** 28/04/2021 **Record Date:** 22/04/2021

**Country:** Hong Kong **Meeting Type:** Annual

Primary Security ID: Y3506N139

Ticker: 388

Shares Voted: 134,100

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                                    | Mgmt      | For      | For                  | For                 |
| 2a                 | Elect Nicholas Charles Allen as Director  | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Cheung Ming Ming, Anna as Director  | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect Zhang Yichen as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 4                  | Authorize Repurchase of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights     | Mgmt      | For      | For                  | For                 |

### **London Stock Exchange Group Plc**

**Meeting Date:** 28/04/2021 **Record Date:** 26/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

**Primary Security ID:** G5689U103

Ticker: LSEG

Reporting Period: 01/07/2020 to 30/06/2021

# **London Stock Exchange Group Plc**

Shares Voted: 31,992

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 4                  | Re-elect Jacques Aigrain as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Dominic Blakemore as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Kathleen DeRose as Director   | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Cressida Hogg as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Stephen O'Connor as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Val Rahmani as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Don Robert as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect David Schwimmer as Director   | Mgmt      | For      | For                  | For                 |
| 12                 | Elect Martin Brand as Director   | Mgmt      | For      | For                  | For                 |
| 13                 | Elect Erin Brown as Director   | Mgmt      | For      | For                  | For                 |
| 14                 | Elect Anna Manz as Director  | Mgmt      | For      | For                  | For                 |
| 15                 | Elect Douglas Steenland as Director  | Mgmt      | For      | For                  | For                 |
| 16                 | Reappoint Ernst & Young LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 20                 | Approve SAYE Option Plan   | Mgmt      | For      | For                  | For                 |
| 21                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 24                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 25                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
|                    |  |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

## **Marathon Petroleum Corporation**

**Meeting Date:** 28/04/2021 **Record Date:** 02/03/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 56585A102

Ticker: MPC

Shares Voted: 121,426

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Abdulaziz F. Alkhayyal                               | Mgmt      | For      | Against              | Against             |
| 1b                 | Elect Director Jonathan Z. Cohen                                    | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Michael J. Hennigan                                  | Mgmt      | For      | Against              | Against             |
| 1d                 | Elect Director Frank M. Semple                                      | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation      | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Omnibus Stock Plan  | Mgmt      | For      | For                  | For                 |
| 5                  | Eliminate Supermajority Vote Requirement                            | Mgmt      | For      | For                  | For                 |
| 6                  | Declassify the Board of Directors                                   | Mgmt      | For      | For                  | For                 |
| 7                  | Limit Accelerated Vesting of Equity Awards Upon a Change in Control | SH        | Against  | For                  | For                 |

## Moderna, Inc.

**Meeting Date:** 28/04/2021 **Record Date:** 01/03/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 60770K107

Ticker: MRNA

Shares Voted: 40,132

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Robert Langer                                   | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Elizabeth Nabel                                 | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Elizabeth Tallett                               | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# Muenchener Rueckversicherungs-Gesellschaft AG

Meeting Date: 28/04/2021

Country: Germany

Primary Security ID: D55535104

Record Date:

Meeting Type: Annual

Ticker: MUV2

Shares Voted: 15,124

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Allocation of Income and Dividends of EUR 9.80 per Share  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Management Board for Fiscal Year 2020  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Discharge of Supervisory Board for Fiscal Year 2020   | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Carinne Knoche-Brouillon to the<br>Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Remuneration Policy   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Remuneration of Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Creation of EUR 117.5 Million Pool of<br>Capital with Partial Exclusion of Preemptive<br>Rights | Mgmt      | For      | For                  | For                 |
| 9.1                | Approve Affiliation Agreement with MR<br>Beteiligungen 20. GmbH   | Mgmt      | For      | For                  | For                 |
| 9.2                | Approve Affiliation Agreement with MR<br>Beteiligungen 21. GmbH   | Mgmt      | For      | For                  | For                 |
| 9.3                | Approve Affiliation Agreement with MR<br>Beteiligungen 22. GmbH   | Mgmt      | For      | For                  | For                 |

# **NatWest Group Plc**

**Meeting Date:** 28/04/2021 **Record Date:** 26/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

**Primary Security ID:** G6422B105

Ticker: NWG

Shares Voted: 401,978

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                       | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend                            | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Howard Davies as Director                | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Alison Rose-Slade as Director            | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Katie Murray as Director                 | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **NatWest Group Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 7                  | Re-elect Frank Dangeard as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Patrick Flynn as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Morten Friis as Director  | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Robert Gillespie as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Yasmin Jetha as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Mike Rogers as Director   | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Mark Seligman as Director   | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Lena Wilson as Director   | Mgmt      | For      | For                  | For                 |
| 15                 | Reappoint Ernst & Young LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise the Group Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity in Connection with Equity Convertible Notes  | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity without Pre-emptive Rights in Connection with Equity Convertible Notes                         | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 24                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 25                 | Authorise Off-Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 26                 | Authorise Off-Market Purchase of Preference Shares   | Mgmt      | For      | For                  | For                 |
| 27                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |
| 28                 | Authorise Board to Offer Scrip Dividend  | Mgmt      | For      | For                  | For                 |

## **NICE Ltd. (Israel)**

Meeting Date: 28/04/2021 Record Date: 29/03/2021 Country: Israel
Meeting Type: Annual

**Primary Security ID:** M7494X101

Ticker: NICE

Reporting Period: 01/07/2020 to 30/06/2021

## **NICE Ltd. (Israel)**

Shares Voted: 6,966

| Duamanal           |  |           |          | Voting     | Vote        |
|--------------------|--|-----------|----------|------------|-------------|
| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Policy Rec | Instruction |
| 1a                 | Reelect David Kostman as Director  | Mgmt      | For      | For        | For         |
| 1b                 | Reelect Rimon Ben-Shaoul as Director   | Mgmt      | For      | For        | For         |
| 1c                 | Reelect Yehoshua (Shuki) Ehrlich as Director   | Mgmt      | For      | For        | For         |
| 1d                 | Reelect Leo Apotheker as Director  | Mgmt      | For      | For        | For         |
| 1e                 | Reelect Joseph (Joe) Cowan as Director   | Mgmt      | For      | For        | For         |
| 2                  | Reelect Zehava Simon as External Director  | Mgmt      | For      | For        | Against     |
| 2a                 | Vote FOR if you are a controlling shareholder or<br>have a personal interest in Item 2, as indicated<br>in the proxy card; otherwise, vote AGAINST. You<br>may not abstain. If you vote FOR, please<br>provide an explanation to your account<br>manager | Mgmt      | None     | Refer      | Against     |
| 3                  | Reapprove Compensation Policy for the Directors and Officers of the Company  | Mgmt      | For      | For        | Against     |
| 3a                 | Vote FOR if you are a controlling shareholder or<br>have a personal interest in Item 3, as indicated<br>in the proxy card; otherwise, vote AGAINST. You<br>may not abstain. If you vote FOR, please<br>provide an explanation to your account<br>manager | Mgmt      | None     | Refer      | Against     |
| 4                  | Approve CEO Equity Plan  | Mgmt      | For      | For        | Against     |
| 4a                 | Vote FOR if you are a controlling shareholder or have a personal interest in Item 4, as indicated in the proxy card; otherwise, vote AGAINST. You may not abstain. If you vote FOR, please provide an explanation to your account manager                | Mgmt      | None     | Refer      | Against     |
| 5                  | Reappoint Kost Forer Gabbay & Kasierer, a<br>member of Ernst & Young Global, as Auditors<br>and Authorize Board to Fix Their Remuneration  | Mgmt      | For      | For        | For         |

### **Pershing Square Holdings Ltd.**

Meeting Date: 28/04/2021 Record Date: 16/04/2021 **Country:** Guernsey **Meeting Type:** Annual

Primary Security ID: G7016V101

Ticker: PSH

Reporting Period: 01/07/2020 to 30/06/2021

## **Pershing Square Holdings Ltd.**

Shares Voted: 12,979

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                 | Mgmt      | For      | For                  | For                 |
| 3                  | Authorise Board to Fix Remuneration of Auditors      | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Nicholas Botta as Director                  | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Anne Farlow as Director                     | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Bronwyn Curtis as Director                  | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Andrew Henton as Director                      | Mgmt      | For      | For                  | For                 |
| 8                  | Elect Tope Lawani as Director                        | Mgmt      | For      | For                  | For                 |
| 9                  | Elect Rupert Morley as Director                      | Mgmt      | For      | For                  | For                 |
| 10                 | Elect Tracy Palandjian as Director                   | Mgmt      | For      | For                  | For                 |
| 11                 | Authorise Market Purchase of Public Shares           | Mgmt      | For      | For                  | For                 |
| 12                 | Authorise Issue of Equity without Pre-emptive Rights | Mgmt      | For      | For                  | For                 |

### **Persimmon Plc**

**Meeting Date:** 28/04/2021 **Record Date:** 26/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G70202109

Ticker: PSN

Shares Voted: 28,082

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                       | Mgmt      | For      | For                  | For                 |
| 3                  | Re-elect Roger Devlin as Director                 | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Dean Finch as Director                      | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Michael Killoran as Director             | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Nigel Mills as Director                  | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Rachel Kentleton as Director             | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Simon Litherland as Director             | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Persimmon Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 9                  | Re-elect Joanna Place as Director                                    | Mgmt      | For      | For                  | For                 |
| 10                 | Elect Annemarie Durbin as Director                                   | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Andrew Wyllie as Director                                      | Mgmt      | For      | For                  | For                 |
| 12                 | Reappoint Ernst & Young LLP as Auditors                              | Mgmt      | For      | For                  | For                 |
| 13                 | Authorise the Audit Committee to Fix Remuneration of Auditors        | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Issue of Equity without Pre-emptive Rights                 | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Market Purchase of Ordinary Shares                         | Mgmt      | For      | For                  | For                 |
| 17                 | Adopt New Articles of Association                                    | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt      | For      | For                  | For                 |

## **SNAM SpA**

**Meeting Date:** 28/04/2021 **Record Date:** 19/04/2021

Country: Italy
Meeting Type: Annual

**Primary Security ID:** T8578N103

Ticker: SRG

Shares Voted: 355,211

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Allocation of Income   | Mgmt      | For      | For                  | For                 |
| 3                  | Authorize Share Repurchase Program and Reissuance of Repurchased Shares                  | Mgmt      | For      | For                  | For                 |
| 4.1                | Approve Remuneration Policy  | Mgmt      | For      | For                  | For                 |
| 4.2                | Approve Second Section of the Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Proposed Changes to the 2020-2022<br>Long Term Share Incentive Plan              | Mgmt      | For      | For                  | For                 |
| Α                  | Deliberations on Possible Legal Action Against<br>Directors if Presented by Shareholders | Mgmt      | None     | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

## A10 Networks, Inc.

**Meeting Date:** 29/04/2021 **Record Date:** 05/03/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 002121101

Ticker: ATEN

Shares Voted: 118,497

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Tor R. Braham                                   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Peter Y. Chung                                  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Mary Dotz                                       | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Eric Singer                                     | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Dhrupad Trivedi                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Armanino LLP as Auditors                                | Mgmt      | For      | For                  | For                 |

### **ASML Holding NV**

**Meeting Date:** 29/04/2021 **Record Date:** 01/04/2021

**Country:** Netherlands **Meeting Type:** Annual

**Primary Security ID:** N07059202

Ticker: ASML

Shares Voted: 46,952

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3.a                | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3.b                | Adopt Financial Statements and Statutory Reports                                | Mgmt      | For      | For                  | For                 |
| 3.d                | Approve Dividends of EUR 2.75 Per Share   | Mgmt      | For      | For                  | For                 |
| 4.a                | Approve Discharge of Management Board   | Mgmt      | For      | For                  | For                 |
| 4.b                | Approve Discharge of Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Number of Shares for Management Board                                   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Certain Adjustments to the<br>Remuneration Policy for Management Board  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Certain Adjustments to the<br>Remuneration Policy for Supervisory Board | Mgmt      | For      | For                  | For                 |
| 9.a                | Elect B. Conix to Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 10                 | Ratify KPMG Accountants N.V. as Auditors  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **ASML Holding NV**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 11.a               | Grant Board Authority to Issue Shares Up to 5<br>Percent of Issued Capital for General Purposes                                  | Mgmt      | For      | For                  | For                 |
| 11.b               | Authorize Board to Exclude Preemptive Rights from Share Issuances under Item 11.a  | Mgmt      | For      | For                  | For                 |
| 11.c               | Grant Board Authority to Issue or Grant Rights to Subscribe for Ordinary Shares Up to 5 Percent in Case of Merger or Acquisition | Mgmt      | For      | For                  | For                 |
| 11.d               | Authorize Board to Exclude Preemptive Rights from Share Issuances under 11.c   | Mgmt      | For      | For                  | For                 |
| 12.a               | Authorize Repurchase of Up to 10 Percent of Issued Share Capital   | Mgmt      | For      | For                  | For                 |
| 12.b               | Authorize Additional Repurchase of Up to 10<br>Percent of Issued Share Capital   | Mgmt      | For      | For                  | For                 |
| 13                 | Authorize Cancellation of Repurchased Shares   | Mgmt      | For      | For                  | For                 |

## **ASML Holding NV**

**Meeting Date:** 29/04/2021 **Record Date:** 01/04/2021

**Country:** Netherlands **Meeting Type:** Annual

**Primary Security ID:** N07059202

Ticker: ASML

Shares Voted: 7,990

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3.a                | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3.b                | Adopt Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 3.d                | Approve Dividends of EUR 2.75 Per Share   | Mgmt      | For      | For                  | For                 |
| 4.a                | Approve Discharge of Management Board   | Mgmt      | For      | For                  | For                 |
| 4.b                | Approve Discharge of Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Number of Shares for Management Board   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Certain Adjustments to the<br>Remuneration Policy for Management Board                  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Certain Adjustments to the<br>Remuneration Policy for Supervisory Board                 | Mgmt      | For      | For                  | For                 |
| 9.a                | Elect B. Conix to Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 10                 | Ratify KPMG Accountants N.V. as Auditors  | Mgmt      | For      | For                  | For                 |
| 11.a               | Grant Board Authority to Issue Shares Up to 5<br>Percent of Issued Capital for General Purposes | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **ASML Holding NV**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 11.b               | Authorize Board to Exclude Preemptive Rights from Share Issuances under Item 11.a  | Mgmt      | For      | For                  | For                 |
| 11.c               | Grant Board Authority to Issue or Grant Rights to Subscribe for Ordinary Shares Up to 5 Percent in Case of Merger or Acquisition | Mgmt      | For      | For                  | For                 |
| 11.d               | Authorize Board to Exclude Preemptive Rights from Share Issuances under 11.c   | Mgmt      | For      | For                  | For                 |
| 12.a               | Authorize Repurchase of Up to 10 Percent of Issued Share Capital   | Mgmt      | For      | For                  | For                 |
| 12.b               | Authorize Additional Repurchase of Up to 10<br>Percent of Issued Share Capital   | Mgmt      | For      | For                  | For                 |
| 13                 | Authorize Cancellation of Repurchased Shares   | Mgmt      | For      | For                  | For                 |

### **AXA SA**

**Meeting Date:** 29/04/2021 **Record Date:** 27/04/2021

Country: France

Meeting Type: Annual/Special

**Primary Security ID:** F06106102

Ticker: CS

Shares Voted: 219,218

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidated Financial Statements and Statutory Reports   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation of Income and Dividends of EUR 1.43 per Share  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Compensation Report of Corporate Officers   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Compensation of Denis Duverne,<br>Chairman of the Board   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Compensation of Thomas Buberl, CEO  | Mgmt      | For      | Against              | Against             |
| 7                  | Approve Amendment of Remuneration Policy of CEO Re: GM 2019 and 2020  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Remuneration Policy of CEO  | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Remuneration Policy of Chairman of the Board  | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Remuneration Policy of Directors  | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Auditors' Special Report on<br>Related-Party Transactions Mentioning the<br>Absence of New Transactions | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **AXA SA**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 12                 | Reelect Ramon de Oliveira as Director   | Mgmt      | For      | For                  | For                 |
| 13                 | Elect Guillaume Faury as Director   | Mgmt      | For      | For                  | For                 |
| 14                 | Elect Ramon Fernandez as Director   | Mgmt      | For      | For                  | For                 |
| 15                 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorize Capitalization of Reserves of Up to EUR 1 Billion for Bonus Issue or Increase in Par Value  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorize Issuance of Equity or Equity-Linked<br>Securities with Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 2 Billions      | Mgmt      | For      | For                  | For                 |
| 18                 | Authorize Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 550 Million  | Mgmt      | For      | For                  | For                 |
| 19                 | Approve Issuance of Equity or Equity-Linked<br>Securities for Private Placements, up to<br>Aggregate Nominal Amount of EUR 550 Million      | Mgmt      | For      | For                  | For                 |
| 20                 | Authorize Board to Set Issue Price for 10<br>Percent Per Year of Issued Capital Pursuant to<br>Issue Authority without Preemptive Rights    | Mgmt      | For      | For                  | For                 |
| 21                 | Authorize Capital Increase of Up to EUR 550<br>Million for Future Exchange Offers   | Mgmt      | For      | For                  | For                 |
| 22                 | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind  | Mgmt      | For      | For                  | For                 |
| 23                 | Authorize Issuance of Equity Upon Conversion of a Subsidiary's Equity-Linked Securities without Preemptive Rights for Up to EUR 550 Million | Mgmt      | For      | For                  | For                 |
| 24                 | Authorize Issuance of Equity Upon Conversion of a Subsidiary's Equity-Linked Securities with Preemptive Rights for Up to EUR 2 Billion      | Mgmt      | For      | For                  | For                 |
| 25                 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans  | Mgmt      | For      | For                  | For                 |
| 26                 | Authorize Capital Issuances for Use in Employee<br>Stock Purchase Plans Reserved for Employees of<br>International Subsidiaries             | Mgmt      | For      | For                  | For                 |
| 27                 | Authorize Decrease in Share Capital via Cancellation of Repurchased Shares  | Mgmt      | For      | For                  | For                 |
| 28                 | Authorize Filing of Required Documents/Other Formalities  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **BCE Inc.**

**Meeting Date:** 29/04/2021 **Record Date:** 15/03/2021

**Country:** Canada **Meeting Type:** Annual

Primary Security ID: 05534B760

Ticker: BCE

Shares Voted: 100,475

| Proposal |   |           |          | Voting     | Vote        |
|----------|---|-----------|----------|------------|-------------|
| Number   | Proposal Text                                       | Proponent | Mgmt Rec | Policy Rec | Instruction |
| 1.1      | Elect Director Mirko Bibic                          | Mgmt      | For      | For        | For         |
| 1.2      | Elect Director David F. Denison                     | Mgmt      | For      | For        | For         |
| 1.3      | Elect Director Robert P. Dexter                     | Mgmt      | For      | For        | For         |
| 1.4      | Elect Director Ian Greenberg                        | Mgmt      | For      | For        | For         |
| 1.5      | Elect Director Katherine Lee                        | Mgmt      | For      | For        | For         |
| 1.6      | Elect Director Monique F. Leroux                    | Mgmt      | For      | For        | For         |
| 1.7      | Elect Director Sheila A. Murray                     | Mgmt      | For      | For        | For         |
| 1.8      | Elect Director Gordon M. Nixon                      | Mgmt      | For      | For        | For         |
| 1.9      | Elect Director Louis P. Pagnutti                    | Mgmt      | For      | For        | For         |
| 1.10     | Elect Director Calin Rovinescu                      | Mgmt      | For      | For        | For         |
| 1.11     | Elect Director Karen Sheriff                        | Mgmt      | For      | For        | For         |
| 1.12     | Elect Director Robert C. Simmonds                   | Mgmt      | For      | For        | For         |
| 1.13     | Elect Director Jennifer Tory                        | Mgmt      | For      | For        | For         |
| 1.14     | Elect Director Cornell Wright                       | Mgmt      | For      | For        | For         |
| 2        | Ratify Deloitte LLP as Auditors                     | Mgmt      | For      | For        | For         |
| 3        | Advisory Vote on Executive Compensation<br>Approach | Mgmt      | For      | For        | For         |

### **CRH Plc**

**Meeting Date:** 29/04/2021 **Record Date:** 25/04/2021

**Country:** Ireland **Meeting Type:** Annual

Primary Security ID: G25508105

Ticker: CRH

Shares Voted: 69,434

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend                               | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **CRH Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| <del>4</del> a     | Re-elect Richie Boucher as Director  | Mgmt      | For      | For                  | For                 |
| 4b                 | Elect Caroline Dowling as Director   | Mgmt      | For      | For                  | For                 |
| 4c                 | Elect Richard Fearon as Director   | Mgmt      | For      | For                  | For                 |
| 4d                 | Re-elect Johan Karlstrom as Director   | Mgmt      | For      | For                  | For                 |
| 4e                 | Re-elect Shaun Kelly as Director   | Mgmt      | For      | For                  | For                 |
| 4f                 | Elect Lamar McKay as Director  | Mgmt      | For      | For                  | For                 |
| 4g                 | Re-elect Albert Manifold as Director   | Mgmt      | For      | For                  | For                 |
| 4h                 | Re-elect Gillian Platt as Director   | Mgmt      | For      | For                  | For                 |
| 4i                 | Re-elect Mary Rhinehart as Director  | Mgmt      | For      | For                  | For                 |
| 4j                 | Re-elect Siobhan Talbot as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 6                  | Ratify Deloitte Ireland LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 7                  | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 8                  | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 9                  | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 10                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 11                 | Authorise Reissuance of Treasury Shares  | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Scrip Dividend   | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Savings-Related Share Option Schemes   | Mgmt      | For      | For                  | For                 |
| 14                 | Approve Cancellation of Share Premium Account  | Mgmt      | For      | For                  | For                 |

## **EOG** Resources, Inc.

**Meeting Date:** 29/04/2021 **Record Date:** 05/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 26875P101

Ticker: EOG

Shares Voted: 92,939

| Proposal<br>Number | Proposal Text                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Janet F. Clark | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **EOG** Resources, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1b                 | Elect Director Charles R. Crisp                                | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Robert P. Daniels                               | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director James C. Day                                    | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director C. Christopher Gaut                             | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Michael T. Kerr                                 | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Julie J. Robertson                              | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Donald F. Textor                                | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director William R. Thomas                               | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Omnibus Stock Plan                                     | Mgmt      | For      | For                  | For                 |
| 4                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

## **Falck Renewables SpA**

**Meeting Date:** 29/04/2021 **Record Date:** 20/04/2021

Country: Italy
Meeting Type: Annual

**Primary Security ID:** T3947T105

Ticker: FKR

Shares Voted: 12,238

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 1.2                | Approve Allocation of Income                         | Mgmt      | For      | For                  | For                 |
| 2.1                | Approve Remuneration Policy                          | Mgmt      | For      | For                  | For                 |
| 2.2                | Approve Second Section of the Remuneration Report    | Mgmt      | For      | Against              | Against             |
| 3                  | Integrate Remuneration of External Auditors          | Mgmt      | For      | For                  | For                 |

### **Flutter Entertainment Plc**

**Meeting Date:** 29/04/2021 **Record Date:** 25/04/2021

Country: Ireland Meeting Type: Annual Primary Security ID: G3643J108

Ticker: FLTR

Reporting Period: 01/07/2020 to 30/06/2021

### **Flutter Entertainment Plc**

Shares Voted: 14,487

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 3a                 | Re-elect Zillah Byng-Thorne as Director  | Mgmt      | For      | For                  | For                 |
| 3b                 | Re-elect Michael Cawley as Director  | Mgmt      | For      | For                  | For                 |
| 3c                 | Re-elect Nancy Cruickshank as Director   | Mgmt      | For      | For                  | For                 |
| 3d                 | Re-elect Richard Flint as Director   | Mgmt      | For      | For                  | For                 |
| 3e                 | Re-elect Andrew Higginson as Director  | Mgmt      | For      | For                  | For                 |
| 3f                 | Re-elect Jonathan Hill as Director   | Mgmt      | For      | For                  | For                 |
| 3g                 | Re-elect Alfred Hurley Jr as Director  | Mgmt      | For      | For                  | For                 |
| 3h                 | Re-elect Peter Jackson as Director   | Mgmt      | For      | For                  | For                 |
| 3i                 | Re-elect David Lazzarato as Director   | Mgmt      | For      | For                  | For                 |
| 3j                 | Re-elect Gary McGann as Director   | Mgmt      | For      | For                  | For                 |
| 3k                 | Re-elect Mary Turner as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 5                  | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 6                  | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 7a                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 7b                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Specified Capital Investment | Mgmt      | For      | For                  | For                 |
| 8                  | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 9                  | Authorise the Company to Determine the Price<br>Range at which Treasury Shares may be<br>Re-issued Off-Market                | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Bonus Issue of Shares  | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Reduction of Capital   | Mgmt      | For      | For                  | For                 |
|                    |  |           |          |                      |                     |

### **Glencore Plc**

Meeting Date: 29/04/2021Country: JerseyRecord Date: 27/04/2021Meeting Type: Annual

Primary Security ID: G39420107

Ticker: GLEN

Reporting Period: 01/07/2020 to 30/06/2021

### **Glencore Plc**

Shares Voted: 954,303

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Reduction of Capital Contribution Reserves   | Mgmt      | For      | For                  | For                 |
| 3                  | Re-elect Anthony Hayward as Director   | Mgmt      | For      | Against              | Against             |
| 4                  | Re-elect Ivan Glasenberg as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Peter Coates as Director  | Mgmt      | For      | Against              | Against             |
| 6                  | Re-elect Martin Gilbert as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Gill Marcus as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Patrice Merrin as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Kalidas Madhavpeddi as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Elect Cynthia Carroll as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Reappoint Deloitte LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 12                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Incentive Plan   | Mgmt      | For      | Against              | Against             |
| 14                 | Approve Company's Climate Action Transition Plan   | Mgmt      | For      | Against              | Against             |
| 15                 | Approve Remuneration Policy  | Mgmt      | For      | Against              | Against             |
| 16                 | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |

### **Iluka Resources Limited**

Meeting Date: 29/04/2021 Cou Record Date: 27/04/2021 Mee

Country: Australia
Meeting Type: Annual

Primary Security ID: Q4875J104

Ticker: ILU

Reporting Period: 01/07/2020 to 30/06/2021

### **Iluka Resources Limited**

Shares Voted: 507,502

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Andrea Sutton as Director                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Robert Cole as Director                                       | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Share Rights and Performance Rights to Tom O'Leary | Mgmt      | For      | For                  | For                 |

# **Janus Henderson Group Plc**

**Meeting Date:** 29/04/2021 **Record Date:** 11/03/2021

**Country:** Jersey **Meeting Type:** Annual

**Primary Security ID:** G4474Y214

Ticker: JHG

Shares Voted: 66,443

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Director Alison Davis  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Director Kalpana Desai   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Director Jeffrey Diermeier   | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Director Kevin Dolan   | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Director Eugene Flood, Jr.   | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Director Richard Gillingwater  | Mgmt      | For      | For                  | For                 |
| 8                  | Elect Director Lawrence Kochard  | Mgmt      | For      | For                  | For                 |
| 9                  | Elect Director Glenn Schafer   | Mgmt      | For      | For                  | For                 |
| 10                 | Elect Director Angela Seymour-Jackson  | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Richard Weil  | Mgmt      | For      | For                  | For                 |
| 12                 | Approve PricewaterhouseCoopers LLP as<br>Auditors and Authorise Audit Committee to Fix<br>Their Remuneration | Mgmt      | For      | For                  | For                 |
| 13                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise Market Purchase of CDIs  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Kerry Group Plc**

**Meeting Date:** 29/04/2021 **Record Date:** 25/04/2021

**Country:** Ireland **Meeting Type:** Annual

Primary Security ID: G52416107

Ticker: KRZ

Shares Voted: 16,373

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Emer Gilvarry as Director  | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Jinlong Wang as Director   | Mgmt      | For      | For                  | For                 |
| 4a                 | Re-elect Gerry Behan as Director   | Mgmt      | For      | For                  | For                 |
| 4b                 | Re-elect Dr Hugh Brady as Director   | Mgmt      | For      | For                  | For                 |
| 4c                 | Re-elect Gerard Culligan as Director   | Mgmt      | For      | For                  | For                 |
| 4d                 | Re-elect Dr Karin Dorrepaal as Director  | Mgmt      | For      | For                  | For                 |
| 4e                 | Re-elect Marguerite Larkin as Director   | Mgmt      | For      | For                  | For                 |
| 4f                 | Re-elect Tom Moran as Director   | Mgmt      | For      | For                  | For                 |
| 4g                 | Re-elect Con Murphy as Director  | Mgmt      | For      | For                  | For                 |
| 4h                 | Re-elect Christopher Rogers as Director  | Mgmt      | For      | For                  | For                 |
| 4i                 | Re-elect Edmond Scanlon as Director  | Mgmt      | For      | For                  | For                 |
| 4j                 | Re-elect Philip Toomey as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Remuneration Policy  | Mgmt      | For      | For                  | For                 |
| 8                  | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 9                  | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 10                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Specified Capital Investment | Mgmt      | For      | For                  | For                 |
| 11                 | Authorise Market Purchase of A Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Long Term Incentive Plan   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Prologis, Inc.**

**Meeting Date:** 29/04/2021 **Record Date:** 08/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 74340W103

Ticker: PLD

Shares Voted: 76,106

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Hamid R. Moghadam                               | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Cristina G. Bita                                | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director George L. Fotiades                              | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Lydia H. Kennard                                | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Irving F. Lyons, III                            | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Avid Modjtabai                                  | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director David P. O'Connor                               | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Olivier Piani                                   | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Jeffrey L. Skelton                              | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Carl B. Webb                                    | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director William D. Zollars                              | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 3                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |

### SailPoint Technologies Holdings, Inc.

**Meeting Date:** 29/04/2021 **Record Date:** 01/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 78781P105

Ticker: SAIL

Shares Voted: 181,804

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Mark D. McClain                                 | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Tracey E. Newell                                | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify Grant Thornton LLP as Auditors                          | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Schroders Plc**

Meeting Date: 29/04/2021 **Record Date:** 27/04/2021

Country: United Kingdom Meeting Type: Annual

Ticker: SDR

Primary Security ID: G78602136

Shares Voted: 9,882

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Michael Dobson as Director                                    | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Peter Harrison as Director                                    | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Richard Keers as Director                                     | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Ian King as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Sir Damon Buffini as Director                                 | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Rhian Davies as Director                                      | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Rakhi Goss-Custard as Director                                | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Deborah Waterhouse as Director                                | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Matthew Westerman as Director                                 | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Claire Howard as Director                                     | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Leonie Schroder as Director                                   | Mgmt      | For      | For                  | For                 |
| 15                 | Reappoint Ernst & Young LLP as Auditors                                | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise the Audit and Risk Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights                   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Market Purchase of Non-Voting Ordinary Shares                | Mgmt      | For      | For                  | For                 |
| 20                 | Adopt New Articles of Association                                      | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

## **The Weir Group Plc**

Meeting Date: 29/04/2021 **Record Date:** 27/04/2021

Country: United Kingdom Meeting Type: Annual

**Primary Security ID:** G95248137

Ticker: WEIR

Reporting Period: 01/07/2020 to 30/06/2021

### **The Weir Group Plc**

Shares Voted: 22,917

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Policy  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Ben Magara as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Srinivasan Venkatakrishnan as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Charles Berry as Director   | Mgmt      | For      | Against              | Against             |
| 7                  | Re-elect Jon Stanton as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect John Heasley as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Barbara Jeremiah as Director  | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Clare Chapman as Director   | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Engelbert Haan as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Mary Jo Jacobi as Director  | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Sir Jim McDonald as Director  | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Stephen Young as Director   | Mgmt      | For      | For                  | For                 |
| 15                 | Reappoint PricewaterhouseCoopers LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

### **UCB SA**

Meeting Date: 29/04/2021 Record Date: 15/04/2021 **Country:** Belgium **Meeting Type:** Annual

Primary Security ID: B93562120

Ticker: UCB

Reporting Period: 01/07/2020 to 30/06/2021

### **UCB SA**

Shares Voted: 5,787

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4                  | Approve Financial Statements, Allocation of Income, and Dividends of EUR 1.27 per Share | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Remuneration Policy   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Discharge of Directors  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Discharge of Auditors   | Mgmt      | For      | For                  | For                 |
| 9.1.A              | Elect Stefan Oschmann as Director   | Mgmt      | For      | For                  | For                 |
| 9.1.B              | Indicate Stefan Oschmann as Independent Director  | Mgmt      | For      | For                  | For                 |
| 9.2                | Elect Fiona du Monceau as Director  | Mgmt      | For      | For                  | For                 |
| 9.3.A              | Ratify the Co-optation of Susan Gasser as Independent Director                          | Mgmt      | For      | For                  | For                 |
| 9.3.B              | Elect Susan Gasser as Director  | Mgmt      | For      | For                  | For                 |
| 9.3.C              | Indicate Susan Gasser as Independent Director   | Mgmt      | For      | For                  | For                 |
| 9.4.A              | Elect Jonathan Peacock as Director  | Mgmt      | For      | For                  | For                 |
| 9.4.B              | Indicate Jonathan Peacock as Independent Director                                       | Mgmt      | For      | For                  | For                 |
| 9.5.A              | Reelect Albrecht De Graeve as Director  | Mgmt      | For      | For                  | For                 |
| 9.5.B              | Indicate Albrecht De Graeve as Independent Director                                     | Mgmt      | For      | For                  | For                 |
| 9.6.A              | Elect Viviane Monges as Director  | Mgmt      | For      | For                  | For                 |
| 9.6.B              | Indicate Viviane Monges as Independent Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Ratify Mazars as Auditors   | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Long-Term Incentive Plans - Program of Free Allocation of Shares                | Mgmt      | For      | For                  | For                 |
| 12.1               | Approve Change-of-Control Clause Re: EMTN Program                                       | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

### **Umicore**

**Meeting Date:** 29/04/2021 **Record Date:** 15/04/2021

Country: Belgium

Meeting Type: Annual/Special

Primary Security ID: B95505184

Ticker: UMI

Reporting Period: 01/07/2020 to 30/06/2021

### **Umicore**

Shares Voted: 8,585

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Financial Statements, Allocation of Income, and Dividends of EUR 0.75 per Share                   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of an Identical Profit Premium to Umicore Employees   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Discharge of Members of the<br>Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Discharge of Auditors   | Mgmt      | For      | For                  | For                 |
| 8.1                | Reelect Thomas Leysen as Member of the<br>Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 8.2                | Reelect Koenraad Debackere as an Independent<br>Member of the Supervisory Board                           | Mgmt      | For      | For                  | For                 |
| 8.3                | Reelect Mark Garrett as an Independent<br>Member of the Supervisory Board                                 | Mgmt      | For      | For                  | For                 |
| 8.4                | Reelect Eric Meurice as an Independent Member of the Supervisory Board                                    | Mgmt      | For      | For                  | For                 |
| 8.5                | Elect Birgit Behrendt as an Independent<br>Member of the Supervisory Board                                | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Remuneration of the Members of the Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 10.1               | Ratify EY BV as Auditors  | Mgmt      | For      | For                  | For                 |
| 10.2               | Approve Auditors' Remuneration  | Mgmt      | For      | For                  | For                 |
| 1.1                | Approve Change-of-Control Clause Re: Finance<br>Contract with European Investment Bank                    | Mgmt      | For      | For                  | For                 |
| 1.2                | Approve Change-of-Control Clause Re:<br>Revolving Facility Agreement with J.P. Morgan<br>AG               | Mgmt      | For      | For                  | For                 |
| 1.3                | Approve Change-of-Control Clause Re:<br>Convertible Bonds Maturing on 23 June 2025<br>(ISIN BE6322623669) | Mgmt      | For      | For                  | For                 |

# Valero Energy Corporation

**Meeting Date:** 29/04/2021 **Record Date:** 03/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 91913Y100

Ticker: VLO

Reporting Period: 01/07/2020 to 30/06/2021

## **Valero Energy Corporation**

Shares Voted: 76,335

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director H. Paulett Eberhart                             | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Joseph W. Gorder                                | Mgmt      | For      | Against              | Against             |
| 1c                 | Elect Director Kimberly S. Greene                              | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Deborah P. Majoras                              | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Eric D. Mullins                                 | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Donald L. Nickles                               | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Philip J. Pfeiffer                              | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Robert A. Profusek                              | Mgmt      | For      | Against              | Against             |
| 1i                 | Elect Director Stephen M. Waters                               | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Randall J. Weisenburger                         | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Rayford Wilkins, Jr.                            | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

### **Admiral Group Plc**

**Meeting Date:** 30/04/2021 **Record Date:** 28/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

**Primary Security ID:** G0110T106

Ticker: ADM

Shares Voted: 18,382

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                       | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Policy                       | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Final Dividend                            | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Jayaprakasa Rangaswami as Director          | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Milena Mondini-de-Focatiis as Director      | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Geraint Jones as Director                | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Annette Court as Director                | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Admiral Group Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 9                  | Re-elect Jean Park as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect George Manning Rountree as Director   | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Owen Clarke as Director   | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Justine Roberts as Director   | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Andrew Crossley as Director   | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Michael Brierley as Director  | Mgmt      | For      | For                  | For                 |
| 15                 | Re-elect Karen Green as Director   | Mgmt      | For      | For                  | For                 |
| 16                 | Reappoint Deloitte LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 18                 | Amend Discretionary Free Share Scheme  | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 23                 | Approve Matters Relating to the Relevant Distributions   | Mgmt      | None     | For                  | For                 |
| 24                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 25                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

### **AMP Ltd.**

**Meeting Date:** 30/04/2021 **Record Date:** 28/04/2021

Country: Australia
Meeting Type: Annual

Primary Security ID: Q0344G101

Ticker: AMP

**Shares Voted:** 5,409,044

| Proposal<br>Number | Proposal Text                             | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Kathryn (Kate) McKenzie as Director | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report               | Mgmt      | For      | Against              | Against             |
| 5                  | Approve the Spill Resolution              | Mgmt      | Against  | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

### **Aptiv PLC**

**Meeting Date:** 30/04/2021 **Record Date:** 02/03/2021

**Country:** Jersey **Meeting Type:** Annual

Primary Security ID: G6095L109

Ticker: APTV

Shares Voted: 19,903

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Kevin P. Clark   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Richard L. Clemmer   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Nancy E. Cooper  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Nicholas M. Donofrio   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Rajiv L. Gupta   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Joseph L. Hooley   | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Merit E. Janow   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Sean O. Mahoney  | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Paul M. Meister  | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Robert K. Ortberg  | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Colin J. Parris  | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Ana G. Pinczuk   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Ernst & Young LLP as Auditors and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation                      | Mgmt      | For      | Against              | Against             |

### **Baloise Holding AG**

Meeting Date: 30/04/2021 Record Date:

Marti

**Country:** Switzerland

Meeting Type: Annual

Primary Security ID: H04530202

Ticker: BALN

Shares Voted: 5,134

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Accept Financial Statements and Statutory Reports                | Mgmt      | For      | For                  | For                 |
| 1.2                | Approve Remuneration Report (Non-Binding)                        | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Discharge of Board and Senior<br>Management              | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation of Income and Dividends of CHF 6.40 per Share | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Baloise Holding AG**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 4.1                | Approve CHF 300,000 Reduction in Share<br>Capital via Cancellation of Repurchased Shares | Mgmt      | For      | For                  | For                 |
| 4.2                | Approve Creation of CHF 400,000 Pool of Capital without Preemptive Rights                | Mgmt      | For      | For                  | For                 |
| 5.1a               | Reelect Thomas von Planta as Director and Board Chairman                                 | Mgmt      | For      | For                  | For                 |
| 5.1b               | Reelect Andreas Beerli as Director   | Mgmt      | For      | For                  | For                 |
| 5.1c               | Reelect Christoph Gloor as Director  | Mgmt      | For      | For                  | For                 |
| 5.1d               | Reelect Hugo Lasat as Director   | Mgmt      | For      | For                  | For                 |
| 5.1f               | Reelect Christoph Maeder as Director   | Mgmt      | For      | For                  | For                 |
| 5.1g               | Reelect Markus Neuhaus as Director   | Mgmt      | For      | For                  | For                 |
| 5.1h               | Reelect Thomas Pleines as Director   | Mgmt      | For      | For                  | For                 |
| 5.1i               | Reelect Hans-Joerg Schmidt-Trenz as Director   | Mgmt      | For      | For                  | For                 |
| 5.1j               | Reelect Marie-Noelle Venturi - Zen-Ruffinen as<br>Director                               | Mgmt      | For      | For                  | For                 |
| 5.1k               | Elect Karin Diedenhofen as Director  | Mgmt      | For      | For                  | For                 |
| 5.2.1              | Appoint Christoph Maeder as Member of the Compensation Committee                         | Mgmt      | For      | For                  | For                 |
| 5.2.2              | Appoint Markus Neuhaus as Member of the Compensation Committee                           | Mgmt      | For      | For                  | For                 |
| 5.2.3              | Appoint Thomas Pleines as Member of the Compensation Committee                           | Mgmt      | For      | For                  | For                 |
| 5.2.4              | Appoint Hans-Joerg Schmidt-Trenz as Member of the Compensation Committee                 | Mgmt      | For      | For                  | For                 |
| 5.3                | Designate Christophe Sarasin as Independent Proxy  | Mgmt      | For      | For                  | For                 |
| 5.4                | Ratify Ernst & Young AG as Auditors  | Mgmt      | For      | For                  | For                 |
| 6.1                | Approve Remuneration of Directors in the Amount of CHF 3.4 Million                       | Mgmt      | For      | For                  | For                 |
| 6.2.1              | Approve Fixed Remuneration of Executive Committee in the Amount of CHF 4 Million         | Mgmt      | For      | For                  | For                 |
| 6.2.2              | Approve Variable Remuneration of Executive Committee in the Amount of CHF 4.8 Million    | Mgmt      | For      | For                  | For                 |
| 7                  | Transact Other Business (Voting)   | Mgmt      | For      | Against              | Against             |

## **Kingspan Group Plc**

Meeting Date: 30/04/2021 Country: Ireland
Record Date: 26/04/2021 Meeting Type: Annual

**Primary Security ID:** G52654103

Ticker: KRX

Reporting Period: 01/07/2020 to 30/06/2021

## **Kingspan Group Plc**

Shares Voted: 6,736

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 3a                 | Re-elect Gene Murtagh as Director  | Mgmt      | For      | Against              | Against             |
| 3b                 | Re-elect Geoff Doherty as Director   | Mgmt      | For      | For                  | For                 |
| 3c                 | Re-elect Russell Shiels as Director  | Mgmt      | For      | For                  | For                 |
| 3d                 | Re-elect Gilbert McCarthy as Director  | Mgmt      | For      | For                  | For                 |
| 3e                 | Re-elect Linda Hickey as Director  | Mgmt      | For      | Against              | Against             |
| 3f                 | Re-elect Michael Cawley as Director  | Mgmt      | For      | For                  | For                 |
| 3g                 | Re-elect John Cronin as Director   | Mgmt      | For      | Against              | Against             |
| 3h                 | Re-elect Jost Massenberg as Director   | Mgmt      | For      | Against              | Against             |
| 3i                 | Re-elect Anne Heraty as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 6                  | Approve Increase in the Limit for Non-executive Directors' Fees  | Mgmt      | For      | For                  | For                 |
| 7                  | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 8                  | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 9                  | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 10                 | Authorise Market Purchase of Shares  | Mgmt      | For      | For                  | For                 |
| 11                 | Authorise Reissuance of Treasury Shares  | Mgmt      | For      | For                  | For                 |
| 12                 | Authorise the Company to Call EGM with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
|                    |  |           |          |                      |                     |

# **Leidos Holdings, Inc.**

Meeting Date: 30/04/2021 Record Date: 10/03/2021 Country: USA

Meeting Type: Annual

Primary Security ID: 525327102

Ticker: LDOS

Reporting Period: 01/07/2020 to 30/06/2021

## Leidos Holdings, Inc.

Shares Voted: 87,350

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Gregory R. Dahlberg                             | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director David G. Fubini                                 | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Miriam E. John                                  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Frank Kendall, III                              | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Robert C. Kovarik, Jr.                          | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Harry M. J. Kraemer, Jr.                        | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Roger A. Krone                                  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Gary S. May                                     | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Surya N. Mohapatra                              | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Robert S. Shapard                               | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Susan M. Stalnecker                             | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Noel B. Williams                                | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |

## Life360, Inc.

**Meeting Date:** 30/04/2021 **Record Date:** 13/03/2021

Country: USA Meeting Type: Annual Primary Security ID: U5295P104

Ticker: 360

Shares Voted: 189,311

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect James Synge as Director                             | Mgmt      | For      | For                  | For                 |
| 2                  | Elect David Wiadrowski as Director                        | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Brit Morin as Director                              | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Randi Zuckerberg as Director                        | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Increase in Non-Executive Directors' Fee Pool | Mgmt      | None     | Against              | Against             |
| 6                  | Approve Grant of RSUs and Options to Chris Hulls          | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

## Life360, Inc.

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 7                  | Approve Grant of RSUs and Options to John<br>Philip Coghlan | Mgmt      | For      | Against              | Against             |
| 8                  | Approve Grant of RSUs and Options to Brit<br>Morin          | Mgmt      | For      | Against              | Against             |
| 9                  | Approve Grant of RSUs and Options to James Synge            | Mgmt      | For      | Against              | Against             |
| 10                 | Approve Grant of RSUs and Options to Mark Goines            | Mgmt      | For      | Against              | Against             |
| 11                 | Approve Grant of RSUs and Options to David Wiadrowski       | Mgmt      | For      | Against              | Against             |
| 12                 | Approve Grant of RSUs and Options to Randi Zuckerberg       | Mgmt      | For      | Against              | Against             |
| 13                 | Approve Grant of RSUs and Options to Alex<br>Haro           | Mgmt      | For      | Against              | Against             |
| 14                 | Approve Amendment of Audit and Risk<br>Committee Charter    | Mgmt      | For      | Against              | Against             |

### Oil Search Ltd.

**Meeting Date:** 30/04/2021 **Record Date:** 28/04/2021

**Country:** Papua New Guinea **Meeting Type:** Annual

**Primary Security ID:** Y64695110

Ticker: OSH

**Shares Voted:** 2,717,672

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 3a                 | Elect Musje Werror as Director   | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Richard Lee as Director  | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect Eileen Doyle as Director   | Mgmt      | For      | For                  | For                 |
| 3d                 | Elect Susan Cunningham as Director   | Mgmt      | For      | For                  | For                 |
| 3e                 | Elect Bakheet Al Katheeri as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Temporary Increase in the Maximum<br>Number of Directors from 9 to 10                  | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Michael Utsler as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Grant of Restricted Shares, Alignment<br>Rights and Performance Rights to Keiran Wulff | Mgmt      | For      | Against              | Against             |
| 7                  | Approve Grant of Non-Executive Director Rights to Michael Utsler                               | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Capital Protection   | SH        | Against  | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Pearson Plc**

**Meeting Date:** 30/04/2021 **Record Date:** 28/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G69651100

Ticker: PSON

Shares Voted: 66,411

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Andy Bird as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Elizabeth Corley as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Sherry Coutu as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Sally Johnson as Director   | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Linda Lorimer as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Graeme Pitkethly as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Tim Score as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Sidney Taurel as Director   | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Lincoln Wallen as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 13                 | Reappoint PricewaterhouseCoopers LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | Against              | Against             |
| 20                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |

### Sanofi

**Meeting Date:** 30/04/2021 **Record Date:** 28/04/2021

Country: France

Meeting Type: Annual/Special

 $\textbf{Primary Security ID:}\ F5548N101$ 

Ticker: SAN

Reporting Period: 01/07/2020 to 30/06/2021

### Sanofi

Shares Voted: 75,318

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Statutory<br>Reports  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidated Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Treatment of Losses and Dividends of EUR 3.20 per Share  | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Appointment of Gilles Schnepp as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Reelect Fabienne Lecorvaisier as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Reelect Melanie Lee as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Barbara Lavernos as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Compensation Report of Corporate Officers  | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Compensation of Serge Weinberg,<br>Chairman of the Board   | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Compensation of Paul Hudson, CEO   | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Remuneration Policy of Directors   | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Remuneration Policy of Chairman of the Board   | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Remuneration Policy of CEO   | Mgmt      | For      | For                  | For                 |
| 14                 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital   | Mgmt      | For      | For                  | For                 |
| 15                 | Authorize Decrease in Share Capital via Cancellation of Repurchased Shares   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorize Issuance of Equity or Equity-Linked<br>Securities with Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 997 Million                  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorize Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 240 Million               | Mgmt      | For      | For                  | For                 |
| 18                 | Approve Issuance of Equity or Equity-Linked<br>Securities for Private Placements, up to<br>Aggregate Nominal Amount of EUR 240 Million                   | Mgmt      | For      | For                  | For                 |
| 19                 | Approve Issuance of Debt Securities Giving<br>Access to New Shares of Subsidiaries and/or<br>Debt Securities, up to Aggregate Amount of<br>EUR 7 Billion | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### Sanofi

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 20                 | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above | Mgmt      | For      | For                  | For                 |
| 21                 | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind                                      | Mgmt      | For      | For                  | For                 |
| 22                 | Authorize Capitalization of Reserves of Up to EUR 500 Million for Bonus Issue or Increase in Par Value                          | Mgmt      | For      | For                  | For                 |
| 23                 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans  | Mgmt      | For      | For                  | For                 |
| 24                 | Authorize up to 5 Percent of Issued Capital for Use in Restricted Stock Plans   | Mgmt      | For      | For                  | For                 |
| 25                 | Amend Article 13 of Bylaws Re: Written Consultation   | Mgmt      | For      | For                  | For                 |
| 26                 | Amend Articles 14 and 17 of Bylaws Re: Board Powers and Censors   | Mgmt      | For      | For                  | For                 |
| 27                 | Authorize Filing of Required Documents/Other Formalities  | Mgmt      | For      | For                  | For                 |

## **Smurfit Kappa Group Plc**

**Meeting Date:** 30/04/2021 **Record Date:** 26/04/2021

**Country:** Ireland **Meeting Type:** Annual

Primary Security ID: G8248F104

Ticker: SKG

Shares Voted: 22,848

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Policy                          | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Final Dividend                               | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Kaisa Hietala as Director                      | Mgmt      | For      | For                  | For                 |
| 6a                 | Re-elect Irial Finan as Director                     | Mgmt      | For      | For                  | For                 |
| 6b                 | Re-elect Anthony Smurfit as Director                 | Mgmt      | For      | For                  | For                 |
| 6c                 | Re-elect Ken Bowles as Director                      | Mgmt      | For      | For                  | For                 |
| 6d                 | Re-elect Anne Anderson as Director                   | Mgmt      | For      | For                  | For                 |
| 6e                 | Re-elect Frits Beurskens as Director                 | Mgmt      | For      | For                  | For                 |
| 6f                 | Re-elect Carol Fairweather as Director               | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Smurfit Kappa Group Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 6g                 | Re-elect James Lawrence as Director  | Mgmt      | For      | For                  | For                 |
| 6h                 | Re-elect Dr Lourdes Melgar as Director   | Mgmt      | For      | For                  | For                 |
| 6i                 | Re-elect John Moloney as Director  | Mgmt      | For      | For                  | For                 |
| 6j                 | Re-elect Jorgen Rasmussen as Director  | Mgmt      | For      | For                  | For                 |
| 6k                 | Re-elect Gonzalo Restrepo as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 8                  | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 9                  | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 10                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 11                 | Authorise Market Purchase of Shares  | Mgmt      | For      | For                  | For                 |
| 12                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Increase in the Maximum Award<br>Opportunity in the Rules of the 2018<br>Performance Share Plan                  | Mgmt      | For      | For                  | For                 |

# **TERNA Rete Elettrica Nazionale SpA**

**Meeting Date:** 30/04/2021 **Record Date:** 21/04/2021

Country: Italy
Meeting Type: Annual

**Primary Security ID:** T9471R100

Ticker: TRN

Shares Voted: 219,313

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                    | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Allocation of Income  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Long Term Incentive Plan  | Mgmt      | For      | For                  | For                 |
| 4                  | Authorize Share Repurchase Program and Reissuance of Repurchased Shares | Mgmt      | For      | For                  | For                 |
| 5.1                | Approve Remuneration Policy   | Mgmt      | For      | For                  | For                 |
| 5.2                | Approve Second Section of the Remuneration Report                       | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

## **Aflac Incorporated**

**Meeting Date:** 03/05/2021 **Record Date:** 23/02/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 001055102

Ticker: AFL

Shares Voted: 68,251

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Daniel P. Amos                                  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director W. Paul Bowers                                  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Toshihiko Fukuzawa                              | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Thomas J. Kenny                                 | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Georgette D. Kiser                              | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Karole F. Lloyd                                 | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Nobuchika Mori                                  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Joseph L. Moskowitz                             | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Barbara K. Rimer                                | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Katherine T. Rohrer                             | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Melvin T. Stith                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |

### **Paycom Software, Inc.**

**Meeting Date:** 03/05/2021 **Record Date:** 17/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 70432V102

Ticker: PAYC

Shares Voted: 6,514

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Robert J. Levenson                              | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Frederick C. Peters, II                         | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify Grant Thornton LLP as Auditors                          | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 4                  | Report on Plans to Improve Diversity of Executive Leadership   | SH        | None     | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **PotlatchDeltic Corporation**

**Meeting Date:** 03/05/2021 **Record Date:** 12/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 737630103

Ticker: PCH

Shares Voted: 554

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director William L. Driscoll                             | Mgmt      | For      | Against              | Against             |
| 1b                 | Elect Director D. Mark Leland                                  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Lenore M. Sullivan                              | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

## **American Express Company**

**Meeting Date:** 04/05/2021 **Record Date:** 08/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 025816109

Ticker: AXP

Shares Voted: 71,733

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Thomas J. Baltimore  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Charlene Barshefsky  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director John J. Brennan      | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Peter Chernin        | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Ralph de la Vega     | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Michael O. Leavitt   | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Theodore J. Leonsis  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Karen L. Parkhill    | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Charles E. Phillips  | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Lynn A. Pike         | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Stephen J. Squeri    | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Daniel L. Vasella    | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Lisa W. Wardell      | Mgmt      | For      | For                  | For                 |
| 1n                 | Elect Director Ronald A. Williams   | Mgmt      | For      | For                  | For                 |
| 10                 | Elect Director Christopher D. Young | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **American Express Company**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                                   | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation                  | Mgmt      | For      | For                  | For                 |
| 4                  | Provide Right to Act by Written Consent   | SH        | Against  | For                  | For                 |
| 5                  | Publish Annually a Report Assessing Diversity,<br>Equity, and Inclusion Efforts | SH        | Against  | For                  | For                 |

## **Bristol-Myers Squibb Company**

**Meeting Date:** 04/05/2021 **Record Date:** 15/03/2021

Country: USA
Meeting Type: Annual

**Primary Security ID:** 110122108

Ticker: BMY

Shares Voted: 79,892

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1A                 | Elect Director Peter J. Arduini                                       | Mgmt      | For      | For                  | For                 |
| 1B                 | Elect Director Michael W. Bonney                                      | Mgmt      | For      | For                  | For                 |
| 1C                 | Elect Director Giovanni Caforio                                       | Mgmt      | For      | For                  | For                 |
| 1D                 | Elect Director Julia A. Haller  | Mgmt      | For      | For                  | For                 |
| 1E                 | Elect Director Paula A. Price   | Mgmt      | For      | For                  | For                 |
| 1F                 | Elect Director Derica W. Rice   | Mgmt      | For      | For                  | For                 |
| 1G                 | Elect Director Theodore R. Samuels                                    | Mgmt      | For      | For                  | For                 |
| 1H                 | Elect Director Gerald L. Storch                                       | Mgmt      | For      | For                  | For                 |
| 1I                 | Elect Director Karen H. Vousden                                       | Mgmt      | For      | For                  | For                 |
| 1J                 | Elect Director Phyllis R. Yale  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation        | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Omnibus Stock Plan  | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Deloitte & Touche LLP as Auditors                              | Mgmt      | For      | Against              | Against             |
| 5                  | Reduce Ownership Threshold for Special<br>Shareholder Meetings to 15% | Mgmt      | For      | For                  | For                 |
| 6                  | Require Independent Board Chair                                       | SH        | Against  | For                  | For                 |
| 7                  | Provide Right to Act by Written Consent                               | SH        | Against  | For                  | For                 |
| 8                  | Reduce Ownership Threshold for Special<br>Shareholder Meetings to 10% | SH        | Against  | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Edwards Lifesciences Corporation**

**Meeting Date:** 04/05/2021 **Record Date:** 10/03/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 28176E108

Ticker: EW

Shares Voted: 29,086

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Kieran T. Gallahue  | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Leslie S. Heisz   | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Paul A. LaViolette  | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Steven R. Loranger  | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Martha H. Marsh   | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Michael A. Mussallem  | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Ramona Sequeira   | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Nicholas J. Valeriani   | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation                           | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Qualified Employee Stock Purchase Plan   | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Nonqualified Employee Stock Purchase Plan  | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify PricewaterhouseCoopers LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 6                  | Provide Right to Act by Written Consent  | SH        | Against  | Against              | For                 |
| 7                  | Adopt a Policy to Include Non-Management<br>Employees as Prospective Director Candidates | SH        | Against  | For                  | For                 |

### **Meyer Burger Technology AG**

Meeting Date: 04/05/2021 Record Date: **Country:** Switzerland **Meeting Type:** Annual

**Primary Security ID:** H5498Z128

Ticker: MBTN

Shares Voted: 265,402

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Accept Financial Statements and Statutory Reports | Mgmt      | For      | For                  | For                 |
| 1.2                | Approve Remuneration Report                       | Mgmt      | For      | Against              | Against             |
| 2                  | Approve Treatment of Net Loss                     | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Meyer Burger Technology AG**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Approve Discharge of Board and Senior<br>Management   | Mgmt      | For      | For                  | For                 |
| 4.1.1              | Reelect Franz Richter as Director   | Mgmt      | For      | For                  | For                 |
| 4.1.2              | Reelect Andreas Herzog as Director  | Mgmt      | For      | Against              | Against             |
| 4.1.3              | Reelect Mark Kerekes as Director  | Mgmt      | For      | For                  | For                 |
| 4.1.4              | Elect Urs Schenker as Director  | Mgmt      | For      | For                  | For                 |
| 4.1.5              | Reelect Franz Richter as Board Chairman   | Mgmt      | For      | For                  | For                 |
| 4.2.1              | Reappoint Andreas Herzog as Member of the<br>Nomination and Compensation Committee                | Mgmt      | For      | Against              | Against             |
| 4.2.2              | Appoint Urs Schenker as Member of the<br>Nomination and Compensation Committee                    | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify PricewaterhouseCoopers AG as Auditors  | Mgmt      | For      | For                  | For                 |
| 6                  | Designate Andre Weber as Independent Proxy  | Mgmt      | For      | For                  | For                 |
| 7.1                | Amend Articles Re: Approval Period for the Remuneration of Directors                              | Mgmt      | For      | For                  | For                 |
| 7.2                | Approve Remuneration of Directors in the Amount of CHF 800,000                                    | Mgmt      | For      | For                  | For                 |
| 7.3                | Approve Remuneration of Executive Committee in the Amount of CHF 2.5 Million for Fiscal Year 2021 | Mgmt      | For      | For                  | For                 |
| 7.4                | Approve Remuneration of Executive Committee in the Amount of CHF 2.9 Million for Fiscal Year 2022 | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Creation of CHF 12.6 Million Pool of Capital without Preemptive Rights                    | Mgmt      | For      | For                  | For                 |
| 9.1                | Approve Increase in Conditional Capital Pool to CHF 12.6 Million for Convertible Bonds            | Mgmt      | For      | Against              | Against             |
| 9.2                | Approve Increase in Conditional Capital Pool to CHF 6.3 Million for Employee Shareholdings        | Mgmt      | For      | Against              | Against             |
| 10                 | Transact Other Business (Voting)  | Mgmt      | For      | Against              | Against             |

### **Suncor Energy Inc.**

Meeting Date: 04/05/2021Country: CanadaRecord Date: 08/03/2021Meeting Type: Annual

Primary Security ID: 867224107

Ticker: SU

Reporting Period: 01/07/2020 to 30/06/2021

## **Suncor Energy Inc.**

Shares Voted: 289,317

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Patricia M. Bedient                  | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director John D. Gass                         | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Russell (Russ) K. Girling            | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Jean Paul (JP) Gladu                 | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Dennis M. Houston                    | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Mark S. Little                       | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Brian P. MacDonald                   | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Maureen McCaw                        | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Lorraine Mitchelmore                 | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Eira M. Thomas                       | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Michael M. Wilson                    | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditors                         | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Stock Option Plan                             | Mgmt      | For      | For                  | For                 |
| 4                  | Advisory Vote on Executive Compensation<br>Approach | Mgmt      | For      | For                  | For                 |

# **Anglo American Plc**

**Meeting Date:** 05/05/2021 **Record Date:** 30/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G03764134

Ticker: AAL

Shares Voted: 112,701

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend                            | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Elisabeth Brinton as Director               | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Hilary Maxson as Director                   | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Ian Ashby as Director                    | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Marcelo Bastos as Director               | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Stuart Chambers as Director              | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

### **Anglo American Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 8                  | Re-elect Mark Cutifani as Director                                   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Byron Grote as Director                                     | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Hixonia Nyasulu as Director                                 | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Nonkululeko Nyembezi as Director                            | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Tony O'Neill as Director                                    | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Stephen Pearce as Director                                  | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Anne Stevens as Director                                    | Mgmt      | For      | For                  | For                 |
| 15                 | Reappoint PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Board to Fix Remuneration of Auditors                      | Mgmt      | For      | For                  | For                 |
| 17                 | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive Rights                 | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Market Purchase of Ordinary Shares                         | Mgmt      | For      | For                  | For                 |
| 21                 | Adopt New Articles of Association                                    | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt      | For      | For                  | For                 |

### **Anglo American Plc**

**Meeting Date:** 05/05/2021 **Record Date:** 30/04/2021

**Country:** United Kingdom **Meeting Type:** Court

Primary Security ID: G03764134

Ticker: AAL

Shares Voted: 112,701

| Proposa<br>Number | l<br>Proposal Text            | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|-------------------|-------------------------------|-----------|----------|----------------------|---------------------|
| 1                 | Approve Scheme of Arrangement | Mgmt      | For      | For                  | For                 |

## **Anglo American Plc**

Meeting Date: 05/05/2021 Record Date: 30/04/2021 **Country:** United Kingdom **Meeting Type:** Special

Primary Security ID: G03764134

Ticker: AAL

Reporting Period: 01/07/2020 to 30/06/2021

## **Anglo American Plc**

Shares Voted: 112,701

| Proposal<br>Number |   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Matters Relating to the Demerger of<br>Thungela Resources Limited | Mgmt      | For      | For                  | For                 |

# **Barclays Plc**

**Meeting Date:** 05/05/2021 **Record Date:** 30/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G08036124

Ticker: BARC

**Shares Voted:** 1,583,608

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports                   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Julia Wilson as Director                                      | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Mike Ashley as Director                                    | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Tim Breedon as Director                                    | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Mohamed A. El-Erian as Director                            | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Dawn Fitzpatrick as Director                               | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Mary Francis as Director                                   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Crawford Gillies as Director                               | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Brian Gilvary as Director                                  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Nigel Higgins as Director                                  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Tushar Morzaria as Director                                | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Diane Schueneman as Director                               | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect James Staley as Director                                   | Mgmt      | For      | For                  | For                 |
| 15                 | Reappoint KPMG LLP as Auditors                                      | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise the Board Audit Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise UK Political Donations and Expenditure                    | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive Rights                | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Barclays Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 20                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity in Relation to the Issuance of Contingent Equity Conversion Notes                              | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Issue of Equity without Pre-emptive Rights in Relation to the Issuance of Contingent Equity Conversion Notes   | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 24                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 25                 | Approve Long Term Incentive Plan   | Mgmt      | For      | For                  | For                 |
| 26                 | Amend Share Value Plan   | Mgmt      | For      | For                  | For                 |
| 27                 | Approve Scrip Dividend Program   | Mgmt      | For      | For                  | For                 |
| 28                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |
| 29                 | Approve Market Forces Requisitioned Resolution   | SH        | Against  | For                  | For                 |

# **Enbridge Inc.**

**Meeting Date:** 05/05/2021 **Record Date:** 09/03/2021

Country: Canada Meeting Type: Annual **Primary Security ID:** 29250N105

Ticker: ENB

Shares Voted: 381,182

| Proposal<br>Number | Proposal Text                             | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Pamela L. Carter           | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Marcel R. Coutu            | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Susan M. Cunningham        | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Gregory L. Ebel            | Mgmt      | For      | Withhold             | Withhold            |
| 1.5                | Elect Director J. Herb England            | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Gregory J. Goff            | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director V. Maureen Kempston Darkes | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Teresa S. Madden           | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Al Monaco                  | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Stephen S. Poloz           | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Enbridge Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.11               | Elect Director Dan C. Tutcher  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve PricewaterhouseCoopers LLP as<br>Auditors and Authorize Board to Fix Their<br>Remuneration | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote on Executive Compensation<br>Approach  | Mgmt      | For      | For                  | For                 |

### **GlaxoSmithKline Plc**

**Meeting Date:** 05/05/2021 **Record Date:** 30/04/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G3910J112

Ticker: GSK

Shares Voted: 232,660

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Re-elect Sir Jonathan Symonds as Director            | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Dame Emma Walmsley as Director              | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Charles Bancroft as Director                | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Vindi Banga as Director                     | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Dr Hal Barron as Director                   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Dr Vivienne Cox as Director                 | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Lynn Elsenhans as Director                  | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Dr Laurie Glimcher as Director              | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Dr Jesse Goodman as Director                | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Iain Mackay as Director                     | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Urs Rohner as Director                      | Mgmt      | For      | For                  | For                 |
| 14                 | Reappoint Deloitte LLP as Auditors                   | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Board to Fix Remuneration of Auditors      | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise UK Political Donations and Expenditure     | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity                            | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **GlaxoSmithKline Plc**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 19                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment            | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Market Purchase of Ordinary Shares  | Mgmt      | For      | For                  | For                 |
| 21                 | Approve the Exemption from Statement of the<br>Name of the Senior Statutory Auditor in<br>Published Copies of the Auditors' Reports | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise the Company to Call General Meeting with Two Weeks' Notice  | Mgmt      | For      | For                  | For                 |

Shares Voted: 451,711

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Re-elect Sir Jonathan Symonds as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Dame Emma Walmsley as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Charles Bancroft as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Vindi Banga as Director   | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Dr Hal Barron as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Dr Vivienne Cox as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Lynn Elsenhans as Director  | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Dr Laurie Glimcher as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Dr Jesse Goodman as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Iain Mackay as Director   | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Urs Rohner as Director  | Mgmt      | For      | For                  | For                 |
| 14                 | Reappoint Deloitte LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **GlaxoSmithKline Plc**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 20                 | Authorise Market Purchase of Ordinary Shares  | Mgmt      | For      | For                  | For                 |
| 21                 | Approve the Exemption from Statement of the Name of the Senior Statutory Auditor in Published Copies of the Auditors' Reports | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise the Company to Call General Meeting with Two Weeks' Notice  | Mgmt      | For      | For                  | For                 |

# **International Flavors & Fragrances Inc.**

**Meeting Date:** 05/05/2021 **Record Date:** 08/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 459506101

Ticker: IFF

Shares Voted: 9,479

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Kathryn J. Boor                                    | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Edward D. Breen                                    | Mgmt      | For      | Against              | Against             |
| 1c                 | Elect Director Carol Anthony Davidson                             | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Michael L. Ducker                                  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Roger W. Ferguson, Jr.                             | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director John F. Ferraro                                    | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Andreas Fibig                                      | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Christina Gold                                     | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Ilene Gordon                                       | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Matthias J. Heinzel                                | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Dale F. Morrison                                   | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Kare Schultz                                       | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Stephen Williamson                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive<br>Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Omnibus Stock Plan  | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

### **Nordex SE**

**Meeting Date:** 05/05/2021 **Record Date:** 13/04/2021

**Country:** Germany **Meeting Type:** Annual

Primary Security ID: D5736K135

Ticker: NDX1

Shares Voted: 3,268

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Allocation of Income and Omission of Dividends   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Management Board for Fiscal Year 2020   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Discharge of Supervisory Board for Fiscal Year 2020  | Mgmt      | For      | For                  | For                 |
| 5.1                | Elect Connie Hedegaard to the Supervisory Board  | Mgmt      | For      | Against              | Against             |
| 5.2                | Elect Jan Klatten to the Supervisory Board   | Mgmt      | For      | Against              | Against             |
| 5.3                | Elect Juan Girod to the Supervisory Board  | Mgmt      | For      | Against              | Against             |
| 5.4                | Elect Rafael Alcala to the Supervisory Board   | Mgmt      | For      | Against              | Against             |
| 5.5                | Elect Martin Rey to the Supervisory Board  | Mgmt      | For      | Against              | Against             |
| 5.6                | Elect Wolfgang Ziebart to the Supervisory Board  | Mgmt      | For      | Against              | Against             |
| 6                  | Approve Remuneration of Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Remuneration Policy  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Creation of EUR 23.5 Million Pool of<br>Capital with Partial Exclusion of Preemptive<br>Rights   | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Creation of EUR 3.5 Million Pool of<br>Capital for Employee Stock Purchase Plan;<br>Rename Authorized Capital III                            | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Increase in the Maximum Limit for the Issuance of New Shares from Authorized Capital II and Conditional Capital I                            | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Stock Option Plan for Key Employees;<br>Approve Creation of EUR 3.5 Million Pool of<br>Conditional Capital to Guarantee Conversion<br>Rights | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Affiliation Agreement with Nordex Energy B.V.  | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Affiliation Agreement with Nordex Energy SE & Co. KG   | Mgmt      | For      | For                  | For                 |
| 14                 | Amend Articles Re: Meeting Convocation;<br>Supervisory Board Meetings and Resolutions;<br>Proof of Entitlement                                       | Mgmt      | For      | For                  | For                 |
| 15                 | Ratify PricewaterhouseCoopers GmbH as<br>Auditors for Fiscal Year 2021   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Ormat Technologies, Inc.**

**Meeting Date:** 05/05/2021 **Record Date:** 11/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 686688102

Ticker: ORA

Shares Voted: 386

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1A                 | Elect Director Isaac Angel                                     | Mgmt      | For      | For                  | For                 |
| 1B                 | Elect Director Albertus 'Bert' Bruggink                        | Mgmt      | For      | For                  | For                 |
| 1C                 | Elect Director Dan Falk  | Mgmt      | For      | For                  | For                 |
| 1D                 | Elect Director David Granot                                    | Mgmt      | For      | For                  | For                 |
| 1E                 | Elect Director Mike Nikkel                                     | Mgmt      | For      | For                  | For                 |
| 1F                 | Elect Director Dafna Sharir                                    | Mgmt      | For      | For                  | For                 |
| 1G                 | Elect Director Stanley B. Stern                                | Mgmt      | For      | For                  | For                 |
| 1H                 | Elect Director Hidetake Takahashi                              | Mgmt      | For      | For                  | For                 |
| 1I                 | Elect Director Byron G. Wong                                   | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Kesselman & Kesselman as Auditors                       | Mgmt      | For      | Against              | Against             |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

## PepsiCo, Inc.

**Meeting Date:** 05/05/2021 **Record Date:** 01/03/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 713448108

Ticker: PEP

Shares Voted: 139,995

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Segun Agbaje      | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Shona L. Brown    | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Cesar Conde       | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Ian Cook          | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Dina Dublon       | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Michelle Gass     | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Ramon L. Laguarta | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Dave Lewis        | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director David C. Page     | Mgmt      | For      | For                  | For                 |
|                    |                                  |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

### PepsiCo, Inc.

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1j                 | Elect Director Robert C. Pohlad                                     | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Daniel Vasella                                       | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Darren Walker  | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Alberto Weisser                                      | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation      | Mgmt      | For      | For                  | For                 |
| 4                  | Reduce Ownership Threshold for Shareholders to Call Special Meeting | SH        | Against  | For                  | For                 |
| 5                  | Report on Sugar and Public Health                                   | SH        | Against  | For                  | For                 |
| 6                  | Report on External Public Health Costs                              | SH        | Against  | For                  | For                 |

# **QBE Insurance Group Limited**

**Meeting Date:** 05/05/2021 **Record Date:** 03/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q78063114

Ticker: QBE

**Shares Voted:** 1,897,728

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Stephen Fitzgerald as Director                 | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Brian Pomeroy as Director                      | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect Jann Skinner as Director                       | Mgmt      | For      | For                  | For                 |
| 3d                 | Elect Tan Le as Director                             | Mgmt      | For      | For                  | For                 |
| 3e                 | Elect Eric Smith as Director                         | Mgmt      | For      | For                  | For                 |
| 4a                 | Approve the Amendments to the Company's Constitution | SH        | Against  | Against              | Against             |
| 4b                 | Approve Exposure Reduction Targets                   | SH        | Against  | For                  | For                 |

### RenaissanceRe Holdings Ltd.

**Meeting Date:** 05/05/2021 **Record Date:** 09/03/2021

**Country:** Bermuda **Meeting Type:** Annual Primary Security ID: G7496G103

Ticker: RNR

Reporting Period: 01/07/2020 to 30/06/2021

## RenaissanceRe Holdings Ltd.

Shares Voted: 4,304

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Brian G. J. Gray  | Mgmt      | For      | Against              | Against             |
| 1b                 | Elect Director Duncan P. Hennes  | Mgmt      | For      | Against              | Against             |
| 1c                 | Elect Director Kevin J. O'Donnell  | Mgmt      | For      | Against              | Against             |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation                       | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Ernst & Young Ltd. as Auditors and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |

### **S&P Global Inc.**

**Meeting Date:** 05/05/2021 **Record Date:** 22/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 78409V104

Ticker: SPGI

Shares Voted: 27,035

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Marco Alvera                                    | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director William J. Amelio                               | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director William D. Green                                | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Stephanie C. Hill                               | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Rebecca J. Jacoby                               | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Monique F. Leroux                               | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Ian P. Livingston                               | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Maria R. Morris                                 | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Douglas L. Peterson                             | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Edward B. Rust, Jr.                             | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Kurt L. Schmoke                                 | Mgmt      | For      | For                  | For                 |
| 1.12               | Elect Director Richard E. Thornburgh                           | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Greenhouse Gas (GHG) Emissions<br>Reduction Plan       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **S&P Global Inc.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 5                  | Amend Certificate of Incorporation to Become a Public Benefit Corporation | SH        | Against  | For                  | For                 |

### **Stryker Corporation**

**Meeting Date:** 05/05/2021 **Record Date:** 08/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 863667101

Ticker: SYK

Shares Voted: 15,445

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Mary K. Brainerd                                | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Giovanni Caforio                                | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Srikant M. Datar                                | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Allan C. Golston                                | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Kevin A. Lobo                                   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Sherilyn S. McCoy                               | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Andrew K. Silvernail                            | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Lisa M. Skeete Tatum                            | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Ronda E. Stryker                                | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Rajeev Suri                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | Against              | Against             |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Report on Workforce Involvement in Corporate Governance        | SH        | Against  | For                  | For                 |
| 5                  | Provide Right to Call A Special Meeting                        | SH        | Against  | For                  | For                 |

### **Symrise AG**

**Meeting Date:** 05/05/2021 **Record Date:** 13/04/2021

**Country:** Germany **Meeting Type:** Annual

Primary Security ID: D827A1108

Ticker: SY1

Reporting Period: 01/07/2020 to 30/06/2021

# **Symrise AG**

Shares Voted: 13,582

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Allocation of Income and Dividends of EUR 0.97 per Share                   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Management Board for Fiscal Year 2020                         | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Discharge of Supervisory Board for Fiscal Year 2020                        | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Ernst & Young GmbH as Auditors for Fiscal Year 2021                         | Mgmt      | For      | For                  | For                 |
| 6.1                | Elect Michael Koenig to the Supervisory Board                                      | Mgmt      | For      | Against              | Against             |
| 6.2                | Elect Ursula Buck to the Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 6.3                | Elect Bernd Hirsch to the Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 6.4                | Elect Horst-Otto Gerberding to the Supervisory Board                               | Mgmt      | For      | For                  | For                 |
| 6.5                | Elect Andrea Pfeifer to the Supervisory Board                                      | Mgmt      | For      | For                  | For                 |
| 6.6                | Elect Peter Vanacker to the Supervisory Board                                      | Mgmt      | For      | For                  | For                 |
| 7                  | Amend Articles Re: Online Participation;<br>Absentee Vote; Virtual General Meeting | Mgmt      | For      | Against              | Against             |
| 8                  | Approve Remuneration Policy  | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Remuneration of Supervisory Board  | Mgmt      | For      | For                  | For                 |

## **Unilever Plc**

**Meeting Date:** 05/05/2021 **Record Date:** 03/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

**Primary Security ID:** G92087165

Ticker: ULVR

Shares Voted: 232,974

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Policy                          | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Climate Transition Action Plan               | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Nils Andersen as Director                   | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Laura Cha as Director                       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Unilever Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 7                  | Re-elect Dr Judith Hartmann as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Alan Jope as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Andrea Jung as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Susan Kilsby as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Strive Masiyiwa as Director   | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Youngme Moon as Director  | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Graeme Pitkethly as Director  | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect John Rishton as Director  | Mgmt      | For      | For                  | For                 |
| 15                 | Re-elect Feike Sijbesma as Director  | Mgmt      | For      | For                  | For                 |
| 16                 | Reappoint KPMG LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 19                 | Approve SHARES Plan  | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 24                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 25                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |
| 26                 | Approve Reduction of the Share Premium Account   | Mgmt      | For      | For                  | For                 |

### **Avast Plc**

Meeting Date: 06/05/2021 Record Date: 04/05/2021 **Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G0713S109

Ticker: AVST

Reporting Period: 01/07/2020 to 30/06/2021

### **Avast Plc**

Shares Voted: 405,524

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect John Schwarz as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Ondrej Vlcek as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Philip Marshall as Director   | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Pavel Baudis as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Eduard Kucera as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Warren Finegold as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Maggie Chan Jones as Director   | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Tamara Minick-Scokalo as Director   | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Belinda Richards as Director  | Mgmt      | For      | For                  | For                 |
| 13                 | Reappoint Ernst & Young LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 20                 | Approve Employee Benefit Trust   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

### **Aviva Plc**

**Meeting Date:** 06/05/2021 **Record Date:** 04/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G0683Q109

Ticker: AV

Reporting Period: 01/07/2020 to 30/06/2021

### **Aviva Plc**

Shares Voted: 448,427

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Policy  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Climate-Related Financial Disclosure   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Mohit Joshi as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Pippa Lambert as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Elect Jim McConville as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Amanda Blanc as Director  | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Patricia Cross as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect George Culmer as Director   | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Patrick Flynn as Director   | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Belen Romana Garcia as Director   | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Michael Mire as Director  | Mgmt      | For      | For                  | For                 |
| 15                 | Re-elect Jason Windsor as Director   | Mgmt      | For      | For                  | For                 |
| 16                 | Reappoint PricewaterhouseCoopers LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Issue of Equity in Relation to Any Issuance of SII Instruments   | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Relation to Any Issuance of SII<br>Instruments                | Mgmt      | For      | For                  | For                 |
| 24                 | Approve Annual Bonus Plan  | Mgmt      | For      | For                  | For                 |
| 25                 | Approve Long Term Incentive Plan   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Aviva Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 26                 | Approve All-Employee Share Plan                                      | Mgmt      | For      | For                  | For                 |
| 27                 | Authorise Market Purchase of Ordinary Shares                         | Mgmt      | For      | For                  | For                 |
| 28                 | Authorise Market Purchase of 8 3/4 % Preference Shares               | Mgmt      | For      | For                  | For                 |
| 29                 | Authorise Market Purchase of 8 3/8 % Preference Shares               | Mgmt      | For      | For                  | For                 |
| 30                 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt      | For      | For                  | For                 |

Shares Voted: 358,876

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports          | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                                   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Policy                                   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Climate-Related Financial Disclosure                  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Mohit Joshi as Director                                 | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Pippa Lambert as Director                               | Mgmt      | For      | For                  | For                 |
| 8                  | Elect Jim McConville as Director                              | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Amanda Blanc as Director                             | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Patricia Cross as Director                           | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect George Culmer as Director                            | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Patrick Flynn as Director                            | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Belen Romana Garcia as Director                      | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Michael Mire as Director                             | Mgmt      | For      | For                  | For                 |
| 15                 | Re-elect Jason Windsor as Director                            | Mgmt      | For      | For                  | For                 |
| 16                 | Reappoint PricewaterhouseCoopers LLP as Auditors              | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise UK Political Donations and Expenditure              | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity                                     | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Aviva Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 20                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Issue of Equity in Relation to Any Issuance of SII Instruments   | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Relation to Any Issuance of SII<br>Instruments                | Mgmt      | For      | For                  | For                 |
| 24                 | Approve Annual Bonus Plan  | Mgmt      | For      | For                  | For                 |
| 25                 | Approve Long Term Incentive Plan   | Mgmt      | For      | For                  | For                 |
| 26                 | Approve All-Employee Share Plan  | Mgmt      | For      | For                  | For                 |
| 27                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 28                 | Authorise Market Purchase of 8 3/4 % Preference Shares   | Mgmt      | For      | For                  | For                 |
| 29                 | Authorise Market Purchase of 8 3/8 % Preference Shares   | Mgmt      | For      | For                  | For                 |
| 30                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

# **BAE Systems Plc**

**Meeting Date:** 06/05/2021 **Record Date:** 04/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G06940103

Ticker: BA

**Shares Voted:** 1,462,692

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                       | Mgmt      | For      | Against              | Against             |
| 3                  | Approve Final Dividend                            | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Thomas Arseneault as Director            | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Sir Roger Carr as Director               | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Dame Elizabeth Corley as Director        | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Bradley Greve as Director                | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Jane Griffiths as Director               | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

## **BAE Systems Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 9                  | Re-elect Christopher Grigg as Director                               | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Stephen Pearce as Director                                  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Nicole Piasecki as Director                                 | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Ian Tyler as Director                                       | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Charles Woodburn as Director                                | Mgmt      | For      | For                  | For                 |
| 14                 | Elect Nicholas Anderson as Director                                  | Mgmt      | For      | For                  | For                 |
| 15                 | Elect Dame Carolyn Fairbairn as Director                             | Mgmt      | For      | For                  | For                 |
| 16                 | Reappoint Deloitte LLP as Auditors                                   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise the Audit Committee to Fix Remuneration of Auditors        | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise UK Political Donations and Expenditure                     | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity without Pre-emptive Rights                 | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Market Purchase of Ordinary Shares                         | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt      | For      | For                  | For                 |
| 23                 | Amend Articles of Association  | Mgmt      | For      | For                  | For                 |

# **Boston Scientific Corporation**

**Meeting Date:** 06/05/2021 **Record Date:** 12/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 101137107

Ticker: BSX

Shares Voted: 56,607

| Proposal<br>Number | Proposal Text                         | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Nelda J. Connors       | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Charles J. Dockendorff | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Yoshiaki Fujimori      | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Donna A. James         | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Edward J. Ludwig       | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Michael F. Mahoney     | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director David J. Roux          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Boston Scientific Corporation**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1h                 | Elect Director John E. Sununu   | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Ellen M. Zane  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation                | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 4                  | Report on Non-Management Employee<br>Representation on the Board of Directors | SH        | Against  | For                  | For                 |

### **Cadence Design Systems, Inc.**

**Meeting Date:** 06/05/2021 **Record Date:** 08/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 127387108

Ticker: CDNS

Shares Voted: 28,386

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Mark W. Adams   | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Ita Brennan   | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Lewis Chew  | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Julia Liuson  | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director James D. Plummer  | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Alberto Sangiovanni-Vincentelli                                   | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director John B. Shoven  | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Young K. Sohn   | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Lip-Bu Tan  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation                   | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 4                  | Reduce Ownership Threshold for Shareholders to Request Action by Written Consent | SH        | Against  | For                  | For                 |

### **Canadian Natural Resources Limited**

**Meeting Date:** 06/05/2021 **Record Date:** 17/03/2021

Country: Canada Meeting Type: Annual Primary Security ID: 136385101

Ticker: CNQ

Reporting Period: 01/07/2020 to 30/06/2021

### **Canadian Natural Resources Limited**

Shares Voted: 209,346

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Catherine M. Best   | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director M. Elizabeth Cannon   | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director N. Murray Edwards   | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Christopher L. Fong   | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Gordon D. Giffin  | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Wilfred A. Gobert   | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Steve W. Laut   | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Tim S. McKay  | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Frank J. McKenna  | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director David A. Tuer   | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Annette M. Verschuren   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve PricewaterhouseCoopers LLP as<br>Auditors and Authorize Board to Fix Their<br>Remuneration | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote on Executive Compensation<br>Approach  | Mgmt      | For      | For                  | For                 |

# **Capital One Financial Corporation**

**Meeting Date:** 06/05/2021 **Record Date:** 10/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 14040H105

Ticker: COF

Shares Voted: 47,933

| Proposal<br>Number | Proposal Text                          | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Richard D. Fairbank     | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Ime Archibong           | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Ann Fritz Hackett       | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Peter Thomas Killalea   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Cornelis "Eli" Leenaars | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Francois Locoh-Donou    | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Peter E. Raskind        | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Eileen Serra            | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Capital One Financial Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1i                 | Elect Director Mayo A. Shattuck, III                           | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Bradford H. Warner                              | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Catherine G. West                               | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Craig Anthony Williams                          | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |

## **Genworth Mortgage Insurance Australia Ltd.**

**Meeting Date:** 06/05/2021 **Record Date:** 04/05/2021

Country: Australia
Meeting Type: Annual

Primary Security ID: Q3983N148

Ticker: GMA

Shares Voted: 92,894

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Grant of Share Rights to Pauline<br>Blight-Johnston | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Ian MacDonald as Director                             | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Graham Mirabito as Director                           | Mgmt      | For      | For                  | For                 |

### **Hennes & Mauritz AB**

**Meeting Date:** 06/05/2021 **Record Date:** 28/04/2021

**Country:** Sweden **Meeting Type:** Annual

**Primary Security ID:** W41422101

Ticker: HM.B

Shares Voted: 77,359

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Elect Chairman of Meeting  | Mgmt      | For      | For                  | For                 |
| 3.1                | Designate Jan Andersson as Inspector of<br>Minutes of Meeting    | Mgmt      | For      | For                  | For                 |
| 3.2                | Designate Anders Oscarsson as Inspector of<br>Minutes of Meeting | Mgmt      | For      | For                  | For                 |
| 4                  | Prepare and Approve List of Shareholders                         | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Hennes & Mauritz AB**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 5                  | Approve Agenda of Meeting   | Mgmt      | For      | For                  | For                 |
| 6                  | Acknowledge Proper Convening of Meeting                                   | Mgmt      | For      | For                  | For                 |
| 8.a                | Accept Financial Statements and Statutory Reports                         | Mgmt      | For      | For                  | For                 |
| 8.b1               | Approve Allocation of Income and Omission of Dividends                    | Mgmt      | For      | For                  | For                 |
| 8.b2               | Approve Omission of Dividends and Sign Up to the Severance Guarantee Fund | SH        | None     | Against              | Against             |
| 8.c1               | Approve Discharge of Board Chairman<br>Karl-Johan Persson                 | Mgmt      | For      | For                  | For                 |
| 8.c2               | Approve Discharge of Board Chairman Stefan<br>Persson, Until May 7, 2020  | Mgmt      | For      | For                  | For                 |
| 8.c3               | Approve Discharge of Board Member Stina<br>Bergfors                       | Mgmt      | For      | For                  | For                 |
| 8.c4               | Approve Discharge of Board Member Anders Dahlvig                          | Mgmt      | For      | For                  | For                 |
| 8.c5               | Approve Discharge of Board Member Danica<br>Kragic Jensfelt               | Mgmt      | For      | For                  | For                 |
| 8.c6               | Approve Discharge of Board Member Lena<br>Patriksson Keller               | Mgmt      | For      | For                  | For                 |
| 8.c7               | Approve Discharge of Board Member Christian Sievert                       | Mgmt      | For      | For                  | For                 |
| 8.c8               | Approve Discharge of Board Member Erica<br>Wiking Hager                   | Mgmt      | For      | For                  | For                 |
| 8.c9               | Approve Discharge of Board Member Niklas<br>Zennstrom                     | Mgmt      | For      | For                  | For                 |
| 8.c10              | Approve Discharge of Board Member Ingrid Godin                            | Mgmt      | For      | For                  | For                 |
| 8.c11              | Approve Discharge of Board Member Alexandra Rosenqvist                    | Mgmt      | For      | For                  | For                 |
| 8.c12              | Approve Discharge of Deputy Board Member<br>Helena Isberg                 | Mgmt      | For      | For                  | For                 |
| 8.c13              | Approve Discharge of Deputy Board Member<br>Margareta Welinder            | Mgmt      | For      | For                  | For                 |
| 8.c14              | Approve Discharge of CEO Helena Helmersson, From Jan. 30, 2020            | Mgmt      | For      | For                  | For                 |
| 8.c15              | Approve Discharge of CEO Karl-Johan Persson,<br>Until Jan. 29, 2020       | Mgmt      | For      | For                  | For                 |
| 9.1                | Determine Number of Members (8) and Deputy<br>Members (0) of Board        | Mgmt      | For      | For                  | For                 |
| 9.2                | Determine Number of Auditors (1) and Deputy Auditors (0)                  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Hennes & Mauritz AB**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 10.1               | Approve Remuneration of Directors in the<br>Amount of SEK 1.7 Million for Chairman and<br>SEK 650,000 for Other Directors; Approve<br>Remuneration for Committee Work                             | Mgmt      | For      | For                  | For                 |
| 10.2               | Approve Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 11.1               | Reelect Stina Bergfors as Director  | Mgmt      | For      | For                  | For                 |
| 11.2               | Reelect Anders Dahlvig as Director  | Mgmt      | For      | For                  | For                 |
| 11.3               | Reelect Danica Kragic Jensfelt as Director  | Mgmt      | For      | For                  | For                 |
| 11.4               | Reelect Lena Patriksson Keller as Director  | Mgmt      | For      | For                  | For                 |
| 11.5               | Reelect Karl-Johan Persson as Director  | Mgmt      | For      | For                  | For                 |
| 11.6               | Reelect Christian Sievert as Director   | Mgmt      | For      | Against              | Against             |
| 11.7               | Reelect Erica Wiking Hager as Director  | Mgmt      | For      | For                  | For                 |
| 11.8               | Reelect Niklas Zennstrom as Director  | Mgmt      | For      | For                  | For                 |
| 11.9               | Reelect Karl-Johan Persson as Board Chairman  | Mgmt      | For      | For                  | For                 |
| 12                 | Ratify Deloitte as Auditors   | Mgmt      | For      | For                  | For                 |
| 13.1               | Elect Karl-Johan Persson, Stefan Persson, Lottie<br>Tham, Jan Andersson and Erik Durhan as<br>Members of Nominating Committee   | Mgmt      | For      | For                  | For                 |
| 13.2               | Approve Procedures for Nominating Committee   | Mgmt      | For      | For                  | For                 |
| 14                 | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 15                 | Approve Remuneration Policy And Other Terms of Employment For Executive Management  | Mgmt      | For      | For                  | For                 |
| 16                 | Amend Articles Re: Collecting Proxies and Postal Voting   | Mgmt      | For      | For                  | For                 |
| 17                 | Approve Annual Proxy Vote and Report on<br>Climate Change Policy and Strategies   | SH        | None     | For                  | For                 |
| 18                 | Disclose Sustainability Targets to be Achieved in<br>Order for Senior Executives to be Paid Variable<br>Remuneration; Report on the Performance of<br>Senior Executives on Sustainability Targets | SH        | None     | For                  | For                 |

### **IRESS Ltd.**

Meeting Date: 06/05/2021Country: AustraliaRecord Date: 04/05/2021Meeting Type: Annual

Primary Security ID: Q49822101

Ticker: IRE

Reporting Period: 01/07/2020 to 30/06/2021

### **IRESS Ltd.**

Shares Voted: 471,278

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Roger Sharp as Director                       | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Niki Beattie as Director                      | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Julie Fahey as Director                       | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report                         | Mgmt      | For      | Against              | Against             |
| 5A                 | Approve Grant of Equity Rights to Andrew Walsh      | Mgmt      | For      | Against              | Against             |
| 5B                 | Approve Grant of Performance Rights to Andrew Walsh | Mgmt      | For      | For                  | For                 |

# **Loblaw Companies Limited**

**Meeting Date:** 06/05/2021 **Record Date:** 15/03/2021

**Country:** Canada **Meeting Type:** Annual **Primary Security ID:** 539481101

Ticker: L

Shares Voted: 37,793

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Paviter S. Binning  | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Scott B. Bonham   | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Warren Bryant   | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Christie J.B. Clark   | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Daniel Debow  | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director William A. Downe  | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Janice Fukakusa   | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director M. Marianne Harris  | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Claudia Kotchka   | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Beth Pritchard  | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Sarah Raiss   | Mgmt      | For      | For                  | For                 |
| 1.12               | Elect Director Galen G. Weston   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote on Executive Compensation<br>Approach                        | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Loblaw Companies Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4                  | Prepare and Publish a Report Examining Capital and Risk Management Practices during the Pandemic, in terms of Balancing the Interests of Stakeholders and Monitoring/Assessing Actions that Could Cause Undue Reputational Risk |           | Against  | For                  | For                 |

### **Melrose Industries Plc**

**Meeting Date:** 06/05/2021 **Record Date:** 04/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G5973J178

Ticker: MRO

Shares Voted: 440,805

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports          | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                                   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Christopher Miller as Director                       | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect David Roper as Director                              | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Simon Peckham as Director                            | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Geoffrey Martin as Director                          | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Justin Dowley as Director                            | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Liz Hewitt as Director                               | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect David Lis as Director                                | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Archie Kane as Director                              | Mgmt      | For      | Against              | Against             |
| 12                 | Re-elect Charlotte Twyning as Director                        | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Funmi Adegoke as Director                            | Mgmt      | For      | For                  | For                 |
| 14                 | Elect Peter Dilnot as Director                                | Mgmt      | For      | For                  | For                 |
| 15                 | Reappoint Deloitte LLP as Auditors                            | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity                                     | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Melrose Industries Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 19                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

## **Melrose Industries Plc**

**Meeting Date:** 06/05/2021 **Record Date:** 04/05/2021

**Country:** United Kingdom **Meeting Type:** Special

Primary Security ID: G5973J178

Ticker: MRO

Shares Voted: 440,805

| Proposal<br>Number | Proposal Text                             | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Disposal of Nortek Air Management | Mamt      | For      | For                  | For                 |

#### **Mettler-Toledo International Inc.**

**Meeting Date:** 06/05/2021 **Record Date:** 08/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 592688105

Ticker: MTD

Shares Voted: 2,295

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Robert F. Spoerry                               | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Wah-Hui Chu                                     | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Domitille Doat-Le Bigot                         | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Olivier A. Filliol                              | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Elisha W. Finney                                | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Richard Francis                                 | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Michael A. Kelly                                | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Thomas P. Salice                                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Mettler-Toledo International Inc.**

| Proposal<br>Number |                          | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------|-----------|----------|----------------------|---------------------|
| 4                  | Amend Omnibus Stock Plan | Mgmt      | For      | For                  | For                 |

# Molina Healthcare, Inc.

**Meeting Date:** 06/05/2021 **Record Date:** 09/03/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 60855R100

Ticker: MOH

Shares Voted: 2,343

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Daniel Cooperman                                | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Stephen H. Lockhart                             | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Richard M. Schapiro                             | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Ronna E. Romney                                 | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Dale B. Wolf                                    | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Joseph M. Zubretsky                             | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

# **Mondi Plc**

**Meeting Date:** 06/05/2021 **Record Date:** 04/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G6258S107

Ticker: MNDI

Shares Voted: 44,387

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend                               | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Svein Richard Brandtzaeg as Director           | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Sue Clark as Director                          | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Mike Powell as Director                        | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Mondi Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 7                  | Elect Angela Strank as Director                                      | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Tanya Fratto as Director                                    | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Enoch Godongwana as Director                                | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Andrew King as Director                                     | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Dominique Reiniche as Director                              | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Philip Yea as Director                                      | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Stephen Young as Director                                   | Mgmt      | For      | For                  | For                 |
| 14                 | Reappoint PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise the Audit Committee to Fix Remuneration of Auditors        | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity without Pre-emptive Rights                 | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Market Purchase of Ordinary Shares                         | Mgmt      | For      | For                  | For                 |
| 19                 | Adopt New Articles of Association                                    | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt      | For      | For                  | For                 |

## **Rio Tinto Limited**

**Meeting Date:** 06/05/2021 **Record Date:** 04/05/2021

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q81437107

Ticker: RIO

Shares Voted: 483,673

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports          | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Policy                                | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report for UK Law Purposes            | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Remuneration Report for Australian<br>Law Purposes | Mgmt      | For      | Against              | Against             |
| 5                  | Elect Megan Clark as Director                              | Mgmt      | For      | Against              | Against             |
| 6                  | Elect Hinda Gharbi as Director                             | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Simon Henry as Director                              | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Rio Tinto Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 8                  | Elect Sam Laidlaw as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Elect Simon McKeon as Director  | Mgmt      | For      | For                  | For                 |
| 10                 | Elect Jennifer Nason as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Jakob Stausholm as Director   | Mgmt      | For      | For                  | For                 |
| 12                 | Elect Simon Thompson as Director  | Mgmt      | For      | For                  | For                 |
| 13                 | Elect Ngaire Woods as Director  | Mgmt      | For      | For                  | For                 |
| 14                 | Appoint KPMG LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 15                 | Authorize the Audit Committee to Fix Remuneration of Auditors                 | Mgmt      | For      | For                  | For                 |
| 16                 | Authorize EU Political Donations and Expenditure                              | Mgmt      | For      | For                  | For                 |
| 17                 | Approve Renewal and Amendment to the Rio<br>Tinto Global Employee Share Plan  | Mgmt      | None     | For                  | For                 |
| 18                 | Approve the Renewal of Off-Market and<br>On-Market Share Buy-back Authorities | Mgmt      | For      | For                  | For                 |
| 19                 | Approve Emissions Targets   | SH        | For      | For                  | For                 |
| 20                 | Approve Climate-Related Lobbying  | SH        | For      | For                  | For                 |

# **Thales SA**

**Meeting Date:** 06/05/2021 **Record Date:** 04/05/2021

Country: France

Meeting Type: Annual/Special

 $\textbf{Primary Security ID:} \ \mathsf{F9156M108}$ 

Ticker: HO

Shares Voted: 47,834

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Consolidated Financial Statements and Statutory Reports          | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Financial Statements and Statutory Reports                       | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation of Income and Dividends of EUR 1.76 per Share         | Mgmt      | For      | For                  | For                 |
| 4                  | Reelect French State as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Renew Appointment Ernst & Young Audit as Auditor                         | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Amendment of Remuneration Policy of Chairman and CEO Re: FY 2019 | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Amendment of Remuneration Policy of Chairman and CEO Re: FY 2020 | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Thales SA**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 8                  | Approve Compensation of Patrice Caine,<br>Chairman and CEO                          | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Compensation Report of Corporate Officers                                   | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Remuneration Policy of Chairman and CEO                                     | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Remuneration Policy of Directors  | Mgmt      | For      | For                  | For                 |
| 12                 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital                    | Mgmt      | For      | For                  | For                 |
| 13                 | Authorize Decrease in Share Capital via Cancellation of Repurchased Shares          | Mgmt      | For      | For                  | For                 |
| 14                 | Authorize Filing of Required Documents/Other Formalities                            | Mgmt      | For      | For                  | For                 |
| 15                 | Reelect Bernard Fontana as Director   | Mgmt      | For      | Against              | Against             |
| 16                 | Elect Delphine Geny-Stephann as Director  | Mgmt      | For      | Against              | Against             |
| 17                 | Elect Anne Rigail as Director   | Mgmt      | For      | Against              | Against             |
| 18                 | Reelect Philippe Lepinay as Representative of<br>Employee Shareholders to the Board | Mgmt      | For      | For                  | For                 |

# **The Kraft Heinz Company**

**Meeting Date:** 06/05/2021 **Record Date:** 08/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 500754106

Ticker: KHC

Shares Voted: 124,417

| Proposal<br>Number | Proposal Text                        | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Gregory E. Abel       | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Alexandre Behring     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director John T. Cahill        | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Joao M. Castro-Neves  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Lori Dickerson Fouche | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Timothy Kenesey       | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Elio Leoni Sceti      | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Susan Mulder          | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Miguel Patricio       | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director John C. Pope          | Mgmt      | For      | For                  | For                 |
|                    |                                      |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

# **The Kraft Heinz Company**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1k                 | Elect Director Alexandre Van Damme                             | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |

### **TPG Telecom Ltd.**

**Meeting Date:** 06/05/2021 **Record Date:** 04/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q9159A141

Ticker: TPG

Shares Voted: 570,137

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Frank John Sixt as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Antony Moffatt as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Jack Teoh as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Deferred Share Rights and Performance Rights to Iñaki Berroeta | Mgmt      | For      | For                  | For                 |

#### **Vifor Pharma AG**

**Meeting Date:** 06/05/2021

Record Date:

**Country:** Switzerland **Meeting Type:** Annual

**Primary Security ID:** H9150Q103

Ticker: VIFN

Shares Voted: 2,179

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports             | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Discharge of Board and Senior<br>Management              | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation of Income and Dividends of CHF 2.00 per Share | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report                                      | Mgmt      | For      | For                  | For                 |
| 5.1                | Approve Remuneration of Directors in the Amount of CHF 4 Million | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Vifor Pharma AG**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 5.2                | Approve Remuneration of Executive Committee in the Amount of CHF 19.5 Million | Mgmt      | For      | For                  | For                 |
| 6.1.1              | Reelect Jacques Theurillat as Director and Board Chairman                     | Mgmt      | For      | For                  | For                 |
| 6.1.2              | Reelect Romeo Cerutti as Director   | Mgmt      | For      | For                  | For                 |
| 6.1.3              | Reelect Michel Burnier as Director  | Mgmt      | For      | For                  | For                 |
| 6.1.4              | Reelect Sue Mahony as Director  | Mgmt      | For      | For                  | For                 |
| 6.1.5              | Reelect Kim Stratton as Director  | Mgmt      | For      | For                  | For                 |
| 6.1.6              | Elect Alexandre LeBeaut as Director   | Mgmt      | For      | For                  | For                 |
| 6.1.7              | Elect Asa Riisberg as Director  | Mgmt      | For      | For                  | For                 |
| 6.2.1              | Reappoint Sue Mahony as Member of the Compensation Committee                  | Mgmt      | For      | For                  | For                 |
| 6.2.2              | Reappoint Michel Burnier as Member of the Compensation Committee              | Mgmt      | For      | For                  | For                 |
| 6.2.3              | Reappoint Romeo Cerutti as Member of the Compensation Committee               | Mgmt      | For      | For                  | For                 |
| 6.3                | Designate Walder Wyss AG as Independent Proxy                                 | Mgmt      | For      | For                  | For                 |
| 6.4                | Ratify Ernst & Young AG as Auditors   | Mgmt      | For      | For                  | For                 |
| 7                  | Transact Other Business (Voting)  | Mgmt      | For      | Against              | Against             |

## **AbbVie Inc.**

**Meeting Date:** 07/05/2021 **Record Date:** 08/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 00287Y109

Ticker: ABBV

Shares Voted: 67,045

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Roxanne S. Austin                               | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Richard A. Gonzalez                             | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Rebecca B. Roberts                              | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Glenn F. Tilton                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | Against              | Against             |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### AbbVie Inc.

| Proposal<br>Number | Proposal Text                                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 5                  | Amend Nonqualified Employee Stock Purchase Plan | Mgmt      | For      | For                  | For                 |
| 6                  | Eliminate Supermajority Vote Requirement        | Mgmt      | For      | For                  | For                 |
| 7                  | Report on Lobbying Payments and Policy          | SH        | Against  | For                  | For                 |
| 8                  | Require Independent Board Chair                 | SH        | Against  | For                  | For                 |

# **CSX Corporation**

**Meeting Date:** 07/05/2021 **Record Date:** 08/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 126408103

Ticker: CSX

Shares Voted: 77,745

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Donna M. Alvarado                               | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Thomas P. Bostick                               | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director James M. Foote                                  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Steven T. Halverson                             | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Paul C. Hilal                                   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director David M. Moffett                                | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Linda H. Riefler                                | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Suzanne M. Vautrinot                            | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director James L. Wainscott                              | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director J. Steven Whisler                               | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director John J. Zillmer                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

### **Illinois Tool Works Inc.**

**Meeting Date:** 07/05/2021 **Record Date:** 08/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 452308109

Ticker: ITW

Reporting Period: 01/07/2020 to 30/06/2021

## **Illinois Tool Works Inc.**

Shares Voted: 29,941

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Daniel J. Brutto                                | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Susan Crown                                     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Darrell L. Ford                                 | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director James W. Griffith                               | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Jay L. Henderson                                | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Richard H. Lenny                                | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director E. Scott Santi                                  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director David B. Smith, Jr.                             | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Pamela B. Strobel                               | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Anre D. Williams                                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Deloitte & Touche LLP as Auditor                        | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Provide Right to Act by Written Consent                        | SH        | Against  | For                  | For                 |

# **InterContinental Hotels Group Plc**

**Meeting Date:** 07/05/2021 **Record Date:** 05/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G4804L163

Ticker: IHG

Shares Voted: 16,718

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                       | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Graham Allan as Director                    | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Richard Anderson as Director                | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect Daniela Barone Soares as Director           | Mgmt      | For      | For                  | For                 |
| 3d                 | Elect Duriya Farooqui as Director                 | Mgmt      | For      | For                  | For                 |
| 3e                 | Elect Sharon Rothstein as Director                | Mgmt      | For      | For                  | For                 |
| 3f                 | Re-elect Keith Barr as Director                   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **InterContinental Hotels Group Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3g                 | Re-elect Patrick Cescau as Director  | Mgmt      | For      | For                  | For                 |
| 3h                 | Re-elect Arthur de Haast as Director   | Mgmt      | For      | For                  | For                 |
| 3i                 | Re-elect Ian Dyson as Director   | Mgmt      | For      | For                  | For                 |
| 3j                 | Re-elect Paul Edgecliffe-Johnson as Director   | Mgmt      | For      | For                  | For                 |
| 3k                 | Re-elect Jo Harlow as Director   | Mgmt      | For      | For                  | For                 |
| 31                 | Re-elect Elie Maalouf as Director  | Mgmt      | For      | For                  | For                 |
| 3m                 | Re-elect Jill McDonald as Director   | Mgmt      | For      | For                  | For                 |
| 3n                 | Re-elect Dale Morrison as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Appoint Pricewaterhouse Coopers LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 5                  | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 6                  | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 7                  | Amend the Borrowing Limit Under the Company's Articles of Association  | Mgmt      | For      | For                  | For                 |
| 8                  | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 9                  | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 10                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 11                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 12                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

# **Marriott International, Inc.**

**Meeting Date:** 07/05/2021 **Record Date:** 12/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 571903202

Ticker: MAR

Shares Voted: 33,349

| Proposal<br>Number | Proposal Text                            | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director J.W. Marriott, Jr.        | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Anthony G. Capuano        | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Deborah Marriott Harrison | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Marriott International, Inc.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1d                 | Elect Director Frederick A. 'Fritz' Henderson                                     | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Eric Hippeau   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Lawrence W. Kellner  | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Debra L. Lee   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Aylwin B. Lewis  | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director David S. Marriott  | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Margaret M. McCarthy   | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director George Munoz   | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Horacio D. Rozanski  | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Susan C. Schwab  | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditor   | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation                    | Mgmt      | For      | For                  | For                 |
| 4a                 | Eliminate Supermajority Vote Requirement for Removal of Directors                 | Mgmt      | For      | For                  | For                 |
| 4b                 | Eliminate Supermajority Vote Requirement to<br>Amend Certificate of Incorporation | Mgmt      | For      | For                  | For                 |
| 4c                 | Eliminate Supermajority Vote Requirement to<br>Amend Certain Bylaws Provisions    | Mgmt      | For      | For                  | For                 |
| 4d                 | Eliminate Supermajority Vote Requirement for Certain Transactions                 | Mgmt      | For      | For                  | For                 |
| 4e                 | Eliminate Supermajority Vote Requirement for Certain Business Combinations        | Mgmt      | For      | For                  | For                 |

# **Occidental Petroleum Corporation**

**Meeting Date:** 07/05/2021 **Record Date:** 12/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 674599105

Ticker: OXY

Shares Voted: 187,569

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Stephen I. Chazen   | Mgmt      | For      | Against              | Against             |
| 1b                 | Elect Director Andrew Gould        | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Carlos M. Gutierrez | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Vicki Hollub        | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Occidental Petroleum Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1e                 | Elect Director Gary Hu   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director William R. Klesse                               | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Andrew N. Langham                               | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Jack B. Moore                                   | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Margarita Palau-Hernandez                       | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Avedick B. Poladian                             | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Robert M. Shearer                               | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |

# **Rightmove Plc**

**Meeting Date:** 07/05/2021 **Record Date:** 05/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G7565D106

Ticker: RMV

Shares Voted: 79,393

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend                               | Mgmt      | For      | For                  | For                 |
| 4                  | Reappoint KPMG LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 5                  | Authorise Board to Fix Remuneration of Auditors      | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Alison Dolan as Director                       | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Andrew Fisher as Director                   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Peter Brooks-Johnson as Director            | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Jacqueline de Rojas as Director             | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Rakhi Goss-Custard as Director              | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Andrew Findlay as Director                  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Amit Tiwari as Director                     | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Lorna Tilbian as Director                   | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise Issue of Equity                            | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Rightmove Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 15                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 20                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |

# **TC Energy Corporation**

**Meeting Date:** 07/05/2021 **Record Date:** 22/03/2021

**Country:** Canada **Meeting Type:** Annual

Primary Security ID: 87807B107

Ticker: TRP

Shares Voted: 187,514

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Stephan Cretier   | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Michael R. Culbert  | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Susan C. Jones  | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Randy Limbacher   | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director John E. Lowe  | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director David MacNaughton   | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Francois L. Poirier   | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Una Power   | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Mary Pat Salomone   | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Indira V. Samarasekera                                      | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director D. Michael G. Stewart                                       | Mgmt      | For      | For                  | For                 |
| 1.12               | Elect Director Siim A. Vanaselja   | Mgmt      | For      | For                  | For                 |
| 1.13               | Elect Director Thierry Vandal  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote on Executive Compensation Approach                           | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **TC Energy Corporation**

| Proposal<br>Number |                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------|-----------|----------|----------------------|---------------------|
| 4                  | Amend By-law Number 1 | Mgmt      | For      | For                  | For                 |

# **Alexion Pharmaceuticals, Inc.**

Meeting Date: 11/05/2021 Record Date: 30/03/2021 Country: USA

Primary Security ID: 015351109

Primary Security ID: G0535Q133

Meeting Type: Special Ticker: ALXN

Shares Voted: 22,596

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Merger Agreement           | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote on Golden Parachutes | Mgmt      | For      | For                  | For                 |
| 3                  | Adjourn Meeting                    | Mgmt      | For      | For                  | For                 |

# **ASM Pacific Technology Limited**

**Meeting Date:** 11/05/2021 **Record Date:** 05/05/2021

**Country:** Cayman Islands **Meeting Type:** Annual

innual **Ticker:** 522

Shares Voted: 78,600

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                                       | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Deloitte Touche Tohmatsu as Auditors and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 4                  | Authorize Repurchase of Issued Share Capital   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights           | Mgmt      | For      | For                  | For                 |
| 6                  | Authorize Reissuance of Repurchased Shares   | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Lok Kam Chong, John as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Elect Benjamin Loh Gek Lim as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Elect Patricia Chou Pei-Fen as Director  | Mgmt      | For      | For                  | For                 |
| 10                 | Authorize Board to Fix Remuneration of Directors   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **AstraZeneca Plc**

**Meeting Date:** 11/05/2021 **Record Date:** 07/05/2021

Country: United Kingdom

Meeting Type: Annual

Primary Security ID: G0593M107

Ticker: AZN

Shares Voted: 121,422

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Dividends  | Mgmt      | For      | For                  | For                 |
| 3                  | Reappoint PricewaterhouseCoopers LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 4                  | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 5a                 | Re-elect Leif Johansson as Director  | Mgmt      | For      | For                  | For                 |
| 5b                 | Re-elect Pascal Soriot as Director   | Mgmt      | For      | For                  | For                 |
| 5c                 | Re-elect Marc Dunoyer as Director  | Mgmt      | For      | For                  | For                 |
| 5d                 | Re-elect Philip Broadley as Director   | Mgmt      | For      | For                  | For                 |
| 5e                 | Elect Euan Ashley as Director  | Mgmt      | For      | For                  | For                 |
| 5f                 | Re-elect Michel Demare as Director   | Mgmt      | For      | For                  | For                 |
| <b>5</b> g         | Re-elect Deborah DiSanzo as Director   | Mgmt      | For      | For                  | For                 |
| 5h                 | Elect Diana Layfield as Director   | Mgmt      | For      | For                  | For                 |
| 5i                 | Re-elect Sheri McCoy as Director   | Mgmt      | For      | For                  | For                 |
| 5j                 | Re-elect Tony Mok as Director  | Mgmt      | For      | For                  | For                 |
| 5k                 | Re-elect Nazneen Rahman as Director  | Mgmt      | For      | For                  | For                 |
| 51                 | Re-elect Marcus Wallenberg as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Remuneration Policy  | Mgmt      | For      | Against              | Against             |
| 8                  | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 9                  | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 10                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 11                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 12                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 13                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **AstraZeneca Plc**

| Proposal<br>Number | Proposal Text                | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------|-----------|----------|----------------------|---------------------|
| 14                 | Amend Performance Share Plan | Mgmt      | For      | Against              | Against             |

#### **AstraZeneca Plc**

Meeting Date: 11/05/2021 Record Date: 07/05/2021 **Country:** United Kingdom **Meeting Type:** Special

Primary Security ID: G0593M107

Ticker: AZN

Shares Voted: 121,422

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Acquisition of Alexion Pharmaceuticals, Inc. | Mgmt      | For      | For                  | For                 |

# ConocoPhillips

**Meeting Date:** 11/05/2021 **Record Date:** 15/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 20825C104

Ticker: COP

Shares Voted: 249,160

| Proposal<br>Number | Proposal Text                        | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Charles E. Bunch      | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Caroline Maury Devine | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director John V. Faraci        | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Jody Freeman          | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Gay Huey Evans        | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Jeffrey A. Joerres    | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Ryan M. Lance         | Mgmt      | For      | Against              | Against             |
| 1h                 | Elect Director Timothy A. Leach      | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director William H. McRaven    | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Sharmila Mulligan     | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Eric D. Mullins       | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Arjun N. Murti        | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Robert A. Niblock     | Mgmt      | For      | Against              | Against             |
| <b>1</b> n         | Elect Director David T. Seaton       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# ConocoPhillips

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 10                 | Elect Director R.A. Walker                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditor                            | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Adopt Simple Majority Vote                                     | SH        | For      | For                  | For                 |
| 5                  | Emission Reduction Targets                                     | SH        | Against  | For                  | For                 |

# **Innergex Renewable Energy Inc.**

**Meeting Date:** 11/05/2021 **Record Date:** 30/03/2021

Country: Canada Meeting Type: Annual Primary Security ID: 45790B104

Ticker: INE

Shares Voted: 17,283

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Daniel Lafrance   | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Ross J. Beaty   | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Pierre G. Brodeur   | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Nathalie Francisci  | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Richard Gagnon  | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Michel Letellier  | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Dalton James Patrick McGuinty                               | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Monique Mercier   | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Ouma Sananikone   | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Louis Veci  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote on Executive Compensation Approach                           | Mgmt      | For      | For                  | For                 |

### **KION GROUP AG**

Meeting Date: 11/05/2021 Record Date: 19/04/2021 **Country:** Germany **Meeting Type:** Annual

**Primary Security ID:** D4S14D103

Ticker: KGX

Reporting Period: 01/07/2020 to 30/06/2021

# **KION GROUP AG**

Shares Voted: 3,426

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Allocation of Income and Dividends of EUR 0.41 per Share                                | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Management Board for Fiscal Year 2020                                      | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Discharge of Supervisory Board for Fiscal Year 2020                                     | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Deloitte GmbH as Auditors for Fiscal Year 2021   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Remuneration Policy   | Mgmt      | For      | Against              | Against             |
| 7                  | Approve Remuneration of Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 8                  | Authorize Share Repurchase Program and<br>Reissuance or Cancellation of Repurchased<br>Shares   | Mgmt      | For      | For                  | For                 |
| 9                  | Amend Articles Re: AGM Convocation;<br>Participation and Voting Rights; Proof of<br>Entitlement | Mgmt      | For      | For                  | For                 |

# **LKQ Corporation**

**Meeting Date:** 11/05/2021 **Record Date:** 15/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 501889208

Ticker: LKQ

Shares Voted: 28,089

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Patrick Berard     | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Meg A. Divitto     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Robert M. Hanser   | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Joseph M. Holsten  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Blythe J. McGarvie | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director John W. Mendel     | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Jody G. Miller     | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Guhan Subramanian  | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Xavier Urbain      | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Jacob H. Welch     | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **LKQ Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1k                 | Elect Director Dominick Zarcone                                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

## **NIBE Industrier AB**

**Meeting Date:** 11/05/2021 **Record Date:** 03/05/2021

**Country:** Sweden **Meeting Type:** Annual

**Primary Security ID:** W6S38Z126

Ticker: NIBE.B

Shares Voted: 23,494

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Elect Chairman of Meeting  | Mgmt      | For      | For                  | For                 |
| 3                  | Prepare and Approve List of Shareholders   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Agenda of Meeting  | Mgmt      | For      | For                  | For                 |
| 5                  | Designate Inspector(s) of Minutes of Meeting   | Mgmt      | For      | For                  | For                 |
| 6                  | Acknowledge Proper Convening of Meeting  | Mgmt      | For      | For                  | For                 |
| 8.a                | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 8.b                | Approve Allocation of Income and Dividends of SEK 1.55 Per Share   | Mgmt      | For      | For                  | For                 |
| 8.c                | Approve Discharge of Board and President   | Mgmt      | For      | For                  | For                 |
| 9                  | Determine Number of Members (6) and Deputy<br>Members (0) of Board   | Mgmt      | For      | For                  | For                 |
| 10                 | Determine Number of Auditors (1) and Deputy Auditors (0)   | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Remuneration of Directors in the Aggregate Amount of SEK 2.7 Million; Approve Remuneration of Auditors                         | Mgmt      | For      | For                  | For                 |
| 12                 | Reelect Georg Brunstam, Gerteric Lindquist,<br>Hans Linnarson (Chair), Anders Palsson, Jenny<br>Sjodahl and Jenny Larsson as Directors | Mgmt      | For      | Against              | Against             |
| 13                 | Ratify KPMG as Auditors  | Mgmt      | For      | For                  | For                 |
| 14                 | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 15                 | Approve 4:1 Stock Split; Amend Articles Accordingly  | Mgmt      | For      | For                  | For                 |
| 16                 | Approve Issuance of Class B Shares up to 10 Percent of Issued Shares without Preemptive Rights   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **NIBE Industrier AB**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 17                 | Approve Remuneration Policy And Other Terms of Employment For Executive Management | Mgmt      | For      | For                  | For                 |

# **Prudential Financial, Inc.**

**Meeting Date:** 11/05/2021 **Record Date:** 12/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 744320102

Ticker: PRU

Shares Voted: 111,538

| Proposal Text   | Proponent  | Mgmt Rec   | Voting<br>Policy Rec   | Vote<br>Instruction  |
|---|--|--|--|--|
| Elect Director Thomas J. Baltimore, Jr.                           | Mgmt   | For  | For  | For  |
| Elect Director Gilbert F. Casellas                                | Mgmt   | For  | For  | For  |
| Elect Director Robert M. Falzon                                   | Mgmt   | For  | For  | For  |
| Elect Director Martina Hund-Mejean                                | Mgmt   | For  | For  | For  |
| Elect Director Wendy E. Jones                                     | Mgmt   | For  | For  | For  |
| Elect Director Karl J. Krapek                                     | Mgmt   | For  | For  | For  |
| Elect Director Peter R. Lighte                                    | Mgmt   | For  | For  | For  |
| Elect Director Charles F. Lowrey                                  | Mgmt   | For  | For  | For  |
| Elect Director George Paz   | Mgmt   | For  | For  | For  |
| Elect Director Sandra Pianalto                                    | Mgmt   | For  | For  | For  |
| Elect Director Christine A. Poon                                  | Mgmt   | For  | For  | For  |
| Elect Director Douglas A. Scovanner                               | Mgmt   | For  | For  | For  |
| Elect Director Michael A. Todman                                  | Mgmt   | For  | For  | For  |
| Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt   | For  | For  | For  |
| Advisory Vote to Ratify Named Executive<br>Officers' Compensation | Mgmt   | For  | For  | For  |
| Approve Omnibus Stock Plan  | Mgmt   | For  | For  | For  |
| Require Independent Board Chair                                   | SH   | Against  | For  | For  |
|   | Elect Director Thomas J. Baltimore, Jr.  Elect Director Gilbert F. Casellas  Elect Director Robert M. Falzon  Elect Director Martina Hund-Mejean  Elect Director Wendy E. Jones  Elect Director Karl J. Krapek  Elect Director Peter R. Lighte  Elect Director Charles F. Lowrey  Elect Director George Paz  Elect Director Sandra Pianalto  Elect Director Christine A. Poon  Elect Director Douglas A. Scovanner  Elect Director Michael A. Todman  Ratify PricewaterhouseCoopers LLP as Auditors  Advisory Vote to Ratify Named Executive  Officers' Compensation  Approve Omnibus Stock Plan | Elect Director Thomas J. Baltimore, Jr. Mgmt  Elect Director Gilbert F. Casellas Mgmt  Elect Director Robert M. Falzon Mgmt  Elect Director Martina Hund-Mejean Mgmt  Elect Director Wendy E. Jones Mgmt  Elect Director Karl J. Krapek Mgmt  Elect Director Peter R. Lighte Mgmt  Elect Director Charles F. Lowrey Mgmt  Elect Director George Paz Mgmt  Elect Director Sandra Pianalto Mgmt  Elect Director Christine A. Poon Mgmt  Elect Director Douglas A. Scovanner Mgmt  Elect Director Michael A. Todman Mgmt  Ratify PricewaterhouseCoopers LLP as Auditors Mgmt  Advisory Vote to Ratify Named Executive Officers' Compensation  Approve Omnibus Stock Plan Mgmt | Elect Director Thomas J. Baltimore, Jr. Mgmt For Elect Director Gilbert F. Casellas Mgmt For Elect Director Robert M. Falzon Mgmt For Elect Director Martina Hund-Mejean Mgmt For Elect Director Wendy E. Jones Mgmt For Elect Director Wendy E. Jones Mgmt For Elect Director Karl J. Krapek Mgmt For Elect Director Peter R. Lighte Mgmt For Elect Director Charles F. Lowrey Mgmt For Elect Director George Paz Mgmt For Elect Director Sandra Pianalto Mgmt For Elect Director Christine A. Poon Mgmt For Elect Director Douglas A. Scovanner Mgmt For Elect Director Michael A. Todman Mgmt For Advisory Vote to Ratify Named Executive Officers' Compensation Mgmt For | Proposal TextProponentMgmt RecPolicy RecElect Director Thomas J. Baltimore, Jr.MgmtForForElect Director Gilbert F. CasellasMgmtForForElect Director Robert M. FalzonMgmtForForElect Director Martina Hund-MejeanMgmtForForElect Director Wendy E. JonesMgmtForForElect Director Karl J. KrapekMgmtForForElect Director Peter R. LighteMgmtForForElect Director Charles F. LowreyMgmtForForElect Director George PazMgmtForForElect Director Sandra PianaltoMgmtForForElect Director Christine A. PoonMgmtForForElect Director Douglas A. ScovannerMgmtForForElect Director Michael A. TodmanMgmtForForRatify PricewaterhouseCoopers LLP as AuditorsMgmtForForAdvisory Vote to Ratify Named Executive<br>Officers' CompensationMgmtForForApprove Omnibus Stock PlanMgmtForFor |

## T. Rowe Price Group, Inc.

Meeting Date: 11/05/2021 Record Date: 11/03/2021 Country: USA Meeting Type: Annual **Primary Security ID:** 74144T108

Ticker: TROW

Reporting Period: 01/07/2020 to 30/06/2021

# T. Rowe Price Group, Inc.

Shares Voted: 24,423

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Mark S. Bartlett   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Mary K. Bush   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Dina Dublon  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Freeman A. Hrabowski, III  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Robert F. MacLellan  | Mgmt      | For      | For                  | For                 |
| <b>1</b> f         | Elect Director Olympia J. Snowe   | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Robert J. Stevens  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director William J. Stromberg   | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Richard R. Verma   | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Sandra S. Wijnberg   | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Alan D. Wilson   | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation                    | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify KPMG LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 4                  | Report on and Assess Proxy Voting Policies in Relation to Climate Change Position | SH        | Against  | For                  | For                 |

# **Waters Corporation**

**Meeting Date:** 11/05/2021 **Record Date:** 17/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 941848103

Ticker: WAT

Shares Voted: 6,184

| Proposal<br>Number | Proposal Text                         | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Udit Batra             | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Linda Baddour          | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Michael J. Berendt     | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Edward Conard          | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Gary E. Hendrickson    | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Pearl S. Huang         | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Christopher A. Kuebler | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Waters Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.8                | Elect Director Flemming Ornskov                                | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Thomas P. Salice                                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditor                   | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **American Water Works Company, Inc.**

**Meeting Date:** 12/05/2021 **Record Date:** 17/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 030420103

Ticker: AWK

Shares Voted: 18,966

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Jeffrey N. Edwards                              | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Martha Clark Goss                               | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Veronica M. Hagen                               | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Kimberly J. Harris                              | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Julia L. Johnson                                | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Patricia L. Kampling                            | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Karl F. Kurz                                    | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Walter J. Lynch                                 | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director George MacKenzie                                | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director James G. Stavridis                              | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Lloyd M. Yates                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |

# **Antofagasta Plc**

Meeting Date: 12/05/2021 Record Date: 10/05/2021 **Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G0398N128

Ticker: ANTO

Reporting Period: 01/07/2020 to 30/06/2021

# **Antofagasta Pic**

Shares Voted: 32,440

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Jean-Paul Luksic as Director  | Mgmt      | For      | Against              | Against             |
| 5                  | Re-elect Ollie Oliveira as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Ramon Jara as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Juan Claro as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Andronico Luksic as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Vivianne Blanlot as Director  | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Jorge Bande as Director   | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Francisca Castro as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Michael Anglin as Director  | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Tony Jensen as Director   | Mgmt      | For      | For                  | For                 |
| 14                 | Elect Director Appointed Between 24 March 2021 and 12 May 2021   | Mgmt      | For      | For                  | For                 |
| 15                 | Reappoint PricewaterhouseCoopers LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise the Audit and Risk Committee to Fix Remuneration of Auditors   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 22                 | Adopt New Articles of Association  | Mgmt      | For      | Against              | Against             |

# **Antofagasta Plc**

 Meeting Date: 12/05/2021
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 Record Date: 10/05/2021
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**Country:** United Kingdom **Meeting Type:** Special

Primary Security ID: G0398N128

Ticker: ANTO

Reporting Period: 01/07/2020 to 30/06/2021

# **Antofagasta Pic**

Shares Voted: 32,440

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Adopt New Articles of Association | Mgmt      | For      | Against              | Against             |

# **Bayerische Motoren Werke AG**

**Meeting Date:** 12/05/2021 **Record Date:** 20/04/2021

Country: Germany
Meeting Type: Annual

Primary Security ID: D12096109

Ticker: BMW

Shares Voted: 33,908

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Allocation of Income and Dividends of EUR 1.90 per Ordinary Share and EUR 1.92 per Preferred Share                                 | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Management Board for Fiscal Year 2020   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Discharge of Supervisory Board for Fiscal Year 2020  | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify PricewaterhouseCoopers GmbH as<br>Auditors for Fiscal Year 2021   | Mgmt      | For      | For                  | For                 |
| 6.1                | Elect Marc Bitzer to the Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 6.2                | Elect Rachel Empey to the Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 6.3                | Elect Christoph Schmidt to the Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Remuneration Policy  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Remuneration of Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 9.1                | Amend Articles Re: Participation of Supervisory<br>Board Members in the Annual General Meeting<br>by Means of Audio and Video Transmission | Mgmt      | For      | For                  | For                 |
| 9.2                | Amend Articles Re: Proof of Entitlement  | Mgmt      | For      | For                  | For                 |
| 9.3                | Amend Articles Re: Participation and Voting Rights   | Mgmt      | For      | For                  | For                 |
| 10                 | Amend Affiliation Agreement with BMW Bank GmbH   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Bloom Energy Corporation**

**Meeting Date:** 12/05/2021 **Record Date:** 16/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 093712107

Ticker: BE

Shares Voted: 1,983

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Michael Boskin                                  | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director John T. Chambers                                | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Advisory Vote on Say on Pay Frequency                          | Mgmt      | One Year | One Year             | One Year            |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |

#### **BP Plc**

**Meeting Date:** 12/05/2021 **Record Date:** 10/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

**Primary Security ID:** G12793108

Ticker: BP

**Shares Voted:** 4,913,511

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports          | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                                   | Mgmt      | For      | For                  | For                 |
| 3.a                | Elect Murray Auchincloss as Director                          | Mgmt      | For      | For                  | For                 |
| 3.b                | Elect Tushar Morzaria as Director                             | Mgmt      | For      | For                  | For                 |
| 3.c                | Elect Karen Richardson as Director                            | Mgmt      | For      | For                  | For                 |
| 3.d                | Elect Dr Johannes Teyssen as Director                         | Mgmt      | For      | For                  | For                 |
| 3.e                | Re-elect Bernard Looney as Director                           | Mgmt      | For      | For                  | For                 |
| 3.f                | Re-elect Pamela Daley as Director                             | Mgmt      | For      | For                  | For                 |
| 3.g                | Re-elect Helge Lund as Director                               | Mgmt      | For      | For                  | For                 |
| 3.h                | Re-elect Melody Meyer as Director                             | Mgmt      | For      | For                  | For                 |
| 3.i                | Re-elect Paula Reynolds as Director                           | Mgmt      | For      | For                  | For                 |
| 3.j                | Re-elect Sir John Sawers as Director                          | Mgmt      | For      | For                  | For                 |
| 4                  | Reappoint Deloitte LLP as Auditors                            | Mgmt      | For      | For                  | For                 |
| 5                  | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **BP Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 6                  | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Scrip Dividend Programme   | Mgmt      | For      | For                  | For                 |
| 8                  | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 9                  | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 10                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 11                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 12                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Shareholder Resolution on Climate Change Targets   | SH        | Against  | Against              | Against             |

# Eni SpA

**Meeting Date:** 12/05/2021 **Record Date:** 03/05/2021

Country: Italy
Meeting Type: Annual

**Primary Security ID:** T3643A145

Ticker: ENI

Shares Voted: 423,418

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Allocation of Income   | Mgmt      | For      | For                  | For                 |
| 3                  | Authorize Use of Available Reserves for Interim Dividend Distribution                    | Mgmt      | For      | For                  | For                 |
| 4                  | Appoint Marcella Caradonna as Internal<br>Statutory Auditor                              | SH        | None     | For                  | For                 |
| 5                  | Appoint Roberto Maglio as Alternate Internal<br>Statutory Auditor                        | SH        | None     | For                  | For                 |
| 6                  | Authorize Share Repurchase Program   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Second Section of the Remuneration Report  | Mgmt      | For      | Against              | Against             |
| Α                  | Deliberations on Possible Legal Action Against<br>Directors if Presented by Shareholders | Mgmt      | None     | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

# First Solar, Inc.

**Meeting Date:** 12/05/2021 **Record Date:** 23/03/2021

Country: USA

Primary Security ID: 336433107

Ticker: FSLR

Shares Voted: 10,910

Meeting Type: Annual

| Proposal<br>Number | Proposal Text                                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Michael J. Ahearn              | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Sharon L. Allen                | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Richard D. Chapman             | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director George A. Hambro               | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Kathryn A. Hollister           | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Molly E. Joseph                | Mgmt      | For      | Against              | Against             |
| 1.7                | Elect Director Craig Kennedy                  | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director William J. Post                | Mgmt      | For      | Against              | Against             |
| 1.9                | Elect Director Paul H. Stebbins               | Mgmt      | For      | Against              | Against             |
| 1.10               | Elect Director Michael Sweeney                | Mgmt      | For      | Against              | Against             |
| 1.11               | Elect Director Mark R. Widmar                 | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors | Mgmt      | For      | For                  | For                 |
| 3                  | Report on Board Diversity                     | SH        | Against  | For                  | For                 |

# **Gilead Sciences, Inc.**

**Meeting Date:** 12/05/2021 **Record Date:** 19/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 375558103

Ticker: GILD

Shares Voted: 54,813

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Jacqueline K. Barton | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Jeffrey A. Bluestone | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Sandra J. Horning    | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Kelly A. Kramer      | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Kevin E. Lofton      | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Harish Manwani       | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Daniel P. O'Day      | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Gilead Sciences, Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1h                 | Elect Director Javier J. Rodriguez                             | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Anthony Welters                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Require Independent Board Chair                                | SH        | Against  | For                  | For                 |

Shares Voted: 128,755

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Jacqueline K. Barton                            | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Jeffrey A. Bluestone                            | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Sandra J. Horning                               | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Kelly A. Kramer                                 | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Kevin E. Lofton                                 | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Harish Manwani                                  | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Daniel P. O'Day                                 | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Javier J. Rodriguez                             | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Anthony Welters                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Require Independent Board Chair                                | SH        | Against  | For                  | For                 |

# **IDEXX Laboratories, Inc.**

**Meeting Date:** 12/05/2021 **Record Date:** 19/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 45168D104

Ticker: IDXX

Shares Voted: 8,768

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Bruce L. Claflin | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Asha S. Collins  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **IDEXX Laboratories, Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1c                 | Elect Director Daniel M. Junius                                | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Sam Samad                                       | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **Intact Financial Corporation**

**Meeting Date:** 12/05/2021 **Record Date:** 15/03/2021

Country: Canada

Meeting Type: Annual/Special

**Primary Security ID:** 45823T106

Ticker: IFC

Shares Voted: 15,476

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Charles Brindamour   | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Janet De Silva   | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Claude Dussault  | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Jane E. Kinney   | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Robert G. Leary  | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Sylvie Paquette  | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Timothy H. Penner  | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Stuart J. Russell  | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Indira V. Samarasekera   | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Frederick Singer   | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Carolyn A. Wilkins   | Mgmt      | For      | For                  | For                 |
| 1.12               | Elect Director William L. Young   | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 3                  | Allow Board to Appoint Additional Directors Up<br>to a Maximum of One Third of the Number of<br>Directors Elected at the Previous Meeting | Mgmt      | For      | For                  | Against             |
| 4                  | Advisory Vote on Executive Compensation Approach  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Stock Option Plan   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Just Eat Takeaway.com NV**

**Meeting Date:** 12/05/2021 **Record Date:** 14/04/2021

**Country:** Netherlands **Meeting Type:** Annual

**Primary Security ID:** N4753E105

Ticker: TKWY

Shares Voted: 9,617

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2.b                | Approve Remuneration Report                                       | Mgmt      | For      | For                  | For                 |
| 2.c                | Adopt Financial Statements and Statutory Reports                  | Mgmt      | For      | For                  | For                 |
| 3.a                | Approve Discharge of Management Board                             | Mgmt      | For      | For                  | For                 |
| 3.b                | Approve Discharge of Supervisory Board                            | Mgmt      | For      | For                  | For                 |
| 4.a                | Reelect Jitse Groen to Management Board                           | Mgmt      | For      | For                  | For                 |
| 4.b                | Reelect Brent Wissink to Management Board                         | Mgmt      | For      | For                  | For                 |
| 4.c                | Reelect Jorg Gerbig to Management Board                           | Mgmt      | For      | For                  | For                 |
| 4.d                | Reelect Matthew Maloney to Management Board                       | Mgmt      | For      | For                  | For                 |
| 5.a                | Reelect Adriaan Nuhn to Supervisory Board                         | Mgmt      | For      | For                  | For                 |
| 5.b                | Reelect Corinne Vigreux to Supervisory Board                      | Mgmt      | For      | For                  | For                 |
| 5.c                | Reelect Ron Teerlink to Supervisory Board                         | Mgmt      | For      | For                  | For                 |
| 5.d                | Reelect Gwyn Burr to Supervisory Board                            | Mgmt      | For      | For                  | For                 |
| 5.e                | Reelect Jambu Palaniappan to Supervisory<br>Board                 | Mgmt      | For      | For                  | For                 |
| 5.f                | Reelect Lloyd Frink to Supervisory Board                          | Mgmt      | For      | For                  | For                 |
| 5.g                | Reelect David Fisher to Supervisory Board                         | Mgmt      | For      | For                  | For                 |
| 6                  | Ratify Deloitte Accountants B.V. as Auditors                      | Mgmt      | For      | For                  | For                 |
| 7                  | Grant Board Authority to Issue Shares                             | Mgmt      | For      | For                  | For                 |
| 8                  | Authorize Board to Exclude Preemptive Rights from Share Issuances | Mgmt      | For      | For                  | For                 |
| 9                  | Authorize Repurchase of Up to 10 Percent of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

## Kinder Morgan, Inc.

**Meeting Date:** 12/05/2021 **Record Date:** 15/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 49456B101

Ticker: KMI

Reporting Period: 01/07/2020 to 30/06/2021

# Kinder Morgan, Inc.

Shares Voted: 405,825

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Richard D. Kinder                               | Mgmt      | For      | Against              | Against             |
| 1.2                | Elect Director Steven J. Kean                                  | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Kimberly A. Dang                                | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Ted A. Gardner                                  | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Anthony W. Hall, Jr.                            | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Gary L. Hultquist                               | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Ronald L. Kuehn, Jr.                            | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Deborah A. Macdonald                            | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Michael C. Morgan                               | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Arthur C. Reichstetter                          | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director C. Park Shaper                                  | Mgmt      | For      | For                  | For                 |
| 1.12               | Elect Director William A. Smith                                | Mgmt      | For      | For                  | For                 |
| 1.13               | Elect Director Joel V. Staff                                   | Mgmt      | For      | For                  | For                 |
| 1.14               | Elect Director Robert F. Vagt                                  | Mgmt      | For      | For                  | For                 |
| 1.15               | Elect Director Perry M. Waughtal                               | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 4                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **Laboratory Corporation of America Holdings**

**Meeting Date:** 12/05/2021 **Record Date:** 24/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 50540R409

Ticker: LH

Shares Voted: 4,491

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Kerrii B. Anderson | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Jean-Luc Belingard | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Jeffrey A. Davis   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Laboratory Corporation of America Holdings**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1d                 | Elect Director D. Gary Gilliland                               | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Garheng Kong                                    | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Peter M. Neupert                                | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Richelle P. Parham                              | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Adam H. Schechter                               | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Kathryn E. Wengel                               | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director R. Sanders Williams                             | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Proxy Access Right                                       | SH        | Against  | For                  | For                 |

# **Phillips 66**

Meeting Date: 12/05/2021 Record Date: 17/03/2021 Country: USA

Meeting Type: Annual

Primary Security ID: 718546104

Ticker: PSX

Shares Voted: 87,982

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Julie L. Bushman                                | Mgmt      | For      | Against              | Against             |
| 1b                 | Elect Director Lisa A. Davis                                   | Mgmt      | For      | Against              | Against             |
| 2                  | Declassify the Board of Directors                              | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 4                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 5                  | Adopt GHG Emissions Reduction Targets                          | SH        | Against  | For                  | For                 |
| 6                  | Report on Climate Lobbying                                     | SH        | Against  | For                  | For                 |

#### **Rentokil Initial Plc**

**Meeting Date:** 12/05/2021 **Record Date:** 10/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

**Primary Security ID:** G7494G105

Ticker: RTO

Reporting Period: 01/07/2020 to 30/06/2021

## **Rentokil Initial Plc**

Shares Voted: 173,926

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Policy  | Mgmt      | For      | Against              | Against             |
| 3                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Performance Share Plan   | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Stuart Ingall-Tombs as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Sarosh Mistry as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect John Pettigrew as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Andy Ransom as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Richard Solomons as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Julie Southern as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Cathy Turner as Director  | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Linda Yueh as Director  | Mgmt      | For      | For                  | For                 |
| 14                 | Appoint PricewaterhouseCoopers LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

# **Sigma Healthcare Limited**

Meeting Date: 12/05/2021Country: AustraliaRecord Date: 10/05/2021Meeting Type: Annual

Primary Security ID:~ Q8T84B108

Ticker: SIG

Reporting Period: 01/07/2020 to 30/06/2021

## **Sigma Healthcare Limited**

**Shares Voted:** 2,275,601

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Approve Remuneration Report   | Mgmt      | For      | For                  | Abstain             |
| 4                  | Approve the Spill Resolution  | Mgmt      | Against  | Against              | For                 |
| 5.1                | Elect Christine Bartlett as Director                                    | Mgmt      | For      | Against              | Against             |
| 5.2                | Elect Kathryn (Kate) D Spargo as Director                               | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Remuneration Arrangements for Mark<br>Hooper and Jackie Pearson | Mgmt      | For      | For                  | For                 |

Shares Voted: 253,901

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve the Spill Resolution  | Mgmt      | Against  | Against              | Against             |
| 5.1                | Elect Christine Bartlett as Director                                    | Mgmt      | For      | For                  | For                 |
| 5.2                | Elect Kathryn (Kate) D Spargo as Director                               | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Remuneration Arrangements for Mark<br>Hooper and Jackie Pearson | Mgmt      | For      | For                  | For                 |

# **Skyworks Solutions, Inc.**

**Meeting Date:** 12/05/2021 **Record Date:** 18/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 83088M102

Ticker: SWKS

Shares Voted: 17,076

| Proposal<br>Number | Proposal Text                        | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Alan S. Batey         | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Kevin L. Beebe        | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Timothy R. Furey      | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Liam K. Griffin       | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Christine King        | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director David P. McGlade      | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Robert A. Schriesheim | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Kimberly S. Stevenson | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Skyworks Solutions, Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Ratify KPMG LLP as Auditor                                     | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 4                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 5                  | Adopt Simple Majority Vote                                     | SH        | None     | For                  | For                 |

# **Spirax-Sarco Engineering Plc**

**Meeting Date:** 12/05/2021 **Record Date:** 10/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G83561129

Ticker: SPX

Shares Voted: 6,890

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                       | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend                            | Mgmt      | For      | For                  | For                 |
| 4                  | Reappoint Deloitte LLP as Auditors                | Mgmt      | For      | For                  | For                 |
| 5                  | Authorise Board to Fix Remuneration of Auditors   | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Jamie Pike as Director                   | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Nicholas Anderson as Director            | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Dr Trudy Schoolenberg as Director        | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Peter France as Director                 | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Caroline Johnstone as Director           | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Jane Kingston as Director                | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Kevin Thompson as Director               | Mgmt      | For      | For                  | For                 |
| 13                 | Elect Nimesh Patel as Director                    | Mgmt      | For      | For                  | For                 |
| 14                 | Elect Angela Archon as Director                   | Mgmt      | For      | For                  | For                 |
| 15                 | Elect Dr Olivia Qiu as Director                   | Mgmt      | For      | For                  | For                 |
| 16                 | Elect Richard Gillingwater as Director            | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity                         | Mgmt      | For      | For                  | For                 |
| 18                 | Approve Scrip Dividend Alternative                | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

# **Spirax-Sarco Engineering Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 19                 | Authorise Issue of Equity without Pre-emptive Rights | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Market Purchase of Ordinary Shares         | Mgmt      | For      | For                  | For                 |

### **Standard Chartered Plc**

Meeting Date: 12/05/2021 Record Date: 10/05/2021 **Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G84228157

Ticker: STAN

Shares Voted: 242,235

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports          | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                                   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Maria Ramos as Director                                 | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect David Conner as Director                             | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Byron Grote as Director                              | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Andy Halford as Director                             | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Christine Hodgson as Director                        | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Gay Huey Evans as Director                           | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Naguib Kheraj as Director                            | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Phil Rivett as Director                              | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect David Tang as Director                               | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Carlson Tong as Director                             | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Jose Vinals as Director                              | Mgmt      | For      | Against              | Against             |
| 15                 | Re-elect Jasmine Whitbread as Director                        | Mgmt      | For      | For                  | For                 |
| 16                 | Re-elect Bill Winters as Director                             | Mgmt      | For      | For                  | For                 |
| 17                 | Reappoint Ernst & Young LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise UK Political Donations and Expenditure              | Mgmt      | For      | For                  | For                 |
| 20                 | Approve Standard Chartered Share Plan                         | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Standard Chartered Plc**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 21                 | Authorise Issue of Equity   | Mgmt      | For      | For                  | For                 |
| 22                 | Extend the Authority to Allot Shares by Such<br>Number of Shares Repurchased by the<br>Company under the Authority Granted Pursuant<br>to Resolution 27 | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Issue of Equity in Relation to Equity<br>Convertible Additional Tier 1 Securities   | Mgmt      | For      | For                  | For                 |
| 24                 | Authorise Issue of Equity without Pre-emptive Rights  | Mgmt      | For      | For                  | For                 |
| 25                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment                                | Mgmt      | For      | For                  | For                 |
| 26                 | Authorise Issue of Equity without Pre-emptive Rights in Relation to Equity Convertible Additional Tier 1 Securities                                     | Mgmt      | For      | For                  | For                 |
| 27                 | Authorise Market Purchase of Ordinary Shares  | Mgmt      | For      | For                  | For                 |
| 28                 | Authorise Market Purchase of Preference Shares  | Mgmt      | For      | For                  | For                 |
| 29                 | Authorise the Company to Call General Meeting with Two Weeks' Notice  | Mgmt      | For      | For                  | For                 |

## **Ultra Electronics Holdings Plc**

**Meeting Date:** 12/05/2021 **Record Date:** 10/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G9187G103

Ticker: ULE

Shares Voted: 24,204

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend                               | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Kenneth Hunzeker as Director                   | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Martin Broadhurst as Director               | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Geeta Gopalan as Director                   | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Victoria Hull as Director                   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Simon Pryce as Director                     | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Tony Rice as Director                       | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

## **Ultra Electronics Holdings Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 10                 | Re-elect Jos Sclater as Director   | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Daniel Shook as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Reappoint Deloitte LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 13                 | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

### **Unibail-Rodamco-Westfield SE**

**Meeting Date:** 12/05/2021 **Record Date:** 05/05/2021

Country: France

Meeting Type: Annual/Special

**Primary Security ID:** F95094581

Ticker: URW

Shares Voted: 136,150

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidated Financial Statements and Statutory Reports   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation Treatment of Losses  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Transaction with Christophe Cuvillier   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Auditors' Special Report on<br>Related-Party Transactions Mentioning the<br>Absence of New Transactions | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Compensation of Christophe Cuvillier,<br>Chairman of the Management Board                               | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Compensation of Jaap Tonckens,<br>Member of the Management Board  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Compensation of Colin Dyer, Chairman of the Supervisory Board Until 13 November 2020                    | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Unibail-Rodamco-Westfield SE**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 9                  | Approve Compensation of Leon Bressler,<br>Chairman of the Supervisory Board Since 13<br>November 2020   | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Compensation of Corporate Officers  | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Remuneration Policy for Chairman of the Management Board  | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Remuneration Policy for Management Board Members  | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Remuneration Policy for Supervisory Board Members   | Mgmt      | For      | For                  | For                 |
| 14                 | Ratify Appointment of Julie Avrane-Chopard as Supervisory Board Member  | Mgmt      | For      | For                  | For                 |
| 15                 | Ratify Appointment of Cecile Cabanis as<br>Supervisory Board Member   | Mgmt      | For      | For                  | For                 |
| 16                 | Reelect John McFarlane as Supervisory Board<br>Member   | Mgmt      | For      | For                  | For                 |
| 17                 | Elect as Aline Sylla-Walbaum as Supervisory<br>Board Member   | Mgmt      | For      | For                  | For                 |
| 18                 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
| 19                 | Authorize Decrease in Share Capital via Cancellation of Repurchased Shares  | Mgmt      | For      | For                  | For                 |
| 20                 | Authorize Issuance of Equity or Equity-Linked<br>Securities with Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 100 Million           | Mgmt      | For      | For                  | For                 |
| 21                 | Authorize Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 68 Million         | Mgmt      | For      | For                  | For                 |
| 22                 | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above Under Items 20-21 | Mgmt      | For      | For                  | For                 |
| 23                 | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind  | Mgmt      | For      | For                  | For                 |
| 24                 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans  | Mgmt      | For      | For                  | For                 |
| 25                 | Authorize up to 2.6 Percent of Issued Capital for Use in Stock Option Plans   | Mgmt      | For      | For                  | For                 |
| 26                 | Authorize up to 1.2 Percent of Issued Capital for Use in Restricted Stock Plans   | Mgmt      | For      | For                  | For                 |
| 27                 | Amend Bylaws to Comply with Legal Changes   | Mgmt      | For      | For                  | For                 |
| 28                 | Amend Article 15 of Bylaws Re: Written Consultation   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Unibail-Rodamco-Westfield SE**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 29                 | Authorize Filing of Required Documents/Other Formalities  | Mgmt      | For      | For                  | For                 |
| 30                 | Other Resolutions (Amendments to the Resolutions as Described in the Notice of Meeting or New Resolutions Proposed During the Meeting) - VOTE FOR (If you wish to vote as proxy seems fit) or ABSTAIN | Mgmt      | For      | Abstain              | Abstain             |

# **Ampol Limited**

**Meeting Date:** 13/05/2021 **Record Date:** 11/05/2021

Country: Australia
Meeting Type: Annual

Primary Security ID: Q03608124

Ticker: ALD

Shares Voted: 401,892

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                             | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Mark Chellew as Director                          | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Michael Ihlein as Director                        | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect Gary Smith as Director                            | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Matthew Halliday | Mgmt      | For      | For                  | For                 |

#### CaixaBank SA

**Meeting Date:** 13/05/2021 **Record Date:** 07/05/2021

**Country:** Spain **Meeting Type:** Annual

**Primary Security ID:** E2427M123

Ticker: CABK

Shares Voted: 415,585

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Consolidated and Standalone Financial Statements            | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Non-Financial Information Statement                         | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Board  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Increase of Legal Reserves                                  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Allocation of Income and Dividends                          | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Reclassification of Goodwill Reserves to Voluntary Reserves | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### CaixaBank SA

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 7                  | Renew Appointment of PricewaterhouseCoopers as Auditor   | Mgmt      | For      | For                  | For                 |
| 8.1                | Reelect Jose Serna Masia as Director   | Mgmt      | For      | For                  | For                 |
| 8.2                | Reelect Koro Usarraga Unsain as Director   | Mgmt      | For      | For                  | For                 |
| 9.1                | Add New Article 22 bis Re: Allow Shareholder<br>Meetings to be Held in Virtual-Only Format                           | Mgmt      | For      | For                  | For                 |
| 9.2                | Amend Article 24 Re: Representation and Voting by Remote Means   | Mgmt      | For      | For                  | For                 |
| 9.3                | Amend Articles Re: Board   | Mgmt      | For      | For                  | For                 |
| 9.4                | Amend Article 40 Re: Board Committees  | Mgmt      | For      | For                  | For                 |
| 9.5                | Amend Article 46 Re: Annual Accounts   | Mgmt      | For      | For                  | For                 |
| 10                 | Amend Articles of General Meeting Regulations<br>Re: Allow Shareholder Meetings to be Held in<br>Virtual-Only Format | Mgmt      | For      | For                  | For                 |
| 11                 | Authorize Board to Issue Contingent Convertible Securities for up to EUR 3.5 Billion                                 | Mgmt      | For      | For                  | For                 |
| 12                 | Amend Remuneration Policy  | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Remuneration of Directors  | Mgmt      | For      | For                  | For                 |
| 14                 | Amend Restricted Stock Plan  | Mgmt      | For      | For                  | For                 |
| 15                 | Approve 2021 Variable Remuneration Scheme  | Mgmt      | For      | For                  | For                 |
| 16                 | Fix Maximum Variable Compensation Ratio  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorize Board to Ratify and Execute Approved Resolutions   | Mgmt      | For      | For                  | For                 |
| 18                 | Advisory Vote on Remuneration Report   | Mgmt      | For      | For                  | For                 |

# **Cheniere Energy, Inc.**

**Meeting Date:** 13/05/2021 **Record Date:** 29/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 16411R208

Ticker: LNG

Shares Voted: 42,292

| Proposal<br>Number | Proposal Text                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director G. Andrea Botta | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Jack A. Fusco   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Vicky A. Bailey | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Cheniere Energy, Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1d                 | Elect Director Nuno Brandolini                                 | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director David B. Kilpatrick                             | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Sean T. Klimczak                                | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Andrew Langham                                  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Donald F. Robillard, Jr.                        | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Neal A. Shear                                   | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Andrew J. Teno                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |

# **Covanta Holding Corporation**

**Meeting Date:** 13/05/2021 **Record Date:** 15/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 22282E102

Ticker: CVA

Shares Voted: 1,447

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director David M. Barse                                  | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Ronald J. Broglio                               | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Peter C.B. Bynoe                                | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Linda J. Fisher                                 | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Joseph M. Holsten                               | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Owen Michaelson                                 | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Danielle Pletka                                 | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Michael W. Ranger                               | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Robert S. Silberman                             | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Jean Smith                                      | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Samuel Zell                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **CVS Health Corporation**

**Meeting Date:** 13/05/2021 **Record Date:** 17/03/2021

Country: USA

Primary Security ID: 126650100

Meeting Type: Annual Ticker: CVS

Shares Voted: 145,195

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Fernando Aguirre  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director C. David Brown, II  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Alecia A. DeCoudreaux   | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Nancy-Ann M. DeParle  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director David W. Dorman   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Roger N. Farah  | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Anne M. Finucane  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Edward J. Ludwig  | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Karen S. Lynch  | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Jean-Pierre Millon  | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Mary L. Schapiro  | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director William C. Weldon   | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Tony L. White   | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation                   | Mgmt      | For      | For                  | For                 |
| 4                  | Reduce Ownership Threshold for Shareholders to Request Action by Written Consent | SH        | Against  | For                  | For                 |
| 5                  | Require Independent Board Chair  | SH        | Against  | For                  | For                 |

### **Ford Motor Company**

**Meeting Date:** 13/05/2021 **Record Date:** 17/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 345370860

Ticker: F

Shares Voted: 358,249

| Proposal<br>Number | Proposal Text                         | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Kimberly A. Casiano    | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Anthony F. Earley, Jr. | Mamt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Ford Motor Company**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1c                 | Elect Director Alexandra Ford English                                  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director James D. Farley, Jr.                                    | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Henry Ford, III   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director William Clay Ford, Jr.                                  | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director William W. Helman, IV                                   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Jon M. Huntsman, Jr.                                    | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director William E. Kennard                                      | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Beth E. Mooney  | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director John L. Thornton  | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director John B. Veihmeyer                                       | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Lynn M. Vojvodich                                       | Mgmt      | For      | For                  | For                 |
| 1n                 | Elect Director John S. Weinberg  | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditor                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation         | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Recapitalization Plan for all Stock to Have One-vote per Share | SH        | Against  | For                  | For                 |

## **Henry Schein, Inc.**

**Meeting Date:** 13/05/2021 **Record Date:** 15/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 806407102

Ticker: HSIC

Shares Voted: 6,821

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Mohamad Ali         | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Barry J. Alperin    | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Gerald A. Benjamin  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Stanley M. Bergman  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director James P. Breslawski | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Deborah Derby       | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Joseph L. Herring   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Kurt P. Kuehn       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Henry Schein, Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1i                 | Elect Director Philip A. Laskawy                               | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Anne H. Margulies                               | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Mark E. Mlotek                                  | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Steven Paladino                                 | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Carol Raphael                                   | Mgmt      | For      | For                  | For                 |
| 1n                 | Elect Director E. Dianne Rekow                                 | Mgmt      | For      | For                  | For                 |
| 10                 | Elect Director Bradley T. Sheares                              | Mgmt      | For      | For                  | For                 |
| 1p                 | Elect Director Reed V. Tuckson                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify BDO USA, LLP as Auditor                                 | Mgmt      | For      | For                  | For                 |

## **Intel Corporation**

**Meeting Date:** 13/05/2021 **Record Date:** 19/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 458140100

Ticker: INTC

Shares Voted: 420,916

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Patrick P. Gelsinger                            | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director James J. Goetz                                  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Alyssa Henry                                    | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Omar Ishrak                                     | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Risa Lavizzo-Mourey                             | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Tsu-Jae King Liu                                | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Gregory D. Smith                                | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Dion J. Weisler                                 | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Frank D. Yeary                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 4                  | Provide Right to Act by Written Consent                        | SH        | Against  | For                  | For                 |
| 5                  | Report on Global Median Gender/Racial Pay Gap                  | SH        | Against  | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Intel Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 6                  | Report on Whether Written Policies or Unwritten<br>Norms Reinforce Racism in Company Culture | SH        | Against  | For                  | For                 |

## Itron, Inc.

**Meeting Date:** 13/05/2021 **Record Date:** 16/03/2021

Country: USA
Meeting Type: Annual

Primary Security ID: 465741106

Ticker: ITRI

Shares Voted: 615

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| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Thomas L. Deitrich                              | Mgmt      | For      | Against              | Against             |
| 1b                 | Elect Director Timothy M. Leyden                               | Mgmt      | For      | Against              | Against             |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |

# Juniper Networks, Inc.

**Meeting Date:** 13/05/2021 **Record Date:** 19/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 48203R104

Ticker: JNPR

Shares Voted: 410,596

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Gary Daichendt    | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Anne DelSanto     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Kevin DeNuccio    | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director James Dolce       | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Christine Gorjanc | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Janet Haugen      | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Scott Kriens      | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Rahul Merchant    | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Rami Rahim        | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director William Stensrud  | Mgmt      | For      | For                  | For                 |
|                    |                                  |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

## Juniper Networks, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **Ocado Group Plc**

Meeting Date: 13/05/2021 Record Date: 11/05/2021 **Country:** United Kingdom **Meeting Type:** Annual

**Primary Security ID:** G6718L106

Ticker: OCDO

Shares Voted: 91,912

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports           | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                                 | Mgmt      | For      | For                  | For                 |
| 3                  | Re-elect Tim Steiner as Director                            | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Neill Abrams as Director                           | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Mark Richardson as Director                        | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Luke Jensen as Director                            | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Jorn Rausing as Director                           | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Andrew Harrison as Director                        | Mgmt      | For      | Against              | Against             |
| 9                  | Re-elect Emma Lloyd as Director                             | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Julie Southern as Director                         | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect John Martin as Director                            | Mgmt      | For      | For                  | For                 |
| 12                 | Elect Michael Sherman as Director                           | Mgmt      | For      | For                  | For                 |
| 13                 | Elect Richard Haythornthwaite as Director                   | Mgmt      | For      | For                  | For                 |
| 14                 | Elect Stephen Daintith as Director                          | Mgmt      | For      | For                  | For                 |
| 15                 | Reappoint Deloitte LLP as Auditors                          | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Board to Fix Remuneration of Auditors             | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise UK Political Donations and Expenditure            | Mgmt      | For      | For                  | For                 |
| 18                 | Amend Employee Share Purchase Plan                          | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity                                   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity in Connection with a Rights Issue | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Ocado Group Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 21                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 24                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

### oOh!media Limited

**Meeting Date:** 13/05/2021 **Record Date:** 11/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q7108D109

Ticker: OML

Shares Voted: 93,662

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                                    | Mgmt      | None     | For                  | For                 |
| 2                  | Elect Andrew Stevens as Director                               | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Issuance of Securities under the Equity Incentive Plan | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Catherine O'Connor      | Mgmt      | For      | For                  | For                 |

# O'Reilly Automotive, Inc.

**Meeting Date:** 13/05/2021 **Record Date:** 04/03/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 67103H107

Ticker: ORLY

Shares Voted: 7,375

| Proposal<br>Number | Proposal Text                        | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director David O'Reilly        | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Larry O'Reilly        | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Greg Henslee          | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Jay D. Burchfield     | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Thomas T. Hendrickson | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director John R. Murphy        | Mgmt      | For      | For                  | For                 |
|                    |                                      |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

# O'Reilly Automotive, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1g                 | Elect Director Dana M. Perlman                                 | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Maria A. Sastre                                 | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Andrea M. Weiss                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Proxy Access Right                                       | SH        | Against  | For                  | For                 |

### **Prudential Plc**

**Meeting Date:** 13/05/2021 **Record Date:** 11/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G72899100

Ticker: PRU

Shares Voted: 244,477

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Chua Sock Koong as Director                    | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Ming Lu as Director                            | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Jeanette Wong as Director                      | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Shriti Vadera as Director                   | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Jeremy Anderson as Director                 | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Mark Fitzpatrick as Director                | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect David Law as Director                       | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Anthony Nightingale as Director             | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Philip Remnant as Director                  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Alice Schroeder as Director                 | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect James Turner as Director                    | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Thomas Watjen as Director                   | Mgmt      | For      | For                  | For                 |
| 15                 | Re-elect Michael Wells as Director                   | Mgmt      | For      | For                  | For                 |
| 16                 | Re-elect Fields Wicker-Miurin as Director            | Mgmt      | For      | For                  | For                 |
| 17                 | Re-elect Amy Yip as Director                         | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Prudential Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 18                 | Reappoint KPMG LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Issue of Equity to Include<br>Repurchased Shares   | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 24                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 25                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 26                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

# **Rolls-Royce Holdings Plc**

**Meeting Date:** 13/05/2021 **Record Date:** 11/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G76225104

Ticker: RR

Shares Voted: 699,866

| Proposal Text  | Proponent  | Mgmt Rec  | Voting<br>Policy Rec   | Vote<br>Instruction  |
|--|--|---|--|--|
| Accept Financial Statements and Statutory<br>Reports | Mgmt   | For   | For  | For  |
| Approve Remuneration Policy                          | Mgmt   | For   | For  | For  |
| Approve Remuneration Report                          | Mgmt   | For   | For  | For  |
| Re-elect Sir Ian Davis as Director                   | Mgmt   | For   | Against  | Against  |
| Re-elect Warren East as Director                     | Mgmt   | For   | For  | For  |
| Elect Panos Kakoullis as Director                    | Mgmt   | For   | For  | For  |
| Elect Paul Adams as Director                         | Mgmt   | For   | For  | For  |
| Re-elect George Culmer as Director                   | Mgmt   | For   | For  | For  |
| Re-elect Irene Dorner as Director                    | Mgmt   | For   | For  | For  |
| Re-elect Beverly Goulet as Director                  | Mgmt   | For   | For  | For  |
| Re-elect Lee Hsien Yang as Director                  | Mgmt   | For   | For  | For  |
|  | Accept Financial Statements and Statutory Reports  Approve Remuneration Policy  Approve Remuneration Report  Re-elect Sir Ian Davis as Director  Re-elect Warren East as Director  Elect Panos Kakoullis as Director  Elect Paul Adams as Director  Re-elect George Culmer as Director  Re-elect Irene Dorner as Director  Re-elect Beverly Goulet as Director | Accept Financial Statements and Statutory Reports  Approve Remuneration Policy  Approve Remuneration Report  Re-elect Sir Ian Davis as Director  Re-elect Warren East as Director  Elect Panos Kakoullis as Director  Mgmt  Elect Paul Adams as Director  Re-elect George Culmer as Director  Mgmt  Re-elect Irene Dorner as Director  Mgmt  Re-elect Beverly Goulet as Director  Mgmt  Re-elect Beverly Goulet as Director  Mgmt | Accept Financial Statements and Statutory Reports  Approve Remuneration Policy  Approve Remuneration Report  Re-elect Sir Ian Davis as Director  Re-elect Warren East as Director  Elect Panos Kakoullis as Director  Re-elect George Culmer as Director  Re-elect Irene Dorner as Director  Mgmt  For  For  Re-elect George Culmer as Director  Mgmt  For  Re-elect Beverly Goulet as Director  Mgmt  For | Accept Financial Statements and Statutory Reports  Approve Remuneration Policy  Approve Remuneration Report  Mgmt  For  For  Approve Remuneration Report  Mgmt  For  For  Re-elect Sir Ian Davis as Director  Mgmt  For  For  For  Elect Panos Kakoullis as Director  Mgmt  For  For  For  Elect Paul Adams as Director  Mgmt  For  Re-elect George Culmer as Director  Mgmt  For  Re-elect Irene Dorner as Director  Mgmt  For  Mgmt  For  For  For  For  Re-elect Beverly Goulet as Director  Mgmt  For  For  For  For |

Reporting Period: 01/07/2020 to 30/06/2021

## **Rolls-Royce Holdings Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 12                 | Re-elect Nick Luff as Director                                   | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Sir Kevin Smith as Director                             | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Dame Angela Strank as Director                          | Mgmt      | For      | For                  | For                 |
| 15                 | Reappoint PricewaterhouseCoopers LLP as Auditors                 | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise the Audit Committee to Fix<br>Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise UK Political Donations and Expenditure                 | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 19                 | Approve Incentive Plan   | Mgmt      | For      | For                  | For                 |
| 20                 | Approve SharePurchase Plan                                       | Mgmt      | For      | For                  | For                 |
| 21                 | Approve UK Sharesave Plan  | Mgmt      | For      | For                  | For                 |
| 22                 | Approve International Sharesave Plan                             | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Issue of Equity without Pre-emptive Rights             | Mgmt      | For      | For                  | For                 |
| 24                 | Authorise Market Purchase of Ordinary Shares                     | Mgmt      | For      | For                  | For                 |
| 25                 | Adopt New Articles of Association                                | Mgmt      | For      | For                  | For                 |

## **SBA Communications Corporation**

**Meeting Date:** 13/05/2021 **Record Date:** 11/03/2021

Country: USA

Meeting Type: Annual

Annual **Ticker:** SBAC

Shares Voted: 11,769

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Mary S. Chan                                    | Mgmt      | For      | Against              | Against             |
| 1.2                | Elect Director George R. Krouse, Jr.                           | Mgmt      | For      | Against              | Against             |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **SunPower Corporation**

Meeting Date: 13/05/2021 Record Date: 15/03/2021 Country: USA Meeting Type: Annual Primary Security ID: 867652406

Primary Security ID: 78410G104

Ticker: SPWR

Reporting Period: 01/07/2020 to 30/06/2021

## **SunPower Corporation**

Shares Voted: 696

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Francois Badoual                                | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Denis Toulouse                                  | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Patrick Wood, III                               | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | Against              | Against             |

### **The Charles Schwab Corporation**

**Meeting Date:** 13/05/2021 **Record Date:** 15/03/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 808513105

Ticker: SCHW

Shares Voted: 128,548

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Walter W. Bettinger, II                         | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Joan T. Dea                                     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Christopher V. Dodds                            | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Mark A. Goldfarb                                | Mgmt      | For      | Against              | Against             |
| 1e                 | Elect Director Bharat B. Masrani                               | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Charles A. Ruffel                               | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Report on Lobbying Payments and Policy                         | SH        | Against  | For                  | For                 |
| 5                  | Declassify the Board of Directors                              | SH        | Against  | For                  | For                 |

## **The GPT Group**

**Meeting Date:** 13/05/2021 **Record Date:** 11/05/2021

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q4252X155

Ticker: GPT

Reporting Period: 01/07/2020 to 30/06/2021

# **The GPT Group**

**Shares Voted:** 4,969,029

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Elect Vickki McFadden as Director                        | Mgmt      | For      | Against              | Against             |
| 2                  | Elect Robert Whitfield as Director                       | Mgmt      | For      | Against              | Against             |
| 3                  | Approve Remuneration Report                              | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Robert Johnston   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Re-insertion of Proportional Takeover Provisions | Mgmt      | For      | For                  | For                 |

**Shares Voted:** 2,187,988

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Elect Vickki McFadden as Director                        | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Robert Whitfield as Director                       | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                              | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Robert Johnston   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Re-insertion of Proportional Takeover Provisions | Mgmt      | For      | For                  | For                 |

## **Waypoint REIT Ltd.**

**Meeting Date:** 13/05/2021 **Record Date:** 11/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q95666105

Ticker: WPR

Shares Voted: 691,246

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Stephen Newton as Director                      | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                           | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Hadyn Stephens | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Amendments to the Company's Constitution  | Mgmt      | For      | Against              | Against             |
| 6                  | Approve the Amendments to the Trust's Constitution    | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

## **ANSYS, Inc.**

**Meeting Date:** 14/05/2021 **Record Date:** 19/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 03662Q105

Ticker: ANSS

Shares Voted: 8,833

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Jim Frankola                                    | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Alec D. Gallimore                               | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Ronald W. Hovsepian                             | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Deloitte & Touche LLP as Auditor                        | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Omnibus Stock Plan                                     | Mgmt      | For      | For                  | For                 |
| 4                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 5                  | Adopt Simple Majority Vote                                     | SH        | Against  | For                  | For                 |

## **Phoenix Group Holdings Plc**

**Meeting Date:** 14/05/2021 **Record Date:** 12/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G7S8MZ109

Ticker: PHNX

Shares Voted: 51,347

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend                               | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Alastair Barbour as Director                | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Andy Briggs as Director                     | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Karen Green as Director                     | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Hiroyuki Iioka as Director                     | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Nicholas Lyons as Director                  | Mgmt      | For      | Against              | Against             |
| 9                  | Re-elect Wendy Mayall as Director                    | Mgmt      | For      | For                  | For                 |
| 10                 | Elect Christopher Minter as Director                 | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect John Pollock as Director                    | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Belinda Richards as Director                | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Phoenix Group Holdings Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 13                 | Re-elect Nicholas Shott as Director  | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Kory Sorenson as Director   | Mgmt      | For      | For                  | For                 |
| 15                 | Re-elect Rakesh Thakrar as Director  | Mgmt      | For      | For                  | For                 |
| 16                 | Re-elect Mike Tumilty as Director  | Mgmt      | For      | For                  | For                 |
| 17                 | Reappoint Ernst & Young LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 24                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

# Seagen Inc.

**Meeting Date:** 14/05/2021 **Record Date:** 18/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 81181C104

Ticker: SGEN

Shares Voted: 6,424

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Felix J. Baker                                  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Clay B. Siegall                                 | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Nancy A. Simonian                               | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |

Shares Voted: 18,541

| Proposal Number Proposal Text Proponent Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--|----------------------|---------------------|
|--|----------------------|---------------------|

Reporting Period: 01/07/2020 to 30/06/2021

## Seagen Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Felix J. Baker                                  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Clay B. Siegall                                 | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Nancy A. Simonian                               | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |

## **St. James's Place Plc**

**Meeting Date:** 14/05/2021 **Record Date:** 12/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G5005D124

Ticker: STJ

Shares Voted: 50,195

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports      | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend                                 | Mgmt      | For      | For                  | For                 |
| 3                  | Re-elect Andrew Croft as Director                      | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Ian Gascoigne as Director                     | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Craig Gentle as Director                      | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Emma Griffin as Director                      | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Rosemary Hilary as Director                   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Simon Jeffreys as Director                    | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Roger Yates as Director                       | Mgmt      | For      | For                  | For                 |
| 10                 | Elect Lesley-Ann Nash as Director                      | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Paul Manduca as Director                         | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Remuneration Report                            | Mgmt      | For      | For                  | For                 |
| 13                 | Reappoint PricewaterhouseCoopers LLP (PwC) as Auditors | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise Board to Fix Remuneration of Auditors        | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Issue of Equity                              | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### St. James's Place Plc

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 17                 | Authorise Market Purchase of Ordinary Shares                         | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt      | For      | For                  | For                 |

# **Amgen Inc.**

**Meeting Date:** 18/05/2021 **Record Date:** 19/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 031162100

Ticker: AMGN

Shares Voted: 25,743

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |  |
|--------------------|--|-----------|----------|----------------------|---------------------|--|
| 1a                 | Elect Director Wanda M. Austin                                 | Mgmt      | For      | For                  | For                 |  |
| 1b                 | Elect Director Robert A. Bradway                               | Mgmt      | For      | For                  | For                 |  |
| 1c                 | Elect Director Brian J. Druker                                 | Mgmt      | For      | For                  | For                 |  |
| 1d                 | Elect Director Robert A. Eckert                                | Mgmt      | For      | Against              | Against             |  |
| 1e                 | Elect Director Greg C. Garland                                 | Mgmt      | For      | Against              | Against             |  |
| 1f                 | Elect Director Charles M. Holley, Jr.                          | Mgmt      | For      | Against              | Against             |  |
| 1g                 | Elect Director Tyler Jacks                                     | Mgmt      | For      | For                  | For                 |  |
| 1h                 | Elect Director Ellen J. Kullman                                | Mgmt      | For      | Against              | Against             |  |
| 1i                 | Elect Director Amy E. Miles                                    | Mgmt      | For      | For                  | For                 |  |
| 1j                 | Elect Director Ronald D. Sugar                                 | Mgmt      | For      | Against              | Against             |  |
| 1k                 | Elect Director R. Sanders Williams                             | Mgmt      | For      | Against              | Against             |  |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |  |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |  |

Shares Voted: 59,794

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Wanda M. Austin   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Robert A. Bradway | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Brian J. Druker   | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Robert A. Eckert  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Amgen Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1e                 | Elect Director Greg C. Garland                                 | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Charles M. Holley, Jr.                          | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Tyler Jacks                                     | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Ellen J. Kullman                                | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Amy E. Miles                                    | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Ronald D. Sugar                                 | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director R. Sanders Williams                             | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

## **Elia Group SA/NV**

**Meeting Date:** 18/05/2021 **Record Date:** 04/05/2021

Country: Belgium

Meeting Type: Annual/Special

Primary Security ID: B35656105

Ticker: ELI

Shares Voted: 14,782

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Approve Financial Statements and Allocation of Income   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Policy   | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Remuneration Report   | Mgmt      | For      | Against              | Against             |
| 9                  | Approve Discharge of Directors  | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Discharge of Auditors   | Mgmt      | For      | For                  | For                 |
| 11                 | Reelect Saskia Van Uffelen, Luc De Temmerman<br>and Frank Donck as Independent Directors                                      | Mgmt      | For      | Against              | Against             |
| 12                 | Acknowledge Voluntary Resignation of Kris<br>Peeters as Director and Approve the Co-optation<br>of Pieter de Crem as Director | Mgmt      | For      | For                  | For                 |
| 1                  | Authorize Repurchase of Up to 10 Percent of Issued Share Capital  | Mgmt      | For      | For                  | For                 |

### **Nickel Mines Limited**

**Meeting Date:** 18/05/2021 **Record Date:** 16/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q67949109

Ticker: NIC

Reporting Period: 01/07/2020 to 30/06/2021

## **Nickel Mines Limited**

**Shares Voted:** 1,636,055

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Weifeng Huang as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Peter Nightingale as Director  | Mgmt      | For      | Against              | Against             |
| 4                  | Elect Yuanyuan Xu as Director  | Mgmt      | For      | Against              | Against             |
| 5                  | Elect Stephanus (Dasa) Sutantio as Director                                    | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Acquisition of a Further 10% Interest in Angel Capital Private Limited | Mgmt      | For      | For                  | For                 |

# **Realty Income Corporation**

**Meeting Date:** 18/05/2021 **Record Date:** 12/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 756109104

Ticker: 0

Shares Voted: 33,340

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Kathleen R. Allen                               | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director A. Larry Chapman                                | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Reginald H. Gilyard                             | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Priya Cherian Huskins                           | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Gerardo I. Lopez                                | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Michael D. McKee                                | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Gregory T. McLaughlin                           | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Ronald L. Merriman                              | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Sumit Roy                                       | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Omnibus Stock Plan                                     | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Royal Dutch Shell Plc**

**Meeting Date:** 18/05/2021 **Record Date:** 14/05/2021

Country: United Kingdom

Meeting Type: Annual

Primary Security ID: G7690A100

Ticker: RDSA

**Shares Voted:** 1,879,516

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                        | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Jane Lute as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Ben van Beurden as Director  | Mgmt      | For      | Against              | Against             |
| 5                  | Re-elect Dick Boer as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Neil Carson as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Ann Godbehere as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Euleen Goh as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Catherine Hughes as Director                                       | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Martina Hund-Mejean as Director                                    | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Sir Andrew Mackenzie as Director                                   | Mgmt      | For      | For                  | For                 |
| 12                 | Elect Abraham Schot as Director   | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Jessica Uhl as Director  | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Gerrit Zalm as Director  | Mgmt      | For      | For                  | For                 |
| 15                 | Reappoint Ernst & Young LLP as Auditors                                     | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise the Audit Committee to Fix Remuneration of Auditors               | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity   | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity without Pre-emptive Rights                        | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Market Purchase of Ordinary Shares                                | Mgmt      | For      | For                  | For                 |
| 20                 | Approve the Shell Energy Transition Strategy                                | Mgmt      | For      | For                  | For                 |
| 21                 | Request Shell to Set and Publish Targets for Greenhouse Gas (GHG) Emissions | SH        | Against  | For                  | For                 |

### **Standard Life Aberdeen Plc**

**Meeting Date:** 18/05/2021 **Record Date:** 14/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G84246118

Ticker: SLA

Reporting Period: 01/07/2020 to 30/06/2021

## **Standard Life Aberdeen Plc**

Shares Voted: 204,001

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 3                  | Reappoint KPMG LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 4                  | Authorise the Audit Committee to Fix Remuneration of Auditors                                      | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 6A                 | Re-elect Sir Douglas Flint as Director   | Mgmt      | For      | For                  | For                 |
| 6B                 | Re-elect Jonathan Asquith as Director  | Mgmt      | For      | For                  | For                 |
| 6C                 | Re-elect Stephanie Bruce as Director   | Mgmt      | For      | For                  | For                 |
| 6D                 | Re-elect John Devine as Director   | Mgmt      | For      | For                  | For                 |
| 6E                 | Re-elect Melanie Gee as Director   | Mgmt      | For      | For                  | For                 |
| 6F                 | Re-elect Brian McBride as Director   | Mgmt      | For      | For                  | For                 |
| 6G                 | Re-elect Martin Pike as Director   | Mgmt      | For      | For                  | For                 |
| 6H                 | Re-elect Cathleen Raffaeli as Director   | Mgmt      | For      | For                  | For                 |
| 6I                 | Re-elect Cecilia Reyes as Director   | Mgmt      | For      | For                  | For                 |
| 63                 | Re-elect Jutta af Rosenborg as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Stephen Bird as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 9                  | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 10                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 11                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 12                 | Authorise Issue of Equity in Relation to the Issue of Convertible Bonds                            | Mgmt      | For      | For                  | For                 |
| 13                 | Authorise Issue of Equity without Pre-Emptive Rights in Relation to the Issue of Convertible Bonds | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise the Company to Call General Meeting with Two Weeks' Notice                               | Mgmt      | For      | For                  | For                 |
| 15                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **TPI Composites, Inc.**

**Meeting Date:** 18/05/2021 **Record Date:** 22/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 87266J104

Ticker: TPIC

Shares Voted: 1,281

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Paul G. Giovacchini                             | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Jayshree S. Desai                               | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Linda P. Hudson                                 | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Bavan M. Holloway                               | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

## Zendesk, Inc.

**Meeting Date:** 18/05/2021 **Record Date:** 22/03/2021

Country: USA
Meeting Type: Annual

Primary Security ID: 98936J101

Ticker: ZEN

Shares Voted: 12,822

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Archana Agrawal                                 | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Hilarie Koplow-McAdams                          | Mgmt      | For      | Against              | Against             |
| 1c                 | Elect Director Michelle Wilson                                 | Mgmt      | For      | Against              | Against             |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | Abstain             |

## **Advanced Micro Devices, Inc.**

**Meeting Date:** 19/05/2021 **Record Date:** 23/03/2021

Country: USA
Meeting Type: Annual

Primary Security ID: 007903107

Ticker: AMD

Shares Voted: 124,501

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director John E. Caldwell | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Advanced Micro Devices, Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1b                 | Elect Director Nora M. Denzel                                  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Mark Durcan                                     | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Michael P. Gregoire                             | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Joseph A. Householder                           | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director John W. Marren                                  | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Lisa T. Su                                      | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Abhi Y. Talwalkar                               | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

# Align Technology, Inc.

**Meeting Date:** 19/05/2021 **Record Date:** 24/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 016255101

Ticker: ALGN

Shares Voted: 8,133

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Kevin J. Dallas                        | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Joseph M. Hogan                        | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Joseph Lacob                           | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director C. Raymond Larkin, Jr.                 | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director George J. Morrow                       | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Anne M. Myong                          | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Andrea L. Saia                         | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Greg J. Santora                        | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Susan E. Siegel                        | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Warren S. Thaler                       | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors         | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Bylaws to Add Federal Forum Selection Provision | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Qualified Employee Stock Purchase Plan          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## Align Technology, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 5                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

## **Annaly Capital Management, Inc.**

**Meeting Date:** 19/05/2021 **Record Date:** 22/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 035710409

Ticker: NLY

Shares Voted: 143,982

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Francine J. Bovich                              | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Wellington J. Denahan                           | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Katie Beirne Fallon                             | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director David L. Finkelstein                            | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Thomas Hamilton                                 | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Kathy Hopinkah Hannan                           | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Michael Haylon                                  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Eric A. Reeves                                  | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director John H. Schaefer                                | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Glenn A. Votek                                  | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Vicki Williams                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | Abstain             |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

### Beyond Meat, Inc.

Meeting Date: 19/05/2021 Record Date: 23/03/2021 Country: USA Meeting Type: Annual **Primary Security ID:** 08862E109

Ticker: BYND

Shares Voted: 1,567

| Proposal<br>Number |                             | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Sally Grimes | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Beyond Meat, Inc.**

| Proposal<br>Number | Proposal Text                            | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.2                | Elect Director Muktesh "Micky" Pant      | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Ned Segal                 | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify Deloitte & Touche LLP as Auditors | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote on Say on Pay Frequency    | Mgmt      | One Year | One Year             | One Year            |

# **Cerner Corporation**

**Meeting Date:** 19/05/2021 **Record Date:** 22/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 156782104

Ticker: CERN

Shares Voted: 14,526

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Mitchell E. Daniels, Jr.                        | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Elder Granger                                   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director John J. Greisch                                 | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Melinda J. Mount                                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Eliminate Supermajority Vote Requirement                       | SH        | Against  | For                  | For                 |

Shares Voted: 31,522

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Mitchell E. Daniels, Jr.                        | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Elder Granger                                   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director John J. Greisch                                 | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Melinda J. Mount                                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Eliminate Supermajority Vote Requirement                       | SH        | Against  | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Eagers Automotive Limited**

**Meeting Date:** 19/05/2021 **Record Date:** 17/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q3R14D102

Ticker: APE

Shares Voted: 175,156

| Proposal<br>Number | Proposal Text                             | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Nicholas George Politis as Director | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report               | Mgmt      | None     | For                  | For                 |
| 4                  | Approve Conditional Spill Resolution      | Mgmt      | Against  | Against              | Against             |

## **Enphase Energy, Inc.**

**Meeting Date:** 19/05/2021 **Record Date:** 22/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 29355A107

Ticker: ENPH

Shares Voted: 3,910

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Badrinarayanan Kothandaraman                    | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Joseph Malchow                                  | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Increase Authorized Common Stock                               | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Omnibus Stock Plan                                     | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |

## Fiserv, Inc.

**Meeting Date:** 19/05/2021 **Record Date:** 22/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 337738108

Ticker: FISV

Shares Voted: 68,864

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Frank J. Bisignano | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Alison Davis       | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Henrique de Castro | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# Fiserv, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.4                | Elect Director Harry F. DiSimone                               | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Dennis F. Lynch                                 | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Heidi G. Miller                                 | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Scott C. Nuttall                                | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Denis J. O'Leary                                | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Doyle R. Simons                                 | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Kevin M. Warren                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 3                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |

## **G8 Education Limited**

**Meeting Date:** 19/05/2021 **Record Date:** 17/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q3973C110

Ticker: GEM

**Shares Voted:** 2,120,549

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |  |  |
|--------------------|--|-----------|----------|----------------------|---------------------|--|--|
| 1                  | Approve Remuneration Report                            | Mgmt      | For      | For                  | For                 |  |  |
| 2                  | Elect Margaret Zabel as Director                       | Mgmt      | For      | Against              | Against             |  |  |
| 3                  | Approve Issuance of Performance Rights to Gary Carroll | Mgmt      | For      | For                  | For                 |  |  |
| 4                  | Approve the Amendments to the Company's Constitution   | Mgmt      | For      | Against              | Against             |  |  |
|                    |  |           |          |                      |                     |  |  |

Shares Voted: 298,580

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                            | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Margaret Zabel as Director                       | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Issuance of Performance Rights to Gary Carroll | Mgmt      | For      | For                  | For                 |
| 4                  | Approve the Amendments to the Company's Constitution   | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

## **Mondelez International, Inc.**

**Meeting Date:** 19/05/2021 **Record Date:** 12/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 609207105

Ticker: MDLZ

Shares Voted: 146,895

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Lewis W.K. Booth                                | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Charles E. Bunch                                | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Lois D. Juliber                                 | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Peter W. May                                    | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Jorge S. Mesquita                               | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Jane Hamilton Nielsen                           | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Fredric G. Reynolds                             | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Christiana S. Shi                               | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Patrick T. Siewert                              | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Michael A. Todman                               | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Jean-Francois M. L. van Boxmeer                 | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Dirk Van de Put                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 4                  | Consider Pay Disparity Between Executives and Other Employees  | SH        | Against  | Against              | Against             |

## **Neurocrine Biosciences, Inc.**

**Meeting Date:** 19/05/2021 **Record Date:** 23/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 64125C109

Ticker: NBIX

Shares Voted: 3,497

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director William H. Rastetter | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director George J. Morrow     | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Leslie V. Norwalk    | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Neurocrine Biosciences, Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

# **Ross Stores, Inc.**

**Meeting Date:** 19/05/2021 **Record Date:** 23/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 778296103

Ticker: ROST

Shares Voted: 36,638

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
|                    | Elect Director K. Gunnar Bjorklund                             | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Michael J. Bush                                 | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Sharon D. Garrett                               | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Michael J. Hartshorn                            | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Stephen D. Milligan                             | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Patricia H. Mueller                             | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director George P. Orban                                 | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Gregory L. Quesnel                              | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Larree M. Renda                                 | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Barbara Rentler                                 | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Doniel N. Sutton                                | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |

## Sampo Oyj

**Meeting Date:** 19/05/2021 **Record Date:** 06/05/2021

**Country:** Finland **Meeting Type:** Annual

**Primary Security ID:** X75653109

Ticker: SAMPO

Reporting Period: 01/07/2020 to 30/06/2021

# Sampo Oyj

Shares Voted: 57,753

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 7                  | Accept Financial Statements and Statutory Reports   | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Allocation of Income and Dividends of EUR 1.70 Per Share  | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Discharge of Board and President  | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Remuneration Report (Advisory Vote)   | Mgmt      | For      | Against              | Against             |
| 11                 | Approve Remuneration of Directors in the<br>Amount of EUR 184,000 for Chairman and EUR<br>95,000 for Other Directors; Approve<br>Remuneration for Committee Work                                  | Mgmt      | For      | For                  | For                 |
| 12                 | Fix Number of Directors at Eight  | Mgmt      | For      | For                  | For                 |
| 13                 | Reelect Christian Clausen, Fiona Clutterbuck,<br>Georg Ehrnrooth, Jannica Fagerholm, Johanna<br>Lamminen, Risto Murto and Bjorn Wahlroos as<br>Directors; Elect Markus Rauramo as New<br>Director | Mgmt      | For      | For                  | For                 |
| 14                 | Approve Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 15                 | Ratify Deloitte as Auditors   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorize Share Repurchase Program  | Mgmt      | For      | For                  | For                 |

# **SS&C Technologies Holdings, Inc.**

Meeting Date: 19/05/2021 Record Date: 22/03/2021

Country: USA
Meeting Type: Annual

Primary Security ID: 78467J100

Ticker: SSNC

Shares Voted: 26,311

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Elect Director Jonathan E. Michael                             | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **State Street Corporation**

**Meeting Date:** 19/05/2021 **Record Date:** 22/03/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 857477103

Ticker: STT

Shares Voted: 37,006

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Patrick de Saint-Aignan                         | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Marie A. Chandoha                               | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Amelia C. Fawcett                               | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director William C. Freda                                | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Sara Mathew                                     | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director William L. Meaney                               | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Ronald P. O'Hanley                              | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Sean O'Sullivan                                 | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Julio A. Portalatin                             | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director John B. Rhea                                    | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Richard P. Sergel                               | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Gregory L. Summe                                | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | Against             |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 4                  | Report on Racial Equity Audit                                  | SH        | Against  | For                  | For                 |

## **Sunnova Energy International Inc.**

**Meeting Date:** 19/05/2021 **Record Date:** 22/03/2021

Country: USA
Meeting Type: Annual

**Primary Security ID:** 86745K104

Ticker: NOVA

Shares Voted: 2,458

| Proposal<br>Number | Proposal Text                                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Nora Mead Brownell             | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Mark Longstreth                | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director C. Park Shaper                 | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# Verisk Analytics, Inc.

**Meeting Date:** 19/05/2021 **Record Date:** 22/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 92345Y106

Ticker: VRSK

Shares Voted: 16,718

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Samuel G. Liss                                  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Bruce E. Hansen                                 | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Therese M. Vaughan                              | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Kathleen A. Hogenson                            | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Omnibus Stock Plan                                     | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |

# **Vertex Pharmaceuticals Incorporated**

**Meeting Date:** 19/05/2021 **Record Date:** 25/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 92532F100

Ticker: VRTX

Shares Voted: 26,719

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Sangeeta Bhatia                                 | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Lloyd Carney                                    | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Alan Garber                                     | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Terrence Kearney                                | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Reshma Kewalramani                              | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Yuchun Lee                                      | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Jeffrey Leiden                                  | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Margaret McGlynn                                | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Diana McKenzie                                  | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Bruce Sachs                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Vertex Pharmaceuticals Incorporated**

| Proposal<br>Number | Proposal Text                                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 4                  | Report on Lobbying Payments and Policy             | SH        | Against  | For                  | For                 |
| 5                  | Report on Political Contributions and Expenditures | SH        | Against  | For                  | For                 |

# **Xcel Energy Inc.**

Meeting Date: 19/05/2021 Record Date: 22/03/2021 Country: USA Meeting Type: Annual **Primary Security ID:** 98389B100

Ticker: XEL

Shares Voted: 54,026

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Lynn Casey                                      | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Ben Fowke                                       | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Robert Frenzel                                  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Netha Johnson                                   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Patricia Kampling                               | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director George Kehl                                     | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Richard O'Brien                                 | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Charles Pardee                                  | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Christopher Policinski                          | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director James Prokopanko                                | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director David Westerlund                                | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Kim Williams                                    | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Timothy Wolf                                    | Mgmt      | For      | For                  | For                 |
| 1n                 | Elect Director Daniel Yohannes                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 4                  | Report on Costs and Benefits of Climate-Related Activities     | SH        | Against  | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

## **AIA Group Limited**

**Meeting Date:** 20/05/2021 **Record Date:** 13/05/2021

**Country:** Hong Kong **Meeting Type:** Annual

**Primary Security ID:** Y002A1105

Ticker: 1299

**Shares Voted:** 1,324,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                                    | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Lee Yuan Siong as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Chung-Kong Chow as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Elect John Barrie Harrison as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Lawrence Juen-Yee Lau as Director   | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Cesar Velasquez Purisima as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 9A                 | Approve Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights     | Mgmt      | For      | For                  | For                 |
| 9B                 | Authorize Repurchase of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

### Ameresco, Inc.

**Meeting Date:** 20/05/2021 **Record Date:** 30/03/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 02361E108

Ticker: AMRC

Shares Voted: 1,613

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director David J. Corrsin     | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director George P. Sakellaris | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Joseph W. Sutton     | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify RSM US LLP as Auditors       | Mgmt      | For      | For                  | For                 |

## **AvalonBay Communities, Inc.**

**Meeting Date:** 20/05/2021 **Record Date:** 25/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 053484101

Ticker: AVB

Reporting Period: 01/07/2020 to 30/06/2021

# **AvalonBay Communities, Inc.**

Shares Voted: 14,213

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Glyn F. Aeppel                                  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Terry S. Brown                                  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Alan B. Buckelew                                | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Ronald L. Havner, Jr.                           | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Stephen P. Hills                                | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Christopher B. Howard                           | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Richard J. Lieb                                 | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Nnenna Lynch                                    | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Timothy J. Naughton                             | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Benjamin W. Schall                              | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Susan Swanezy                                   | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director W. Edward Walter                                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | Abstain             |

# **CDW Corporation**

**Meeting Date:** 20/05/2021 **Record Date:** 24/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 12514G108

Ticker: CDW

Shares Voted: 17,360

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Virginia C. Addicott | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director James A. Bell        | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Lynda M. Clarizio    | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Paul J. Finnegan     | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Anthony R. Foxx      | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Christine A. Leahy   | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Sanjay Mehrotra      | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **CDW Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1h                 | Elect Director David W. Nelms  | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Joseph R. Swedish   | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Donna F. Zarcone  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation   | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditor  | Mgmt      | For      | For                  | For                 |
| 4                  | Eliminate Supermajority Vote Requirement   | Mgmt      | For      | For                  | For                 |
| 5                  | Amend Certificate of Incorporation to Eliminate<br>Obsolete Competition and Corporate<br>Opportunity Provision | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Omnibus Stock Plan   | Mgmt      | For      | For                  | For                 |
| 7                  | Amend Qualified Employee Stock Purchase Plan   | Mgmt      | For      | For                  | For                 |

Shares Voted: 14,491

| Proposal |  |           | ,        |            | Vote        |
|----------|--|-----------|----------|------------|-------------|
| Number   | Proposal Text  | Proponent | Mgmt Rec | Policy Rec | Instruction |
| 1a       | Elect Director Virginia C. Addicott  | Mgmt      | For      | For        | For         |
| 1b       | Elect Director James A. Bell   | Mgmt      | For      | For        | For         |
| 1c       | Elect Director Lynda M. Clarizio   | Mgmt      | For      | For        | For         |
| 1d       | Elect Director Paul J. Finnegan  | Mgmt      | For      | For        | For         |
| 1e       | Elect Director Anthony R. Foxx   | Mgmt      | For      | For        | For         |
| 1f       | Elect Director Christine A. Leahy  | Mgmt      | For      | For        | For         |
| 1g       | Elect Director Sanjay Mehrotra   | Mgmt      | For      | For        | For         |
| 1h       | Elect Director David W. Nelms  | Mgmt      | For      | For        | For         |
| 1i       | Elect Director Joseph R. Swedish   | Mgmt      | For      | For        | For         |
| 1j       | Elect Director Donna F. Zarcone  | Mgmt      | For      | For        | For         |
| 2        | Advisory Vote to Ratify Named Executive Officers' Compensation   | Mgmt      | For      | For        | For         |
| 3        | Ratify Ernst & Young LLP as Auditor  | Mgmt      | For      | For        | For         |
| 4        | Eliminate Supermajority Vote Requirement   | Mgmt      | For      | For        | For         |
| 5        | Amend Certificate of Incorporation to Eliminate<br>Obsolete Competition and Corporate<br>Opportunity Provision | Mgmt      | For      | For        | For         |
| 6        | Approve Omnibus Stock Plan   | Mgmt      | For      | For        | For         |

Reporting Period: 01/07/2020 to 30/06/2021

# **CDW Corporation**

| oposal<br>ımber | Proposal Text                                | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|-----------------|--|-----------|----------|----------------------|---------------------|
| 7               | Amend Qualified Employee Stock Purchase Plan | Mgmt      | For      | For                  | For                 |

### **Chubb Limited**

**Meeting Date:** 20/05/2021 **Record Date:** 26/03/2021

**Country:** Switzerland **Meeting Type:** Annual

Primary Security ID: H1467J104

Ticker: CB

Shares Voted: 47,967

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2.1                | Allocate Disposable Profit   | Mgmt      | For      | For                  | For                 |
| 2.2                | Approve Dividend Distribution From Legal<br>Reserves Through Capital Contributions Reserve<br>Subaccount | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Board of Directors  | Mgmt      | For      | For                  | For                 |
| 4.1                | Ratify PricewaterhouseCoopers AG (Zurich) as Statutory Auditor   | Mgmt      | For      | For                  | For                 |
| 4.2                | Ratify PricewaterhouseCoopers LLP (United States) as Independent Registered Accounting Firm              | Mgmt      | For      | For                  | For                 |
| 4.3                | Ratify BDO AG (Zurich) as Special Audit Firm   | Mgmt      | For      | For                  | For                 |
| 5.1                | Elect Director Evan G. Greenberg   | Mgmt      | For      | For                  | For                 |
| 5.2                | Elect Director Michael P. Connors  | Mgmt      | For      | For                  | For                 |
| 5.3                | Elect Director Michael G. Atieh  | Mgmt      | For      | For                  | For                 |
| 5.4                | Elect Director Sheila P. Burke   | Mgmt      | For      | For                  | For                 |
| 5.5                | Elect Director Mary Cirillo  | Mgmt      | For      | For                  | For                 |
| 5.6                | Elect Director Robert J. Hugin   | Mgmt      | For      | For                  | For                 |
| 5.7                | Elect Director Robert W. Scully  | Mgmt      | For      | For                  | For                 |
| 5.8                | Elect Director Eugene B. Shanks, Jr.   | Mgmt      | For      | For                  | For                 |
| 5.9                | Elect Director Theodore E. Shasta  | Mgmt      | For      | For                  | For                 |
| 5.10               | Elect Director David H. Sidwell  | Mgmt      | For      | For                  | For                 |
| 5.11               | Elect Director Olivier Steimer   | Mgmt      | For      | For                  | For                 |
| 5.12               | Elect Director Luis Tellez   | Mgmt      | For      | For                  | For                 |
| 5.13               | Elect Director Frances F. Townsend   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Chubb Limited**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 6                  | Elect Evan G. Greenberg as Board Chairman  | Mgmt      | For      | Against              | Against             |
| 7.1                | Elect Michael P. Connors as Member of the Compensation Committee   | Mgmt      | For      | For                  | For                 |
| 7.2                | Elect Mary Cirillo as Member of the Compensation Committee   | Mgmt      | For      | For                  | For                 |
| 7.3                | Elect Frances F. Townsend as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 8                  | Designate Homburger AG as Independent Proxy  | Mgmt      | For      | For                  | For                 |
| 9                  | Amend Omnibus Stock Plan   | Mgmt      | For      | For                  | For                 |
| 10                 | Approve CHF 86,557,222.50 Reduction in Share Capital via Cancellation in Nominal Value of CHF 24.15 each | Mgmt      | For      | For                  | For                 |
| 11.1               | Approve the Maximum Aggregate Remuneration of Directors  | Mgmt      | For      | For                  | For                 |
| 11.2               | Approve Remuneration of Executive<br>Management in the Amount of USD 48 Million<br>for Fiscal 2022       | Mgmt      | For      | For                  | For                 |
| 12                 | Advisory Vote to Ratify Named Executive Officers' Compensation   | Mgmt      | For      | For                  | Abstain             |
| 13                 | Transact Other Business (Voting)   | Mgmt      | For      | Against              | Against             |

## DexCom, Inc.

**Meeting Date:** 20/05/2021 **Record Date:** 31/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 252131107

Ticker: DXCM

Shares Voted: 9,885

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Kevin R. Sayer                                  | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Nicholas Augustinos                             | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Bridgette P. Heller                             | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Declassify the Board of Directors                              | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Equitable Holdings, Inc.**

**Meeting Date:** 20/05/2021 **Record Date:** 23/03/2021

Country: USA

SA **Primary Security ID:** 29452E101

Meeting Type: Annual

Ticker: EQH

Shares Voted: 49,258

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Francis A. Hondal                               | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Daniel G. Kaye                                  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Joan Lamm-Tennant                               | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Kristi A. Matus                                 | Mgmt      | For      | Against              | Against             |
| 1e                 | Elect Director Ramon de Oliveira                               | Mgmt      | For      | For                  | For                 |
| <b>1</b> f         | Elect Director Mark Pearson                                    | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Bertram L. Scott                                | Mgmt      | For      | Against              | Against             |
| 1h                 | Elect Director George Stansfield                               | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Charles G.T. Stonehill                          | Mgmt      | For      | Against              | Against             |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **Galaxy Resources Limited**

**Meeting Date:** 20/05/2021 **Record Date:** 18/05/2021

Country: Australia Meeting Type: Annual Primary Security ID: Q39596194

Ticker: GXY

Shares Voted: 967,621

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | Against             |
| 2                  | Elect Florencia Heredia as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Past Issuance of Shares to Institutional,<br>Professional and Sophisticated Investors | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Performance Rights to Martin Rowley                                      | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Performance Rights to John Turner  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Performance Rights to Peter Bacchus                                      | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Galaxy Resources Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 7                  | Approve Issuance of Performance Rights to Alan Fitzpatrick  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Issuance of Performance Rights to Florencia Heredia | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Issuance of Performance Rights to Anthony Tse       | Mgmt      | For      | For                  | Against             |

Shares Voted: 575,628

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Florencia Heredia as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Past Issuance of Shares to Institutional,<br>Professional and Sophisticated Investors | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Performance Rights to Martin Rowley                                      | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Performance Rights to John Turner  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Performance Rights to Peter Bacchus                                      | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Issuance of Performance Rights to Alan Fitzpatrick                                   | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Issuance of Performance Rights to Florencia Heredia                                  | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Issuance of Performance Rights to Anthony Tse  | Mgmt      | For      | For                  | For                 |

## **Host Hotels & Resorts, Inc.**

**Meeting Date:** 20/05/2021 **Record Date:** 23/03/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 44107P104

Ticker: HST

Shares Voted: 72,987

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Mary L. Baglivo     | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Richard E. Marriott | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Sandeep L. Mathrani | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director John B. Morse, Jr.  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Host Hotels & Resorts, Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.5                | Elect Director Mary Hogan Preusse                              | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Walter C. Rakowich                              | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director James F. Risoleo                                | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Gordon H. Smith                                 | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director A. William Stein                                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditor                                     | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Qualified Employee Stock Purchase Plan                   | Mgmt      | For      | For                  | For                 |

# **Legal & General Group Plc**

**Meeting Date:** 20/05/2021 **Record Date:** 18/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G54404127

Ticker: LGEN

Shares Voted: 625,204

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend                               | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Ric Lewis as Director                          | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Nilufer von Bismarck as Director               | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Henrietta Baldock as Director               | Mgmt      | For      | Against              | Against             |
| 6                  | Re-elect Philip Broadley as Director                 | Mgmt      | For      | Against              | Against             |
| 7                  | Re-elect Jeff Davies as Director                     | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Sir John Kingman as Director                | Mgmt      | For      | Against              | Against             |
| 9                  | Re-elect Lesley Knox as Director                     | Mgmt      | For      | Against              | Against             |
| 10                 | Re-elect George Lewis as Director                    | Mgmt      | For      | Against              | Against             |
| 11                 | Re-elect Toby Strauss as Director                    | Mgmt      | For      | Against              | Against             |
| 12                 | Re-elect Nigel Wilson as Director                    | Mgmt      | For      | For                  | For                 |
| 13                 | Reappoint KPMG LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise Board to Fix Remuneration of Auditors      | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Legal & General Group Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 15                 | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity in Connection with the Issue of Contingent Convertible Securities                              | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity without Pre-emptive Rights in Connection with the Issue of Contingent Convertible Securities   | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 23                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |
| 24                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

Shares Voted: 568,863

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend                               | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Ric Lewis as Director                          | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Nilufer von Bismarck as Director               | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Henrietta Baldock as Director               | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Philip Broadley as Director                 | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Jeff Davies as Director                     | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Sir John Kingman as Director                | Mgmt      | For      | Against              | Against             |
| 9                  | Re-elect Lesley Knox as Director                     | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect George Lewis as Director                    | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Toby Strauss as Director                    | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Nigel Wilson as Director                    | Mgmt      | For      | For                  | For                 |
| 13                 | Reappoint KPMG LLP as Auditors                       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Legal & General Group Pic**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 14                 | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 15                 | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity in Connection with the Issue of Contingent Convertible Securities                              | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity without Pre-emptive Rights in Connection with the Issue of Contingent Convertible Securities   | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 23                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |
| 24                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

# **Lloyds Banking Group Plc**

**Meeting Date:** 20/05/2021 **Record Date:** 18/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G5533W248

Ticker: LLOY

**Shares Voted:** 6,753,654

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Robin Budenberg as Director                    | Mgmt      | For      | For                  | For                 |
| 3                  | Re-elect William Chalmers as Director                | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Alan Dickinson as Director                  | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Sarah Legg as Director                      | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Lord Lupton as Director                     | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Amanda Mackenzie as Director                | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Nick Prettejohn as Director                 | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Lloyds Banking Group Plc**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 9                  | Re-elect Stuart Sinclair as Director  | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Catherine Woods as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 13                 | Appoint Deloitte LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise the Audit Committee to Fix Remuneration of Auditors   | Mgmt      | For      | For                  | For                 |
| 15                 | Approve Deferred Bonus Plan   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise EU Political Donations and Expenditure  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity   | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity in Relation to the Issue of Regulatory Capital Convertible Instruments                                  | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive Rights  | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment          | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity without Pre-Emptive<br>Rights in Relation to the Issue of Regulatory<br>Capital Convertible Instruments | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Market Purchase of Ordinary Shares  | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Market Purchase of Preference Shares  | Mgmt      | For      | For                  | For                 |
| 24                 | Adopt New Articles of Association   | Mgmt      | For      | For                  | For                 |
| 25                 | Authorise the Company to Call General Meeting with Two Weeks' Notice  | Mgmt      | For      | For                  | For                 |

### **Next Plc**

**Meeting Date:** 20/05/2021 **Record Date:** 18/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

**Primary Security ID:** G6500M106

Ticker: NXT

Shares Voted: 12,214

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Next Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3                  | Elect Tom Hall as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Jonathan Bewes as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Tristia Harrison as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Amanda James as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Richard Papp as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Michael Roney as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Jane Shields as Director  | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Dame Dianne Thompson as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Lord Wolfson as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Reappoint PricewaterhouseCoopers LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 13                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Off-Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 19                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

### **Nitro Software Ltd.**

**Meeting Date:** 20/05/2021 **Record Date:** 18/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q68185109

Ticker: NTO

Shares Voted: 176,566

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report         | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Lisa Ray Hennessy as Director | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Sarah Morgan as Director      | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Nitro Software Ltd.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 4                  | Approve Issuance of Performance Shares to Samuel Chandler  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Performance Shares to Gina O'Reilly  | Mgmt      | For      | For                  | For                 |
| 6                  | Ratify Past Issuance of Shares to Solium<br>Nominees (Australia) Pty Ltd   | Mgmt      | None     | For                  | For                 |
| 7                  | Approve Employee Equity Incentive Plan   | Mgmt      | None     | For                  | For                 |
| 8                  | Approve Amendment of the Exercise Price of United States Dollar Denominated Options to Australian Dollar Denominated Options | Mgmt      | None     | For                  | For                 |

## **NN Group NV**

**Meeting Date:** 20/05/2021 **Record Date:** 22/04/2021

**Country:** Netherlands **Meeting Type:** Annual

Primary Security ID: N64038107

Ticker: NN

Shares Voted: 34,618

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 4.A                | Adopt Financial Statements and Statutory Reports   | Mgmt      | For      | For                  | For                 |
| 4.C                | Approve Dividends of EUR 2.33 Per Share  | Mgmt      | For      | For                  | For                 |
| 5.A                | Approve Discharge of Executive Board   | Mgmt      | For      | For                  | For                 |
| 5.B                | Approve Discharge of Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 6.A                | Elect Cecilia Reyes to Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 6.B                | Elect Rob Lelieveld to Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 6.C                | Elect Inga Beale to Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Increase Maximum Ratio Between Fixed and Variable Components of Remuneration                             | Mgmt      | For      | For                  | For                 |
| 8.A.1              | Grant Board Authority to Issue Ordinary Shares<br>Up To 10 Percent of Issued Capital                             | Mgmt      | For      | For                  | For                 |
| 8.A.2              | Authorize Board to Exclude Preemptive Rights from Share Issuances Under Item 8.A.1                               | Mgmt      | For      | For                  | For                 |
| 8.B                | Grant Board Authority to Issue Shares Up To 20<br>Percent of Issued Capital in Connection with a<br>Rights Issue | Mgmt      | For      | For                  | For                 |
| 9                  | Authorize Repurchase of Up to 10 Percent of Issued Share Capital   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **NN Group NV**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 10                 | Approve Reduction in Share Capital through Cancellation of Shares | Mgmt      | For      | For                  | For                 |

## **ON Semiconductor Corporation**

**Meeting Date:** 20/05/2021 **Record Date:** 23/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 682189105

Ticker: ON

Shares Voted: 49,098

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Atsushi Abe                                     | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Alan Campbell                                   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Susan K. Carter                                 | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Thomas L. Deitrich                              | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Gilles Delfassy                                 | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Hassane S. El-Khoury                            | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Bruce E. Kiddoo                                 | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Paul A. Mascarenas                              | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Gregory L. Waters                               | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Christine Y. Yan                                | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Qualified Employee Stock Purchase Plan                   | Mgmt      | For      | For                  | For                 |
| 5                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |

### **Shaw Communications Inc.**

**Meeting Date:** 20/05/2021 **Record Date:** 06/04/2021

**Country:** Canada **Meeting Type:** Special

Primary Security ID: 82028K200

Ticker: SJR.B

Reporting Period: 01/07/2020 to 30/06/2021

#### **Shaw Communications Inc.**

Shares Voted: 52,612

| Proposal<br>Number | Proposal Text                                | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Acquisition by Rogers Communications | Mgmt      | For      | For                  | For                 |

# **Smart Metering Systems Plc**

**Meeting Date:** 20/05/2021 **Record Date:** 18/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G82373104

Ticker: SMS

Shares Voted: 15,322

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                 | Mgmt      | For      | For                  | For                 |
| 2                  | Re-elect Miriam Greenwood as Director                                | Mgmt      | For      | Against              | Against             |
| 3                  | Re-elect Graeme Bissett as Director                                  | Mgmt      | For      | Against              | Against             |
| 4                  | Re-elect Jamie Richards as Director                                  | Mgmt      | For      | Against              | Against             |
| 5                  | Re-elect Ruth Leak as Director                                       | Mgmt      | For      | Against              | Against             |
| 6                  | Re-elect Alan Foy as Director  | Mgmt      | For      | Against              | Against             |
| 7                  | Re-elect Timothy Mortlock as Director                                | Mgmt      | For      | For                  | For                 |
| 8                  | Elect Gavin Urwin as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 10                 | Reappoint Ernst & Young LLP as Auditors                              | Mgmt      | For      | For                  | For                 |
| 11                 | Authorise Board to Fix Remuneration of Auditors                      | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 13                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise Issue of Equity without Pre-emptive Rights                 | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt      | For      | For                  | For                 |

# **Synchrony Financial**

Meeting Date: 20/05/2021 Record Date: 25/03/2021 Country: USA Meeting Type: Annual Primary Security ID: 87165B103

Ticker: SYF

Reporting Period: 01/07/2020 to 30/06/2021

# **Synchrony Financial**

Shares Voted: 57,683

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Margaret M. Keane                               | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Fernando Aguirre                                | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Paget L. Alves                                  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Arthur W. Coviello, Jr.                         | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Brian D. Doubles                                | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director William W. Graylin                              | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Roy A. Guthrie                                  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Jeffrey G. Naylor                               | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director P.W. "Bill" Parker                              | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Laurel J. Richie                                | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Olympia J. Snowe                                | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Ellen M. Zane                                   | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote on Say on Pay Frequency                          | Mgmt      | One Year | One Year             | One Year            |
| 4                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |

# **Tencent Holdings Limited**

**Meeting Date:** 20/05/2021 **Record Date:** 13/05/2021

**Country:** Cayman Islands **Meeting Type:** Annual

**Primary Security ID:** G87572163

Ticker: 700

Shares Voted: 677,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports                                       | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Yang Siu Shun as Director   | Mgmt      | For      | For                  | For                 |
| 3b                 | Authorize Board to Fix Remuneration of Directors  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Tencent Holdings Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 5                  | Approve Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights | Mgmt      | For      | Against              | Against             |
| 6                  | Authorize Repurchase of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
| 7                  | Authorize Reissuance of Repurchased Shares  | Mgmt      | For      | Against              | Against             |

# **Tencent Holdings Limited**

**Meeting Date:** 20/05/2021 **Record Date:** 13/05/2021

**Country:** Cayman Islands **Meeting Type:** Special

Primary Security ID: G87572163

Ticker: 700

Shares Voted: 677,000

| Proposal<br>Number |   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Adopt Share Option Plan of China Literature Limited | Mgmt      | For      | Against              | Against             |

### The Home Depot, Inc.

**Meeting Date:** 20/05/2021 **Record Date:** 22/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 437076102

Ticker: HD

Shares Voted: 105,818

| Proposal<br>Number | Proposal Text                        | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Gerard J. Arpey       | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Ari Bousbib           | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Jeffery H. Boyd       | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Gregory D. Brenneman  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director J. Frank Brown        | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Albert P. Carey       | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Helena B. Foulkes     | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Linda R. Gooden       | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Wayne M. Hewett       | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Manuel Kadre          | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Stephanie C. Linnartz | Mgmt      | For      | For                  | For                 |
|                    |                                      |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

# The Home Depot, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 11                 | Elect Director Craig A. Menear                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Shareholder Written Consent Provisions                   | SH        | Against  | For                  | For                 |
| 5                  | Report on Political Contributions Congruency<br>Analysis       | SH        | Against  | For                  | For                 |
| 6                  | Report on Prison Labor in the Supply Chain                     | SH        | Against  | For                  | For                 |

#### **ADBRI Ltd.**

**Meeting Date:** 21/05/2021 **Record Date:** 19/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q0103K103

Ticker: ABC

Shares Voted: 490,756

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Elect Raymond Barro as Director | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Rhonda Barro as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Remuneration Report     | Mgmt      | For      | For                  | For                 |

# Cable One, Inc.

**Meeting Date:** 21/05/2021 **Record Date:** 05/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 12685J105

Ticker: CABO

Shares Voted: 440

| Proposal<br>Number | Proposal Text                                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Thomas S. Gayner               | Mgmt      | For      | Against              | Against             |
| 1b                 | Elect Director Deborah J. Kissire             | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Thomas O. Might                | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Kristine E. Miller             | Mgmt      | For      | Against              | Against             |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# Cable One, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

### **Croda International Plc**

**Meeting Date:** 21/05/2021 **Record Date:** 19/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G25536155

Ticker: CRDA

Shares Voted: 13,013

| Proposal Text  | Proponent   | Mgmt Rec  | Voting<br>Policy Rec  | Vote<br>Instruction   |
|--|---|---|---|---|
| Accept Financial Statements and Statutory<br>Reports   | Mgmt  | For   | For   | For   |
| Approve Remuneration Report  | Mgmt  | For   | For   | For   |
| Approve Final Dividend   | Mgmt  | For   | For   | For   |
| Re-elect Roberto Cirillo as Director   | Mgmt  | For   | For   | For   |
| Re-elect Jacqui Ferguson as Director   | Mgmt  | For   | For   | For   |
| Re-elect Steve Foots as Director   | Mgmt  | For   | For   | For   |
| Re-elect Anita Frew as Director  | Mgmt  | For   | For   | For   |
| Re-elect Helena Ganczakowski as Director   | Mgmt  | For   | For   | For   |
| Re-elect Keith Layden as Director  | Mgmt  | For   | For   | For   |
| Re-elect Jez Maiden as Director  | Mgmt  | For   | For   | For   |
| Re-elect John Ramsay as Director   | Mgmt  | For   | For   | For   |
| Reappoint KPMG LLP as Auditors   | Mgmt  | For   | For   | For   |
| Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt  | For   | For   | For   |
| Authorise UK Political Donations and Expenditure   | Mgmt  | For   | For   | For   |
| Authorise Issue of Equity  | Mgmt  | For   | For   | For   |
| Authorise Issue of Equity without Pre-emptive Rights   | Mgmt  | For   | For   | For   |
| Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt  | For   | For   | For   |
| Authorise Market Purchase of Ordinary Shares   | Mgmt  | For   | For   | For   |
| Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt  | For   | For   | For   |
|  | Accept Financial Statements and Statutory Reports  Approve Remuneration Report  Approve Final Dividend  Re-elect Roberto Cirillo as Director  Re-elect Jacqui Ferguson as Director  Re-elect Steve Foots as Director  Re-elect Anita Frew as Director  Re-elect Helena Ganczakowski as Director  Re-elect Keith Layden as Director  Re-elect Jez Maiden as Director  Re-elect John Ramsay as Director  Reappoint KPMG LLP as Auditors  Authorise the Audit Committee to Fix Remuneration of Auditors  Authorise UK Political Donations and Expenditure  Authorise Issue of Equity without Pre-emptive Rights  Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment  Authorise Market Purchase of Ordinary Shares  Authorise the Company to Call General Meeting | Accept Financial Statements and Statutory Reports  Approve Remuneration Report  Approve Final Dividend  Mgmt  Re-elect Roberto Cirillo as Director  Re-elect Jacqui Ferguson as Director  Mgmt  Re-elect Steve Foots as Director  Mgmt  Re-elect Anita Frew as Director  Mgmt  Re-elect Helena Ganczakowski as Director  Mgmt  Re-elect Layden as Director  Mgmt  Re-elect Jez Maiden as Director  Mgmt  Re-elect John Ramsay as Director  Mgmt  Reappoint KPMG LLP as Auditors  Authorise the Audit Committee to Fix Remuneration of Auditors  Authorise UK Political Donations and Expenditure  Authorise Issue of Equity without Pre-emptive Rights  Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment  Authorise Market Purchase of Ordinary Shares  Mgmt  Mgmt  Authorise the Company to Call General Meeting  Mgmt | Accept Financial Statements and Statutory Reports  Approve Remuneration Report  Approve Final Dividend  Approve Final Dividend  Re-elect Roberto Cirillo as Director  Re-elect Jacqui Ferguson as Director  Re-elect Steve Foots as Director  Re-elect Anita Frew as Director  Re-elect Helena Ganczakowski as Director  Re-elect Lizyden as Director  Re-elect Jez Maiden as Director  Re-elect John Ramsay as Director  Re-elect John Ramsay as Director  Mgmt  For  Authorise the Audit Committee to Fix  Remuneration of Auditors  Authorise UK Political Donations and  Expenditure  Authorise Issue of Equity without Pre-emptive  Rights  Mgmt  For  Authorise Issue of Equity without Pre-emptive  Rights in Connection with an Acquisition or  Other Capital Investment  Authorise Market Purchase of Ordinary Shares  Mgmt  For | Proposal Text         Proposal         Mgmt Rec         Policy Rec           Accept Financial Statements and Statutory Reports         Mgmt         For         For           Approve Remuneration Report         Mgmt         For         For           Approve Final Dividend         Mgmt         For         For           Re-elect Roberto Cirillo as Director         Mgmt         For         For           Re-elect Jacqui Ferguson as Director         Mgmt         For         For           Re-elect Steve Foots as Director         Mgmt         For         For           Re-elect Anita Frew as Director         Mgmt         For         For           Re-elect Helena Ganczakowski as Director         Mgmt         For         For           Re-elect Lyz Maiden as Director         Mgmt         For         For           Re-elect John Ramsay as Director         Mgmt         For         For           Re-elect John Ramsay as Director         Mgmt         For         For           Re-elect John Ramsay as Director         Mgmt         For         For           Reappoint KPMG LLP as Auditors         Mgmt         For         For           Authorise the Audit Committee to Fix Remuneration of Auditors         Mgmt         For         For |

Reporting Period: 01/07/2020 to 30/06/2021

### **Croda International Plc**

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 20                 | Adopt New Articles of Association | Mgmt      | For      | For                  | For                 |

## **Crown Castle International Corp.**

**Meeting Date:** 21/05/2021 **Record Date:** 22/03/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 22822V101

Ticker: CCI

Shares Voted: 45,992

| Proposal<br>Number | Proposal Text   | Proponent  | Mgmt Rec    | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|------------|-------------|----------------------|---------------------|
|                    | Troposal Taxe   | - roponene | - Ignic Noc | · oney nee           |                     |
| 1a                 | Elect Director P. Robert Bartolo                                  | Mgmt       | For         | For                  | For                 |
| 1b                 | Elect Director Jay A. Brown                                       | Mgmt       | For         | For                  | For                 |
| 1c                 | Elect Director Cindy Christy                                      | Mgmt       | For         | For                  | For                 |
| 1d                 | Elect Director Ari Q. Fitzgerald                                  | Mgmt       | For         | For                  | For                 |
| 1e                 | Elect Director Andrea J. Goldsmith                                | Mgmt       | For         | For                  | For                 |
| 1f                 | Elect Director Lee W. Hogan                                       | Mgmt       | For         | For                  | For                 |
| 1g                 | Elect Director Tammy K. Jones                                     | Mgmt       | For         | For                  | For                 |
| 1h                 | Elect Director J. Landis Martin                                   | Mgmt       | For         | For                  | For                 |
| 1i                 | Elect Director Anthony J. Melone                                  | Mgmt       | For         | For                  | For                 |
| 1j                 | Elect Director W. Benjamin Moreland                               | Mgmt       | For         | For                  | For                 |
| 1k                 | Elect Director Kevin A. Stephens                                  | Mgmt       | For         | For                  | For                 |
| 11                 | Elect Director Matthew Thornton, III                              | Mgmt       | For         | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt       | For         | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive<br>Officers' Compensation | Mgmt       | For         | For                  | For                 |
| 4                  | Advisory Vote on Say on Pay Frequency                             | Mgmt       | One Year    | One Year             | One Year            |

#### **Dicker Data Ltd.**

**Meeting Date:** 21/05/2021 **Record Date:** 19/05/2021

Country: Australia
Meeting Type: Annual

Primary Security ID: Q318AK102

Ticker: DDR

Reporting Period: 01/07/2020 to 30/06/2021

## **Dicker Data Ltd.**

Shares Voted: 85,878

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                         | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Mary Stojcevski as Director                   | Mgmt      | For      | Against              | Against             |
| 4                  | Elect Vladimir Mitnovetski as Director              | Mgmt      | For      | Against              | Against             |
| 5                  | Elect Kim Stewart-Smith as Director                 | Mgmt      | For      | For                  | For                 |
| 6                  | Appoint BDO Audit Pty Ltd as Auditor of the Company | Mgmt      | For      | For                  | For                 |

### **EssilorLuxottica SA**

**Meeting Date:** 21/05/2021 **Record Date:** 19/05/2021

Country: France

Meeting Type: Annual/Special

**Primary Security ID:** F31665106

Ticker: EL

Shares Voted: 31,214

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Statutory<br>Reports  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidated Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation of Income and Dividends of EUR 2.23 per Share   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Auditors' Special Report on<br>Related-Party Transactions  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Compensation Report of Corporate Officers  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Compensation of Leonardo Del<br>Vecchio, Chairman and CEO Until Dec. 17, 2020<br>and Chairman of the Board Since Dec. 17, 2020               | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Compensation of Hubert Sagnieres,<br>Vice-Chairman and Vice-CEO Until Dec. 17, 2020<br>and Vice-Chairman of the Board Since Dec. 17,<br>2020 | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Remuneration Policy of Corporate<br>Officers, Since Jan. 1, 2020 Until the General<br>Assembly   | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Remuneration Policy of Corporate<br>Officers, Since the General Assembly   | Mgmt      | For      | Against              | Against             |
| 10                 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **EssilorLuxottica SA**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 11                 | Amend Bylaws to Comply with Legal Changes   | Mgmt      | For      | For                  | For                 |
| 12                 | Amend Article 13 of Bylaws Re: Directors<br>Length of Term  | Mgmt      | For      | For                  | For                 |
| 13                 | Amend Article 15, 16 and 23 of Bylaws Re:<br>Board Deliberation   | Mgmt      | For      | For                  | For                 |
| 14                 | Authorize Decrease in Share Capital via Cancellation of Repurchased Shares  | Mgmt      | For      | For                  | For                 |
| 15                 | Authorize up to 2.5 Percent of Issued Capital for Use in Restricted Stock Plans   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorize Issuance of Equity or Equity-Linked<br>Securities with Preemptive Rights up to 5<br>Percent of Issued Capital | Mgmt      | For      | For                  | For                 |
| 17                 | Authorize Capitalization of Reserves of Up to EUR 500 Million for Bonus Issue or Increase in Par Value                  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans  | Mgmt      | For      | For                  | For                 |
| 19                 | Reelect Leonardo Del Vecchio as Director  | Mgmt      | For      | For                  | For                 |
| 20                 | Reelect Romolo Bardin as Director   | Mgmt      | For      | For                  | For                 |
| 21                 | Reelect Juliette Favre as Director  | Mgmt      | For      | For                  | For                 |
| 22                 | Reelect Francesco Milleri as Director   | Mgmt      | For      | For                  | For                 |
| 23                 | Reelect Paul du Saillant as Director  | Mgmt      | For      | For                  | For                 |
| 24                 | Reelect Cristina Scocchia as Director   | Mgmt      | For      | For                  | For                 |
| 25                 | Elect Jean-Luc Biamonti as Director   | Mgmt      | For      | For                  | For                 |
| 26                 | Elect Marie-Christine Coisne as Director  | Mgmt      | For      | For                  | For                 |
| 27                 | Elect Jose Gonzalo as Director  | Mgmt      | For      | For                  | For                 |
| 28                 | Elect Swati Piramal as Director   | Mgmt      | For      | For                  | For                 |
| 29                 | Elect Nathalie von Siemens as Director  | Mgmt      | For      | For                  | For                 |
| 30                 | Elect Andrea Zappia as Director   | Mgmt      | For      | For                  | For                 |
| 31                 | Directors Length of Term, Pursuant Item 12  | Mgmt      | For      | For                  | For                 |
| 32                 | Authorize Filing of Required Documents/Other Formalities  | Mgmt      | For      | For                  | For                 |

# **Kingdee International Software Group Company Limited**

Meeting Date: 21/05/2021Country: Cayman IslandsPrimary Security ID: G52568147

Record Date: 14/05/2021 Meeting Type: Annual Ticker: 268

Reporting Period: 01/07/2020 to 30/06/2021

# **Kingdee International Software Group Company Limited**

Shares Voted: 575,000

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                                     | Mgmt      | For      | For                  | For                 |
| 2A                 | Elect Xu Shao Chun as Director   | Mgmt      | For      | For                  | For                 |
| 2B                 | Elect Dong Ming Zhu as Director  | Mgmt      | For      | Against              | Against             |
| 2C                 | Elect Zhou Bo Wen as Director  | Mgmt      | For      | Against              | Against             |
| 2D                 | Elect Ni Zheng Dong as Director  | Mgmt      | For      | Against              | Against             |
| 3                  | Authorize Board to Fix Remuneration of Directors   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration | Mgmt      | For      | Against              | Against             |
| 5A                 | Approve Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights      | Mgmt      | For      | Against              | Against             |
| 5B                 | Authorize Repurchase of Issued Share Capital   | Mgmt      | For      | For                  | For                 |
| 5C                 | Authorize Reissuance of Repurchased Shares   | Mgmt      | For      | Against              | Against             |

## **ManTech International Corporation**

**Meeting Date:** 21/05/2021 **Record Date:** 26/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 564563104

Ticker: MANT

Shares Voted: 28,736

| Proposal<br>Number | Proposal Text                            | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director George J. Pedersen        | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Kevin M. Phillips         | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Richard L. Armitage       | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Mary K. Bush              | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Barry G. Campbell         | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Richard J. Kerr           | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Peter B. LaMontagne       | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Kenneth A. Minihan        | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Deloitte & Touche LLP as Auditors | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Quest Diagnostics Incorporated**

Meeting Date: 21/05/2021 Record Date: 22/03/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 74834L100

Ticker: DGX

Shares Voted: 6,164

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Vicky B. Gregg                                  | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Wright L. Lassiter, III                         | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Timothy L. Main                                 | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Denise M. Morrison                              | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Gary M. Pfeiffer                                | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Timothy M. Ring                                 | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Stephen H. Rusckowski                           | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Helen I. Torley                                 | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Gail R. Wilensky                                | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 4                  | Provide Right to Act by Written Consent                        | SH        | Against  | For                  | For                 |

### **Sydney Airport**

**Meeting Date:** 21/05/2021 **Record Date:** 19/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q8808P103

Ticker: SYD

**Shares Voted:** 2,571,313

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                         | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Grant Fenn as Director                        | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Abi Cleland as Director                       | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of 407,727 Rights to Geoff<br>Culbert | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of 182,927 Rights to Geoff<br>Culbert | Mgmt      | For      | For                  | For                 |
| 1                  | Elect Russell Balding as Director                   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Alumina Limited**

**Meeting Date:** 25/05/2021 **Record Date:** 21/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q0269M109

Ticker: AWC

**Shares Voted:** 3,038,147

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Approve Remuneration Report                                 | Mgmt      | For      | For                  | For                 |
| <b>4</b> a         | Elect Deborah O'Toole as Director                           | Mgmt      | For      | For                  | For                 |
| 4b                 | Elect John Bevan as Director                                | Mgmt      | For      | For                  | For                 |
| 4c                 | Elect Shirley In't Veld as Director                         | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Reinsertion of the Proportional Takeover Provisions | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Grant of Performance Rights to Mike Ferraro         | Mgmt      | For      | For                  | For                 |

### **BioMarin Pharmaceutical Inc.**

**Meeting Date:** 25/05/2021 **Record Date:** 29/03/2021

Country: USA Meeting Type: Annual Primary Security ID: 09061G101

Ticker: BMRN

Shares Voted: 6,517

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Jean-Jacques Bienaime                           | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Elizabeth McKee Anderson                        | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Willard Dere                                    | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Michael Grey                                    | Mgmt      | For      | Withhold             | Withhold            |
| 1.5                | Elect Director Elaine J. Heron                                 | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Maykin Ho                                       | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Robert J. Hombach                               | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director V. Bryan Lawlis                                 | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Richard A. Meier                                | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director David E.I. Pyott                                | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Dennis J. Slamon                                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **BioMarin Pharmaceutical Inc.**

| Proposal<br>Number |                          | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------|-----------|----------|----------------------|---------------------|
| 4                  | Amend Omnibus Stock Plan | Mgmt      | For      | For                  | For                 |

# **China Petroleum & Chemical Corp.**

Meeting Date: 25/05/2021 Record Date: 23/04/2021 Country: China Meeting Type: Annual **Primary Security ID:** Y15010104

Ticker: 386

**Shares Voted:** 3,981,015

| Proposal Text  | Proponent  | Mgmt Rec  | Voting<br>Policy Rec   | Vote<br>Instruction  |
|--|--|---|--|--|
| Approve Report of the Board of Directors of Sinopec Corp. (including the Report of the Board of Directors of for 2020)                                   | Mgmt   | For   | For  | For  |
| Approve Report of the Board of Supervisors of Sinopec Corp. (including the Report of the Board of Supervisors for 2020)                                  | Mgmt   | For   | For  | For  |
| Approve 2020 Audited Financial Reports of Sinopec Corp.  | Mgmt   | For   | For  | For  |
| Approve 2020 Profit Distribution Plan of Sinopec Corp.   | Mgmt   | For   | For  | For  |
| Approve 2021 Interim Profit Distribution Plan of Sinopec Corp.   | Mgmt   | For   | For  | For  |
| Approve KPMG Huazhen (Special General<br>Partnership) and KPMG as External Auditors of<br>Sinopec Corp. and Authorize Board to Fix Their<br>Remuneration | Mgmt   | For   | For  | For  |
| Authorize Board to Determine the Proposed Plan for Issuance of Debt Financing Instruments  | Mgmt   | For   | Against  | Against  |
| Approve Grant to the Board a General Mandate to Issue New Domestic Shares and/or Overseas-Listed Foreign Shares of Sinopec Corp.                         | Mgmt   | For   | Against  | Against  |
| Approve Service Contracts of Directors and Supervisors of Sinopec Corp.  | Mgmt   | For   | For  | For  |
| Elect Zhang Yuzhuo as Director   | Mgmt   | For   | For  | For  |
| Elect Ma Yongsheng as Director   | Mgmt   | For   | For  | For  |
| Elect Zhao Dong as Director  | Mgmt   | For   | For  | For  |
| Elect Yu Baocai as Director  | Mgmt   | For   | For  | For  |
| Elect Liu Hongbin as Director  | Mgmt   | For   | For  | For  |
| Elect Ling Yiqun as Director   | Mgmt   | For   | For  | For  |
|  | Approve Report of the Board of Directors of Sinopec Corp. (including the Report of the Board of Directors of For 2020)  Approve Report of the Board of Supervisors of Sinopec Corp. (including the Report of the Board of Supervisors for 2020)  Approve 2020 Audited Financial Reports of Sinopec Corp.  Approve 2020 Profit Distribution Plan of Sinopec Corp.  Approve 2021 Interim Profit Distribution Plan of Sinopec Corp.  Approve KPMG Huazhen (Special General Partnership) and KPMG as External Auditors of Sinopec Corp. and Authorize Board to Fix Their Remuneration  Authorize Board to Determine the Proposed Plan for Issuance of Debt Financing Instruments  Approve Grant to the Board a General Mandate to Issue New Domestic Shares and/or Overseas-Listed Foreign Shares of Sinopec Corp.  Approve Service Contracts of Directors and Supervisors of Sinopec Corp.  Elect Zhang Yuzhuo as Director  Elect Zhao Dong as Director  Elect Yu Baocai as Director  Elect Liu Hongbin as Director | Approve Report of the Board of Directors of Sinopec Corp. (including the Report of the Board of Directors of for 2020)  Approve Report of the Board of Supervisors of Sinopec Corp. (including the Report of the Board of Supervisors for 2020)  Approve 2020 Audited Financial Reports of Sinopec Corp.  Approve 2020 Profit Distribution Plan of Sinopec Corp.  Approve 2021 Interim Profit Distribution Plan of Sinopec Corp.  Approve KPMG Huazhen (Special General Partnership) and KPMG as External Auditors of Sinopec Corp. and Authorize Board to Fix Their Remuneration  Authorize Board to Determine the Proposed Plan for Issuance of Debt Financing Instruments  Approve Grant to the Board a General Mandate to Issue New Domestic Shares and/or Overseas-Listed Foreign Shares of Sinopec Corp.  Approve Service Contracts of Directors and Supervisors of Sinopec Corp.  Elect Zhang Yuzhuo as Director Mgmt  Elect Ma Yongsheng as Director Mgmt  Elect Zhao Dong as Director Mgmt  Elect Yu Baocai as Director Mgmt  Elect Liu Hongbin as Director Mgmt | Approve Report of the Board of Directors of Sinopec Corp. (including the Report of the Board of Directors of for 2020)  Approve Report of the Board of Supervisors of Sinopec Corp. (including the Report of the Board of Supervisors for 2020)  Approve 2020 Audited Financial Reports of Sinopec Corp.  Approve 2020 Audited Financial Reports of Sinopec Corp.  Approve 2020 Profit Distribution Plan of Sinopec Corp.  Approve 2021 Interim Profit Distribution Plan of Mgmt For Sinopec Corp.  Approve KPMG Huazhen (Special General Partnership) and KPMG as External Auditors of Sinopec Corp. and Authorize Board to Fix Their Remuneration  Authorize Board to Determine the Proposed Plan for Issuance of Debt Financing Instruments  Approve Grant to the Board a General Mandate to Issue New Domestic Shares and/or Overseas-Listed Foreign Shares of Sinopec Corp.  Approve Service Contracts of Directors and Supervisors of Sinopec Corp.  Elect Zhang Yuzhuo as Director Mgmt For Elect Ma Yongsheng as Director Mgmt For Elect Yu Baocai as Director Mgmt For Elect Liu Hongbin as Director Mgmt For | Proposal Text         Proponent         Mgmt Rec         Policy Rec           Approve Report of the Board of Directors of Sinopec Corp. (including the Report of the Board of Directors of for 2020)         Mgmt         For           Approve Report of the Board of Supervisors of Sinopec Corp. (including the Report of the Board of Supervisors for 2020)         Mgmt         For           Approve 2020 Approve 2020 Approve 2020 Approve 2020 Profit Distribution Plan of Sinopec Corp.         Mgmt         For         For           Approve 2020 Profit Distribution Plan of Sinopec Corp.         Mgmt         For         For           Approve 2021 Interim Profit Distribution Plan of Sinopec Corp.         Mgmt         For         For           Approve KPMG Huazhen (Special General Partnership) and KPMG as External Auditors of Sinopec Corp. and Authorize Board to Fix Their Remuneration         Mgmt         For         For           Authorize Board to Determine the Proposed Plan for Issuance of Debt Financing Instruments         Mgmt         For         Against           Approve Grant to the Board a General Mandate to Issue New Domestic Shares and/or Overseas-Listed Foreign Shares of Sinopec Corp.         Mgmt         For         For           Approve Service Contracts of Directors and Supervisors of Sinopec Corp.         Mgmt         For         For           Elect Zhang Yuzhuo as Director         Mgmt         For         For           Elect Zhao Do |

Reporting Period: 01/07/2020 to 30/06/2021

# **China Petroleum & Chemical Corp.**

| Proposal<br>Number | Proposal Text                         | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------------|-----------|----------|----------------------|---------------------|
| 10.07              | Elect Li Yonglin as Director          | Mgmt      | For      | For                  | For                 |
| 11.01              | Elect Cai Hongbin as Director         | Mgmt      | For      | For                  | For                 |
| 11.02              | Elect Ng, Kar Ling Johnny as Director | Mgmt      | For      | For                  | For                 |
| 11.03              | Elect Shi Dan as Director             | Mgmt      | For      | For                  | For                 |
| 11.04              | Elect Bi Mingjian as Director         | Mgmt      | For      | For                  | For                 |
| 12.01              | Elect Zhang Shaofeng as Supervisor    | Mgmt      | For      | For                  | For                 |
| 12.02              | Elect Jiang Zhenying as Supervisor    | Mgmt      | For      | For                  | For                 |
| 12.03              | Elect Zhang Zhiguo as Supervisor      | Mgmt      | For      | For                  | For                 |
| 12.04              | Elect Yin Zhaolin as Supervisor       | Mgmt      | For      | For                  | For                 |
| 12.05              | Elect Guo Hongjin as Supervisor       | Mgmt      | For      | For                  | For                 |

#### **Neoen SA**

**Meeting Date:** 25/05/2021 **Record Date:** 21/05/2021

Country: France

Meeting Type: Annual/Special

**Primary Security ID:** F6517R107

Ticker: NEOEN

Shares Voted: 3,449

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Statutory<br>Reports           | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidated Financial Statements and Statutory Reports | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation of Income and Absence of Dividends           | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Compensation Report                                     | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Compensation of Xavier Barbaro,<br>Chairman and CEO     | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Compensation of Romain<br>Desrousseaux, Vice-CEO        | Mgmt      | For      | Against              | Against             |
| 7                  | Approve Remuneration Policy of Directors                        | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Remuneration Policy of Chairman and CEO                 | Mgmt      | For      | For                  | Abstain             |
| 9                  | Approve Remuneration Policy of Vice-CEO                         | Mgmt      | For      | Against              | Against             |
| 10                 | Reelect Bpifrance Investissement as Director                    | Mgmt      | For      | For                  | For                 |
| 11                 | Reelect Helen Lee Bouygues as Director                          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Neoen SA**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 12                 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
| 13                 | Authorize Capital Issuances for Use in Employee<br>Stock Purchase Plans Reserved for Employees of<br>International Subsidiaries | Mgmt      | For      | For                  | For                 |
| 14                 | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above | Mgmt      | For      | Against              | Against             |
| 15                 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorize up to 2 Percent of Issued Capital for Use in Restricted Stock Plans   | Mgmt      | For      | Against              | Against             |
| 17                 | Authorize Filing of Required Documents/Other Formalities  | Mgmt      | For      | For                  | For                 |

# **Phoslock Environmental Technologies Limited**

**Meeting Date:** 25/05/2021 **Record Date:** 23/05/2021

Country: Australia
Meeting Type: Annual

Primary Security ID: Q7576L104

Ticker: PET

Shares Voted: 607,290

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                           | Mgmt      | For      | For                  | Abstain             |
| 2                  | Elect Barry Sechos as Director                        | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Robert Prosser as Director                      | Mgmt      | For      | For                  | For                 |
| 4                  | Elect David Krasnostein as Director                   | Mgmt      | For      | For                  | For                 |
| 5                  | Appoint ShineWing Australia as Auditor of the Company | Mgmt      | For      | For                  | For                 |
| 6                  | Adopt New Constitution                                | Mgmt      | For      | For                  | For                 |

# **Tenable Holdings, Inc.**

**Meeting Date:** 25/05/2021 **Record Date:** 31/03/2021

Country: USA

**Primary Security ID:** 88025T102

Meeting Type: Annual Ticker: TENB

Reporting Period: 01/07/2020 to 30/06/2021

# **Tenable Holdings, Inc.**

Shares Voted: 179,751

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Arthur W. Coviello, Jr.                         | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Kimberly L. Hammonds                            | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Jerry M. Kennelly                               | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

### Varonis Systems, Inc.

**Meeting Date:** 25/05/2021 **Record Date:** 31/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 922280102

Ticker: VRNS

Shares Voted: 158,635

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Gili Iohan                                      | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Rachel Prishkolnik                              | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Ofer Segev                                      | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Kost Forer Gabbay & Kasierer as Auditors                | Mgmt      | For      | For                  | For                 |

### Amazon.com, Inc.

**Meeting Date:** 26/05/2021 **Record Date:** 01/04/2021

Country: USA
Meeting Type: Annual

Primary Security ID: 023135106

Ticker: AMZN

Shares Voted: 34,012

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Jeffrey P. Bezos   | Mgmt      | For      | Against              | Against             |
| 1b                 | Elect Director Keith B. Alexander | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Jamie S. Gorelick  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# Amazon.com, Inc.

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1d                 | Elect Director Daniel P. Huttenlocher   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Judith A. McGrath  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Indra K. Nooyi   | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Jonathan J. Rubinstein   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Thomas O. Ryder  | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Patricia Q. Stonesifer   | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Wendell P. Weeks   | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditor   | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation  | Mgmt      | For      | Against              | Against             |
| 4                  | Report on Customers' Use of its Surveillance<br>and Computer Vision Products Capabilities or<br>Cloud Products Contribute to Human Rights<br>Violations | SH        | Against  | For                  | For                 |
| 5                  | Require Independent Board Chair   | SH        | Against  | For                  | For                 |
| 6                  | Report on Gender/Racial Pay Gap   | SH        | Against  | For                  | For                 |
| 7                  | Report on Promotion Data  | SH        | Against  | For                  | For                 |
| 8                  | Report on the Impacts of Plastic Packaging  | SH        | Against  | For                  | For                 |
| 9                  | Oversee and Report on a Civil Rights, Equity,<br>Diversity and Inclusion Audit  | SH        | Against  | For                  | For                 |
| 10                 | Adopt a Policy to Include Hourly Employees as Director Candidates   | SH        | Against  | For                  | For                 |
| 11                 | Report on Board Oversight of Risks Related to Anti-Competitive Practices  | SH        | Against  | For                  | For                 |
| 12                 | Reduce Ownership Threshold for Shareholders to Call Special Meeting   | SH        | Against  | For                  | For                 |
| 13                 | Report on Lobbying Payments and Policy  | SH        | Against  | For                  | For                 |
| 14                 | Report on Potential Human Rights Impacts of<br>Customers' Use of Rekognition  | SH        | Against  | For                  | For                 |

# Anthem, Inc.

Meeting Date: 26/05/2021 Record Date: 22/03/2021 Country: USA

Meeting Type: Annual

Primary Security ID: 036752103

Ticker: ANTM

Reporting Period: 01/07/2020 to 30/06/2021

# Anthem, Inc.

Shares Voted: 11,480

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Lewis Hay, III                                  | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Antonio F. Neri                                 | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Ramiro G. Peru                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

### BlackRock, Inc.

**Meeting Date:** 26/05/2021 **Record Date:** 29/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 09247X101

Ticker: BLK

Shares Voted: 13,415

| Proposal   |  |           |          | Voting     | Vote        |
|------------|--|-----------|----------|------------|-------------|
| Number     | Proposal Text                              | Proponent | Mgmt Rec | Policy Rec | Instruction |
| 1a         | Elect Director Bader M. Alsaad             | Mgmt      | For      | For        | For         |
| 1b         | Elect Director Pamela Daley                | Mgmt      | For      | For        | For         |
| 1c         | Elect Director Jessica P. Einhorn          | Mgmt      | For      | For        | For         |
| 1d         | Elect Director Laurence D. Fink            | Mgmt      | For      | For        | For         |
| 1e         | Elect Director William E. Ford             | Mgmt      | For      | For        | For         |
| 1f         | Elect Director Fabrizio Freda              | Mgmt      | For      | For        | For         |
| 1g         | Elect Director Murry S. Gerber             | Mgmt      | For      | For        | For         |
| 1h         | Elect Director Margaret 'Peggy' L. Johnson | Mgmt      | For      | For        | For         |
| <b>1</b> i | Elect Director Robert S. Kapito            | Mgmt      | For      | For        | For         |
| 1j         | Elect Director Cheryl D. Mills             | Mgmt      | For      | For        | For         |
| 1k         | Elect Director Gordon M. Nixon             | Mgmt      | For      | For        | For         |
| 11         | Elect Director Charles H. Robbins          | Mgmt      | For      | For        | For         |
| 1m         | Elect Director Marco Antonio Slim Domit    | Mgmt      | For      | For        | For         |
| 1n         | Elect Director Hans E. Vestberg            | Mgmt      | For      | For        | For         |
| 10         | Elect Director Susan L. Wagner             | Mgmt      | For      | For        | For         |
| 1p         | Elect Director Mark Wilson                 | Mgmt      | For      | For        | For         |

Reporting Period: 01/07/2020 to 30/06/2021

## BlackRock, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation   | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Deloitte LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 4a                 | Provide Right to Call Special Meeting  | Mgmt      | For      | For                  | For                 |
| 4b                 | Eliminate Supermajority Vote Requirement   | Mgmt      | For      | For                  | For                 |
| 4c                 | Amend Charter to Eliminate Provisions that are<br>No Longer Applicable and Make Other Technical<br>Revisions | Mgmt      | For      | For                  | For                 |
| 5                  | Amend Certificate of Incorporation to Become a Public Benefit Corporation                                    | SH        | Against  | For                  | For                 |

# **BrainChip Holdings Limited**

**Meeting Date:** 26/05/2021 **Record Date:** 24/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q1737A107

Ticker: BRN

**Shares Voted:** 1,798,633

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                            | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Emmanuel Hernandez as Director                   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Christa Steele as Director                       | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Geoffrey Carrick as Director                     | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of Shares to LDA Capital Limited  | Mgmt      | For      | For                  | For                 |
| 6                  | Ratify Past Issuance of Options to LDA Capital Limited | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Equity Incentive Plan                          | Mgmt      | For      | For                  | For                 |
| 8a                 | Approve Issuance of Options to Christa Steele          | Mgmt      | None     | Against              | Against             |
| 8b                 | Approve Issuance of Options to Geoffrey Carrick        | Mgmt      | None     | Against              | Against             |

### **Chevron Corporation**

**Meeting Date:** 26/05/2021 **Record Date:** 29/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 166764100

Ticker: CVX

Reporting Period: 01/07/2020 to 30/06/2021

### **Chevron Corporation**

Shares Voted: 191,557

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Wanda M. Austin  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director John B. Frank  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Alice P. Gast  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Enrique Hernandez, Jr.                                     | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Marillyn A. Hewson   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Jon M. Huntsman, Jr.                                       | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Charles W. Moorman, IV                                     | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Dambisa F. Moyo  | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Debra Reed-Klages  | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Ronald D. Sugar  | Mgmt      | For      | Against              | Against             |
| 1k                 | Elect Director D. James Umpleby, III                                      | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Michael K. Wirth   | Mgmt      | For      | Against              | Against             |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                             | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation            | Mgmt      | For      | For                  | For                 |
| 4                  | Reduce Scope 3 Emissions  | SH        | Against  | For                  | For                 |
| 5                  | Report on Impacts of Net Zero 2050 Scenario                               | SH        | Against  | For                  | For                 |
| 6                  | Amend Certificate of Incorporation to Become a Public Benefit Corporation | SH        | Against  | Against              | Against             |
| 7                  | Report on Lobbying Payments and Policy                                    | SH        | Against  | For                  | For                 |
| 8                  | Require Independent Board Chair   | SH        | Against  | For                  | For                 |
| 9                  | Reduce Ownership Threshold for Shareholders to Call Special Meeting       | SH        | Against  | For                  | For                 |

### **Coupa Software Incorporated**

**Meeting Date:** 26/05/2021 **Record Date:** 01/04/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 22266L106

Ticker: COUP

Reporting Period: 01/07/2020 to 30/06/2021

### **Coupa Software Incorporated**

Shares Voted: 7,095

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Roger Siboni                                    | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Tayloe Stansbury                                | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

### **Extra Space Storage Inc.**

**Meeting Date:** 26/05/2021 **Record Date:** 29/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 30225T102

Ticker: EXR

Shares Voted: 13,419

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Kenneth M. Woolley                              | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Joseph D. Margolis                              | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Roger B. Porter                                 | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Joseph J. Bonner                                | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Gary L. Crittenden                              | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Spencer F. Kirk                                 | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Dennis J. Letham                                | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Diane Olmstead                                  | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Julia Vander Ploeg                              | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | Against              | Against             |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Advisory Vote on Say on Pay Frequency                          | Mgmt      | One Year | One Year             | One Year            |

### **Exxon Mobil Corporation**

Meeting Date: 26/05/2021 Record Date: 29/03/2021 Country: USA

Meeting Type: Proxy Contest

**Primary Security ID:** 30231G102

Ticker: XOM

Reporting Period: 01/07/2020 to 30/06/2021

## **Exxon Mobil Corporation**

Shares Voted: 352,322

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Gregory J. Goff  | SH        | For      | For                  | For                 |
| 1.2                | Elect Director Kaisa Hietala  | SH        | For      | For                  | For                 |
| 1.3                | Elect Director Alexander A. Karsner                                       | SH        | For      | For                  | For                 |
| 1.4                | Elect Director Anders Runevad   | SH        | For      | Withhold             | Withhold            |
| 1.5                | Management Nominee Michael J. Angelakis                                   | SH        | For      | For                  | For                 |
| 1.6                | Management Nominee Susan K. Avery   | SH        | For      | For                  | For                 |
| 1.7                | Management Nominee Angela F. Braly  | SH        | For      | For                  | For                 |
| 1.8                | Management Nominee Ursula M. Burns  | SH        | For      | For                  | For                 |
| 1.9                | Management Nominee Kenneth C. Frazier                                     | SH        | For      | For                  | For                 |
| 1.10               | Management Nominee Joseph L. Hooley                                       | SH        | For      | For                  | For                 |
| 1.11               | Management Nominee Jeffrey W. Ubben                                       | SH        | For      | For                  | For                 |
| 1.12               | Management Nominee Darren W. Woods  | SH        | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                             | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation            | Mgmt      | Against  | For                  | For                 |
| 4                  | Require Independent Board Chair   | SH        | None     | For                  | For                 |
| 5                  | Reduce Ownership Threshold for Shareholders to Call Special Meeting       | SH        | None     | For                  | For                 |
| 6                  | Issue Audited Report on Financial Impacts of IEA's Net Zero 2050 Scenario | SH        | None     | For                  | For                 |
| 7                  | Report on Costs and Benefits of<br>Environmental-Related Expenditures     | SH        | None     | Against              | Against             |
| 8                  | Report on Political Contributions   | SH        | None     | For                  | For                 |
| 9                  | Report on Lobbying Payments and Policy                                    | SH        | None     | For                  | For                 |
| 10                 | Report on Corporate Climate Lobbying Aligned with Paris Agreement         | SH        | None     | For                  | For                 |

### Facebook, Inc.

**Meeting Date:** 26/05/2021 **Record Date:** 01/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 30303M102

Ticker: FB

Reporting Period: 01/07/2020 to 30/06/2021

# Facebook, Inc.

Shares Voted: 162,470

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Peggy Alford   | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Marc L. Andreessen   | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Andrew W. Houston  | Mgmt      | For      | Withhold             | Withhold            |
| 1.4                | Elect Director Nancy Killefer   | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Robert M. Kimmitt  | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Sheryl K. Sandberg   | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Peter A. Thiel   | Mgmt      | For      | Withhold             | Withhold            |
| 1.8                | Elect Director Tracey T. Travis   | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Mark Zuckerberg  | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify Ernst & Young LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Non-Employee Director Compensation Policy                                   | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Recapitalization Plan for all Stock to Have One-vote per Share            | SH        | Against  | For                  | For                 |
| 5                  | Require Independent Board Chair   | SH        | Against  | For                  | For                 |
| 6                  | Report on Online Child Sexual Exploitation  | SH        | Against  | For                  | For                 |
| 7                  | Require Independent Director Nominee with<br>Human and/or Civil Rights Experience | SH        | Against  | Against              | Against             |
| 8                  | Report on Platform Misuse   | SH        | Against  | For                  | For                 |
| 9                  | Amend Certificate of Incorporation to Become a Public Benefit Corporation         | SH        | Against  | Against              | Against             |

### **HelloFresh SE**

**Meeting Date:** 26/05/2021 **Record Date:** 04/05/2021

**Country:** Germany **Meeting Type:** Annual

Primary Security ID: D3R2MA100

Ticker: HFG

Shares Voted: 9,033

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Allocation of Income and Omission of Dividends     | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Management Board for Fiscal Year 2020 | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **HelloFresh SE**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4                  | Approve Discharge of Supervisory Board for Fiscal Year 2020   | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify KPMG AG as Auditors for Fiscal Year 2021   | Mgmt      | For      | For                  | For                 |
| 6.1                | Reelect John Rittenhouse to the Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 6.2                | Reelect Ursula Radeke-Pietsch to the<br>Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 6.3                | Reelect Derek Zissman to the Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 6.4                | Reelect Susanne Schroeter-Crossan to the Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 6.5                | Reelect Stefan Smalla to the Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 7                  | Amend Corporate Purpose   | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Remuneration Policy   | Mgmt      | For      | Against              | Against             |
| 9                  | Approve Remuneration of Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Creation of EUR 13.6 Million Pool of Capital without Preemptive Rights  | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Issuance of Warrants/Bonds with<br>Warrants Attached/Convertible Bonds without<br>Preemptive Rights up to Aggregate Nominal<br>Amount of EUR 1 Billion; Approve Creation of<br>EUR 17.4 Million Pool of Capital to Guarantee<br>Conversion Rights | Mgmt      | For      | For                  | For                 |
| 12                 | Authorize Share Repurchase Program and<br>Reissuance or Cancellation of Repurchased<br>Shares   | Mgmt      | For      | For                  | For                 |

## Illumina, Inc.

**Meeting Date:** 26/05/2021 **Record Date:** 31/03/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 452327109

Ticker: ILMN

Shares Voted: 14,996

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1A                 | Elect Director Caroline D. Dorsa | Mgmt      | For      | For                  | For                 |
| 1B                 | Elect Director Robert S. Epstein | Mgmt      | For      | For                  | For                 |
| 1C                 | Elect Director Scott Gottlieb    | Mgmt      | For      | For                  | For                 |
| 1D                 | Elect Director Gary S. Guthart   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### Illumina, Inc.

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1E                 | Elect Director Philip W. Schiller                                 | Mgmt      | For      | For                  | For                 |
| 1F                 | Elect Director John W. Thompson                                   | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                              | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive<br>Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **Incyte Corporation**

**Meeting Date:** 26/05/2021 **Record Date:** 29/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 45337C102

Ticker: INCY

Shares Voted: 22,596

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Julian C. Baker                                 | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Jean-Jacques Bienaime                           | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Paul J. Clancy                                  | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Wendy L. Dixon                                  | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Jacqualyn A. Fouse                              | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Edmund P. Harrigan                              | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Katherine A. High                               | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Herve Hoppenot                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

### **Intertek Group Plc**

**Meeting Date:** 26/05/2021 **Record Date:** 24/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G4911B108

Ticker: ITRK

Reporting Period: 01/07/2020 to 30/06/2021

# **Intertek Group Plc**

Shares Voted: 15,950

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Policy  | Mgmt      | For      | Against              | Against             |
| 3                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Final Dividend   | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Lynda Clarizio as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Tamara Ingram as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Jonathan Timmis as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Andrew Martin as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Andre Lacroix as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Graham Allan as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Gurnek Bains as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Dame Makin as Director  | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Gill Rider as Director  | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Jean-Michel Valette as Director   | Mgmt      | For      | For                  | For                 |
| 15                 | Reappoint PricewaterhouseCoopers LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 23                 | Amend Articles of Association  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Kingsoft Corporation Limited**

**Meeting Date:** 26/05/2021 **Record Date:** 20/05/2021

**Country:** Cayman Islands **Meeting Type:** Annual

**Primary Security ID:** G5264Y108

Ticker: 3888

Shares Voted: 208,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                                | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 3.1                | Elect Chi Ping Lau as Director  | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Shun Tak Wong as Director   | Mgmt      | For      | For                  | For                 |
| 3.3                | Elect David Yuen Kwan Tang as Director  | Mgmt      | For      | For                  | For                 |
| 3.4                | Authorize Board to Fix Remuneration of Directors                                    | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Ernst & Young as Auditors and<br>Authorize Board to Fix Their Remuneration  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights | Mgmt      | For      | Against              | Against             |
| 6                  | Authorize Repurchase of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
| 7                  | Authorize Reissuance of Repurchased Shares  | Mgmt      | For      | Against              | Against             |

### **Kunlun Energy Company Limited**

**Meeting Date:** 26/05/2021 **Record Date:** 20/05/2021

**Country:** Bermuda **Meeting Type:** Annual Primary Security ID: G5320C108

Ticker: 135

Shares Voted: 673,729

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend                               | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Special Dividend                             | Mgmt      | For      | For                  | For                 |
| 4A                 | Elect Fu Bin as Director                             | Mgmt      | For      | For                  | For                 |
| 4B                 | Elect Qian Zhijia as Director                        | Mgmt      | For      | For                  | For                 |
| 4C                 | Elect Zhou Yuanhong as Director                      | Mgmt      | For      | Against              | Against             |
| 4D                 | Elect Miao Yong as Director                          | Mgmt      | For      | Against              | Against             |
| 5                  | Authorize Board to Fix Remuneration of Directors     | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Kunlun Energy Company Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 6                  | Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights     | Mgmt      | For      | Against              | Against             |
| 8                  | Authorize Share Repurchase Program  | Mgmt      | For      | For                  | For                 |
| 9                  | Authorize Reissuance of Repurchased Shares  | Mgmt      | For      | Against              | Against             |

### **M&G Plc**

**Meeting Date:** 26/05/2021 **Record Date:** 24/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G6107R102

Ticker: MNG

Shares Voted: 257,028

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                                       | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Clare Chapman as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Fiona Clutterbuck as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect John Foley as Director  | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Clare Bousfield as Director   | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Clive Adamson as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Clare Thompson as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Massimo Tosato as Director  | Mgmt      | For      | For                  | For                 |
| 10                 | Reappoint KPMG LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 11                 | Authorise the Audit Committee to Fix Remuneration of Auditors                              | Mgmt      | For      | For                  | For                 |
| 12                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 13                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise Issue of Equity in Connection with the Issue of Mandatory Convertible Securities | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Issue of Equity without Pre-emptive Rights                                       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **M&G Plc**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 16                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with the Issue of<br>Mandatory Convertible Securities | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Market Purchase of Ordinary Shares  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise the Company to Call General Meeting with Two Weeks' Notice  | Mgmt      | For      | For                  | For                 |

### **NXP Semiconductors N.V.**

**Meeting Date:** 26/05/2021 **Record Date:** 28/04/2021

**Country:** Netherlands **Meeting Type:** Annual

**Primary Security ID:** N6596X109

Ticker: NXPI

Shares Voted: 28,666

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Adopt Financial Statements and Statutory<br>Reports                      | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Discharge of Board Members                                       | Mgmt      | For      | For                  | For                 |
| 3a                 | Reelect Kurt Sievers as Executive Director                               | Mgmt      | For      | For                  | For                 |
| 3b                 | Reelect Peter Bonfield as Non-Executive Director                         | Mgmt      | For      | Against              | Against             |
| 3c                 | Elect Annette Clayton as Non-Executive Director                          | Mgmt      | For      | For                  | For                 |
| 3d                 | Elect Anthony Foxx as Non-Executive Director                             | Mgmt      | For      | For                  | For                 |
| 3e                 | Reelect Kenneth A. Goldman as Non-Executive Director                     | Mgmt      | For      | For                  | For                 |
| 3f                 | Reelect Josef Kaeser as Non-Executive Director                           | Mgmt      | For      | For                  | For                 |
| 3g                 | Reelect Lena Olving as Non-Executive Director                            | Mgmt      | For      | Against              | Against             |
| 3h                 | Reelect Peter Smitham as Non-Executive Director                          | Mgmt      | For      | Against              | Against             |
| 3i                 | Reelect Julie Southern as Non-Executive Director                         | Mgmt      | For      | For                  | For                 |
| 3j                 | Reelect Jasmin Staiblin as Non-Executive Director                        | Mgmt      | For      | For                  | For                 |
| 3k                 | Reelect Gregory L. Summe as Non-Executive Director                       | Mgmt      | For      | For                  | For                 |
| 31                 | Reelect Karl-Henrik Sundström as Non-Executive Director                  | Mgmt      | For      | Against              | Against             |
| 4                  | Grant Board Authority to Issue Shares Up To 10 Percent of Issued Capital | Mgmt      | For      | For                  | For                 |
|                    |  |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

### **NXP Semiconductors N.V.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 5                  | Authorize Board to Exclude Preemptive Rights from Share Issuances | Mgmt      | For      | For                  | For                 |
| 6                  | Authorize Share Repurchase Program                                | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Cancellation of Ordinary Shares                           | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Remuneration of the Non Executive Members of the Board    | Mgmt      | For      | For                  | For                 |
| 9                  | Advisory Vote to Ratify Named Executive<br>Officers' Compensation | Mgmt      | For      | Against              | Against             |

### **ONEOK, Inc.**

**Meeting Date:** 26/05/2021 **Record Date:** 29/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 682680103

Ticker: OKE

Shares Voted: 73,448

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Brian L. Derksen                                   | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Julie H. Edwards                                   | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director John W. Gibson                                     | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Mark W. Helderman                                  | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Randall J. Larson                                  | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Steven J. Malcolm                                  | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Jim W. Mogg  | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Pattye L. Moore                                    | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Eduardo A. Rodriguez                               | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Gerald B. Smith                                    | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Terry K. Spencer                                   | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive<br>Officers' Compensation | Mgmt      | For      | For                  | For                 |

# PayPal Holdings, Inc.

Meeting Date: 26/05/2021 Record Date: 30/03/2021 Country: USA Meeting Type: Annual Primary Security ID: 70450Y103

Ticker: PYPL

Reporting Period: 01/07/2020 to 30/06/2021

# PayPal Holdings, Inc.

Shares Voted: 131,294

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Rodney C. Adkins  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Jonathan Christodoro  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director John J. Donahoe   | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director David W. Dorman   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Belinda J. Johnson  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Gail J. McGovern  | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Deborah M. Messemer   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director David M. Moffett  | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Ann M. Sarnoff  | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Daniel H. Schulman  | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Frank D. Yeary  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation                               | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 4                  | Provide Right to Act by Written Consent  | SH        | Against  | For                  | For                 |
| 5                  | Report on Whether Written Policies or Unwritten<br>Norms Reinforce Racism in Company Culture | SH        | Against  | For                  | For                 |

Shares Voted: 120,374

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Rodney C. Adkins     | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Jonathan Christodoro | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director John J. Donahoe      | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director David W. Dorman      | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Belinda J. Johnson   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Gail J. McGovern     | Mgmt      | For      | For                  | For                 |
| <b>1</b> g         | Elect Director Deborah M. Messemer  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director David M. Moffett     | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Ann M. Sarnoff       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## PayPal Holdings, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1j                 | Elect Director Daniel H. Schulman  | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Frank D. Yeary  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation                               | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 4                  | Provide Right to Act by Written Consent  | SH        | Against  | For                  | For                 |
| 5                  | Report on Whether Written Policies or Unwritten<br>Norms Reinforce Racism in Company Culture | SH        | Against  | For                  | For                 |

## **Publicis Groupe SA**

**Meeting Date:** 26/05/2021 **Record Date:** 24/05/2021

Country: France

Meeting Type: Annual/Special

**Primary Security ID:** F7607Z165

Ticker: PUB

Shares Voted: 24,579

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidated Financial Statements and Statutory Reports   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation of Income and Dividends of EUR 2 per Share   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Stock Dividend Program  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Auditors' Special Report on<br>Related-Party Transactions Mentioning the<br>Absence of New Transactions | Mgmt      | For      | For                  | For                 |
| 6                  | Reelect Maurice Levy as Supervisory Board<br>Member   | Mgmt      | For      | For                  | For                 |
| 7                  | Reelect Simon Badinter as Supervisory Board<br>Member   | Mgmt      | For      | For                  | For                 |
| 8                  | Reelect Jean Charest as Supervisory Board<br>Member   | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Remuneration Policy of Chairman of Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Remuneration Policy of Supervisory Board Members  | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Remuneration Policy of Chairman of Management Board   | Mgmt      | For      | For                  | Abstain             |

Reporting Period: 01/07/2020 to 30/06/2021

## **Publicis Groupe SA**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 12                 | Approve Remuneration Policy of Michel-Alain Proch, Management Board Member  | Mgmt      | For      | For                  | Abstain             |
| 13                 | Approve Remuneration Policy of Management<br>Board Members  | Mgmt      | For      | For                  | Abstain             |
| 14                 | Approve Compensation Report   | Mgmt      | For      | For                  | For                 |
| 15                 | Approve Compensation of Maurice Levy,<br>Chairman of Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 16                 | Approve Compensation of Arthur Sadoun,<br>Chairman of the Management Board  | Mgmt      | For      | For                  | Abstain             |
| 17                 | Approve Compensation of Jean-Michel Etienne,<br>Management Board Member   | Mgmt      | For      | For                  | Abstain             |
| 18                 | Approve Compensation of Anne-Gabrielle<br>Heilbronner, Management Board Member  | Mgmt      | For      | For                  | Abstain             |
| 19                 | Approve Compensation of Steve King,<br>Management Board Member  | Mgmt      | For      | For                  | Abstain             |
| 20                 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
| 21                 | Authorize Decrease in Share Capital via Cancellation of Repurchased Shares  | Mgmt      | For      | For                  | For                 |
| 22                 | Authorize up to 3 Percent of Issued Capital for Use in Restricted Stock Plans   | Mgmt      | For      | For                  | For                 |
| 23                 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans  | Mgmt      | For      | For                  | For                 |
| 24                 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans Reserved for Employees of International Subsidiaries | Mgmt      | For      | For                  | For                 |
| 25                 | Amend Bylaws to Comply with Legal Changes   | Mgmt      | For      | Against              | Against             |
| 26                 | Authorize Filing of Required Documents/Other Formalities  | Mgmt      | For      | For                  | For                 |

# **Viva Energy Group Limited**

**Meeting Date:** 26/05/2021 **Record Date:** 24/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q9478L109

Ticker: VEA

**Shares Voted:** 1,552,047

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report      | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Sarah Ryan as Director     | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Michael Muller as Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Viva Energy Group Limited**

| Proposal<br>Number |   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4                  | Approve Grant of Performance Rights to Scott<br>Wyatt | Mgmt      | For      | For                  | For                 |

### **Coronado Global Resources Inc.**

Meeting Date: 27/05/2021 Record Date: 09/04/2021 Country: USA

Meeting Type: Annual

Primary Security ID: U2024H107

Ticker: CRN

Shares Voted: 254,663

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2.01               | Elect William (Bill) Koeck as Director                         | Mgmt      | For      | For                  | For                 |
| 2.02               | Elect Garold Spindler as Director                              | Mgmt      | For      | For                  | For                 |
| 2.03               | Elect Philip Christensen as Director                           | Mgmt      | For      | For                  | For                 |
| 2.04               | Elect Greg Pritchard as Director                               | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Ernst & Young as Auditors                               | Mgmt      | For      | For                  | For                 |
|                    |  |           |          |                      |                     |

### **Costa Group Holdings Limited**

**Meeting Date:** 27/05/2021 **Record Date:** 25/05/2021

Country: Australia
Meeting Type: Annual

Primary Security ID: Q29284108

Ticker: CGC

Shares Voted: 946,137

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                              | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Peter Margin as Director                           | Mgmt      | For      | Against              | Against             |
| 4                  | Elect Tim Goldsmith as Director                          | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Grant of STI Performance Rights to Sean Hallahan | Mgmt      | For      | For                  | Abstain             |
| 6                  | Approve Grant of LTI Options to Sean Hallahan            | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Costa Group Holdings Limited**

Shares Voted: 403,396

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report                              | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Peter Margin as Director                           | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Tim Goldsmith as Director                          | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of STI Performance Rights to Sean Hallahan | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Grant of LTI Options to Sean Hallahan            | Mgmt      | For      | For                  | For                 |

### **Encavis AG**

**Meeting Date:** 27/05/2021 **Record Date:** 05/05/2021

**Country:** Germany **Meeting Type:** Annual

**Primary Security ID:** D2R4PT120

Ticker: ECV

Shares Voted: 5,352

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Allocation of Income and Dividends of EUR 0.28 per Share                             | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Management Board for Fiscal Year 2020                                   | Mgmt      | For      | For                  | For                 |
| 4.1                | Approve Discharge of Supervisory Board<br>Member Manfred Krueper for Fiscal Year 2020        | Mgmt      | For      | For                  | For                 |
| 4.2                | Approve Discharge of Supervisory Board<br>Member Alexander Stuhlmann for Fiscal Year<br>2020 | Mgmt      | For      | For                  | For                 |
| 4.3                | Approve Discharge of Supervisory Board<br>Member Cornelius Liedtke for Fiscal Year 2020      | Mgmt      | For      | For                  | For                 |
| 4.4                | Approve Discharge of Supervisory Board<br>Member Albert Buell for Fiscal Year 2020           | Mgmt      | For      | For                  | For                 |
| 4.5                | Approve Discharge of Supervisory Board<br>Member Fritz Vahrenholt for Fiscal Year 2020       | Mgmt      | For      | For                  | For                 |
| 4.6                | Approve Discharge of Supervisory Board<br>Member Christine Scheel for Fiscal Year 2020       | Mgmt      | For      | For                  | For                 |
| 4.7                | Approve Discharge of Supervisory Board<br>Member Peter Heidecker for Fiscal Year 2020        | Mgmt      | For      | For                  | For                 |
| 4.8                | Approve Discharge of Supervisory Board<br>Member Henning Kreke for Fiscal Year 2020          | Mgmt      | For      | For                  | For                 |
| 4.9                | Approve Discharge of Supervisory Board<br>Member Marcus Schenck for Fiscal Year 2020         | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Encavis AG**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 5                  | Ratify PricewaterhouseCoopers GmbH as<br>Auditors for Fiscal Year 2021                                 | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Remuneration Policy  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Remuneration of Supervisory Board  | Mgmt      | For      | For                  | For                 |
| 8.1                | Elect Christine Scheel to the Supervisory Board  | Mgmt      | For      | Against              | Against             |
| 8.2                | Elect Rolf Schmitz to the Supervisory Board  | Mgmt      | For      | Against              | Against             |
| 9                  | Approve Cancellation of Conditional Capital III  | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Creation of EUR 27.7 Million Pool of<br>Capital with Partial Exclusion of Preemptive<br>Rights | Mgmt      | For      | Against              | Against             |
| 11                 | Authorize Share Repurchase Program and<br>Reissuance or Cancellation of Repurchased<br>Shares          | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Affiliation Agreement with Encavis GmbH  | Mgmt      | For      | For                  | For                 |

### **Gold Road Resources Limited**

**Meeting Date:** 27/05/2021 **Record Date:** 25/05/2021

**Country:** Australia **Meeting Type:** Annual

**Primary Security ID:** Q4202N117

Ticker: GOR

**Shares Voted:** 1,026,864

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Tim Netscher as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Maree Arnason as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Long Term Incentive<br>Performance Rights to Duncan Gibbs    | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Grant of Short Term Incentive<br>Performance Rights to Duncan Gibbs   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Grant of Long Term Incentive<br>Performance Rights to Justin Osborne  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Grant of Short Term Incentive<br>Performance Rights to Justin Osborne | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Pioneer Natural Resources Company**

**Meeting Date:** 27/05/2021 **Record Date:** 01/04/2021

Country: USA

Primary Security ID: 723787107

Ticker: PXD

Shares Voted: 36,696

Meeting Type: Annual

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director A.R. Alameddine                                 | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Edison C. Buchanan                              | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Matt Gallagher                                  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Phillip A. Gobe                                 | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Larry R. Grillot                                | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Stacy P. Methvin                                | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Royce W. Mitchell                               | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Frank A. Risch                                  | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Scott D. Sheffield                              | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director J. Kenneth Thompson                             | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Phoebe A. Wood                                  | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Michael D. Wortley                              | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Qualified Employee Stock Purchase Plan                   | Mgmt      | For      | For                  | For                 |

### **Resolute Mining Limited**

**Meeting Date:** 27/05/2021 **Record Date:** 25/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q81068100

Ticker: RSG

Shares Voted: 339,611

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Yasmin Broughton as Director                   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve the Amendments to the Company's Constitution | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Ribbon Communications Inc.**

**Meeting Date:** 27/05/2021 **Record Date:** 30/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 762544104

Ticker: RBBN

Shares Voted: 89,234

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Mariano S. de Beer                              | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director R. Stewart Ewing, Jr.                           | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Bruns H. Grayson                                | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Beatriz V. Infante                              | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Bruce W. McClelland                             | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Krish A. Prabhu                                 | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Shaul Shani                                     | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Richard W. Smith                                | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Tanya Tamone                                    | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

## **Spark Infrastructure Group**

**Meeting Date:** 27/05/2021 **Record Date:** 25/05/2021

Country: Australia
Meeting Type: Annual

Primary Security ID: Q8604W120

Ticker: SKI

**Shares Voted:** 1,715,919

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Anne Brennan as Director of Spark<br>Infrastructure RE, Spark Holdings 1, Spark<br>Holdings 2, Spark Holdings 3 and Spark<br>Holdings 4 | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Lianne Buck as Director of Spark<br>Infrastructure RE, Spark Holdings 1, Spark<br>Holdings 2, Spark Holdings 3 and Spark<br>Holdings 4  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Rick Francis   | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Jennifer Faulkner as Director of Spark<br>Holdings 6  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Spark Infrastructure Group**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 6                  | Elect Anthony Marriner as Director of Spark<br>Holdings 6 | Mgmt      | For      | For                  | For                 |

## UDR, Inc.

**Meeting Date:** 27/05/2021 **Record Date:** 29/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 902653104

Ticker: UDR

Shares Voted: 30,037

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Katherine A. Cattanach                          | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Jon A. Grove                                    | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Mary Ann King                                   | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director James D. Klingbeil                              | Mgmt      | For      | Against              | Against             |
| 1e                 | Elect Director Clint D. McDonnough                             | Mgmt      | For      | Against              | Against             |
| 1f                 | Elect Director Diane M. Morefield                              | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Robert A. McNamara                              | Mgmt      | For      | Against              | Against             |
| 1h                 | Elect Director Mark R. Patterson                               | Mgmt      | For      | Against              | Against             |
| 1i                 | Elect Director Thomas W. Toomey                                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |

## VeriSign, Inc.

**Meeting Date:** 27/05/2021 **Record Date:** 01/04/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 92343E102

Ticker: VRSN

Shares Voted: 61,643

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director D. James Bidzos      | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Yehuda Ari Buchalter | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# VeriSign, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.3                | Elect Director Kathleen A. Cote                                | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Thomas F. Frist, III                            | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Jamie S. Gorelick                               | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Roger H. Moore                                  | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Louis A. Simpson                                | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Timothy Tomlinson                               | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 4                  | Provide Right to Act by Written Consent                        | SH        | Against  | For                  | For                 |

# **Appen Limited**

**Meeting Date:** 28/05/2021 **Record Date:** 26/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q0456H103

Ticker: APX

Shares Voted: 284,177

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Stephen Hasker as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Robin Low as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Grant of Performance Rights to Mark Brayan                                | Mgmt      | For      | Against              | Against             |
| 6                  | Approve the Amendments to the Company's Constitution                              | Mgmt      | For      | For                  | For                 |
| 7                  | Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors | Mgmt      | None     | For                  | For                 |
|                    | Chausa Vatada 277.2   | 22        |          |                      |                     |

Shares Voted: 277,223

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 2                  | Approve Remuneration Report      | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Stephen Hasker as Director | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Robin Low as Director      | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Appen Limited**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 5                  | Approve Grant of Performance Rights to Mark<br>Brayan                                | Mgmt      | For      | Against              | Against             |
| 6                  | Approve the Amendments to the Company's Constitution                                 | Mgmt      | For      | For                  | For                 |
| 7                  | Approve the Increase in Maximum Aggregate<br>Remuneration of Non-Executive Directors | Mgmt      | None     | For                  | For                 |

# DocuSign, Inc.

**Meeting Date:** 28/05/2021 **Record Date:** 08/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 256163106

Ticker: DOCU

Shares Voted: 23,643

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Enrique Salem                                   | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Peter Solvik                                    | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Inhi Cho Suh                                    | Mgmt      | For      | Withhold             | Withhold            |
| 1.4                | Elect Director Mary Agnes "Maggie" Wilderotter                 | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | Against              | Against             |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

Shares Voted: 19,174

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Enrique Salem                                   | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Peter Solvik                                    | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Inhi Cho Suh                                    | Mgmt      | For      | Withhold             | Withhold            |
| 1.4                | Elect Director Mary Agnes "Maggie" Wilderotter                 | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **HSBC Holdings Plc**

**Meeting Date:** 28/05/2021 **Record Date:** 27/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G4634U169

Ticker: HSBA

**Shares Voted:** 2,050,067

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect James Forese as Director   | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Steven Guggenheimer as Director  | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect Eileen Murray as Director  | Mgmt      | For      | For                  | For                 |
| 3d                 | Re-elect Irene Lee as Director   | Mgmt      | For      | For                  | For                 |
| 3e                 | Re-elect Jose Antonio Meade Kuribrena as<br>Director   | Mgmt      | For      | For                  | For                 |
| 3f                 | Re-elect David Nish as Director  | Mgmt      | For      | For                  | For                 |
| 3g                 | Re-elect Noel Quinn as Director  | Mgmt      | For      | For                  | For                 |
| 3h                 | Re-elect Ewen Stevenson as Director  | Mgmt      | For      | For                  | For                 |
| 3i                 | Re-elect Jackson Tai as Director   | Mgmt      | For      | For                  | For                 |
| 3j                 | Re-elect Mark Tucker as Director   | Mgmt      | For      | Against              | Against             |
| 3k                 | Re-elect Pauline van der Meer Mohr as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Reappoint PricewaterhouseCoopers LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 5                  | Authorise the Group Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 6                  | Authorise EU Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 7                  | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 8                  | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 9                  | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 10                 | Authorise Directors to Allot Any Repurchased Shares  | Mgmt      | For      | For                  | For                 |
| 11                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 12                 | Authorise Issue of Equity in Relation to Contingent Convertible Securities   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **HSBC Holdings Plc**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 13                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Relation to Contingent Convertible<br>Securities   | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise the Company to Call General Meeting with Two Weeks' Notice  | Mgmt      | For      | For                  | For                 |
| 15                 | Approve Climate Change Resolution   | Mgmt      | For      | For                  | For                 |
| 16                 | Find an Equitable Solution to the Unfair,<br>Discriminatory but Legal Practice of Enforcing<br>Clawback on Members of the Post 1974 Midland<br>Section Defined Benefit Scheme | SH        | Against  | Against              | Against             |

### **Invocare Limited**

**Meeting Date:** 28/05/2021 **Record Date:** 26/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q4976L107

Ticker: IVC

Shares Voted: 368,499

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |  |  |  |
|--------------------|---|-----------|----------|----------------------|---------------------|--|--|--|
| 1                  | Approve Remuneration Report                             | Mgmt      | For      | For                  | Abstain             |  |  |  |
| 2                  | Elect Keith Skinner as Director                         | Mgmt      | For      | Against              | Against             |  |  |  |
| 3                  | Approve Grant of Share Rights to Olivier Chretien       | Mgmt      | For      | For                  | Abstain             |  |  |  |
| 4                  | Approve Grant of Performance Rights to Olivier Chretien | Mgmt      | For      | For                  | For                 |  |  |  |
| 5                  | Approve Potential Termination Benefits                  | Mgmt      | For      | For                  | For                 |  |  |  |
| 6                  | Elect Kim Anderson as Director                          | Mgmt      | For      | For                  | For                 |  |  |  |
|                    | Change Makedy 151 057                                   |           |          |                      |                     |  |  |  |

Shares Voted: 151,957

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                             | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Keith Skinner as Director                         | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Grant of Share Rights to Olivier Chretien       | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Olivier Chretien | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Potential Termination Benefits                  | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Kim Anderson as Director                          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Kuaishou Technology**

**Meeting Date:** 28/05/2021 **Record Date:** 24/05/2021

**Country:** China **Meeting Type:** Annual

**Primary Security ID:** G53263102

Ticker: 1024

Shares Voted: 543,700

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Su Hua as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Cheng Yixiao as Director   | Mgmt      | For      | Against              | Against             |
| 4                  | Elect Zhang Fei as Director  | Mgmt      | For      | Against              | Against             |
| 5                  | Authorize Board to Fix Remuneration of Directors   | Mgmt      | For      | For                  | For                 |
| 6                  | Authorize Repurchase of Issued Share Capital   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights      | Mgmt      | For      | Against              | Against             |
| 8                  | Authorize Reissuance of Repurchased Shares   | Mgmt      | For      | Against              | Against             |
| 9                  | Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |

### **Reckitt Benckiser Group Plc**

**Meeting Date:** 28/05/2021 **Record Date:** 26/05/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G74079107

Ticker: RKT

Shares Voted: 63,462

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend                               | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Andrew Bonfield as Director                 | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Jeff Carr as Director                       | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Nicandro Durante as Director                | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Mary Harris as Director                     | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Mehmood Khan as Director                    | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Reckitt Benckiser Group Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 9                  | Re-elect Pam Kirby as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Sara Mathew as Director   | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Laxman Narasimhan as Director   | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Chris Sinclair as Director  | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Elane Stock as Director   | Mgmt      | For      | For                  | For                 |
| 14                 | Elect Olivier Bohuon as Director   | Mgmt      | For      | For                  | For                 |
| 15                 | Elect Margherita Della Valle as Director   | Mgmt      | For      | For                  | For                 |
| 16                 | Reappoint KPMG LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 23                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |
| 24                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

#### **Total SE**

**Meeting Date:** 28/05/2021 **Record Date:** 26/05/2021

Country: France

Meeting Type: Annual/Special

**Primary Security ID:** F92124100

Ticker: FP

Shares Voted: 367,139

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Statutory Reports               | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidated Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation of Income and Dividends of EUR 2.64 per Share | Mgmt      | For      | For                  | For                 |
| 4                  | Authorize Repurchase of Up to 10 Percent of Issued Share Capital | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Total SE**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 5                  | Approve Auditors' Special Report on<br>Related-Party Transactions Mentioning the<br>Absence of New Transactions | Mgmt      | For      | For                  | For                 |
| 6                  | Reelect Patrick Pouyanne as Director  | Mgmt      | For      | Against              | Against             |
| 7                  | Reelect Anne-Marie Idrac as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Elect Jacques Aschenbroich as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Elect Glenn Hubbard as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Compensation Report of Corporate Officers   | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Remuneration Policy of Directors  | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Compensation of Patrick Pouyanne,<br>Chairman and CEO   | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Remuneration Policy of Chairman and CEO   | Mgmt      | For      | Against              | Against             |
| 14                 | Approve the Company's Sustainable<br>Development and Energy Transition  | Mgmt      | For      | For                  | For                 |
| 15                 | Change Company Name to TotalEnergies SE and Amend Article 2 of Bylaws Accordingly                               | Mgmt      | For      | For                  | For                 |
| 16                 | Authorize up to 1 Percent of Issued Capital for Use in Restricted Stock Plans                                   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans  | Mgmt      | For      | For                  | For                 |

# **Xinyi Solar Holdings Limited**

**Meeting Date:** 28/05/2021 **Record Date:** 24/05/2021

**Country:** Cayman Islands **Meeting Type:** Annual

**Primary Security ID:** G9829N102

Ticker: 968

Shares Voted: 278,000

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend                            | Mgmt      | For      | For                  | For                 |
| 3A1                | Elect Chen Xi as Director                         | Mgmt      | For      | For                  | For                 |
| 3A2                | Elect Lee Shing Put as Director                   | Mgmt      | For      | For                  | For                 |
| 3A3                | Elect Cheng Kwok Kin, Paul as Director            | Mgmt      | For      | Against              | Against             |
| 3B                 | Authorize Board to Fix Remuneration of Directors  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Xinyi Solar Holdings Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4                  | Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 5A                 | Authorize Repurchase of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
| 5B                 | Approve Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights     | Mgmt      | For      | Against              | Against             |
| 5C                 | Authorize Reissuance of Repurchased Shares  | Mgmt      | For      | Against              | Against             |

**Shares Voted:** 2,008,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                                    | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 3A1                | Elect Chen Xi as Director   | Mgmt      | For      | For                  | For                 |
| 3A2                | Elect Lee Shing Put as Director   | Mgmt      | For      | For                  | For                 |
| 3A3                | Elect Cheng Kwok Kin, Paul as Director  | Mgmt      | For      | Against              | Against             |
| 3B                 | Authorize Board to Fix Remuneration of Directors  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 5A                 | Authorize Repurchase of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
| 5B                 | Approve Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights     | Mgmt      | For      | Against              | Against             |
| 5C                 | Authorize Reissuance of Repurchased Shares  | Mgmt      | For      | Against              | Against             |

## **Lite-On Technology Corp.**

Meeting Date: 31/05/2021 Record Date: 01/04/2021 **Country:** Taiwan **Meeting Type:** Annual

**Primary Security ID:** Y5313K109

Ticker: 2301

Shares Voted: 500,000

| Proposal<br>Number | Proposal Text                | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Profit Distribution  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Lite-On Technology Corp.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3                  | Approve Amendments to Articles of Association                          | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Rules and Procedures Regarding<br>Shareholder's General Meeting  | Mgmt      | For      | For                  | For                 |
| 5                  | Amend Procedures Governing the Acquisition or Disposal of Assets       | Mgmt      | For      | For                  | For                 |
| 6.1                | Elect MK LU, with ID No. K100673XXX, as Independent Director           | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Release of Restrictions of Competitive Activities of Directors | Mgmt      | For      | For                  | For                 |

### **New Energy Solar**

**Meeting Date:** 31/05/2021 **Record Date:** 29/05/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q6651D102

Ticker: NEW

Shares Voted: 883,834

| Proposal<br>Number | Proposal Text                                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Maxine McKew as Director                  | Mgmt      | For      | Against              | Against             |
| 2                  | Elect John Holland as Director                  | Mgmt      | For      | Against              | Against             |
| 3                  | Approve Remuneration Report                     | Mgmt      | For      | For                  | For                 |
| 4                  | Approve On-Market Buyback of Stapled Securities | Mgmt      | For      | For                  | For                 |

## **Cognizant Technology Solutions Corporation**

**Meeting Date:** 01/06/2021 **Record Date:** 05/04/2021

**Country:** USA **Meeting Type:** Annual

Primary Security ID: 192446102

Ticker: CTSH

Shares Voted: 54,537

| Proposal<br>Number | Proposal Text                          | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Zein Abdalla            | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Vinita Bali             | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Maureen Breakiron-Evans | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Archana Deskus          | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director John M. Dineen          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Cognizant Technology Solutions Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1f                 | Elect Director Brian Humphries                                 | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Leo S. Mackay, Jr.                              | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Michael Patsalos-Fox                            | Mgmt      | For      | Against              | Against             |
| 1i                 | Elect Director Joseph M. Velli                                 | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Sandra S. Wijnberg                              | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 4                  | Provide Right to Act by Written Consent                        | SH        | Against  | Against              | Against             |

# **SMA Solar Technology AG**

**Meeting Date:** 01/06/2021 **Record Date:** 10/05/2021

**Country:** Germany **Meeting Type:** Annual

Primary Security ID: D7008K108

Ticker: S92

Shares Voted: 1,871

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Allocation of Income and Dividends of EUR 0.30 per Share                      | Mgmt      | For      | For                  | For                 |
| 3.1                | Approve Discharge of Management Board<br>Member Ulrich Hadding for Fiscal Year 2020   | Mgmt      | For      | For                  | For                 |
| 3.2                | Approve Discharge of Management Board<br>Member Juergen Reinert for Fiscal Year 2020  | Mgmt      | For      | For                  | For                 |
| 4.1                | Approve Discharge of Supervisory Board<br>Member Roland Bent for Fiscal Year 2020     | Mgmt      | For      | For                  | For                 |
| 4.2                | Approve Discharge of Supervisory Board<br>Member Martin Breul for Fiscal Year 2020    | Mgmt      | For      | For                  | For                 |
| 4.3                | Approve Discharge of Supervisory Board<br>Member Oliver Dietzel for Fiscal Year 2020  | Mgmt      | For      | For                  | For                 |
| 4.4                | Approve Discharge of Supervisory Board<br>Member Peter Drews for Fiscal Year 2020     | Mgmt      | For      | For                  | For                 |
| 4.5                | Approve Discharge of Supervisory Board<br>Member Erik Ehrentraut for Fiscal Year 2020 | Mgmt      | For      | For                  | For                 |
| 4.6                | Approve Discharge of Supervisory Board<br>Member Kim Fausing for Fiscal Year 2020     | Mgmt      | For      | For                  | For                 |
| 4.7                | Approve Discharge of Supervisory Board<br>Member Johannes Haede for Fiscal Year 2020  | Mgmt      | For      | For                  | For                 |
| 4.8                | Approve Discharge of Supervisory Board<br>Member Heike Haigis for Fiscal Year 2020    | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **SMA Solar Technology AG**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4.9                | Approve Discharge of Supervisory Board<br>Member Alexa Hergenroether for Fiscal Year<br>2020  | Mgmt      | For      | For                  | For                 |
| 4.10               | Approve Discharge of Supervisory Board<br>Member Uwe Kleinkauf for Fiscal Year 2020           | Mgmt      | For      | For                  | For                 |
| 4.11               | Approve Discharge of Supervisory Board<br>Member Ilonka Nussbaumer for Fiscal Year 2020       | Mgmt      | For      | For                  | For                 |
| 4.12               | Approve Discharge of Supervisory Board<br>Member Yvonne Siebert for Fiscal Year 2020          | Mgmt      | For      | For                  | For                 |
| 4.13               | Approve Discharge of Supervisory Board<br>Member Romy Siegert for Fiscal Year 2020            | Mgmt      | For      | For                  | For                 |
| 4.14               | Approve Discharge of Supervisory Board<br>Member Jan-Henrik Supady for Fiscal Year 2020       | Mgmt      | For      | For                  | For                 |
| 4.15               | Approve Discharge of Supervisory Board<br>Member Matthias Victor for Fiscal Year 2020         | Mgmt      | For      | For                  | For                 |
| 4.16               | Approve Discharge of Supervisory Board<br>Member Hans-Dieter Werner for Fiscal Year<br>2020   | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Deloitte GmbH as Auditors for Fiscal Year 2021   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Remuneration Policy   | Mgmt      | For      | Against              | Against             |
| 7                  | Approve Remuneration of Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 8                  | Amend Corporate Purpose   | Mgmt      | For      | For                  | For                 |
| 9                  | Amend Articles Re: Virtual General Meeting;<br>Proof of Entitlement                           | Mgmt      | For      | For                  | For                 |
| 10                 | Amend Articles Re: Deputy Chairman of the Supervisory Board; General Meeting Chairman         | Mgmt      | For      | For                  | For                 |
| 11                 | Authorize Share Repurchase Program and<br>Reissuance or Cancellation of Repurchased<br>Shares | Mgmt      | For      | For                  | For                 |

# **SolarEdge Technologies, Inc.**

**Meeting Date:** 01/06/2021 **Record Date:** 05/04/2021

Country: USA
Meeting Type: Annual

Primary Security ID: 83417M104

Ticker: SEDG

Shares Voted: 1,912

| Proposal<br>Number |                             | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Nadav Zafrir | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

# **SolarEdge Technologies, Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1b                 | Elect Director Avery More                                      | Mgmt      | For      | Against              | Against             |
| 1c                 | Elect Director Zivi Lando                                      | Mgmt      | For      | Against              | Against             |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **Alphabet Inc.**

**Meeting Date:** 02/06/2021 **Record Date:** 06/04/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 02079K305

Ticker: GOOGL

Shares Voted: 20,313

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Larry Page   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Sergey Brin  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Sundar Pichai  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director John L. Hennessy   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Frances H. Arnold  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director L. John Doerr  | Mgmt      | For      | Against              | Against             |
| 1g                 | Elect Director Roger W. Ferguson, Jr.   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Ann Mather   | Mgmt      | For      | Against              | Against             |
| <b>1</b> i         | Elect Director Alan R. Mulally  | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director K. Ram Shriram   | Mgmt      | For      | Against              | Against             |
| 1k                 | Elect Director Robin L. Washington  | Mgmt      | For      | Against              | Against             |
| 2                  | Ratify Ernst & Young LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Omnibus Stock Plan  | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Recapitalization Plan for all Stock to Have One-vote per Share  | SH        | Against  | For                  | For                 |
| 5                  | Require Independent Director Nominee with<br>Human and/or Civil Rights Experience                               | SH        | Against  | For                  | For                 |
| 6                  | Assess Feasibility of Including Sustainability as a<br>Performance Measure for Senior Executive<br>Compensation | SH        | Against  | For                  | For                 |
| 7                  | Report on Takedown Requests   | SH        | Against  | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Alphabet Inc.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 8                  | Report on Whistleblower Policies and Practices                            | SH        | Against  | For                  | For                 |
| 9                  | Report on Charitable Contributions  | SH        | Against  | Against              | Against             |
| 10                 | Report on Risks Related to Anticompetitive Practices                      | SH        | Against  | For                  | For                 |
| 11                 | Amend Certificate of Incorporation to Become a Public Benefit Corporation | SH        | Against  | Against              | Against             |

### **Ballard Power Systems Inc.**

**Meeting Date:** 02/06/2021 **Record Date:** 12/04/2021

**Country:** Canada **Meeting Type:** Annual Primary Security ID: 058586108

Ticker: BLDP

Shares Voted: 8,482

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1A                 | Elect Director Douglas P. Hayhurst   | Mgmt      | For      | For                  | For                 |
| 1B                 | Elect Director Kui (Kevin) Jiang   | Mgmt      | For      | For                  | For                 |
| 1C                 | Elect Director Duy-Loan Le   | Mgmt      | For      | For                  | For                 |
| 1D                 | Elect Director Randy MacEwen   | Mgmt      | For      | For                  | For                 |
| 1E                 | Elect Director Marty Neese   | Mgmt      | For      | For                  | For                 |
| 1F                 | Elect Director James Roche   | Mgmt      | For      | For                  | For                 |
| 1G                 | Elect Director Shaojun (Sherman) Sun                                       | Mgmt      | For      | For                  | For                 |
| 1H                 | Elect Director Janet Woodruff  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote on Executive Compensation Approach                           | Mgmt      | For      | For                  | For                 |
| 4                  | Re-approve Equity-Based Compensation Plans                                 | Mgmt      | For      | Against              | Against             |

## **Biogen Inc.**

**Meeting Date:** 02/06/2021 **Record Date:** 09/04/2021

Country: USA

Meeting Type: Annual

Ticker: BIIB

Primary Security ID: 09062X103

Reporting Period: 01/07/2020 to 30/06/2021

# Biogen Inc.

Shares Voted: 7,770

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Alexander J. Denner   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Caroline D. Dorsa   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Maria C. Freire   | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director William A. Hawkins  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director William D. Jones  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Nancy L. Learning   | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Jesus B. Mantas   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Richard C. Mulligan   | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Stelios Papadopoulos  | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Brian S. Posner   | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Eric K. Rowinsky  | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Stephen A. Sherwin  | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Michel Vounatsos  | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                                  | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive<br>Officers' Compensation              | Mgmt      | For      | Against              | Against             |
| 4                  | Amend Certificate of Incorporation to Add<br>Federal Forum Selection Provision | Mgmt      | For      | For                  | For                 |
| 5                  | Report on Lobbying Payments and Policy   | SH        | Against  | For                  | For                 |
| 6                  | Report on Gender Pay Gap   | SH        | Against  | For                  | For                 |

Shares Voted: 15,657

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Alexander J. Denner | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Caroline D. Dorsa   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Maria C. Freire     | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director William A. Hawkins  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director William D. Jones    | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Nancy L. Leaming    | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Jesus B. Mantas     | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# Biogen Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1h                 | Elect Director Richard C. Mulligan   | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Stelios Papadopoulos  | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Brian S. Posner   | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Eric K. Rowinsky  | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Stephen A. Sherwin  | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Michel Vounatsos  | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                                  | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation                 | Mgmt      | For      | Against              | Against             |
| 4                  | Amend Certificate of Incorporation to Add<br>Federal Forum Selection Provision | Mgmt      | For      | For                  | For                 |
| 5                  | Report on Lobbying Payments and Policy   | SH        | Against  | For                  | For                 |
| 6                  | Report on Gender Pay Gap   | SH        | Against  | For                  | For                 |

## **Comcast Corporation**

**Meeting Date:** 02/06/2021 **Record Date:** 05/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 20030N101

Ticker: CMCSA

Shares Voted: 469,832

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Kenneth J. Bacon                                | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Madeline S. Bell                                | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Naomi M. Bergman                                | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Edward D. Breen                                 | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Gerald L. Hassell                               | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Jeffrey A. Honickman                            | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Maritza G. Montiel                              | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Asuka Nakahara                                  | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director David C. Novak                                  | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Brian L. Roberts                                | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Comcast Corporation**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3                  | Ratify Deloitte & Touche LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 4                  | Report on Risks Posed by the Failing to Prevent Workplace Sexual Harassment | SH        | Against  | For                  | For                 |

# **CoStar Group, Inc.**

**Meeting Date:** 02/06/2021 **Record Date:** 07/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 22160N109

Ticker: CSGP

Shares Voted: 3,805

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Michael R. Klein                                | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Andrew C. Florance                              | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Laura Cox Kaplan                                | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Michael J. Glosserman                           | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director John W. Hill                                    | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Robert W. Musslewhite                           | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Christopher J. Nassetta                         | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Louise S. Sams                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 4                  | Increase Authorized Common Stock                               | Mgmt      | For      | For                  | For                 |
| 5                  | Amend Qualified Employee Stock Purchase Plan                   | Mgmt      | For      | For                  | For                 |
| 6                  | Eliminate Supermajority Vote Requirement                       | SH        | Against  | For                  | For                 |

## **Hess Corporation**

**Meeting Date:** 02/06/2021 **Record Date:** 12/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 42809H107

Ticker: HES

Reporting Period: 01/07/2020 to 30/06/2021

## **Hess Corporation**

Shares Voted: 41,546

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Terrence J. Checki                              | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Leonard S. Coleman, Jr.                         | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Joaquin Duato                                   | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director John B. Hess                                    | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Edith E. Holiday                                | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Marc S. Lipschultz                              | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director David McManus                                   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Kevin O. Meyers                                 | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Karyn F. Ovelmen                                | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director James H. Quigley                                | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director William G. Schrader                             | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |

# **Science Applications International Corporation**

**Meeting Date:** 02/06/2021 **Record Date:** 06/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 808625107

Ticker: SAIC

Shares Voted: 60,082

| Proposal<br>Number | Proposal Text                        | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Robert A. Bedingfield | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Carol A. Goode        | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Garth N. Graham       | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director John J. Hamre         | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Yvette M. Kanouff     | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Nazzic S. Keene       | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Timothy J. Mayopoulos | Mgmt      | For      | For                  | For                 |
|                    |                                      |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

# **Science Applications International Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1h                 | Elect Director Katharina G. McFarland                          | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Donna S. Morea                                  | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Steven R. Shane                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |

### **Vivint Smart Home, Inc.**

**Meeting Date:** 02/06/2021 **Record Date:** 05/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 928542109

Ticker: VVNT

Shares Voted: 4,317

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Peter F. Wallace                                | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

## **Adyen NV**

**Meeting Date:** 03/06/2021 **Record Date:** 06/05/2021

**Country:** Netherlands **Meeting Type:** Annual

Primary Security ID: N3501V104

Ticker: ADYEN

Shares Voted: 3,012

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2.b                | Approve Remuneration Report                           | Mgmt      | For      | For                  | For                 |
| 2.c                | Adopt Financial Statements and Statutory Reports      | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Management Board                 | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Discharge of Supervisory Board                | Mgmt      | For      | For                  | For                 |
| 5                  | Reelect Ingo Jeroen Uytdehaage to<br>Management Board | Mgmt      | For      | For                  | For                 |
| 6                  | Reelect Delfin Rueda Arroyo to Supervisory<br>Board   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Adyen NV**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 7                  | Grant Board Authority to Issue Shares Up to 10<br>Percent of Issued Capital | Mgmt      | For      | For                  | For                 |
| 8                  | Authorize Board to Exclude Preemptive Rights from Share Issuances           | Mgmt      | For      | For                  | For                 |
| 9                  | Authorize Repurchase of Up to 10 Percent of Issued Share Capital            | Mgmt      | For      | For                  | For                 |
| 10                 | Ratify PwC as Auditors  | Mgmt      | For      | For                  | For                 |

# **Akamai Technologies, Inc.**

**Meeting Date:** 03/06/2021 **Record Date:** 09/04/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 00971T101

Ticker: AKAM

Shares Voted: 103,948

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Sharon Bowen                                    | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Marianne Brown                                  | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Monte Ford                                      | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Jill Greenthal                                  | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Dan Hesse                                       | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Tom Killalea                                    | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Tom Leighton                                    | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Jonathan Miller                                 | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Madhu Ranganathan                               | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Ben Verwaayen                                   | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Bill Wagner                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |

## **Allegion plc**

**Meeting Date:** 03/06/2021 **Record Date:** 08/04/2021

Country: Ireland
Meeting Type: Annual

Primary Security ID: G0176J109

Ticker: ALLE

Reporting Period: 01/07/2020 to 30/06/2021

# **Allegion plc**

Shares Voted: 9,754

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Kirk S. Hachigian   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Steven C. Mizell  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Nicole Parent Haughey   | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director David D. Petratis   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Dean I. Schaffer  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Charles L. Szews  | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Dev Vardhan   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Martin E. Welch, III  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation                           | Mgmt      | For      | For                  | For                 |
| 3                  | Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration | Mgmt      | For      | Against              | Against             |
| 4                  | Renew the Board's Authority to Issue Shares<br>Under Irish Law                           | Mgmt      | For      | For                  | For                 |
| 5                  | Renew the Board's Authority to Opt-Out of<br>Statutory Pre-Emptions Rights               | Mgmt      | For      | For                  | For                 |

## **Booking Holdings Inc.**

**Meeting Date:** 03/06/2021 **Record Date:** 08/04/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 09857L108

Ticker: BKNG

Shares Voted: 3,894

| Proposal<br>Number | Proposal Text                          | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Timothy M. Armstrong    | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Glenn D. Fogel          | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Mirian M. Graddick-Weir | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Wei Hopeman             | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Robert J. Mylod, Jr.    | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Charles H. Noski        | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Nicholas J. Read        | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Thomas E. Rothman       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Booking Holdings Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.9                | Elect Director Bob van Dijk                                    | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Lynn M. Vojvodich                               | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Vanessa A. Wittman                              | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 5                  | Provide Right to Act by Written Consent                        | Mgmt      | For      | For                  | For                 |
| 6                  | Provide Right to Act by Written Consent                        | SH        | Against  | For                  | For                 |
| 7                  | Report on Annual Climate Transition                            | SH        | Against  | For                  | For                 |
| 8                  | Annual Investor Advisory Vote on Climate Plan                  | SH        | Against  | For                  | For                 |

Shares Voted: 4,210

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Timothy M. Armstrong                            | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Glenn D. Fogel                                  | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Mirian M. Graddick-Weir                         | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Wei Hopeman                                     | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Robert J. Mylod, Jr.                            | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Charles H. Noski                                | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Nicholas J. Read                                | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Thomas E. Rothman                               | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Bob van Dijk                                    | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Lynn M. Vojvodich                               | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Vanessa A. Wittman                              | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 5                  | Provide Right to Act by Written Consent                        | Mgmt      | For      | For                  | For                 |
| 6                  | Provide Right to Act by Written Consent                        | SH        | Against  | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Booking Holdings Inc.**

| Proposal<br>Number | Proposal Text                                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 7                  | Report on Annual Climate Transition           | SH        | Against  | For                  | For                 |
| 8                  | Annual Investor Advisory Vote on Climate Plan | SH        | Against  | For                  | For                 |

# Cloudflare, Inc.

Meeting Date: 03/06/2021 Record Date: 14/04/2021 Country: USA Meeting Type: Annual Primary Security ID: 18915M107

Ticker: NET

Shares Voted: 134,360

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Maria Eitel                                     | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Matthew Prince                                  | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Katrin Suder                                    | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Advisory Vote on Say on Pay Frequency                          | Mgmt      | One Year | One Year             | One Year            |

# Compagnie de Saint-Gobain SA

**Meeting Date:** 03/06/2021 **Record Date:** 01/06/2021

Country: France

Meeting Type: Annual/Special

**Primary Security ID:** F80343100

Ticker: SGO

Shares Voted: 33,883

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Statutory Reports               | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidated Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation of Income and Dividends of EUR 1.33 per Share | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Benoit Bazin as Director                                   | Mgmt      | For      | For                  | For                 |
| 5                  | Reelect Pamela Knapp as Director                                 | Mgmt      | For      | For                  | For                 |
| 6                  | Reelect Agnes Lemarchand as Director                             | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# Compagnie de Saint-Gobain SA

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 7                  | Reelect Gilles Schnepp as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Reelect Sibylle Daunis as Representative of<br>Employee Shareholders to the Board  | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Compensation of Pierre-Andre de<br>Chalendar, Chairman and CEO   | Mgmt      | For      | Against              | Against             |
| 10                 | Approve Compensation of Benoit Bazin, Vice-CEO   | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Compensation of Corporate Officers   | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Remuneration Policy of Chairman and CEO Until 30 June 2021   | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Remuneration Policy of Vice-CEO Until 30 June 2021   | Mgmt      | For      | For                  | For                 |
| 14                 | Approve Remuneration Policy of CEO Since 1 July 2021   | Mgmt      | For      | For                  | Abstain             |
| 15                 | Approve Remuneration Policy of Chairman of the Board Since 1 July 2021   | Mgmt      | For      | For                  | For                 |
| 16                 | Approve Remuneration Policy of Directors   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital   | Mgmt      | For      | For                  | For                 |
| 18                 | Authorize Issuance of Equity or Equity-Linked<br>Securities with Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 426 Million    | Mgmt      | For      | For                  | For                 |
| 19                 | Authorize Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 213 Million | Mgmt      | For      | For                  | For                 |
| 20                 | Approve Issuance of Equity or Equity-Linked<br>Securities for Private Placements up to<br>Aggregate Nominal Amount of EUR 213 Million      | Mgmt      | For      | For                  | For                 |
| 21                 | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Above            | Mgmt      | For      | For                  | For                 |
| 22                 | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind   | Mgmt      | For      | For                  | For                 |
| 23                 | Authorize Capitalization of Reserves of Up to EUR 106 Million for Bonus Issue or Increase in Par Value                                     | Mgmt      | For      | For                  | For                 |
| 24                 | Authorize Board to Set Issue Price for 10<br>Percent Per Year of Issued Capital Pursuant to<br>Issue Authority without Preemptive Rights   | Mgmt      | For      | For                  | For                 |
| 25                 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## Compagnie de Saint-Gobain SA

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 26                 | Authorize Decrease in Share Capital via<br>Cancellation of Repurchased Shares | Mgmt      | For      | For                  | For                 |
| 27                 | Authorize Filing of Required Documents/Other Formalities                      | Mgmt      | For      | For                  | For                 |

### **Forum Merger II Corporation**

Meeting Date: 03/06/2021 Record Date: 05/04/2021 Country: USA

**Primary Security ID:** 87663X102

Meeting Type: Annual

Ticker: TTCF

Shares Voted: 3,845

| Proposal<br>Number | Proposal Text                         | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Ryan Olohan            | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director David Boris            | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Marie Quintero-Johnson | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify BDO USA, LLP as Auditors       | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Omnibus Stock Plan              | Mgmt      | For      | For                  | For                 |

### **Hannon Armstrong Sustainable Infrastructure Capital, Inc.**

**Meeting Date:** 03/06/2021 **Record Date:** 08/04/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 41068X100

Ticker: HASI

Shares Voted: 2,839

| Proposal<br>Number | Proposal Text                         | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Jeffrey W. Eckel       | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Clarence D. Armbrister | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Teresa M. Brenner      | Mgmt      | For      | Against              | Against             |
| 1.4                | Elect Director Michael T. Eckhart     | Mgmt      | For      | Against              | Against             |
| 1.5                | Elect Director Nancy C. Floyd         | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Simone F. Lagomarsino  | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Charles M. O'Neil      | Mgmt      | For      | Against              | Against             |
| 1.8                | Elect Director Richard J. Osborne     | Mgmt      | For      | For                  | For                 |
|                    |                                       |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

# **Hannon Armstrong Sustainable Infrastructure Capital, Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.9                | Elect Director Steven G. Osgood                                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | Abstain             |

### **Informa Plc**

**Meeting Date:** 03/06/2021 **Record Date:** 01/06/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G4770L106

Ticker: INF

Shares Voted: 150,460

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Re-elect John Rishton as Director  | Mgmt      | For      | For                  | For                 |
| 2                  | Re-elect Stephen Carter as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Re-elect Stephen Davidson as Director  | Mgmt      | For      | Against              | Against             |
| 4                  | Re-elect David Flaschen as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Mary McDowell as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Patrick Martell as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Helen Owers as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Gill Whitehead as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Gareth Wright as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 12                 | Reappoint Deloitte LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 13                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Informa Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 19                 | Authorise the Company to Call General Meeting with Two Weeks' Notice | Mgmt      | For      | For                  | For                 |

# **Netflix, Inc.**

**Meeting Date:** 03/06/2021 **Record Date:** 08/04/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 64110L106

Ticker: NFLX

Shares Voted: 39,058

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Richard N. Barton                               | Mgmt      | For      | Withhold             | Withhold            |
| 1b                 | Elect Director Rodolphe Belmer                                 | Mgmt      | For      | Withhold             | Withhold            |
| 1c                 | Elect Director Bradford L. Smith                               | Mgmt      | For      | Withhold             | Withhold            |
| 1d                 | Elect Director Anne M. Sweeney                                 | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | Against              | Against             |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 4                  | Report on Political Contributions                              | SH        | Against  | For                  | For                 |
| 5                  | Adopt Simple Majority Vote                                     | SH        | Against  | For                  | For                 |
| 6                  | Improve the Executive Compensation Philosophy                  | SH        | Against  | For                  | For                 |
|                    | Shares Voted: 45 52  | 1         |          |                      |                     |

Shares Voted: 45,521

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Richard N. Barton                               | Mgmt      | For      | Withhold             | Withhold            |
| 1b                 | Elect Director Rodolphe Belmer                                 | Mgmt      | For      | Withhold             | Withhold            |
| 1c                 | Elect Director Bradford L. Smith                               | Mgmt      | For      | Withhold             | Withhold            |
| 1d                 | Elect Director Anne M. Sweeney                                 | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 4                  | Report on Political Contributions                              | SH        | Against  | For                  | For                 |
| 5                  | Adopt Simple Majority Vote                                     | SH        | Against  | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Netflix, Inc.**

| Proposal<br>Number | Proposal Text                                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 6                  | Improve the Executive Compensation Philosophy | SH        | Against  | Against              | Against             |

#### NIO Inc.

**Meeting Date:** 03/06/2021 **Record Date:** 07/05/2021

**Country:** Cayman Islands **Meeting Type:** Special

Primary Security ID: 62914V106

Ticker: NIO

Shares Voted: 38,395

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------|-----------|----------|----------------------|---------------------|
| 1                  | Amend Articles | Mamt      | For      | For                  | For                 |

## **NVIDIA Corporation**

**Meeting Date:** 03/06/2021 **Record Date:** 05/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 67066G104

Ticker: NVDA

Shares Voted: 73,860

| Proposal<br>Number | Proposal Text                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Robert K. Burgess    | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Tench Coxe           | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director John O. Dabiri       | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Persis S. Drell      | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Jen-Hsun Huang       | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Dawn Hudson          | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Harvey C. Jones      | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Michael G. McCaffery | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Stephen C. Neal      | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Mark L. Perry        | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director A. Brooke Seawell    | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Aarti Shah           | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Mark A. Stevens      | Mgmt      | For      | For                  | For                 |
|                    |                                     |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

# **NVIDIA Corporation**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 4                  | Increase Authorized Common Stock                               | Mgmt      | For      | For                  | For                 |

Shares Voted: 63,724

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Robert K. Burgess                               | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Tench Coxe                                      | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director John O. Dabiri                                  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Persis S. Drell                                 | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Jen-Hsun Huang                                  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Dawn Hudson                                     | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Harvey C. Jones                                 | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Michael G. McCaffery                            | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Stephen C. Neal                                 | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Mark L. Perry                                   | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director A. Brooke Seawell                               | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Aarti Shah                                      | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Mark A. Stevens                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 4                  | Increase Authorized Common Stock                               | Mgmt      | For      | For                  | For                 |

# **Silergy Corp.**

Meeting Date: 03/06/2021 Record Date: 01/04/2021 **Country:** Cayman Islands **Meeting Type:** Annual

**Primary Security ID:** G8190F102

Ticker: 6415

Reporting Period: 01/07/2020 to 30/06/2021

# **Silergy Corp.**

Shares Voted: 19,000

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Business Operations Report and Consolidated Financial Statements       | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Profit Distribution  | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Rules and Procedures for Election of Directors and Independent Directors | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Rules and Procedures Regarding<br>Shareholder's General Meeting          | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Restricted Stocks  | Mgmt      | For      | For                  | For                 |

# **Sirius XM Holdings Inc.**

**Meeting Date:** 03/06/2021 **Record Date:** 08/04/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 82968B103

Ticker: SIRI

Shares Voted: 425,510

| Proposal<br>Number | Proposal Text                        | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director David A. Blau         | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Eddy W. Hartenstein   | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Robin P. Hickenlooper | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director James P. Holden       | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Gregory B. Maffei     | Mgmt      | For      | Withhold             | Withhold            |
| 1.6                | Elect Director Evan D. Malone        | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director James E. Meyer        | Mgmt      | For      | Withhold             | Withhold            |
| 1.8                | Elect Director Jonelle Procope       | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Michael Rapino        | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Kristina M. Salen     | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Carl E. Vogel         | Mgmt      | For      | For                  | For                 |
| 1.12               | Elect Director Jennifer C. Witz      | Mgmt      | For      | For                  | For                 |
| 1.13               | Elect Director David M. Zaslav       | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify KPMG LLP as Auditors          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### Sunrun Inc.

**Meeting Date:** 03/06/2021 **Record Date:** 08/04/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 86771W105

Ticker: RUN

Shares Voted: 7,402

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Katherine August-deWilde  | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Gerald Risk   | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Sonita Lontoh   | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation                                 | Mgmt      | For      | For                  | Abstain             |
| 4                  | Report on the Impact of the Use of Mandatory<br>Arbitration on Employees and Workplace Culture | SH        | Against  | For                  | For                 |

# T-Mobile US, Inc.

**Meeting Date:** 03/06/2021 **Record Date:** 07/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 872590104

Ticker: TMUS

Shares Voted: 127,736

| Proposal<br>Number | Proposal Text                            | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Marcelo Claure            | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Srikant M. Datar          | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Bavan M. Holloway         | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Timotheus Hottges         | Mgmt      | For      | Withhold             | Withhold            |
| 1.5                | Elect Director Christian P. Illek        | Mgmt      | For      | Withhold             | Withhold            |
| 1.6                | Elect Director Raphael Kubler            | Mgmt      | For      | Withhold             | Withhold            |
| 1.7                | Elect Director Thorsten Langheim         | Mgmt      | For      | Withhold             | Withhold            |
| 1.8                | Elect Director Dominique Leroy           | Mgmt      | For      | Withhold             | Withhold            |
| 1.9                | Elect Director G. Michael (Mike) Sievert | Mgmt      | For      | Withhold             | Withhold            |
| 1.10               | Elect Director Teresa A. Taylor          | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Omar Tazi                 | Mgmt      | For      | Withhold             | Withhold            |
| 1.12               | Elect Director Kelvin R. Westbrook       | Mgmt      | For      | Withhold             | Withhold            |
| 1.13               | Elect Director Michael Wilkens           | Mgmt      | For      | Withhold             | Withhold            |

Reporting Period: 01/07/2020 to 30/06/2021

# T-Mobile US, Inc.

| Proposal<br>Number |   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors | Mgmt      | For      | For                  | For                 |

# **Trane Technologies Plc**

**Meeting Date:** 03/06/2021 **Record Date:** 08/04/2021

**Country:** Ireland **Meeting Type:** Annual

Primary Security ID: G8994E103

Ticker: TT

Shares Voted: 8,025

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Kirk E. Arnold  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Ann C. Berzin   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director John Bruton   | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Jared L. Cohon  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Gary D. Forsee  | Mgmt      | For      | For                  | For                 |
| <b>1</b> f         | Elect Director Linda P. Hudson   | Mgmt      | For      | For                  | For                 |
| <b>1</b> g         | Elect Director Michael W. Lamach   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Myles P. Lee  | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director April Miller Boise  | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Karen B. Peetz  | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director John P. Surma   | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Tony L. White   | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation                                     | Mgmt      | For      | For                  | For                 |
| 3                  | Approve PricewaterhouseCoopers LLP as<br>Auditors and Authorize Board to Fix Their<br>Remuneration | Mgmt      | For      | Against              | Against             |
| 4                  | Authorize Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 5                  | Renew Directors' Authority to Issue Shares for Cash  | Mgmt      | For      | For                  | For                 |
| 6                  | Authorize Reissuance of Repurchased Shares   | Mgmt      | For      | For                  | For                 |

## **Uniti Group Limited**

**Meeting Date:** 03/06/2021 **Record Date:** 01/06/2021

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q93293100

Ticker: UWL

Reporting Period: 01/07/2020 to 30/06/2021

# **Uniti Group Limited**

**Shares Voted:** 1,088,679

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Ratify Past Issuance of Shares to New and Existing Institutional Investors | Mgmt      | For      | For                  | For                 |
| 2a                 | Approve Issuance of Options to Graeme Barclay                              | Mgmt      | For      | Against              | Against             |
| 2b                 | Approve Issuance of Options to Kathryn Gramp                               | Mgmt      | For      | Against              | Against             |
| 2c                 | Approve Issuance of Options to John Lindsay                                | Mgmt      | For      | Against              | Against             |
| 2d                 | Approve Issuance of Options to Vaughan Bowen                               | Mgmt      | For      | Against              | Against             |
| 2e                 | Approve Issuance of Options to Michael Simmons                             | Mgmt      | For      | Against              | Against             |

Shares Voted: 571,355

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Ratify Past Issuance of Shares to New and Existing Institutional Investors | Mgmt      | For      | For                  | For                 |
| 2a                 | Approve Issuance of Options to Graeme Barclay                              | Mgmt      | For      | Against              | Against             |
| 2b                 | Approve Issuance of Options to Kathryn Gramp                               | Mgmt      | For      | Against              | Against             |
| 2c                 | Approve Issuance of Options to John Lindsay                                | Mgmt      | For      | Against              | Against             |
| 2d                 | Approve Issuance of Options to Vaughan Bowen                               | Mgmt      | For      | Against              | Against             |
| 2e                 | Approve Issuance of Options to Michael Simmons                             | Mgmt      | For      | Against              | Against             |

## Citrix Systems, Inc.

**Meeting Date:** 04/06/2021 **Record Date:** 06/04/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 177376100

Ticker: CTXS

 $\textbf{Shares Voted:}\ 15,\!885$ 

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Robert M. Calderoni | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Nanci E. Caldwell   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# Citrix Systems, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1c                 | Elect Director Murray J. Demo                                  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Ajei S. Gopal                                   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director David J. Henshall                               | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Thomas E. Hogan                                 | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Moira A. Kilcoyne                               | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Robert E. Knowling, Jr.                         | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Peter J. Sacripanti                             | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director J. Donald Sherman                               | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Adopt Simple Majority Vote                                     | SH        | None     | For                  | For                 |

# **GSX Techedu, Inc.**

**Meeting Date:** 04/06/2021 **Record Date:** 17/05/2021

Country: Cayman Islands

Meeting Type: Special

Primary Security ID: 36257Y109

Ticker: GOTU

Shares Voted: 32,352

| Propos<br>Numbe | al<br>r Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|-----------------|---|-----------|----------|----------------------|---------------------|
| 1               | Change Company Name to Gaotu Techedu Inc. | Mgmt      | For      | For                  | For                 |

### **Wipro Limited**

**Meeting Date:** 06/06/2021 **Record Date:** 26/04/2021

Country: India
Meeting Type: Special

Primary Security ID: Y96659142

**Ticker:** 507685

**Shares Voted:** 1,468,601

| Proposal<br>Number | Proposal Text                        | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Reelect Patrick J. Ennis as Director | Mgmt      | For      | For                  | For                 |
| 2                  | Reelect Patrick Dupuis as Director   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **UnitedHealth Group Incorporated**

Meeting Date: 07/06/2021 **Record Date:** 09/04/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 91324P102

Ticker: UNH

Shares Voted: 44,079

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Richard T. Burke                                     | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Timothy P. Flynn                                     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Stephen J. Hemsley                                   | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Michele J. Hooper                                    | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director F. William McNabb, III                               | Mgmt      | For      | For                  | For                 |
| <b>1</b> f         | Elect Director Valerie C. Montgomery Rice                           | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director John H. Noseworthy                                   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Gail R. Wilensky                                     | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Andrew Witty   | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation      | Mgmt      | For      | Against              | Against             |
| 3                  | Ratify Deloitte & Touche LLP as Auditors                            | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Qualified Employee Stock Purchase Plan                        | Mgmt      | For      | For                  | For                 |
| 5                  | Reduce Ownership Threshold for Shareholders to Call Special Meeting | SH        | Against  | For                  | For                 |

## MercadoLibre, Inc.

Meeting Date: 08/06/2021 **Record Date:** 12/04/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 58733R102

Ticker: MELI

Shares Voted: 5,126

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Nicolas Galperin                                | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Henrique Dubugras                               | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Deloitte & Co. S.A as Auditors                          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Novatek Microelectronics Corp.**

**Meeting Date:** 08/06/2021 **Record Date:** 09/04/2021

Country: Taiwan

Meeting Type: Annual

**Primary Security ID:** Y64153102

Ticker: 3034

Shares Voted: 149,000

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Business Report and Financial Statements   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Profit Distribution  | Mgmt      | For      | For                  | For                 |
| 3.1                | Elect T. S. Ho with Shareholder No. 6 as Non-independent Director  | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Steve Wang with Shareholder No. 8136 as Non-independent Director   | Mgmt      | For      | For                  | For                 |
| 3.3                | Elect Max Wu with ID No. D101448XXX as Non-independent Director  | Mgmt      | For      | Against              | Against             |
| 3.4                | Elect J.H. Chang with Shareholder No. 117738 as Non-independent Director   | Mgmt      | For      | Against              | Against             |
| 3.5                | Elect a Representative of United<br>Microelectronics Corp. (UMC) with Shareholder<br>No. 1 as Non-independent Director | Mgmt      | For      | Against              | Against             |
| 3.6                | Elect Jack Tsai with ID No. J100670XXX as Independent Director   | Mgmt      | For      | Against              | Against             |
| 3.7                | Elect Jack Liu with ID No. H101286XXX as Independent Director  | Mgmt      | For      | For                  | For                 |
| 3.8                | Elect TingTing Hwang (Madam) with ID No.<br>A227898XXX as Independent Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Release of Restrictions of Competitive<br>Activities of Newly Appointed Directors                              | Mgmt      | For      | For                  | For                 |

# **Realtek Semiconductor Corp.**

**Meeting Date:** 08/06/2021 **Record Date:** 09/04/2021

**Country:** Taiwan **Meeting Type:** Annual

**Primary Security ID:** Y7220N101

**Ticker:** 2379

Shares Voted: 116,000

| Proposal<br>Number | Proposal Text                                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Business Report and Financial Statements | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Profit Distribution                      | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Realtek Semiconductor Corp.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 3.1                | Elect Yeh Nan Horng, a Representative of Cotek<br>Pharmaceutical Industry Co., Ltd, with<br>Shareholder No. 256, as Non-Independent<br>Director | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Yeh Po Len, a Representative of Sonnen<br>Limited, with Shareholder No. 239637, as<br>Non-Independent Director                            | Mgmt      | For      | Against              | Against             |
| 3.3                | Elect Chiu Sun Chien, a Representative of<br>United Glory Co., Ltd, with Shareholder No.<br>65704, as Non-Independent Director                  | Mgmt      | For      | For                  | For                 |
| 3.4                | Elect Chen Kuo Jong, a Representative of United Glory Co., Ltd, with Shareholder No. 65704, as Non-Independent Director                         | Mgmt      | For      | Against              | Against             |
| 3.5                | Elect Huang Yung Fang, with Shareholder No. 4926, as Non-Independent Director   | Mgmt      | For      | Against              | Against             |
| 3.6                | Elect Yen Kuang Yu, with Shareholder No. 36744, as Non-Independent Director   | Mgmt      | For      | Against              | Against             |
| 3.7                | Elect Ni Shu Ching, with Shareholder No. 88, as Non-Independent Director  | Mgmt      | For      | Against              | Against             |
| 3.8                | Elect Tsai Tyau Chang, with ID No.<br>Q102343XXX, as Independent Director   | Mgmt      | For      | For                  | For                 |
| 3.9                | Elect Chen Fu Yen, with ID No. P100255XXX, as Independent Director  | Mgmt      | For      | For                  | For                 |
| 3.10               | Elect Lo Chun Pa, with ID No. J121210XXX, as Independent Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Release of Restrictions on Competitive<br>Activities of Newly Appointed Directors and<br>Representatives                                | Mgmt      | For      | For                  | For                 |

# **Taiwan Semiconductor Manufacturing Co., Ltd.**

**Meeting Date:** 08/06/2021 **Record Date:** 09/04/2021

Country: Taiwan

Meeting Type: Annual

**Primary Security ID:** Y84629107

Ticker: 2330

Shares Voted: 487,728

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Business Operations Report and Financial Statements             | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Amendment to Rules and Procedures for Election of Directors     | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Issuance of Restricted Stocks                                   | Mgmt      | For      | For                  | For                 |
| 4.1                | Elect Mark Liu, with Shareholder No. 10758, as Non-independent Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Taiwan Semiconductor Manufacturing Co., Ltd.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4.2                | Elect C.C. Wei, with Shareholder No. 370885, as Non-independent Director  | Mgmt      | For      | For                  | For                 |
| 4.3                | Elect F.C. Tseng, with Shareholder No. 104, as<br>Non-independent Director  | Mgmt      | For      | For                  | For                 |
| 4.4                | Elect Ming Hsin Kung. a Representative of<br>National Development Fund, Executive Yuan,<br>with Shareholder No. 1, as Non-independent<br>Director | Mgmt      | For      | For                  | For                 |
| 4.5                | Elect Peter L. Bonfield, with Shareholder No. 504512XXX, as Independent Director  | Mgmt      | For      | For                  | For                 |
| 4.6                | Elect Kok Choo Chen, with Shareholder No. A210358XXX, as Independent Director   | Mgmt      | For      | For                  | For                 |
| 4.7                | Elect Michael R. Splinter, with Shareholder No. 488601XXX, as Independent Director  | Mgmt      | For      | For                  | For                 |
| 4.8                | Elect Moshe N. Gavrielov, with Shareholder No. 505930XXX, as Independent Director   | Mgmt      | For      | For                  | For                 |
| 4.9                | Elect Yancey Hai, with Shareholder No. D100708XXX, as Independent Director  | Mgmt      | For      | For                  | For                 |
| 4.10               | Elect L. Rafael Reif, with Shareholder No. 545784XXX, as Independent Director   | Mgmt      | For      | For                  | For                 |

# The TJX Companies, Inc.

**Meeting Date:** 08/06/2021 **Record Date:** 09/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 872540109

Ticker: TJX

Shares Voted: 113,274

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Zein Abdalla        | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Jose B. Alvarez     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Alan M. Bennett     | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Rosemary T. Berkery | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director David T. Ching      | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director C. Kim Goodwin      | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Ernie Herrman       | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Michael F. Hines    | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Amy B. Lane         | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Carol Meyrowitz     | Mgmt      | For      | For                  | For                 |
|                    |                                    |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

# The TJX Companies, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1k                 | Elect Director Jackwyn L. Nemerov                              | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director John F. O'Brien                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers as Auditors                      | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | Against             |
| 4                  | Report on Animal Welfare                                       | SH        | Against  | For                  | For                 |
| 5                  | Report on Pay Disparity  | SH        | Against  | For                  | For                 |

# **United Microelectronics Corp.**

**Meeting Date:** 08/06/2021 **Record Date:** 09/04/2021

**Country:** Taiwan **Meeting Type:** Annual

Primary Security ID: Y92370108

Ticker: 2303

**Shares Voted:** 2,879,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Business Report and Financial Statements  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Profit Distribution   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Issuance Plan of Private Placement for<br>Common Shares, DRs or Euro/Domestic<br>Convertible Bonds                        | Mgmt      | For      | Against              | Against             |
| 4.1                | Elect Wenyi Chu with ID No. E221624XXX as Independent Director  | Mgmt      | For      | For                  | For                 |
| 4.2                | Elect Lih J. Chen with ID No. J100240XXX as Independent Director  | Mgmt      | For      | For                  | For                 |
| 4.3                | Elect Jyuo-Min Shyu with ID No. F102333XXX as Independent Director $$   | Mgmt      | For      | For                  | For                 |
| 4.4                | Elect Kuang Si Shiu with ID No. F102841XXX as Independent Director  | Mgmt      | For      | For                  | For                 |
| 4.5                | Elect Wen-Hsin Hsu with ID No. R222816XXX as Independent Director $$  | Mgmt      | For      | For                  | For                 |
| 4.6                | Elect Ting-Yu Lin with Shareholder No. 5015 as<br>Non-independent Director  | Mgmt      | For      | For                  | For                 |
| 4.7                | Elect Stan Hung with Shareholder No. 111699 as Non-independent Director   | Mgmt      | For      | For                  | For                 |
| 4.8                | Elect SC Chien, a Representative of Hsun Chieh<br>Investment Co., Ltd. with Shareholder No.<br>195818 as Non-independent Director | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **United Microelectronics Corp.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4.9                | Elect Jason Wang, a Representative of Silicon<br>Integrated Systems Corp. with Shareholder No.<br>1569628 as Non-independent Director | Mgmt      | For      | For                  | For                 |

# Workday, Inc.

**Meeting Date:** 08/06/2021 **Record Date:** 12/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 98138H101

Ticker: WDAY

Shares Voted: 16,932

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Aneel Bhusri                                    | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Ann-Marie Campbell                              | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director David A. Duffield                               | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Lee J. Styslinger, III                          | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | Abstain             |
| 4                  | Advisory Vote on Say on Pay Frequency                          | Mgmt      | One Year | One Year             | One Year            |

Shares Voted: 18,501

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Aneel Bhusri                                    | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Ann-Marie Campbell                              | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director David A. Duffield                               | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Lee J. Styslinger, III                          | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Advisory Vote on Say on Pay Frequency                          | Mgmt      | One Year | One Year             | One Year            |

Reporting Period: 01/07/2020 to 30/06/2021

# **Expedia Group, Inc.**

**Meeting Date:** 09/06/2021 **Record Date:** 12/04/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 30212P303

Ticker: EXPE

Shares Voted: 11,408

| Proposal<br>Number | Proposal Text                                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Samuel Altman                       | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Beverly Anderson                    | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Susan Athey                         | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Chelsea Clinton                     | Mgmt      | For      | Withhold             | Withhold            |
| 1e                 | Elect Director Barry Diller                        | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Craig Jacobson                      | Mgmt      | For      | Withhold             | Withhold            |
| 1h                 | Elect Director Peter Kern                          | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Dara Khosrowshahi                   | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Patricia Menendez-Cambo             | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Greg Mondre                         | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Alex von Furstenberg                | Mgmt      | For      | For                  | For                 |
| 1n                 | Elect Director Julie Whalen                        | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Qualified Employee Stock Purchase Plan       | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors               | Mgmt      | For      | For                  | For                 |
| 4                  | Report on Political Contributions and Expenditures | SH        | Against  | For                  | For                 |

# **Family Zone Cyber Safety Limited**

**Meeting Date:** 09/06/2021 **Record Date:** 07/06/2021

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q3689D105

Ticker: FZO

Shares Voted: 586,032

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Ratify Past Issuance of 12.28 Million Shares to Placement Participants       | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Past Issuance of 33.17 Million Shares to Placement Participants       | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Past Issuance of Shares to Netsweeper<br>Netherlands Cooperatief U.A. | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Family Zone Cyber Safety Limited**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4                  | Approve Grant of Performance Rights and<br>Director Options to Tim Levy                                 | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Grant of Performance Rights to Crispin Swan   | Mgmt      | For      | Against              | Against             |
| 6                  | Approve Grant of Director Options to Peter Pawlowitsch  | Mgmt      | For      | Against              | Against             |
| 7                  | Approve Amendment to the Terms of Existing Performance Rights Issued to Directors and Senior Executives | Mgmt      | For      | For                  | For                 |

### Iululemon athletica inc.

**Meeting Date:** 09/06/2021 **Record Date:** 13/04/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 550021109

Ticker: LULU

Shares Voted: 12,860

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Calvin McDonald                                    | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Martha Morfitt                                     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Emily White  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Kourtney Gibson                                    | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive<br>Officers' Compensation | Mgmt      | For      | For                  | For                 |

### **OneSpan Inc.**

**Meeting Date:** 09/06/2021 **Record Date:** 19/04/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 68287N100

Ticker: OSPN

Shares Voted: 37,562

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Marc D. Boroditsky | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Garry L. Capers    | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Scott M. Clements  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **OneSpan Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.4                | Elect Director John N. Fox, Jr.                                | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Jean K. Holley                                  | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Marianne Johnson                                | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Alfred Nietzel                                  | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Marc Zenner                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |

# Qualys, Inc.

**Meeting Date:** 09/06/2021 **Record Date:** 12/04/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 74758T303

Ticker: QLYS

Shares Voted: 94,372

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Jeffrey P. Hank                                 | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Sumedh S. Thakar                                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Grant Thornton LLP as Auditors                          | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Qualified Employee Stock Purchase                      | Mgmt      | For      | For                  | For                 |

# Resideo Technologies, Inc.

**Meeting Date:** 09/06/2021 **Record Date:** 14/04/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 76118Y104

Ticker: REZI

Shares Voted: 5,914

| Proposal<br>Number | Proposal Text                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Roger Fradin    | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Nina Richardson | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Andrew Teich    | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# Resideo Technologies, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1d                 | Elect Director Kareem Yusuf                                    | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 4                  | Provide Right to Act by Written Consent                        | SH        | Against  | For                  | For                 |

#### **WPP Plc**

**Meeting Date:** 09/06/2021 **Record Date:** 08/06/2021

Country: Jersey
Meeting Type: Annual

Primary Security ID: G9788D103

Ticker: WPP

Shares Voted: 128,253

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports          | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Compensation Committee Report                         | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Angela Ahrendts as Director                             | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Tom Ilube as Director                                   | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Ya-Qin Zhang as Director                                | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Jacques Aigrain as Director                          | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Sandrine Dufour as Director                          | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Tarek Farahat as Director                            | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Roberto Quarta as Director                           | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Mark Read as Director                                | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect John Rogers as Director                              | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Cindy Rose as Director                               | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Nicole Seligman as Director                          | Mgmt      | For      | For                  | For                 |
| 15                 | Re-elect Sally Susman as Director                             | Mgmt      | For      | For                  | For                 |
| 16                 | Re-elect Keith Weed as Director                               | Mgmt      | For      | For                  | For                 |
| 17                 | Re-elect Jasmine Whitbread as Director                        | Mgmt      | For      | For                  | For                 |
| 18                 | Reappoint Deloitte LLP as Auditors                            | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **WPP Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 20                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 24                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |

Shares Voted: 124,624

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports          | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Compensation Committee Report                         | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Angela Ahrendts as Director                             | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Tom Ilube as Director                                   | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Ya-Qin Zhang as Director                                | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Jacques Aigrain as Director                          | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Sandrine Dufour as Director                          | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Tarek Farahat as Director                            | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Roberto Quarta as Director                           | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Mark Read as Director                                | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect John Rogers as Director                              | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Cindy Rose as Director                               | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Nicole Seligman as Director                          | Mgmt      | For      | For                  | For                 |
| 15                 | Re-elect Sally Susman as Director                             | Mgmt      | For      | For                  | For                 |
| 16                 | Re-elect Keith Weed as Director                               | Mgmt      | For      | For                  | For                 |
| 17                 | Re-elect Jasmine Whitbread as Director                        | Mgmt      | For      | For                  | For                 |
| 18                 | Reappoint Deloitte LLP as Auditors                            | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity                                     | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **WPP Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 21                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 24                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |

## **Zix Corporation**

**Meeting Date:** 09/06/2021 **Record Date:** 12/04/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 98974P100

Ticker: ZIXI

Shares Voted: 101,076

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Mark J. Bonney                                  | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Marcy Campbell                                  | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Taher A. Elgamal                                | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director James H. Greene, Jr.                            | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Robert C. Hausmann                              | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Maribess L. Miller                              | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Brandon Van Buren                               | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director David J. Wagner                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Whitley Penn LLP as Auditors                            | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Omnibus Stock Plan                                     | Mgmt      | For      | For                  | For                 |

# **Dollar Tree, Inc.**

**Meeting Date:** 10/06/2021 **Record Date:** 09/04/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 256746108

Ticker: DLTR

Reporting Period: 01/07/2020 to 30/06/2021

# **Dollar Tree, Inc.**

Shares Voted: 24,173

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Arnold S. Barron                                | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Gregory M. Bridgeford                           | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Thomas W. Dickson                               | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Lemuel E. Lewis                                 | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Jeffrey G. Naylor                               | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Winnie Y. Park                                  | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Bob Sasser                                      | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Stephanie P. Stahl                              | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Carrie A. Wheeler                               | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Thomas E. Whiddon                               | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Michael A. Witynski                             | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Omnibus Stock Plan                                     | Mgmt      | For      | For                  | For                 |

# **Laybuy Group Holdings Ltd.**

**Meeting Date:** 10/06/2021 **Record Date:** 08/06/2021

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q5462M103

Ticker: LBY

Shares Voted: 119,379

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Ratify Past Issuance of Shares to Sophisticated and Professional Investors under the First Tranche of the Placement | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Issuance of Shares to Sophisticated and Professional Investors under the Second Tranche of the Placement    | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Issuance of Shares to Eligible Holders under the Share Purchase Plan  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Laybuy Group Holdings Ltd.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 4                  | Approve Issuance of Shares to Professional and<br>Sophisticated Investors under the Second<br>Tranche of the Placement and Issuance of<br>Shares under the Share Purchase Plan as a<br>'Major Transaction' | Mgmt      | For      | For                  | For                 |

# MediaTek, Inc.

**Meeting Date:** 10/06/2021 **Record Date:** 09/04/2021

**Country:** Taiwan **Meeting Type:** Annual

**Primary Security ID:** Y5945U103

Ticker: 2454

Shares Voted: 366,000

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Business Report and Financial<br>Statements                                | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Profit Distribution  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Cash Distribution from Capital Reserve                                     | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Restricted Stock Awards  | Mgmt      | For      | For                  | For                 |
| 5.1                | Elect Ming-Kai Tsai with Shareholder No. 1 as<br>Non-independent Director          | Mgmt      | For      | For                  | For                 |
| 5.2                | Elect Rick Tsai (Rick Tsa) with Shareholder No. 374487 as Non-independent Director | Mgmt      | For      | For                  | For                 |
| 5.3                | Elect Cheng-Yaw Sun with Shareholder No. 109274 as Non-independent Director        | Mgmt      | For      | For                  | For                 |
| 5.4                | Elect Kenneth Kin with ID No. F102831XXX as Non-independent Director               | Mgmt      | For      | For                  | For                 |
| 5.5                | Elect Joe Chen with Shareholder No. 157 as<br>Non-independent Director             | Mgmt      | For      | For                  | For                 |
| 5.6                | Elect Chung-Yu Wu with Shareholder No. 1512 as Independent Director                | Mgmt      | For      | For                  | For                 |
| 5.7                | Elect Peng-Heng Chang with ID No.<br>A102501XXX as Independent Director            | Mgmt      | For      | For                  | For                 |
| 5.8                | Elect Ming-Je Tang with ID No. A100065XXX as Independent Director                  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Release of Restrictions of Competitive Activities of Directors             | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## Rapid7, Inc.

**Meeting Date:** 10/06/2021 **Record Date:** 15/04/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 753422104

Ticker: RPD

Shares Voted: 99,692

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Michael Berry                                   | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Marc Brown                                      | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Christina Kosmowski                             | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

## Win Semiconductors Corp.

**Meeting Date:** 10/06/2021 **Record Date:** 09/04/2021

**Country:** Taiwan **Meeting Type:** Annual

**Primary Security ID:** Y9588T126

Ticker: 3105

Shares Voted: 82,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Business Operations Report and Financial Statements                           | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Amendments to Articles of Association   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Amendments to Rules and Procedures<br>Regarding Shareholder's General Meeting | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Amendment to Rules and Procedures for Election of Directors                   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Release of Restrictions of Competitive Activities of Directors                | Mgmt      | For      | For                  | For                 |

### **Delta Electronics, Inc.**

**Meeting Date:** 11/06/2021 **Record Date:** 12/04/2021

**Country:** Taiwan **Meeting Type:** Annual

**Primary Security ID:** Y20263102

Ticker: 2308

Reporting Period: 01/07/2020 to 30/06/2021

# **Delta Electronics, Inc.**

Shares Voted: 69,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Profit Distribution   | Mgmt      | For      | For                  | For                 |
| 3.1                | Elect YANCEY HAI, with SHAREHOLDER<br>NO.38010, as Non-Independent Director | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect MARK KO, with Shareholder No. 15314, as Non-Independent Director      | Mgmt      | For      | For                  | For                 |
| 3.3                | Elect BRUCE CH CHENG, with SHAREHOLDER NO.1, as Non-Independent Director    | Mgmt      | For      | For                  | For                 |
| 3.4                | Elect PING CHENG, with SHAREHOLDER NO.43, as Non-Independent Director       | Mgmt      | For      | For                  | For                 |
| 3.5                | Elect SIMON CHANG, with SHAREHOLDER NO.19, as Non-Independent Director      | Mgmt      | For      | For                  | For                 |
| 3.6                | Elect VICTOR CHENG, with SHAREHOLDER NO.44, as Non-Independent Director     | Mgmt      | For      | For                  | For                 |
| 3.7                | Elect JI-REN LEE, with ID NO.Y120143XXX, as Independent Director            | Mgmt      | For      | For                  | For                 |
| 3.8                | Elect SHYUE-CHING LU, with ID<br>NO.H100330XXX, as Independent Director     | Mgmt      | For      | For                  | For                 |
| 3.9                | Elect ROSE TSOU, with ID NO.E220471XXX, as Independent Director             | Mgmt      | For      | For                  | For                 |
| 3.10               | Elect JACK J.T. HUANG, with ID<br>NO.A100320XXX, as Independent Director    | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Release of Restrictions of Competitive Activities of Directors      | Mgmt      | For      | For                  | For                 |

# FireEye, Inc.

**Meeting Date:** 11/06/2021 **Record Date:** 13/04/2021

Country: USA
Meeting Type: Annual

Primary Security ID: 31816Q101

Ticker: FEYE

Shares Voted: 510,425

| Proposal<br>Number | Proposal Text                            | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Sara C. Andrews           | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Adrian McDermott          | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Robert E. Switz           | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Deloitte & Touche LLP as Auditors | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# FireEye, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **Marley Spoon AG**

Meeting Date: 11/06/2021 Record Date: 04/06/2021 **Country:** Germany **Meeting Type:** Annual

Primary Security ID: D5S92J102

Ticker: MMM

Shares Voted: 460,477

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Discharge of Management Board for the Financial Year 2020   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Supervisory Board for the Financial Year 2020  | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Ernst & Young GmbH as Auditors for Financial Year 2021   | Mgmt      | For      | For                  | For                 |
| 5a                 | Elect Deena Robyn Shiff as Director   | Mgmt      | For      | Against              | Against             |
| 5b                 | Elect Roy Perticucci as Director  | Mgmt      | For      | For                  | For                 |
| 5c                 | Elect Kim Elizabeth Winifred Anderson as Director   | Mgmt      | For      | Against              | Against             |
| 5d                 | Elect Robin Low as Director   | Mgmt      | For      | Against              | Against             |
| 6                  | Approve Remuneration of Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Share Option Program 2021; Approve<br>Creation EUR 2,165 Pool of Conditional Capital<br>to Guarantee Conversion Rights  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Issuance of Shares for a Private<br>Placement to W23 Investments Pty Limited  | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Creation of Authorized Capital 2021/II under the Restricted Stock Unit Program 2021/I and the Corresponding Amendment of Section 3 Paragraph 15 of the Constitution   | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Creation of Authorized Capital 2021/III under the Restricted Stock Unit Program 2021/II and the Corresponding Amendment of Section 3 Paragraph 16 of the Constitution | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Cancellation of Existing Conditional<br>Capital 2019/I and the Corresponding<br>Amendment of Section 3 Paragraph 6 of the<br>Constitution                             | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Marley Spoon AG**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 12                 | Approve Cancellation of Existing Conditional<br>Capital 2019/II and the Corresponding<br>Amendment of Section 3 Paragraph 7 of the<br>Constitution | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Cancellation of Existing Authorized<br>Capital 2020/II and the Corresponding<br>Amendment of Section 3 Paragraph 11 of the<br>Constitution | Mgmt      | For      | For                  | For                 |
| 14                 | Approve Share Option Program 2021 and Issuance of Securities Under that Program  | Mgmt      | For      | For                  | For                 |
| 15                 | Approve Grant of Share Options to Fabian Siegel  | Mgmt      | For      | For                  | For                 |
| 16                 | Approve Restricted Stock Unit Program 2021 and Issue of Securities Under that Program  | Mgmt      | For      | For                  | For                 |
| 17                 | Ratify Past Issuance of Placement CDIs to Certain Sophisticated and Institutional Investors  | Mgmt      | For      | For                  | For                 |

Shares Voted: 282,558

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Approve Discharge of Management Board for the Financial Year 2020   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Supervisory Board for the Financial Year 2020  | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Ernst & Young GmbH as Auditors for Financial Year 2021   | Mgmt      | For      | For                  | For                 |
| 5a                 | Elect Deena Robyn Shiff as Director   | Mgmt      | For      | For                  | For                 |
| 5b                 | Elect Roy Perticucci as Director  | Mgmt      | For      | For                  | For                 |
| 5c                 | Elect Kim Elizabeth Winifred Anderson as Director   | Mgmt      | For      | For                  | For                 |
| 5d                 | Elect Robin Low as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Remuneration of Supervisory Board   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Share Option Program 2021; Approve<br>Creation EUR 2,165 Pool of Conditional Capital<br>to Guarantee Conversion Rights  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Issuance of Shares for a Private<br>Placement to W23 Investments Pty Limited  | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Creation of Authorized Capital 2021/II under the Restricted Stock Unit Program 2021/I and the Corresponding Amendment of Section 3 Paragraph 15 of the Constitution | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Marley Spoon AG**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 10                 | Approve Creation of Authorized Capital 2021/III under the Restricted Stock Unit Program 2021/II and the Corresponding Amendment of Section 3 Paragraph 16 of the Constitution | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Cancellation of Existing Conditional<br>Capital 2019/I and the Corresponding<br>Amendment of Section 3 Paragraph 6 of the<br>Constitution                             | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Cancellation of Existing Conditional<br>Capital 2019/II and the Corresponding<br>Amendment of Section 3 Paragraph 7 of the<br>Constitution                            | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Cancellation of Existing Authorized Capital 2020/II and the Corresponding Amendment of Section 3 Paragraph 11 of the Constitution                                     | Mgmt      | For      | For                  | For                 |
| 14                 | Approve Share Option Program 2021 and Issuance of Securities Under that Program   | Mgmt      | For      | For                  | For                 |
| 15                 | Approve Grant of Share Options to Fabian Siegel   | Mgmt      | For      | For                  | For                 |
| 16                 | Approve Restricted Stock Unit Program 2021 and Issue of Securities Under that Program   | Mgmt      | For      | For                  | For                 |
| 17                 | Ratify Past Issuance of Placement CDIs to Certain Sophisticated and Institutional Investors   | Mgmt      | For      | For                  | For                 |

## **Micro-Star International Co., Ltd.**

**Meeting Date:** 11/06/2021 **Record Date:** 12/04/2021

**Country:** Taiwan **Meeting Type:** Annual

Primary Security ID: Y6037M108

Ticker: 2377

 $\textbf{Shares Voted:}\ 175,000$ 

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Plan on Profit Distribution   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Amendments to Rules and Procedures<br>Regarding Shareholder's General Meeting | Mgmt      | For      | For                  | For                 |
| 4.1                | Elect HSU, HSIANG, with Shareholder No. 1, as Non-independent Director                | Mgmt      | For      | For                  | For                 |
| 4.2                | Elect HUANG, CHIN-CHING, with Shareholder No. 5, as Non-independent Director          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Micro-Star International Co., Ltd.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 4.3                | Elect YU, HSIEN-NENG, with Shareholder No. 9, as Non-independent Director                | Mgmt      | For      | Against              | Against             |
| 4.4                | Elect LIN, WEN-TUNG, with Shareholder No. 10, as Non-independent Director                | Mgmt      | For      | Against              | Against             |
| 4.5                | Elect KUO, HSU-KUANG, with Shareholder No. 99, as Non-independent Director               | Mgmt      | For      | Against              | Against             |
| 4.6                | Elect LIAO, CHUN-KENG, with Shareholder No. 492, as Non-independent Director             | Mgmt      | For      | Against              | Against             |
| 4.7                | Elect HUNG, YU-SHENG, with Shareholder No. 11864, as Non-independent Director            | Mgmt      | For      | Against              | Against             |
| 4.8                | Elect CHEN, TE-LING, with ID No. A22412****<br>(A224121XXX), as Non-independent Director | Mgmt      | For      | Against              | Against             |
| 4.9                | Elect HSU, JUN-SHYAN with Shareholder No. 26 as Independent Director                     | Mgmt      | For      | For                  | For                 |
| 4.10               | Elect HSU, KAO-SHAN with Shareholder No. 461 as Independent Director                     | Mgmt      | For      | For                  | For                 |
| 4.11               | Elect LIU, CHENG-YI with ID No. P12021****<br>(P120217XXX) as Independent Director       | Mgmt      | For      | For                  | For                 |

## Regeneron Pharmaceuticals, Inc.

**Meeting Date:** 11/06/2021 **Record Date:** 13/04/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 75886F107

Ticker: REGN

Shares Voted: 3,801

| Proposal<br>Number | Proposal Text                                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director N. Anthony Coles               | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Arthur F. Ryan                 | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director George L. Sing                 | Mgmt      | For      | Against              | Against             |
| 1d                 | Elect Director Marc Tessier-Lavigne           | Mgmt      | For      | Against              | Against             |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

Shares Voted: 10,821

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director N. Anthony Coles | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Arthur F. Ryan   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## Regeneron Pharmaceuticals, Inc.

| Proposal<br>Number | Proposal Text                                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1c                 | Elect Director George L. Sing                 | Mgmt      | For      | Against              | Against             |
| 1d                 | Elect Director Marc Tessier-Lavigne           | Mgmt      | For      | Against              | Against             |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors | Mgmt      | For      | For                  | For                 |

#### Sezzle Inc.

**Meeting Date:** 11/06/2021 **Record Date:** 07/05/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** U8180P106

Ticker: SZL

Shares Voted: 131,268

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2                  | Elect Charlie Youakim as Director   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Paul Victor Paradis as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Kathleen Pierce-Gilmore as Director   | Mgmt      | For      | Abstain              | Abstain             |
| 5                  | Elect Paul Purcell as Director  | Mgmt      | For      | Abstain              | Abstain             |
| 6                  | Elect Paul Alan Lahiff as Director  | Mgmt      | For      | Abstain              | Abstain             |
| 7                  | Elect Michael Cutter as Director  | Mgmt      | For      | Abstain              | Abstain             |
| 8                  | Ratify Past Issuance of Placement CDIs to<br>Institutions and Other Sophisticated and<br>Professional Investors, Including Existing<br>Stockholders | Mgmt      | For      | For                  | For                 |
| 9                  | Ratify Past Issuance of Options and Restricted Stock Units to Various Employees   | Mgmt      | For      | For                  | For                 |
| 10                 | Approve 2021 Equity Incentive Plan  | Mgmt      | None     | For                  | For                 |
| 11                 | Approve Issuance of Restricted Stock Units and<br>Performance-based Restricted Stock Units to<br>Charlie Youakim                                    | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Issuance of Restricted Stock Units and<br>Performance-based Restricted Stock Units to<br>Paul Victor Paradis                                | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Amendment to Certificate of Incorporation   | Mgmt      | For      | Against              | Against             |

## **Blink Charging Co.**

**Meeting Date:** 15/06/2021 **Record Date:** 27/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 09354A100

Ticker: BLNK

Reporting Period: 01/07/2020 to 30/06/2021

# **Blink Charging Co.**

Shares Voted: 3,030

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Michael D. Farkas                               | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Brendan S. Jones                                | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Louis R. Buffalino                              | Mgmt      | For      | Withhold             | Withhold            |
| 1.4                | Elect Director Jack Levine                                     | Mgmt      | For      | Withhold             | Withhold            |
| 1.5                | Elect Director Kenneth R. Marks                                | Mgmt      | For      | Withhold             | Withhold            |
| 1.6                | Elect Director Ritsaart J.M. van Montfrans                     | Mgmt      | For      | Withhold             | Withhold            |
| 1.7                | Elect Director Carmen M. Perez-Carlton                         | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Marcum LLP as Auditors                                  | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |

## eBay Inc.

**Meeting Date:** 15/06/2021 **Record Date:** 19/04/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 278642103

Ticker: EBAY

Shares Voted: 80,391

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Anthony J. Bates  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Adriane M. Brown  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Diana Farrell     | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Logan D. Green    | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Bonnie S. Hammer  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director E. Carol Hayles   | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Jamie Iannone     | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Kathleen C. Mitic | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Matthew J. Murphy | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Paul S. Pressler  | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Mohak Shroff      | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Robert H. Swan    | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# eBay Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1m                 | Elect Director Perry M. Traquina                               | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Improve Principles of Executive Compensation Program           | SH        | Against  | For                  | For                 |
| 5                  | Provide Right to Act by Written Consent                        | SH        | Against  | For                  | For                 |

Shares Voted: 69,937

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Anthony J. Bates                                | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Adriane M. Brown                                | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Diana Farrell                                   | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Logan D. Green                                  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Bonnie S. Hammer                                | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director E. Carol Hayles                                 | Mgmt      | For      | For                  | For                 |
| <b>1</b> g         | Elect Director Jamie Iannone                                   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Kathleen C. Mitic                               | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Matthew J. Murphy                               | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Paul S. Pressler                                | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Mohak Shroff                                    | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Robert H. Swan                                  | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Perry M. Traquina                               | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Improve Principles of Executive Compensation<br>Program        | SH        | Against  | Against              | Against             |
| 5                  | Provide Right to Act by Written Consent                        | SH        | Against  | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **EVRAZ Pic**

**Meeting Date:** 15/06/2021 **Record Date:** 11/06/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G33090104

Ticker: EVR

Shares Voted: 60,519

| Proposal |  |           |          | Voting     | Vote        |
|----------|--|-----------|----------|------------|-------------|
| Number   | Proposal Text  | Proponent | Mgmt Rec | Policy Rec | Instruction |
| 1        | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For        | For         |
| 2        | Approve Remuneration Report  | Mgmt      | For      | For        | For         |
| 3        | Re-elect Alexander Abramov as Director   | Mgmt      | For      | Against    | Against     |
| 4        | Re-elect Alexander Frolov as Director  | Mgmt      | For      | For        | For         |
| 5        | Re-elect Eugene Shvidler as Director   | Mgmt      | For      | For        | For         |
| 6        | Re-elect Eugene Tenenbaum as Director  | Mgmt      | For      | For        | For         |
| 7        | Re-elect Karl Gruber as Director   | Mgmt      | For      | For        | For         |
| 8        | Re-elect Deborah Gudgeon as Director   | Mgmt      | For      | For        | For         |
| 9        | Re-elect Alexander Izosimov as Director  | Mgmt      | For      | Against    | Against     |
| 10       | Re-elect Sir Michael Peat as Director  | Mgmt      | For      | For        | For         |
| 11       | Elect Stephen Odell as Director  | Mgmt      | For      | For        | For         |
| 12       | Elect James Rutherford as Director   | Mgmt      | For      | For        | For         |
| 13       | Elect Sandra Stash as Director   | Mgmt      | For      | For        | For         |
| 14       | Reappoint Ernst & Young LLP as Auditors  | Mgmt      | For      | For        | For         |
| 15       | Authorise the Audit Committee to Fix<br>Remuneration of Auditors   | Mgmt      | For      | For        | For         |
| 16       | Authorise Issue of Equity  | Mgmt      | For      | For        | For         |
| 17       | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For        | For         |
| 18       | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For        | For         |
| 19       | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For        | For         |
| 20       | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For        | For         |

### **Match Group, Inc.**

**Meeting Date:** 15/06/2021 **Record Date:** 16/04/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 57667L107

Ticker: MTCH

Reporting Period: 01/07/2020 to 30/06/2021

# Match Group, Inc.

Shares Voted: 27,645

| Proposal<br>Number | Proposal Text                                  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Wendi Murdoch                   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Glenn H. Schiffman              | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Pamela S. Seymon                | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Qualified Employee Stock Purchase Plan | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Ernst & Young LLP as Auditors           | Mgmt      | For      | For                  | For                 |

#### **Monster Beverage Corporation**

**Meeting Date:** 15/06/2021 **Record Date:** 22/04/2021

Country: USA

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**Primary Security ID:** 61174X109

Meeting Type: Annual

Ticker: MNST

Shares Voted: 54,260

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Rodney C. Sacks                                 | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Hilton H. Schlosberg                            | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Mark J. Hall                                    | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director James L. Dinkins                                | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Gary P. Fayard                                  | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Jeanne P. Jackson                               | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Steven G. Pizula                                | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Benjamin M. Polk                                | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Mark S. Vidergauz                               | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Annual Vote and Report on Climate Change                       | SH        | Against  | For                  | For                 |

#### **Proofpoint, Inc.**

**Meeting Date:** 15/06/2021 **Record Date:** 15/04/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 743424103

Ticker: PFPT

Reporting Period: 01/07/2020 to 30/06/2021

### **Proofpoint, Inc.**

Shares Voted: 82,191

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Dana Evan                                       | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Kristen Gil                                     | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Gary Steele                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |

### Altice USA, Inc.

**Meeting Date:** 16/06/2021 **Record Date:** 19/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 02156K103

Ticker: ATUS

Shares Voted: 33,729

| Proposal Text                    | Proponent   | Mgmt Rec   | Voting<br>Policy Rec  | Vote<br>Instruction  |
|----------------------------------|---|--|---|--|
| Elect Director Patrick Drahi     | Mgmt  | For  | Against   | Against  |
| Elect Director Gerrit Jan Bakker | Mgmt  | For  | Against   | Against  |
| Elect Director David Drahi       | Mgmt  | For  | Against   | Against  |
| Elect Director Dexter Goei       | Mgmt  | For  | Against   | Against  |
| Elect Director Mark Mullen       | Mgmt  | For  | Against   | Against  |
| Elect Director Dennis Okhuijsen  | Mgmt  | For  | Against   | Against  |
| Elect Director Charles Stewart   | Mgmt  | For  | Against   | Against  |
| Elect Director Raymond Svider    | Mgmt  | For  | Against   | Against  |
| Ratify KPMG LLP as Auditors      | Mgmt  | For  | For   | For  |
|                                  | Elect Director Patrick Drahi Elect Director Gerrit Jan Bakker Elect Director David Drahi Elect Director Dexter Goei Elect Director Mark Mullen Elect Director Dennis Okhuijsen Elect Director Charles Stewart Elect Director Raymond Svider | Elect Director Patrick Drahi Mgmt  Elect Director Gerrit Jan Bakker Mgmt  Elect Director David Drahi Mgmt  Elect Director Dexter Goei Mgmt  Elect Director Mark Mullen Mgmt  Elect Director Dennis Okhuijsen Mgmt  Elect Director Charles Stewart Mgmt  Elect Director Raymond Svider Mgmt | Elect Director Patrick Drahi Mgmt For Elect Director Gerrit Jan Bakker Mgmt For Elect Director David Drahi Mgmt For Elect Director Dexter Goei Mgmt For Elect Director Mark Mullen Mgmt For Elect Director Dennis Okhuijsen Mgmt For Elect Director Charles Stewart Mgmt For Elect Director Raymond Svider Mgmt For | Proposal TextProponentMgmt RecPolicy RecElect Director Patrick DrahiMgmtForAgainstElect Director Gerrit Jan BakkerMgmtForAgainstElect Director David DrahiMgmtForAgainstElect Director Dexter GoeiMgmtForAgainstElect Director Mark MullenMgmtForAgainstElect Director Dennis OkhuijsenMgmtForAgainstElect Director Charles StewartMgmtForAgainstElect Director Raymond SviderMgmtForAgainst |

#### Autodesk, Inc.

**Meeting Date:** 16/06/2021 **Record Date:** 19/04/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 052769106

Ticker: ADSK

Reporting Period: 01/07/2020 to 30/06/2021

# Autodesk, Inc.

Shares Voted: 25,590

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Andrew Anagnost                                 | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Karen Blasing                                   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Reid French                                     | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Ayanna Howard                                   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Blake Irving                                    | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Mary T. McDowell                                | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Stephen Milligan                                | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Lorrie M. Norrington                            | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Elizabeth (Betsy) Rafael                        | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Stacy J. Smith                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

Shares Voted: 22,600

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Andrew Anagnost                                 | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Karen Blasing                                   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Reid French                                     | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Ayanna Howard                                   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Blake Irving                                    | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Mary T. McDowell                                | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Stephen Milligan                                | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Lorrie M. Norrington                            | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Elizabeth (Betsy) Rafael                        | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Stacy J. Smith                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Best Buy Co., Inc.**

**Meeting Date:** 16/06/2021 **Record Date:** 19/04/2021

Country: USA

Primary Security ID: 086516101

Meeting Type: Annual

Ticker: BBY

Shares Voted: 23,014

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Corie S. Barry                                  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Lisa M. Caputo                                  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director J. Patrick Doyle                                | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director David W. Kenny                                  | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Mario J. Marte                                  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Karen A. McLoughlin                             | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Thomas L. "Tommy" Millner                       | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Claudia F. Munce                                | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Richelle P. Parham                              | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Steven E. Rendle                                | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Eugene A. Woods                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 4                  | Provide Right to Act by Written Consent                        | SH        | Against  | For                  | For                 |

# Japan Exchange Group, Inc.

**Meeting Date:** 16/06/2021 **Record Date:** 31/03/2021

Country: Japan Meeting Type: Annual **Primary Security ID:** J2740B106

Ticker: 8697

Shares Voted: 55,800

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Tsuda, Hiroki       | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Kiyota, Akira       | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Yamaji, Hiromi      | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Iwanaga, Moriyuki   | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Shizuka, Masaki     | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Christina Ahmadjian | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Japan Exchange Group, Inc.**

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1.7                | Elect Director Endo, Nobuhiro    | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Ogita, Hitoshi    | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Koda, Main        | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Kobayashi, Eizo   | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Takeno, Yasuzo    | Mgmt      | For      | For                  | For                 |
| 1.12               | Elect Director Minoguchi, Makoto | Mgmt      | For      | For                  | For                 |
| 1.13               | Elect Director Mori, Kimitaka    | Mgmt      | For      | For                  | For                 |
| 1.14               | Elect Director Yoneda, Tsuyoshi  | Mgmt      | For      | For                  | For                 |

#### **NetLinkz Limited**

**Meeting Date:** 16/06/2021 **Record Date:** 14/06/2021

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q6S25M101

Ticker: NET

**Shares Voted:** 4,684,313

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Ratify Past Issuance of 4.06 Million Options to<br>Everblu Capital Pty Ltd | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Past Issuance of 3.50 Million Shares to<br>Everblu Capital Pty Ltd  | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Past Issuance of 8 Million Shares to<br>Everblu Capital Pty Ltd     | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Past Issuance of 8.12 Million Shares to<br>Everblu Capital Pty Ltd  | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of Shares to Akuna Finance Pty Ltd                    | Mgmt      | For      | For                  | For                 |
| 6                  | Ratify Past Issuance of Shares to Trevor Nairn                             | Mgmt      | For      | For                  | For                 |
| 7                  | Ratify Past Issuance of Shares to ARIE Manager Pty Ltd                     | Mgmt      | For      | For                  | For                 |
| 8                  | Ratify Past Issuance of Shares to Atlantic Capital Holdings Pty Ltd        | Mgmt      | For      | For                  | For                 |
| 9                  | Ratify Past Issuance of Shares to Sophisticated and Professional Investors | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Issuance of Related Party Options to Grant Booker                  | Mgmt      | None     | Against              | Against             |
| 11                 | Approve Issuance of Related Party Options to Stephen Gibbs                 | Mgmt      | None     | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

#### **NetLinkz Limited**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 12                 | Ratify Past Issuance of 28.35 Million Shares to a Nominee of Everblu Capital Pty Ltd   | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Cancellation of Series O34 Unlisted<br>Options and Issuance of Shares to the Option<br>Holders Holding Series NET O34 Options                                | Mgmt      | For      | For                  | For                 |
| 14                 | Approve Cancellation of Series O35 Unlisted<br>Options and Issuance of Shares to the Option<br>Holders Holding Series NET O35 Options                                | Mgmt      | For      | For                  | For                 |
| 15                 | Approve Cancellation of Series O42 Unlisted<br>Options and Issuance of Shares to the Option<br>Holders Holding Series NET O42 Options                                | Mgmt      | For      | For                  | For                 |
| 16                 | Approve Cancellation of Series O43 Unlisted<br>Options and Issuance of Shares to the Option<br>Holders Holding Series NET O43 Options                                | Mgmt      | For      | For                  | For                 |
| 17                 | Approve Cancellation of Series O47 Unlisted<br>Options and Issuance of Shares to the Option<br>Holders Holding Series NET O47 Options                                | Mgmt      | For      | For                  | For                 |
| 18                 | Approve Issuance of Shares to Participants in<br>the Placement Who Had Rights to Receive NET<br>O48 Options and Who Have Agreed to the<br>Termination of Such Rights | Mgmt      | For      | For                  | For                 |
| 19                 | Approve Issuance of Options to Sophisticated and Professional Investors  | Mgmt      | For      | For                  | For                 |
| 20                 | Approve Replacement of Constitution  | Mgmt      | For      | For                  | For                 |
| 21                 | Approve Netlinkz Employee Incentive Plan   | Mgmt      | For      | For                  | For                 |

## **Pushpay Holdings Limited**

**Meeting Date:** 16/06/2021 **Record Date:** 14/06/2021

**Country:** New Zealand **Meeting Type:** Annual

**Primary Security ID:** Q7778F145

Ticker: PPH

Shares Voted: 307,420

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Elect Lorraine Witten as Director                   | Mgmt      | For      | For                  | For                 |
| 2                  | Authorize Board to Fix Remuneration of the Auditors | Mgmt      | For      | For                  | For                 |

#### **Toyota Motor Corp.**

Meeting Date: 16/06/2021 Record Date: 31/03/2021 Country: Japan Meeting Type: Annual Primary Security ID: J92676113

**Ticker:** 7203

Reporting Period: 01/07/2020 to 30/06/2021

### **Toyota Motor Corp.**

Shares Voted: 297,600

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Uchiyamada, Takeshi                     | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Hayakawa, Shigeru                       | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Toyoda, Akio                            | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Kobayashi, Koji                         | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director James Kuffner                           | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Kon, Kenta                              | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Sugawara, Ikuro                         | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Sir Philip Craven                       | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Kudo, Teiko                             | Mgmt      | For      | For                  | For                 |
| 2                  | Appoint Alternate Statutory Auditor Sakai, Ryuji       | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Articles to Delete Provisions on Class AA Shares | Mgmt      | For      | For                  | For                 |

#### **Twilio Inc.**

Meeting Date: 16/06/2021 **Record Date:** 19/04/2021

Country: USA

Meeting Type: Annual

**Primary Security ID:** 90138F102

Ticker: TWLO

Shares Voted: 14,846

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Jeff Lawson                                     | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Byron Deeter                                    | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Jeffrey Epstein                                 | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |

### **Ceres Power Holdings plc**

**Meeting Date:** 17/06/2021 **Record Date:** 15/06/2021

**Country:** United Kingdom Meeting Type: Annual

Primary Security ID: G2091U128

Ticker: CWR

Reporting Period: 01/07/2020 to 30/06/2021

## **Ceres Power Holdings plc**

Shares Voted: 12,014

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | Abstain             |
| 2                  | Reappoint BDO LLP as Auditors and Authorise Their Remuneration   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect William Brown as Director  | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Philip Caldwell as Director   | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Stephen Callaghan as Director   | Mgmt      | For      | Against              | Against             |
| 6                  | Re-elect Aidan Hughes as Director  | Mgmt      | For      | Against              | Against             |
| 7                  | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 8                  | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 9                  | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |

# **Equity Residential**

**Meeting Date:** 17/06/2021 **Record Date:** 31/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 29476L107

Ticker: EQR

Shares Voted: 36,125

| Proposal<br>Number | Proposal Text                      | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|------------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Angela M. Aman      | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Raymond Bennett     | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Linda Walker Bynoe  | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Connie K. Duckworth | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Mary Kay Haben      | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Tahsinul Zia Huque  | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director John E. Neal        | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director David J. Neithercut | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Mark J. Parrell     | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Mark S. Shapiro     | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Stephen E. Sterrett | Mgmt      | For      | For                  | For                 |
|                    |                                    |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

### **Equity Residential**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.12               | Elect Director Samuel Zell                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

### **Frontier Digital Ventures Limited**

**Meeting Date:** 17/06/2021 **Record Date:** 15/06/2021

Country: Australia
Meeting Type: Annual

Primary Security ID: Q3R46W102

Ticker: FDV

Shares Voted: 328,461

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Mark Licciardo as Director  | Mgmt      | For      | Against              | Against             |
| 3                  | Approve Issuance of Shares to Mark Licciardo  | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Past Issuance of Shares to Institutional and Professional Investors                                      | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Past Issuance of Shares to Clients of Bell<br>Porter Securities Limited and Morgans Corporate<br>Limited | Mgmt      | For      | For                  | For                 |
| 6                  | Ratify Past Issuance of Shares to Jason Thoe Chih Chuen   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve 2021 Long Term Incentive Plan   | Mgmt      | For      | For                  | For                 |

### **McPhy Energy SA**

**Meeting Date:** 17/06/2021 **Record Date:** 15/06/2021

Country: France

Meeting Type: Annual/Special

**Primary Security ID:** F6S02U102

Ticker: MCPHY

Shares Voted: 4,981

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Statutory<br>Reports                   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Treatment of Losses   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Transfer From Carry Forward Account to Issuance Premium Account | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **McPhy Energy SA**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4                  | Approve Consolidated Financial Statements and Statutory Reports   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Auditors' Special Report on<br>Related-Party Transactions   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Compensation Report of Corporate Officers   | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Compensation of Pascal Mauberger,<br>Chairman of the Board  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Compensation of Laurent Carme, CEO  | Mgmt      | For      | Against              | Against             |
| 9                  | Approve Remuneration Policy of Directors  | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Remuneration of Directors in the<br>Aggregate Amount of EUR 218,400   | Mgmt      | For      | For                  | Abstain             |
| 11                 | Approve Remuneration Policy of Chairman of the Board  | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Remuneration Policy of CEO  | Mgmt      | For      | Against              | Against             |
| 13                 | Amend Article 14 of Bylaws Re: Directors<br>Length of Term  | Mgmt      | For      | For                  | For                 |
| 14                 | Reelect Pascal Mauberger as Director  | Mgmt      | For      | Against              | Against             |
| 15                 | Reelect BPI France Investissement as Director   | Mgmt      | For      | Against              | Against             |
| 16                 | Reelect EDF Pulse Croissance Holding as Director  | Mgmt      | For      | Against              | Against             |
| 17                 | Reelect Emmanuelle Salles as Director   | Mgmt      | For      | Against              | Against             |
| 18                 | Reelect Leopold Demiddeleer as Director   | Mgmt      | For      | For                  | For                 |
| 19                 | Reelect Luc Poyer as Director   | Mgmt      | For      | For                  | For                 |
| 20                 | Reelect Eleonore Joder as Director  | Mgmt      | For      | For                  | For                 |
| 21                 | Reelect Myriam Maestroni as Director  | Mgmt      | For      | For                  | For                 |
| 22                 | Authorize Decrease in Share Capital via Cancellation of Repurchased Shares  | Mgmt      | For      | For                  | For                 |
| 23                 | Authorize Issuance of Equity or Equity-Linked<br>Securities with Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 330,000             | Mgmt      | For      | For                  | For                 |
| 24                 | Authorize Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 330,000          | Mgmt      | For      | Against              | Against             |
| 25                 | Approve Issuance of Equity or Equity-Linked<br>Securities Reserved for Specific Beneficiaries, up<br>to Aggregate Nominal Amount of EUR 330,000 | Mgmt      | For      | Against              | Against             |
| 26                 | Approve Issuance of Equity or Equity-Linked<br>Securities for Private Placements, up to<br>Aggregate Nominal Amount of EUR 330,000              | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **McPhy Energy SA**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 27                 | Authorize Board to Set Issue Price for 10<br>Percent Per Year of Issued Capital Pursuant to<br>Issue Authority without Preemptive Rights       | Mgmt      | For      | Against              | Against             |
| 28                 | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 23 to 26 | Mgmt      | For      | Against              | Against             |
| 29                 | Authorize Capital Increase of up to 10 Percent of Issued Capital for Contributions in Kind   | Mgmt      | For      | Against              | Against             |
| 30                 | Authorize Capital Increase of Up to EUR 330,000 for Future Exchange Offers   | Mgmt      | For      | Against              | Against             |
| 31                 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans   | Mgmt      | For      | For                  | For                 |
| 32                 | Set Total Limit for Capital Increase to Result from Issuance Requests Under Items 23 to 31 at EUR 379,500                                      | Mgmt      | For      | For                  | For                 |
| 33                 | Authorize up to 251,238 Shares for Use in Restricted Stock Plans   | Mgmt      | For      | Against              | Against             |
| 34                 | Approve Issuance of up to 251,238 Warrants (BSPCE 2021) Reserved for Specific Beneficiaries  | Mgmt      | For      | Against              | Against             |
| 35                 | Approve Issuance of up to 251,238 Warrants (BSA 2021) Reserved for Directors and Consultants   | Mgmt      | For      | Against              | Against             |
| 36                 | Set Total Limit for Capital Increase to Result from Issuance Requests Under Items 33 to 35 at EUR 30,148.56                                    | Mgmt      | For      | For                  | For                 |
| 37                 | Ratify Amendments of Bylaws Following Item 28 of 20 May 2020 Meeting   | Mgmt      | For      | For                  | For                 |
| 38                 | Amend Article 3 of Bylaws Re: Corporate Purpose  | Mgmt      | For      | For                  | For                 |
| 39                 | Amend Article 16 of Bylaws Re: Meetings of Board of Directors  | Mgmt      | For      | For                  | For                 |
| 40                 | Amend Article 18 of Bylaws Re: Censors   | Mgmt      | For      | For                  | For                 |
| 41                 | Authorize Filing of Required Documents/Other Formalities   | Mgmt      | For      | For                  | For                 |

## Okta, Inc.

Meeting Date: 17/06/2021 Country: USA Primary Security ID: 679295105

**Record Date:** 19/04/2021 **Meeting Type:** Annual **Ticker:** OKTA

Reporting Period: 01/07/2020 to 30/06/2021

## Okta, Inc.

Shares Voted: 11,864

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Todd McKinnon                                   | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Michael Stankey                                 | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | Abstain             |

Shares Voted: 50,751

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Todd McKinnon                                   | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Michael Stankey                                 | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify Ernst & Young LLP as Auditors                           | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

# **Recruit Holdings Co., Ltd.**

**Meeting Date:** 17/06/2021 **Record Date:** 31/03/2021

**Country:** Japan **Meeting Type:** Annual

Primary Security ID: J6433A101

Ticker: 6098

Shares Voted: 189,600

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Minegishi, Masumi                    | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Idekoba, Hisayuki                    | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Senaha, Ayano                        | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Rony Kahan                           | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Izumiya, Naoki                       | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Totoki, Hiroki                       | Mgmt      | For      | For                  | For                 |
| 2                  | Appoint Alternate Statutory Auditor Tanaka,<br>Miho | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Trust-Type Equity Compensation Plan         | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Stock Option Plan                           | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Recruit Holdings Co., Ltd.**

| Proposal<br>Number |  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 5                  | Amend Articles to Allow Virtual Only<br>Shareholder Meetings | Mgmt      | For      | Against              | Against             |

# Simplo Technology Co., Ltd.

Meeting Date: 17/06/2021 Record Date: 16/04/2021 **Country:** Taiwan **Meeting Type:** Annual

**Primary Security ID:** Y7987E104

Ticker: 6121

Shares Voted: 7,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Business Operations Report and Financial Statements         | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Plan on Profit Distribution                                 | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Amendment to Rules and Procedures for Election of Directors | Mgmt      | For      | For                  | For                 |

### **Splunk Inc.**

**Meeting Date:** 17/06/2021 **Record Date:** 21/04/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 848637104

Ticker: SPLK

Shares Voted: 16,449

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Sara Baack   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Sean Boyle   | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Douglas Merritt                                    | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Graham Smith                                       | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                     | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive<br>Officers' Compensation | Mgmt      | For      | Against              | Against             |
|                    | Shares Vetedu 156 6   | :22       |          |                      |                     |

Shares Voted: 156,632

| Proposal<br>Number | Proposal Text             | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Sara Baack | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Splunk Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1b                 | Elect Director Sean Boyle                                      | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Douglas Merritt                                 | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Graham Smith                                    | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |

# **Tokyo Electron Ltd.**

**Meeting Date:** 17/06/2021 **Record Date:** 31/03/2021

**Country:** Japan **Meeting Type:** Annual

Primary Security ID: J86957115

Ticker: 8035

Shares Voted: 18,200

| Proposal<br>Number | Proposal Text                              | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Tsuneishi, Tetsuo           | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Kawai, Toshiki              | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Sasaki, Sadao               | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Nunokawa, Yoshikazu         | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Nagakubo, Tatsuya           | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Sunohara, Kiyoshi           | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Ikeda, Seisu                | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Mitano, Yoshinobu           | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Charles Ditmars Lake II     | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Sasaki, Michio              | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Eda, Makiko                 | Mgmt      | For      | For                  | For                 |
| 1.12               | Elect Director Ichikawa, Sachiko           | Mgmt      | For      | For                  | For                 |
| 2                  | Appoint Statutory Auditor Wagai, Kyosuke   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Annual Bonus                       | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Deep Discount Stock Option Plan    | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Deep Discount Stock Option Plan    | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Compensation Ceiling for Directors | Mgmt      | For      | For                  | For                 |
|                    |  |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

## W. P. Carey Inc.

**Meeting Date:** 17/06/2021 **Record Date:** 25/03/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 92936U109

Ticker: WPC

Shares Voted: 17,914

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Mark A. Alexander                               | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Tonit M. Calaway                                | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Peter J. Farrell                                | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Robert J. Flanagan                              | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Jason E. Fox                                    | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Axel K.A. Hansing                               | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Jean Hoysradt                                   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Margaret G. Lewis                               | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Christopher J. Niehaus                          | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Nick J.M. van Ommen                             | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |

#### **Whitbread Plc**

**Meeting Date:** 17/06/2021 **Record Date:** 15/06/2021

**Country:** United Kingdom **Meeting Type:** Annual

**Primary Security ID:** G9606P197

Ticker: WTB

Shares Voted: 21,168

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                       | Mgmt      | For      | Abstain              | Abstain             |
| 3                  | Elect Kal Atwal as Director                       | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Fumbi Chima as Director                     | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect David Atkins as Director                 | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Horst Baier as Director                  | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Alison Brittain as Director              | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Whitbread Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 8                  | Re-elect Nicholas Cadbury as Director  | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Adam Crozier as Director  | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Frank Fiskers as Director   | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Richard Gillingwater as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Chris Kennedy as Director   | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Louise Smalley as Director  | Mgmt      | For      | For                  | For                 |
| 14                 | Reappoint Deloitte LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 18                 | Approve Sharesave Plan   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

# **Zoom Video Communications, Inc.**

Meeting Date: 17/06/2021 Record Date: 19/04/2021 Country: USA Meeting Type: Annual Primary Security ID: 98980L101

Ticker: ZM

Shares Voted: 3,980

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Jonathan Chadwick                               | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Kimberly L. Hammonds                            | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Dan Scheinman                                   | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 4                  | Advisory Vote on Say on Pay Frequency                          | Mgmt      | One Year | One Year             | One Year            |

Reporting Period: 01/07/2020 to 30/06/2021

## **Zoom Video Communications, Inc.**

Shares Voted: 24,057

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Jonathan Chadwick                               | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Kimberly L. Hammonds                            | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Dan Scheinman                                   | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify KPMG LLP as Auditors                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 4                  | Advisory Vote on Say on Pay Frequency                          | Mgmt      | One Year | One Year             | One Year            |

## **Astellas Pharma, Inc.**

**Meeting Date:** 18/06/2021 **Record Date:** 31/03/2021

**Country:** Japan **Meeting Type:** Annual

Primary Security ID: J03393105

Ticker: 4503

Shares Voted: 88,300

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Hatanaka, Yoshihiko                             | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Yasukawa, Kenji                                 | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Okamura, Naoki                                  | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Sekiyama, Mamoru                                | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Kawabe, Hiroshi                                 | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Ishizuka, Tatsuro                               | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Tanaka, Takashi                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Director and Audit Committee Member<br>Shibumura, Haruko | Mgmt      | For      | For                  | For                 |

# Eisai Co., Ltd.

**Meeting Date:** 18/06/2021 **Record Date:** 31/03/2021

**Country:** Japan **Meeting Type:** Annual

Primary Security ID: J12852117

**Ticker:** 4523

Reporting Period: 01/07/2020 to 30/06/2021

# Eisai Co., Ltd.

Shares Voted: 13,700

| Proposal<br>Number | Proposal Text                   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Naito, Haruo     | Mgmt      | For      | Against              | Against             |
| 1.2                | Elect Director Kato, Yasuhiko   | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Bruce Aronson    | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Tsuchiya, Yutaka | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Kaihori, Shuzo   | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Murata, Ryuichi  | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Uchiyama, Hideyo | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Hayashi, Hideki  | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Miwa, Yumiko     | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Ike, Fumihiko    | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Kato, Yoshiteru  | Mgmt      | For      | For                  | For                 |
| 1.12               | Elect Director Miura, Ryota     | Mgmt      | For      | For                  | For                 |

## Fortinet, Inc.

**Meeting Date:** 18/06/2021 **Record Date:** 26/04/2021

Country: USA

Meeting Type: Annual

Primary Security ID: 34959E109

Ticker: FTNT

Shares Voted: 60,214

| Proposal<br>Number | Proposal Text                            | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Ken Xie                   | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Michael Xie               | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Kelly Ducourty            | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Kenneth A. Goldman        | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Ming Hsieh                | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Jean Hu                   | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director William H. Neukom         | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Judith Sim                | Mgmt      | For      | For                  | For                 |
| 2                  | Ratify Deloitte & Touche LLP as Auditors | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### Fortinet, Inc.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |

## **GCL-Poly Energy Holdings Limited**

**Meeting Date:** 18/06/2021 **Record Date:** 11/06/2021

**Country:** Cayman Islands **Meeting Type:** Special

Primary Security ID: G3774X108

Ticker: 3800

Shares Voted: 578,000

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Three Gorges Second Phase Share<br>Purchase Agreements in Relation to the Three<br>Gorges Second Phase Disposals and Related<br>Transactions | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Weining Third Phase Share Purchase<br>Agreements in Relation to the Weining Third<br>Phase Disposals and Related Transactions                | Mgmt      | For      | For                  | For                 |

**Shares Voted:** 3,190,000

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Three Gorges Second Phase Share<br>Purchase Agreements in Relation to the Three<br>Gorges Second Phase Disposals and Related<br>Transactions | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Weining Third Phase Share Purchase<br>Agreements in Relation to the Weining Third<br>Phase Disposals and Related Transactions                | Mgmt      | For      | For                  | For                 |

## **Keurig Dr Pepper Inc.**

**Meeting Date:** 18/06/2021 **Record Date:** 19/04/2021

Country: USA
Meeting Type: Annual

**Primary Security ID:** 49271V100

Ticker: KDP

Shares Voted: 144,640

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 1A                 | Elect Director Robert J. Gamgort | Mgmt      | For      | For                  | For                 |
| 1B                 | Elect Director Olivier Goudet    | Mgmt      | For      | For                  | For                 |
| 1C                 | Elect Director Peter Harf        | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Keurig Dr Pepper Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1D                 | Elect Director Juliette Hickman                                | Mgmt      | For      | For                  | For                 |
| 1E                 | Elect Director Genevieve Hovde                                 | Mgmt      | For      | For                  | For                 |
| 1F                 | Elect Director Paul S. Michaels                                | Mgmt      | For      | For                  | For                 |
| 1G                 | Elect Director Pamela H. Patsley                               | Mgmt      | For      | For                  | For                 |
| 1H                 | Elect Director Gerhard Pleuhs                                  | Mgmt      | For      | For                  | For                 |
| 1I                 | Elect Director Lubomira Rochet                                 | Mgmt      | For      | For                  | For                 |
| 1J                 | Elect Director Debra Sandler                                   | Mgmt      | For      | For                  | For                 |
| 1K                 | Elect Director Robert Singer                                   | Mgmt      | For      | For                  | For                 |
| 1L                 | Elect Director Justine Tan                                     | Mgmt      | For      | For                  | For                 |
| 1M                 | Elect Director Nelson Urdaneta                                 | Mgmt      | For      | For                  | For                 |
| 1N                 | Elect Director Larry D. Young                                  | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify Deloitte & Touche LLP as Auditors                       | Mgmt      | For      | For                  | For                 |

### Renova, Inc. (Japan)

**Meeting Date:** 18/06/2021 **Record Date:** 31/03/2021

**Country:** Japan **Meeting Type:** Annual

Primary Security ID: J64384100

Ticker: 9519

Shares Voted: 2,300

| Proposal<br>Number | Proposal Text                           | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Semmoto, Sachio          | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Kiminami, Yosuke         | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Suyama, Isamu            | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Yamaguchi, Kazushi       | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Minamikawa, Hideki       | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Kawana, Koichi           | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Zeniya, Miyuki           | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Shimada, Naoki           | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Yamazaki, Mayuka         | Mgmt      | For      | For                  | For                 |
| 2.1                | Appoint Statutory Auditor Sada, Toshiki | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## Renova, Inc. (Japan)

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2.2                | Appoint Statutory Auditor Wakamatsu, Hiroyuki          | Mgmt      | For      | For                  | For                 |
| 3                  | Appoint Alternate Statutory Auditor Ando,<br>Junichiro | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Trust-Type Equity Compensation Plan            | Mgmt      | For      | For                  | For                 |

# **Vanguard International Semiconductor Corp.**

**Meeting Date:** 18/06/2021 **Record Date:** 19/04/2021

Country: Taiwan
Meeting Type: Annual

Primary Security ID: Y9353N106

Ticker: 5347

Shares Voted: 230,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Business Operations Report and Financial Statements   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Profit Distribution   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Amendment to Rules and Procedures for Election of Directors   | Mgmt      | For      | For                  | For                 |
| 4.1                | Elect Leuh Fang, a Representative of Taiwan<br>Semiconductor Manufacturing Co., Ltd. (TSMC),<br>with Shareholder No. 2, as Non-independent<br>Director  | Mgmt      | For      | For                  | For                 |
| 4.2                | Elect F.C. Tseng, a Representative of Taiwan<br>Semiconductor Manufacturing Co., Ltd. (TSMC),<br>with Shareholder No. 2, as Non-independent<br>Director | Mgmt      | For      | Against              | Against             |
| 4.3                | Elect Lai Shou Su, a Representative of National<br>Development Fund Executive Yuan, with<br>Shareholder No. 1629, as Non-independent<br>Director        | Mgmt      | For      | Against              | Against             |
| 4.4                | Elect Edward Y. Way, with Shareholder No. A102143XXX, as Non-independent Director   | Mgmt      | For      | Against              | Against             |
| 4.5                | Elect Benson W.C. Liu, with Shareholder No. P100215XXX, as Independent Director   | Mgmt      | For      | For                  | For                 |
| 4.6                | Elect Kenneth Kin, with Shareholder No. F102831XXX, as Independent Director   | Mgmt      | For      | Against              | Against             |
| 4.7                | Elect Chintay Shih, with Shareholder No. R101349XXX, as Independent Director  | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Release of Restrictions of Competitive Activities of Newly Appointed Directors  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Woolworths Group Limited**

**Meeting Date:** 18/06/2021 **Record Date:** 16/06/2021

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q98418108

Ticker: WOW

**Shares Voted:** 1,401,041

| Proposal<br>Number | Proposal Text              | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------|-----------|----------|----------------------|---------------------|
| 1a                 | Approve the Demerger       | Mgmt      | For      | For                  | For                 |
| 1b                 | Approve Capital Reduction  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Employee Incentive | Mamt      | For      | For                  | For                 |

### **Infosys Limited**

**Meeting Date:** 19/06/2021 **Record Date:** 17/05/2021

Country: India

Meeting Type: Annual

**Primary Security ID:** Y4082C133

Ticker: 500209

**Shares Voted:** 1,044,725

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 3                  | Reelect U.B. Pravin Rao as Director   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Buyback of Equity Shares  | Mgmt      | For      | For                  | For                 |
| 5                  | Reelect Michael Gibbs as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Bobby Parikh as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Chitra Nayak as Director  | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Changing the Terms of Remuneration of U.B. Pravin Rao as Chief OperatingOfficer and Whole-time Director | Mgmt      | For      | For                  | For                 |

#### **Activision Blizzard, Inc.**

**Meeting Date:** 21/06/2021 **Record Date:** 19/04/2021

Country: USA Meeting Type: Annual **Primary Security ID:** 00507V109

Ticker: ATVI

Reporting Period: 01/07/2020 to 30/06/2021

# **Activision Blizzard, Inc.**

Shares Voted: 58,456

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Reveta Bowers                                   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Robert Corti                                    | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Hendrik Hartong, III                            | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Brian Kelly                                     | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Robert Kotick                                   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Barry Meyer                                     | Mgmt      | For      | Against              | Against             |
| 1g                 | Elect Director Robert Morgado                                  | Mgmt      | For      | Against              | Against             |
| 1h                 | Elect Director Peter Nolan                                     | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Dawn Ostroff                                    | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Casey Wasserman                                 | Mgmt      | For      | Against              | Against             |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | Against              | Against             |

Shares Voted: 79,630

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Reveta Bowers                                   | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Robert Corti                                    | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Hendrik Hartong, III                            | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Brian Kelly                                     | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Robert Kotick                                   | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Barry Meyer                                     | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Robert Morgado                                  | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Peter Nolan                                     | Mgmt      | For      | For                  | For                 |
| <b>1</b> i         | Elect Director Dawn Ostroff                                    | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Casey Wasserman                                 | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## Dai-ichi Life Holdings, Inc.

**Meeting Date:** 21/06/2021 **Record Date:** 31/03/2021

Country: Japan

Meeting Type: Annual

**Primary Security ID:** J09748112

Ticker: 8750

Shares Voted: 112,600

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 62 | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Watanabe, Koichiro                             | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Inagaki, Seiji                                 | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Teramoto, Hideo                                | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Director Kikuta, Tetsuya                                | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Shoji, Hiroshi                                 | Mgmt      | For      | For                  | For                 |
| 2.6                | Elect Director Akashi, Mamoru                                 | Mgmt      | For      | For                  | For                 |
| 2.7                | Elect Director Sumino, Toshiaki                               | Mgmt      | For      | For                  | For                 |
| 2.8                | Elect Director George Olcott                                  | Mgmt      | For      | For                  | For                 |
| 2.9                | Elect Director Maeda, Koichi                                  | Mgmt      | For      | For                  | For                 |
| 2.10               | Elect Director Inoue, Yuriko                                  | Mgmt      | For      | For                  | For                 |
| 2.11               | Elect Director Shingai, Yasushi                               | Mgmt      | For      | For                  | For                 |

# Daiichi Sankyo Co., Ltd.

**Meeting Date:** 21/06/2021 **Record Date:** 31/03/2021

**Country:** Japan **Meeting Type:** Annual

Primary Security ID: J11257102

Ticker: 4568

Shares Voted: 87,900

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 13.5 | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Manabe, Sunao                                    | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Kimura, Satoru                                   | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Otsuki, Masahiko                                 | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Director Hirashima, Shoji                                 | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Uji, Noritaka                                    | Mgmt      | For      | For                  | For                 |
| 2.6                | Elect Director Fukui, Tsuguya                                   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## Daiichi Sankyo Co., Ltd.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2.7                | Elect Director Kama, Kazuaki   | Mgmt      | For      | For                  | For                 |
| 2.8                | Elect Director Nohara, Sawako  | Mgmt      | For      | For                  | For                 |
| 2.9                | Elect Director Okuzawa, Hiroyuki   | Mgmt      | For      | For                  | For                 |
| 3                  | Appoint Statutory Auditor Watanabe, Masako   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Annual Bonus   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Fixed Cash Compensation Ceiling for Directors, Annual Bonus Ceiling, and Restricted Stock Plan | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Compensation Ceiling for Statutory Auditors  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Trust-Type Equity Compensation Plan  | Mgmt      | For      | For                  | For                 |

# **ASE Technology Holding Co., Ltd.**

Meeting Date: 22/06/2021 **Record Date:** 23/04/2021

Country: Taiwan

**Primary Security ID:** Y0249T100 **Ticker:** 3711

Meeting Type: Annual

Shares Voted: 809,000

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Business Operations Report and Financial Statements  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Plan on Profit Distribution  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Amendments to Lending Procedures and Caps  | Mgmt      | For      | Against              | Against             |
| 4                  | Approve Amendments to Articles of Association  | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Issuance of Restricted Stocks  | Mgmt      | For      | For                  | For                 |
| 6.1                | Elect JASON C.S. CHANG, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director  | Mgmt      | For      | For                  | For                 |
| 6.2                | Elect RICHARD H.P.CHANG, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director | Mgmt      | For      | Against              | Against             |
| 6.3                | Elect CHI-WEN TSAI, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director      | Mgmt      | For      | Against              | Against             |
| 6.4                | Elect YEN-CHUN CHANG, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director    | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

## **ASE Technology Holding Co., Ltd.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 6.5                | Elect TIEN WU, a Representative of ASE<br>ENTERPRISES LTD., with SHAREHOLDER<br>NO.00000001, as Non-independent Director | Mgmt      | For      | Against              | Against             |
| 6.6                | Elect JOSEPH TUNG, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director   | Mgmt      | For      | Against              | Against             |
| 6.7                | Elect RAYMOND LO, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director    | Mgmt      | For      | Against              | Against             |
| 6.8                | Elect TS CHEN, a Representative of ASE<br>ENTERPRISES LTD., with SHAREHOLDER<br>NO.00000001, as Non-independent Director | Mgmt      | For      | Against              | Against             |
| 6.9                | Elect JEFFERY CHEN, a Representative of ASE ENTERPRISES LTD., with SHAREHOLDER NO.00000001, as Non-independent Director  | Mgmt      | For      | Against              | Against             |
| 6.10               | Elect RUTHERFORD CHANG, with<br>SHAREHOLDER NO.00059235 as<br>Non-independent Director                                   | Mgmt      | For      | Against              | Against             |
| 6.11               | Elect SHENG-FU YOU (Sheng-Fu Yo), with<br>SHAREHOLDER NO.H101915XXX as<br>Independent Director                           | Mgmt      | For      | For                  | For                 |
| 6.12               | Elect MEI-YUEH HO, with SHAREHOLDER NO.Q200495XXX as Independent Director  | Mgmt      | For      | For                  | For                 |
| 6.13               | Elect PHILIP WEN-CHYI ONG, with<br>SHAREHOLDER NO.A120929XXX as<br>Independent Director                                  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Release of Restrictions of Competitive Activities of Newly Appointed Directors                                   | Mgmt      | For      | Against              | Against             |

#### Coca-Cola HBC AG

Meeting Date: 22/06/2021 Record Date: 18/06/2021 **Country:** Switzerland **Meeting Type:** Annual

**Primary Security ID:** H1512E100

Ticker: CCH

Shares Voted: 20,462

| Proposal<br>Number | Proposal Text                                       | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports   | Mgmt      | For      | For                  | For                 |
| 2.1                | Approve Allocation of Income                        | Mgmt      | For      | For                  | For                 |
| 2.2                | Approve Dividend from Reserves                      | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Board and Senior<br>Management | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### Coca-Cola HBC AG

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4.1.1              | Re-elect Anastassis David as Director and as<br>Board Chairman  | Mgmt      | For      | For                  | For                 |
| 4.1.2              | Re-elect Zoran Bogdanovic as Director   | Mgmt      | For      | For                  | For                 |
| 4.1.3              | Re-elect Charlotte Boyle as Director and as<br>Member of the Remuneration Committee   | Mgmt      | For      | For                  | For                 |
| 4.1.4              | Re-elect Reto Francioni as Director and as<br>Member of the Remuneration Committee  | Mgmt      | For      | Against              | Against             |
| 4.1.5              | Re-elect Olusola David-Borha as Director  | Mgmt      | For      | For                  | For                 |
| 4.1.6              | Re-elect William Douglas III as Director  | Mgmt      | For      | For                  | For                 |
| 4.1.7              | Re-elect Anastasios Leventis as Director  | Mgmt      | For      | For                  | For                 |
| 4.1.8              | Re-elect Christodoulos Leventis as Director   | Mgmt      | For      | For                  | For                 |
| 4.1.9              | Re-elect Alexandra Papalexopoulou as Director   | Mgmt      | For      | For                  | For                 |
| 4.1.A              | Re-elect Ryan Rudolph as Director   | Mgmt      | For      | For                  | For                 |
| 4.1.B              | Re-elect Anna Diamantopoulou as Director and as Member of the Remuneration Committee  | Mgmt      | For      | For                  | For                 |
| 4.2                | Elect Bruno Pietracci as Director   | Mgmt      | For      | For                  | For                 |
| 4.3                | Elect Henrique Braun as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Designate Ines Poeschel as Independent Proxy  | Mgmt      | For      | For                  | For                 |
| 6.1                | Reappoint PricewaterhouseCoopers AG as Auditors   | Mgmt      | For      | For                  | For                 |
| 6.2                | Advisory Vote on Reappointment of the Independent Registered Public Accounting Firm PricewaterhouseCoopers SA for UK Purposes | Mgmt      | For      | For                  | For                 |
| 7                  | Approve UK Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 8                  | Approve Remuneration Policy   | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Swiss Remuneration Report   | Mgmt      | For      | Against              | Against             |
| 10.1               | Approve Maximum Aggregate Amount of Remuneration for Directors  | Mgmt      | For      | For                  | For                 |
| 10.2               | Approve Maximum Aggregate Amount of Remuneration for the Operating Committee  | Mgmt      | For      | For                  | For                 |
| 11                 | Authorise Market Purchase of Ordinary Shares  | Mgmt      | For      | For                  | For                 |

## **East Japan Railway Co.**

Meeting Date: 22/06/2021 Record Date: 31/03/2021 **Country:** Japan **Meeting Type:** Annual

Primary Security ID: J1257M109

Ticker: 9020

Reporting Period: 01/07/2020 to 30/06/2021

## **East Japan Railway Co.**

Shares Voted: 13,300

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, With a Final Dividend of JPY 50 | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Tomita, Tetsuro                                | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Fukasawa, Yuji                                 | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Kise, Yoichi                                   | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Director Ise, Katsumi                                   | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Ichikawa, Totaro                               | Mgmt      | For      | For                  | For                 |
| 2.6                | Elect Director Sakai, Kiwamu                                  | Mgmt      | For      | For                  | For                 |
| 2.7                | Elect Director Ouchi, Atsushi                                 | Mgmt      | For      | For                  | For                 |
| 2.8                | Elect Director Ito, Atsuko                                    | Mgmt      | For      | For                  | For                 |
| 2.9                | Elect Director Ito, Motoshige                                 | Mgmt      | For      | For                  | For                 |
| 2.10               | Elect Director Amano, Reiko                                   | Mgmt      | For      | For                  | For                 |
| 2.11               | Elect Director Sakuyama, Masaki                               | Mgmt      | For      | For                  | For                 |
| 2.12               | Elect Director Kawamoto, Hiroko                               | Mgmt      | For      | For                  | For                 |
| 3                  | Appoint Statutory Auditor Mori, Kimitaka                      | Mgmt      | For      | Against              | Against             |

## **GlobalWafers Co., Ltd.**

**Meeting Date:** 22/06/2021 **Record Date:** 23/04/2021

**Country:** Taiwan **Meeting Type:** Annual

Primary Security ID: Y2722U109

Ticker: 6488

Shares Voted: 53,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Business Operations Report and Financial Statements and Profit Distribution | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Amendment to Rules and Procedures for Election of Directors                 | Mgmt      | For      | For                  | For                 |
| 3                  | Amendments to Trading Procedures Governing Derivatives Products                     | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Amendments to Procedures Governing the Acquisition or Disposal of Assets    | Mgmt      | For      | Against              | Against             |
| 5                  | Approve Issuance of Shares Through Public<br>Offering to Fund Working Capital       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **GlobalWafers Co., Ltd.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 6.1                | Elect Hsiu-Lan Hsu, with SHAREHOLDER<br>NO.0000009 as Non-independent Director  | Mgmt      | For      | For                  | For                 |
| 6.2                | Elect Ming-Kuang Lu, a Representative of<br>Sino-American Silicon Products Inc., with<br>SHAREHOLDER NO.0000001, as<br>Non-independent Director | Mgmt      | For      | For                  | For                 |
| 6.3                | Elect Tan-Liang Yao, a Representative of<br>Sino-American Silicon Products Inc., with<br>SHAREHOLDER NO.0000001, as<br>Non-independent Director | Mgmt      | For      | For                  | For                 |
| 6.4                | Elect Kuo-Chow Chen, with SHAREHOLDER NO.0000039 as Non-independent Director  | Mgmt      | For      | For                  | For                 |
| 6.5                | Elect Jeng-Ywan Jeng, with SHAREHOLDER<br>NO.R122108XXX as Independent Director   | Mgmt      | For      | For                  | For                 |
| 6.6                | Elect Chung-Yu Wang, with SHAREHOLDER<br>NO.A101021XXX as Independent Director  | Mgmt      | For      | For                  | For                 |
| 6.7                | Elect Ming-Ren Yu, with SHAREHOLDER<br>NO.V120031XXX as Independent Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Release of Restrictions of Competitive Activities of Directors  | Mgmt      | For      | Against              | Against             |

### Kikkoman Corp.

**Meeting Date:** 22/06/2021 **Record Date:** 31/03/2021

**Country:** Japan **Meeting Type:** Annual

Primary Security ID: J32620106

Ticker: 2801

Shares Voted: 20,200

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 24 | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Mogi, Yuzaburo                                 | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Horikiri, Noriaki                              | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Yamazaki, Koichi                               | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Director Nakano, Shozaburo                              | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Shimada, Masanao                               | Mgmt      | For      | For                  | For                 |
| 2.6                | Elect Director Mogi, Osamu                                    | Mgmt      | For      | For                  | For                 |
| 2.7                | Elect Director Matsuyama, Asahi                               | Mgmt      | For      | For                  | For                 |
| 2.8                | Elect Director Kamiyama, Takao                                | Mgmt      | For      | For                  | For                 |
| 2.9                | Elect Director Fukui, Toshihiko                               | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

## Kikkoman Corp.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2.10               | Elect Director Ozaki, Mamoru                           | Mgmt      | For      | For                  | For                 |
| 2.11               | Elect Director Inokuchi, Takeo                         | Mgmt      | For      | For                  | For                 |
| 2.12               | Elect Director Iino, Masako                            | Mgmt      | For      | For                  | For                 |
| 3.1                | Appoint Statutory Auditor Fukasawa, Haruhiko           | Mgmt      | For      | For                  | For                 |
| 3.2                | Appoint Statutory Auditor Kogo, Motohiko               | Mgmt      | For      | For                  | For                 |
| 4                  | Appoint Alternate Statutory Auditor Endo,<br>Kazuyoshi | Mgmt      | For      | For                  | For                 |

# **Mastercard Incorporated**

**Meeting Date:** 22/06/2021 **Record Date:** 23/04/2021

Country: USA Meeting Type: Annual Primary Security ID: 57636Q104

Ticker: MA

Shares Voted: 100,425

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1a                 | Elect Director Ajay Banga                                      | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Director Merit E. Janow                                  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Director Richard K. Davis                                | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Director Steven J. Freiberg                              | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Director Julius Genachowski                              | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Director Choon Phong Goh                                 | Mgmt      | For      | For                  | For                 |
| 1g                 | Elect Director Oki Matsumoto                                   | Mgmt      | For      | For                  | For                 |
| 1h                 | Elect Director Michael Miebach                                 | Mgmt      | For      | For                  | For                 |
| 1i                 | Elect Director Youngme Moon                                    | Mgmt      | For      | For                  | For                 |
| 1j                 | Elect Director Rima Qureshi                                    | Mgmt      | For      | For                  | For                 |
| 1k                 | Elect Director Jose Octavio Reyes Lagunes                      | Mgmt      | For      | For                  | For                 |
| 11                 | Elect Director Gabrielle Sulzberger                            | Mgmt      | For      | For                  | For                 |
| 1m                 | Elect Director Jackson Tai                                     | Mgmt      | For      | For                  | For                 |
| 1n                 | Elect Director Lance Uggla                                     | Mgmt      | For      | For                  | For                 |
| 2                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For      | Against              | Against             |
| 3                  | Ratify PricewaterhouseCoopers LLP as Auditors                  | Mgmt      | For      | For                  | For                 |
| 4                  | Amend Omnibus Stock Plan                                       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Mastercard Incorporated**

| Proposal<br>Number |  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 5                  | Amend Non-Employee Director Omnibus Stock Plan | Mgmt      | For      | For                  | For                 |
| 6                  | Eliminate Supermajority Vote Requirement       | Mgmt      | For      | For                  | For                 |

# Nissan Motor Co., Ltd.

Meeting Date: 22/06/2021 Record Date: 31/03/2021 **Country:** Japan **Meeting Type:** Annual

Primary Security ID: J57160129

Ticker: 7201

Shares Voted: 235,900

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Kimura, Yasushi  | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Jean-Dominique Senard  | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Toyoda, Masakazu   | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Ihara, Keiko   | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Nagai, Moto  | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Bernard Delmas   | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Andrew House   | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Jenifer Rogers   | Mgmt      | For      | For                  | For                 |
| 1.9                | Elect Director Pierre Fleuriot  | Mgmt      | For      | For                  | For                 |
| 1.10               | Elect Director Uchida, Makoto   | Mgmt      | For      | For                  | For                 |
| 1.11               | Elect Director Ashwani Gupta  | Mgmt      | For      | For                  | For                 |
| 1.12               | Elect Director Sakamoto, Hideyuki   | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Articles to Disclose Contents of Capital and Business Alliance Contracts Signed with Shareholders Owning more than One-Third of Voting Rights | SH        | Against  | Against              | Against             |

# Shionogi & Co., Ltd.

Meeting Date: 22/06/2021 Record Date: 31/03/2021 Country: Japan Meeting Type: Annual **Primary Security ID:** J74229105

Ticker: 4507

Reporting Period: 01/07/2020 to 30/06/2021

# Shionogi & Co., Ltd.

Shares Voted: 13,700

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 55 | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Teshirogi, Isao                                | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Sawada, Takuko                                 | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Ando, Keiichi                                  | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Director Ozaki, Hiroshi                                 | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Takatsuki, Fumi                                | Mgmt      | For      | For                  | For                 |
| 3                  | Appoint Statutory Auditor Fujiwara, Takaoki                   | Mgmt      | For      | For                  | For                 |

### **Tesserent Limited**

**Meeting Date:** 22/06/2021 **Record Date:** 20/06/2021

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q90820178

Ticker: TNT

**Shares Voted:** 1,254,830

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Ratify Past Issuance of Shares to Airloom<br>Vendors                 | Mgmt      | For      | For                  | For                 |
| 1.2                | Ratify Past Issuance of Shares to iQ3 Vendors                        | Mgmt      | For      | For                  | For                 |
| 1.3                | Ratify Past Issuance of Shares to Employee                           | Mgmt      | For      | For                  | For                 |
| 1.4                | Ratify Past Issuance of Shares to Contractors                        | Mgmt      | For      | For                  | For                 |
| 1.5                | Ratify Past Issuance of Shares to North Vendors                      | Mgmt      | For      | For                  | For                 |
| 1.6                | Ratify Past Issuance of Shares to Lateral<br>Securities Vendors      | Mgmt      | For      | For                  | For                 |
| 1.7                | Ratify Past Issuance of Shares to Secure Logic Vendors               | Mgmt      | For      | For                  | For                 |
| 1.8                | Ratify Past Issuance of Warrants to Pure Asset<br>Management Pty Ltd | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Issuance of Options to Megan Haas                            | Mgmt      | For      | Against              | Against             |
| 3                  | Approve Issuance of Warrants to Pure Asset<br>Management Pty Ltd     | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Vocus Group Ltd.**

**Meeting Date:** 22/06/2021 **Record Date:** 20/06/2021

**Country:** Australia **Meeting Type:** Court

Primary Security ID: Q9479K100

Ticker: VOC

**Shares Voted:** 1,604,667

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Scheme of Arrangement in Relation to<br>the Proposed Acquisition of the Company by<br>Voyage Australia Pty Limited | Mgmt      | For      | For                  | For                 |

Shares Voted: 663,467

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Scheme of Arrangement in Relation to the Proposed Acquisition of the Company by Voyage Australia Pty Limited | Mgmt      | For      | For                  | For                 |

## **Advantest Corp.**

**Meeting Date:** 23/06/2021 **Record Date:** 31/03/2021

Country: Japan Meeting Type: Annual Primary Security ID: J00210104

Ticker: 6857

Shares Voted: 19,700

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Yoshida, Yoshiaki                                      | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Karatsu, Osamu   | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Urabe, Toshimitsu                                      | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Nicholas Benes   | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Tsukakoshi, Soichi                                     | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Fujita, Atsushi  | Mgmt      | For      | For                  | For                 |
| 1.7                | Elect Director Tsukui, Koichi   | Mgmt      | For      | For                  | For                 |
| 1.8                | Elect Director Douglas Lefever  | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director and Audit Committee Member<br>Kurita, Yuichi           | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director and Audit Committee Member<br>Namba, Koichi            | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Alternate Director and Audit Committee<br>Member Karatsu, Osamu | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Advantest Corp.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 4                  | Approve Compensation Ceiling for Directors<br>Who Are Not Audit Committee Members | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Performance Share Plan and Restricted Stock Plan                          | Mgmt      | For      | For                  | For                 |

### **Canadian Solar Inc.**

Meeting Date: 23/06/2021 Record Date: 04/05/2021 **Country:** Canada **Meeting Type:** Annual Primary Security ID: 136635109

Ticker: CSIQ

Shares Voted: 2,704

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Fix Number of Directors at Nine   | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Shawn (Xiaohua) Qu   | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Karl E. Olsoni   | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Harry E. Ruda  | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Director Lauren C. Templeton  | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Andrew (Luen Cheung) Wong  | Mgmt      | For      | For                  | For                 |
| 2.6                | Elect Director Arthur (Lap Tat) Wong  | Mgmt      | For      | For                  | For                 |
| 2.7                | Elect Director Leslie Li Hsien Chang  | Mgmt      | For      | For                  | For                 |
| 2.8                | Elect Director Yan Zhuang   | Mgmt      | For      | For                  | For                 |
| 2.9                | Elect Director Huifeng Chang  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Deloitte Touche Tohmatsu Certified<br>Public Accountants LLP as Auditors and<br>Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |

#### **Carbios SA**

**Meeting Date:** 23/06/2021 **Record Date:** 21/06/2021

Country: France

Meeting Type: Annual/Special

**Primary Security ID:** F1374G104

Ticker: ALCRB

Shares Voted: 4,168

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Discharge Directors | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Carbios SA**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 2                  | Approve Non-Deductible Expenses  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Treatment of Losses  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Transfer From Carry Forward Account to Issuance Premium Account  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Auditors' Special Report on<br>Related-Party Transactions  | Mgmt      | For      | For                  | For                 |
| 6                  | Reelect Jean-Claude Lumaret as Director  | Mgmt      | For      | Against              | Against             |
| 7                  | Reelect Ian Hudson as Director   | Mgmt      | For      | Against              | Against             |
| 8                  | Reelect Alain Chevallier as Director   | Mgmt      | For      | Against              | Against             |
| 9                  | Reelect Jacques Breuil as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Reelect Jacqueline Lecourtier as Director  | Mgmt      | For      | Against              | Against             |
| 11                 | Reelect Truffle Capital as Director  | Mgmt      | For      | Against              | Against             |
| 12                 | Elect Business Opportunities for L Oreal<br>Development as Director  | Mgmt      | For      | Against              | Against             |
| 13                 | Elect Michelin Ventures as Director  | Mgmt      | For      | Against              | Against             |
| 14                 | Elect Vincent Kamel as Director  | Mgmt      | For      | Against              | Against             |
| 15                 | Elect Mieke Jacobs as Director   | Mgmt      | For      | Against              | Against             |
| 16                 | Approve Remuneration of Directors in the Aggregate Amount of EUR 220,000   | Mgmt      | For      | For                  | For                 |
| 17                 | Authorize Repurchase of Up to 10 Percent of Issued Share Capital   | Mgmt      | For      | Against              | Against             |
| 18                 | Authorize Issuance of Equity or Equity-Linked<br>Securities with Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 2.1 Million                | Mgmt      | For      | Against              | Against             |
| 19                 | Authorize Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights up to<br>Aggregate Nominal Amount of EUR 2.1 Million             | Mgmt      | For      | Against              | Against             |
| 20                 | Approve Issuance of Equity or Equity-Linked<br>Securities for Private Placements up to<br>Aggregate Nominal Amount of EUR 2.1 Million                  | Mgmt      | For      | Against              | Against             |
| 21                 | Approve Issuance of Equity or Equity-Linked<br>Securities Reserved for Specific Beneficiaries, up<br>to Aggregate Nominal Amount of EUR 2.1<br>Million | Mgmt      | For      | Against              | Against             |
| 22                 | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 18 to 21         | Mgmt      | For      | Against              | Against             |
| 23                 | Approve Issuance of up to 700,000 Warrants (BSA) Reserved for Directors, Consultants, and Managers   | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Carbios SA**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 24                 | Approve Issuance of up to 700,000 Warrants (BSPCE) Reserved for Employees and Executives                      | Mgmt      | For      | Against              | Against             |
| 25                 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans  | Mgmt      | For      | For                  | For                 |
| 26                 | Set Total Limit for Capital Increase to Result from Issuance Requests Under Items 18 to 24 at EUR 2.1 Million | Mgmt      | For      | For                  | For                 |
| 27                 | Authorize Decrease in Share Capital via Cancellation of Repurchased Shares                                    | Mgmt      | For      | For                  | For                 |
| 28                 | Authorize Filing of Required Documents/Other Formalities  | Mgmt      | For      | For                  | For                 |

# Hon Hai Precision Industry Co., Ltd.

**Meeting Date:** 23/06/2021 **Record Date:** 23/04/2021

Country: Taiwan

**Primary Security ID:** Y36861105

Meeting Type: Annual

Ticker: 2317

**Shares Voted:** 3,115,200

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Business Operations Report and Financial Statements            | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Plan on Profit Distribution                                    | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Release of Restrictions of Competitive Activities of Directors | Mgmt      | For      | For                  | For                 |

# **Honda Motor Co., Ltd.**

**Meeting Date:** 23/06/2021 **Record Date:** 31/03/2021

Country: Japan Meeting Type: Annual Primary Security ID: J22302111

Ticker: 7267

Shares Voted: 168,700

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Amend Articles to Adopt Board Structure with<br>Three Committees - Clarify Director Authority on<br>Shareholder Meetings - Amend Provisions on<br>Number of Directors - Amend Provisions on<br>Director Titles | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Mikoshiba, Toshiaki   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Honda Motor Co., Ltd.**

| Proposal<br>Number | Proposal Text                    | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|----------------------------------|-----------|----------|----------------------|---------------------|
| 2.2                | Elect Director Mibe, Toshihiro   | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Kuraishi, Seiji   | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Director Takeuchi, Kohei   | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Suzuki, Asako     | Mgmt      | For      | For                  | For                 |
| 2.6                | Elect Director Suzuki, Masafumi  | Mgmt      | For      | For                  | For                 |
| 2.7                | Elect Director Sakai, Kunihiko   | Mgmt      | For      | For                  | For                 |
| 2.8                | Elect Director Kokubu, Fumiya    | Mgmt      | For      | For                  | For                 |
| 2.9                | Elect Director Ogawa, Yoichiro   | Mgmt      | For      | For                  | For                 |
| 2.10               | Elect Director Higashi, Kazuhiro | Mgmt      | For      | For                  | For                 |
| 2.11               | Elect Director Nagata, Ryoko     | Mgmt      | For      | For                  | For                 |

## JD.com, Inc.

**Meeting Date:** 23/06/2021 **Record Date:** 20/05/2021

**Country:** Cayman Islands **Meeting Type:** Annual

 $\textbf{Primary Security ID:}~\mathsf{G8208B101}$ 

Ticker: 9618

Shares Voted: 365,715

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Adopt Chinese Name as Dual Foreign Name of the Company      | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Memorandum of Association and Articles of Association | Mgmt      | For      | For                  | For                 |

#### Meituan

**Meeting Date:** 23/06/2021 **Record Date:** 17/06/2021

**Country:** Cayman Islands **Meeting Type:** Annual

**Primary Security ID:** G59669104

Ticker: 3690

Shares Voted: 942,100

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Wang Huiwen as Director                     | Mgmt      | For      | Against              | Against             |
| 3                  | Elect Lau Chi Ping Martin as Director             | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### Meituan

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 4                  | Elect Neil Nanpeng Shen as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Authorize Board to Fix Remuneration of Directors   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights           | Mgmt      | For      | Against              | Against             |
| 7                  | Authorize Repurchase of Issued Share Capital   | Mgmt      | For      | For                  | For                 |
| 8                  | Authorize Reissuance of Repurchased Shares   | Mgmt      | For      | Against              | Against             |
| 9                  | Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration    | Mgmt      | For      | For                  | For                 |
| 10a                | Approve Tencent Subscription Agreement   | Mgmt      | For      | For                  | For                 |
| 10b                | Approve Grant of Specific Mandate to Issue<br>Tencent Subscription Shares                  | Mgmt      | For      | For                  | For                 |
| 10c                | Authorize Board to Deal with All Matters in Relation to the Tencent Subscription Agreement | Mgmt      | For      | For                  | For                 |
| 11                 | Amend Memorandum and Articles of Association   | Mgmt      | For      | For                  | For                 |

### NetEase, Inc.

**Meeting Date:** 23/06/2021 **Record Date:** 18/05/2021

**Country:** Cayman Islands **Meeting Type:** Annual

Primary Security ID: G6427A102

Ticker: 9999

Shares Voted: 184,046

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1a                 | Elect William Lei Ding as Director  | Mgmt      | For      | For                  | For                 |
| 1b                 | Elect Alice Yu-Fen Cheng as Director  | Mgmt      | For      | For                  | For                 |
| 1c                 | Elect Denny Ting Bun Lee as Director  | Mgmt      | For      | For                  | For                 |
| 1d                 | Elect Joseph Tze Kay Tong as Director   | Mgmt      | For      | For                  | For                 |
| 1e                 | Elect Lun Feng as Director  | Mgmt      | For      | For                  | For                 |
| 1f                 | Elect Michael Man Kit Leung as Director   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Appointment of<br>PricewaterhouseCoopers Zhong Tian LLP and<br>PricewaterhouseCoopers as Auditors | Mgmt      | For      | For                  | For                 |
| 3                  | Amend Memorandum and Articles of Association  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Azbil Corp.**

**Meeting Date:** 24/06/2021 **Record Date:** 31/03/2021

**Country:** Japan **Meeting Type:** Annual

Primary Security ID: J0370G106

Ticker: 6845

Shares Voted: 5,300

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 30 | Mgmt      | For      | For                  | For                 |

### **Fresnillo Plc**

**Meeting Date:** 24/06/2021 **Record Date:** 22/06/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G371E2108

Ticker: FRES

Shares Voted: 19,360

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports          | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report                                   | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Alejandro Bailleres as Director                      | Mgmt      | For      | Against              | Against             |
| 5                  | Re-elect Juan Bordes as Director                              | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Arturo Fernandez as Director                         | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Fernando Ruiz as Director                            | Mgmt      | For      | Against              | Against             |
| 8                  | Elect Eduardo Cepeda as Director                              | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Charles Jacobs as Director                           | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Barbara Laguera as Director                          | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Alberto Tiburcio as Director                         | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Dame Judith Macgregor as Director                    | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Georgina Kessel as Director                          | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Guadalupe de la Vega as Director                     | Mgmt      | For      | For                  | For                 |
| 15                 | Elect Hector Rangel as Director                               | Mgmt      | For      | For                  | For                 |
| 16                 | Reappoint Ernst & Young LLP as Auditors                       | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity                                     | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Fresnillo Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 19                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 23                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |

# **Giant Manufacturing Co., Ltd.**

**Meeting Date:** 24/06/2021 **Record Date:** 23/04/2021

Country: Taiwan
Meeting Type: Annual

**Primary Security ID:** Y2708Z106

Ticker: 9921

Shares Voted: 14,000

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Profit Distribution  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Amendments to Articles of Association  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Amendment to Rules and Procedures for Election of Directors  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve the Application of D.MAG (KUNSHAN)<br>NEW MATERIAL TECHNOLOGY CO.,LTD., a<br>Subsidiary of the Company, for its A-share Initial<br>Public Offering (IPO) and Listing in an Overseas<br>Securities Market | Mgmt      | For      | For                  | For                 |
| 6.1                | Elect THO, TU HSIU-CHEN (Bonnie Tu), with Shareholder No. 2, as Non-Independent Director   | Mgmt      | For      | For                  | For                 |
| 6.2                | Elect LIU, YUON-CHAN (Young Liu), with<br>Shareholder No. 22, as Non-Independent<br>Director   | Mgmt      | For      | For                  | For                 |
| 6.3                | Elect LIU, CHIN-PIAO (King Liu), with<br>Shareholder No. 4, as Non-Independent Director  | Mgmt      | For      | For                  | For                 |
| 6.4                | Elect CHIU,TA-PENG, with Shareholder No. 8, as Non-Independent Director  | Mgmt      | For      | Against              | Against             |
| 6.5                | Elect YANG,HUAI-CHING, with Shareholder No. 110, as Non-Independent Director   | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

# **Giant Manufacturing Co., Ltd.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 6.6                | Elect CHIU,TA-WEI, with Shareholder No. 435, as Non-Independent Director   | Mgmt      | For      | Against              | Against             |
| 6.7                | Elect THO,TZU CHIEN, with Shareholder No. 98, as Non-Independent Director  | Mgmt      | For      | Against              | Against             |
| 6.8                | Elect a Representative of Kinabalu Holding<br>Company, with Shareholder No. 105810, as<br>Non-Independent Director | Mgmt      | For      | Against              | Against             |
| 6.9                | Elect CHEN,HONG-SO (Hilo Chen), with ID No. F120677XXX, as Independent Director                                    | Mgmt      | For      | For                  | For                 |
| 6.10               | Elect LO,JUI-LIN, with ID No. L120083XXX, as Independent Director  | Mgmt      | For      | For                  | For                 |
| 6.11               | Elect HO, CHUN-SHENG (Chaney Ho), with ID<br>No. M100733XXX, as Independent Director                               | Mgmt      | For      | For                  | For                 |
| 7                  | Approve Release of Restrictions of Competitive<br>Activities of Newly Appointed Directors and<br>Representatives   | Mgmt      | For      | For                  | For                 |

## **Landis+Gyr Group AG**

**Meeting Date:** 24/06/2021 **Record Date:** 

**Country:** Switzerland **Meeting Type:** Annual

Primary Security ID: H893NZ107

Ticker: LAND

Shares Voted: 2,729

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                         | Mgmt      | For      | For                  | For                 |
| 2.1                | Approve Treatment of Net Loss  | Mgmt      | For      | For                  | For                 |
| 2.2                | Approve Dividends of CHF 2.10 per Share from Capital Contribution Reserves   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Board and Senior<br>Management                          | Mgmt      | For      | For                  | For                 |
| 4.1                | Approve Remuneration Report  | Mgmt      | For      | For                  | Abstain             |
| 4.2                | Approve Remuneration of Directors in the Amount of CHF 1.8 Million           | Mgmt      | For      | For                  | For                 |
| 4.3                | Approve Remuneration of Executive Committee in the Amount of CHF 8.5 Million | Mgmt      | For      | For                  | For                 |
| 5.1.1              | Reelect Andreas Umbach as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.2              | Reelect Eric Elzvik as Director  | Mgmt      | For      | For                  | For                 |
| 5.1.3              | Reelect Dave Geary as Director   | Mgmt      | For      | For                  | For                 |
| 5.1.4              | Reelect Peter Mainz as Director  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Landis+Gyr Group AG**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 5.1.5              | Reelect Soren Sorensen as Director                            | Mgmt      | For      | For                  | For                 |
| 5.1.6              | Reelect Andreas Spreiter as Director                          | Mgmt      | For      | For                  | For                 |
| 5.1.7              | Reelect Christina Stercken as Director                        | Mgmt      | For      | For                  | For                 |
| 5.2                | Elect Laureen Tolson as Director                              | Mgmt      | For      | For                  | For                 |
| 5.3                | Reelect Andreas Umbach as Board Chairman                      | Mgmt      | For      | For                  | For                 |
| 5.4.1              | Reappoint Eric Elzvik as Member of the Compensation Committee | Mgmt      | For      | For                  | For                 |
| 5.4.2              | Reappoint Dave Geary as Member of the Compensation Committee  | Mgmt      | For      | For                  | For                 |
| 5.4.3              | Reappoint Peter Mainz as Member of the Compensation Committee | Mgmt      | For      | For                  | For                 |
| 5.5                | Ratify PricewaterhouseCoopers AG as Auditors                  | Mgmt      | For      | For                  | For                 |
| 5.6                | Designate Roger Foehn as Independent Proxy                    | Mgmt      | For      | For                  | For                 |
| 6                  | Transact Other Business (Voting)                              | Mgmt      | For      | Against              | Against             |

# **Scottish Mortgage Investment Trust Plc**

**Meeting Date:** 24/06/2021 **Record Date:** 22/06/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G79211127

Ticker: SMT

Shares Voted: 147,934

| Proposal<br>Number | Proposal Text                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                       | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend                            | Mgmt      | For      | For                  | For                 |
| 4                  | Re-elect Fiona McBain as Director                 | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect Amar Bhide as Director                   | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Justin Dowley as Director                | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Patrick Maxwell as Director              | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Paola Subacchi as Director               | Mgmt      | For      | For                  | For                 |
| 9                  | Reappoint PricewaterhouseCoopers LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 10                 | Authorise Board to Fix Remuneration of Auditors   | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Scottish Mortgage Investment Trust Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 11                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 12                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 13                 | Authorise Directors to Allot Ordinary Shares and to Sell Treasury Shares for Cash at a Price Below the Net Asset Value | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 15                 | Amend Articles of Association  | Mgmt      | For      | For                  | For                 |

### **China Conch Venture Holdings Limited**

**Meeting Date:** 25/06/2021 **Record Date:** 17/06/2021

Country: Cayman Islands

Primary Security ID: G2116J108

Meeting Type: Annual

Ticker: 586

Shares Voted: 297,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports                                | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 3a                 | Elect Ji Qinying as Director  | Mgmt      | For      | For                  | For                 |
| 3b                 | Elect Li Daming as Director   | Mgmt      | For      | For                  | For                 |
| 3c                 | Elect Chang Zhangli as Director   | Mgmt      | For      | Against              | Against             |
| 3d                 | Authorize Board to Fix Remuneration of Directors                                    | Mgmt      | For      | For                  | For                 |
| 4                  | Approve KPMG as Auditors and Authorize Board to Fix Their Remuneration              | Mgmt      | For      | For                  | For                 |
| 5                  | Authorize Repurchase of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights | Mgmt      | For      | Against              | Against             |
| 7                  | Authorize Reissuance of Repurchased Shares  | Mgmt      | For      | Against              | Against             |

## **China High Speed Transmission Equipment Group Co., Ltd.**

**Meeting Date:** 25/06/2021 **Record Date:** 21/06/2021

**Country:** Cayman Islands **Meeting Type:** Annual

Primary Security ID: G2112D105

Ticker: 658

Reporting Period: 01/07/2020 to 30/06/2021

# **China High Speed Transmission Equipment Group Co., Ltd.**

Shares Voted: 199,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports  | Mgmt      | For      | For                  | For                 |
| 2a                 | Elect Hu Jichun as Director   | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect Zhou Zhijin as Director   | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect Fang Jian as Director   | Mgmt      | For      | For                  | For                 |
| 2d                 | Elect Jiang Xihe as Director  | Mgmt      | For      | For                  | For                 |
| 2e                 | Elect Nathan Yu Li as Director  | Mgmt      | For      | For                  | For                 |
| 2f                 | Authorize Board to Fix Remuneration of Directors  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Baker Tilly Hong Kong Limited as<br>Auditors and Authorize Board to Fix Their<br>Remuneration | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Issuance of Equity or Equity-Linked<br>Securities without Preemptive Rights                   | Mgmt      | For      | Against              | Against             |
| 5                  | Authorize Repurchase of Issued Share Capital  | Mgmt      | For      | For                  | For                 |
| 6                  | Authorize Reissuance of Repurchased Shares  | Mgmt      | For      | Against              | Against             |

#### **CSR Limited**

**Meeting Date:** 25/06/2021 **Record Date:** 23/06/2021

**Country:** Australia **Meeting Type:** Annual

Primary Security ID: Q30297115

Ticker: CSR

Shares Voted: 607,249

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2a                 | Elect Nigel Garrard as Director   | Mgmt      | For      | For                  | For                 |
| 2b                 | Elect John Gillam as Director   | Mgmt      | For      | For                  | For                 |
| 2c                 | Elect Penny Winn as Director  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Report   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Grant of Performance Rights to Julie Coates                       | Mgmt      | For      | For                  | For                 |
| 5                  | Adopt New Constitution  | Mgmt      | For      | Against              | Against             |
| 6                  | Approve Insertion of Proportional Takeover Provisions in the Constitution | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Entain Plc**

**Meeting Date:** 25/06/2021 **Record Date:** 23/06/2021

**Country:** Isle of Man **Meeting Type:** Annual

Primary Security ID: G3167C109

Ticker: ENT

Shares Voted: 60,607

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory Reports  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report  | Mgmt      | For      | For                  | For                 |
| 3                  | Ratify KPMG LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 4                  | Authorise Board to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 5                  | Elect David Satz as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Robert Hoskin as Director  | Mgmt      | For      | For                  | For                 |
| 7                  | Elect Stella David as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Elect Vicky Jarman as Director   | Mgmt      | For      | For                  | For                 |
| 9                  | Elect Mark Gregory as Director   | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Rob Wood as Director  | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Jette Nygaard-Andersen as Director  | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Barry Gibson as Director  | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Peter Isola as Director   | Mgmt      | For      | For                  | For                 |
| 14                 | Re-elect Pierre Bouchut as Director  | Mgmt      | For      | For                  | For                 |
| 15                 | Re-elect Virginia McDowell as Director   | Mgmt      | For      | For                  | For                 |
| 16                 | Approve Increase in Aggregate Fees Payable to Non-executive Directors  | Mgmt      | For      | For                  | For                 |
| 17                 | Approve Increase in Size of Board  | Mgmt      | For      | For                  | For                 |
| 18                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Market Purchase of Shares  | Mgmt      | For      | For                  | For                 |
|                    |  |           |          |                      |                     |

### M3, Inc.

Meeting Date: 25/06/2021 Record Date: 31/03/2021 Country: Japan Meeting Type: Annual Primary Security ID: J4697J108

Ticker: 2413

Reporting Period: 01/07/2020 to 30/06/2021

## M3, Inc.

Shares Voted: 17,000

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Tanimura, Itaru                                   | Mgmt      | For      | For                  | For                 |
| 1.2                | Elect Director Tomaru, Akihiko                                   | Mgmt      | For      | For                  | For                 |
| 1.3                | Elect Director Tsuchiya, Eiji                                    | Mgmt      | For      | For                  | For                 |
| 1.4                | Elect Director Izumiya, Kazuyuki                                 | Mgmt      | For      | For                  | For                 |
| 1.5                | Elect Director Urae, Akinori                                     | Mgmt      | For      | For                  | For                 |
| 1.6                | Elect Director Yoshida, Kenichiro                                | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Stock Option Plan and Deep Discount<br>Stock Option Plan | Mgmt      | For      | For                  | For                 |

# **New Energy Solar**

**Meeting Date:** 25/06/2021 **Record Date:** 22/06/2021

**Country:** Australia **Meeting Type:** Special

Primary Security ID: Q6651D102

Ticker: NEW

Shares Voted: 888,856

| Proposal<br>Number |                                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-------------------------------------|-----------|----------|----------------------|---------------------|
| 1                  | Approve the Winding Up of the Trust | Mgmt      | For      | For                  | For                 |

## **Santen Pharmaceutical Co., Ltd.**

**Meeting Date:** 25/06/2021 **Record Date:** 31/03/2021

**Country:** Japan **Meeting Type:** Annual

Primary Security ID: J68467109

Ticker: 4536

Shares Voted: 18,700

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 14 | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Kurokawa, Akira                                | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Taniuchi, Shigeo                               | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Ito, Takeshi                                   | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Director Oishi, Kanoko                                  | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Shintaku, Yutaro                               | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Santen Pharmaceutical Co., Ltd.**

| Proposal<br>Number | Proposal Text                     | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|-----------------------------------|-----------|----------|----------------------|---------------------|
| 2.6                | Elect Director Minakawa, Kunihito | Mgmt      | For      | For                  | For                 |

## **Shimadzu Corp.**

Meeting Date: 25/06/2021 **Record Date:** 31/03/2021

Country: Japan Meeting Type: Annual

Primary Security ID: J72165129

**Ticker:** 7701

Shares Voted: 30,800

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 19 | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Nakamoto, Akira                                | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Ueda, Teruhisa                                 | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Miura, Yasuo                                   | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Director Kitaoka, Mitsuo                                | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Yamamoto, Yasunori                             | Mgmt      | For      | For                  | For                 |
| 2.6                | Elect Director Wada, Hiroko                                   | Mgmt      | For      | For                  | For                 |
| 2.7                | Elect Director Hanai, Nobuo                                   | Mgmt      | For      | For                  | For                 |
| 2.8                | Elect Director Nakanishi, Yoshiyuki                           | Mgmt      | For      | For                  | For                 |
| 3                  | Appoint Statutory Auditor Fujii, Hiroyuki                     | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

## **Sysmex Corp.**

Meeting Date: 25/06/2021 **Record Date:** 31/03/2021

Country: Japan Meeting Type: Annual Primary Security ID: J7864H102

**Ticker:** 6869

Shares Voted: 7,900

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 36 | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Ietsugu, Hisashi                               | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Asano, Kaoru                                   | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Tachibana, Kenji                               | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Sysmex Corp.**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2.4                | Elect Director Matsui, Iwane  | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Kanda, Hiroshi   | Mgmt      | For      | For                  | For                 |
| 2.6                | Elect Director Yoshida, Tomokazu                                      | Mgmt      | For      | For                  | For                 |
| 2.7                | Elect Director Takahashi, Masayo                                      | Mgmt      | For      | For                  | For                 |
| 2.8                | Elect Director Ota, Kazuo   | Mgmt      | For      | For                  | For                 |
| 2.9                | Elect Director Fukumoto, Hidekazu                                     | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Alternate Director and Audit Committee<br>Member Onishi, Koichi | Mgmt      | For      | For                  | For                 |

### **Tesco Plc**

**Meeting Date:** 25/06/2021 **Record Date:** 23/06/2021

**Country:** United Kingdom **Meeting Type:** Annual

**Primary Security ID:** G8T67X102

Ticker: TSCO

Shares Voted: 794,671

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                          | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Remuneration Policy                          | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Final Dividend                               | Mgmt      | For      | For                  | For                 |
| 5                  | Re-elect John Allan as Director                      | Mgmt      | For      | Against              | Against             |
| 6                  | Re-elect Melissa Bethell as Director                 | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Stewart Gilliland as Director               | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Steve Golsby as Director                    | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Byron Grote as Director                     | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Ken Murphy as Director                      | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Simon Patterson as Director                 | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Alison Platt as Director                    | Mgmt      | For      | For                  | For                 |
| 13                 | Re-elect Lindsey Pownall as Director                 | Mgmt      | For      | For                  | For                 |
| 14                 | Elect Bertrand Bodson as Director                    | Mgmt      | For      | For                  | For                 |
| 15                 | Elect Thierry Garnier as Director                    | Mgmt      | For      | For                  | For                 |
| 16                 | Elect Imran Nawaz as Director                        | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

#### **Tesco Pic**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 17                 | Elect Karen Whitworth as Director  | Mgmt      | For      | For                  | For                 |
| 18                 | Reappoint Deloitte LLP as Auditors   | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise the Audit Committee to Fix Remuneration of Auditors  | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise Issue of Equity  | Mgmt      | For      | For                  | For                 |
| 21                 | Authorise Issue of Equity without Pre-emptive Rights   | Mgmt      | For      | For                  | For                 |
| 22                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 23                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 24                 | Authorise UK Political Donations and Expenditure   | Mgmt      | For      | For                  | For                 |
| 25                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |
| 26                 | Approve Long-Term Incentive Plan   | Mgmt      | For      | For                  | For                 |
| 27                 | Approve Savings-Related Share Option Scheme  | Mgmt      | For      | For                  | For                 |
| 28                 | Adopt New Articles of Association  | Mgmt      | For      | For                  | For                 |

# **Xinjiang Goldwind Science & Technology Co., Ltd.**

**Meeting Date:** 28/06/2021 **Record Date:** 28/05/2021

Country: China Meeting Type: Annual **Primary Security ID:** Y97237112

Ticker: 2208

Shares Voted: 69,000

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Issuance of Bonds and Asset-backed<br>Securities                                    | Mgmt      | For      | For                  | For                 |
| 1                  | Approve Report of the Board of Directors  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Report of the Supervisory Committee   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Audited Consolidated Financial<br>Statements and Auditors' Report                   | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Final Dividend Distribution   | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Annual Report   | Mgmt      | For      | For                  | For                 |
| 6                  | Approve Provision of Letter of Guarantee by the Company for the Benefit of its Subsidiaries | Mgmt      | For      | Against              | Against             |

Reporting Period: 01/07/2020 to 30/06/2021

# **Xinjiang Goldwind Science & Technology Co., Ltd.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 7                  | Approve Provision of New Guarantees by the Company for its Subsidiaries  | Mgmt      | For      | Against              | Against             |
| 8                  | Approve the Proposed Operation of Exchange<br>Rate Hedging Business  | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Shareholders' Return Plan for the Next Three Years (2021-2023)   | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP as the PRC Auditors and Deloitte Touche Tohmatsu as the International Auditors and Authorize Board to Fix Their Remuneration | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Revision of Annual Caps (A Shares) for Transactions with Related Party   | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Revision of Annual Caps (H Shares) for<br>Transactions with Related Party under Product<br>Sales Framework Agreement   | Mgmt      | For      | For                  | For                 |
| 13                 | Elect Wang Kaiguo as Director  | SH        | For      | For                  | For                 |

### **Acciona SA**

**Meeting Date:** 29/06/2021 **Record Date:** 25/06/2021

**Country:** Spain **Meeting Type:** Annual

**Primary Security ID:** E0008Z109

Ticker: ANA

Shares Voted: 2,140

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Approve Consolidated and Standalone Financial Statements  | Mgmt      | For      | For                  | For                 |
| 1.2                | Approve Consolidated and Standalone<br>Management Reports | Mgmt      | For      | For                  | For                 |
| 1.3                | Approve Discharge of Board                                | Mgmt      | For      | For                  | For                 |
| 1.4                | Approve Non-Financial Information Statement               | Mgmt      | For      | For                  | For                 |
| 1.5                | Approve Sustainability Report                             | Mgmt      | For      | For                  | For                 |
| 1.6                | Approve Allocation of Income and Dividends                | Mgmt      | For      | For                  | For                 |
| 1.7                | Renew Appointment of KPMG Auditores as Auditor            | Mgmt      | For      | For                  | For                 |
| 2.1                | Reelect Jose Manuel Entrecanales Domecq as Director       | Mgmt      | For      | Against              | Against             |
| 2.2                | Reelect Juan Ignacio Entrecanales Franco as Director      | Mgmt      | For      | For                  | For                 |
| 2.3                | Reelect Daniel Entrecanales Domecq as Director            | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Acciona SA**

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 2.4                | Reelect Javier Entrecanales Franco as Director  | Mgmt      | For      | For                  | For                 |
| 2.5                | Reelect Javier Sendagorta Gomez del Campillo as Director  | Mgmt      | For      | For                  | For                 |
| 2.6                | Reelect Jose Maria Pacheco Guardiola as<br>Director   | Mgmt      | For      | For                  | For                 |
| 2.7                | Reelect Ana Saiz de Vicuna Bemberg as Director  | Mgmt      | For      | For                  | For                 |
| 2.8                | Elect Maria Dolores Dancausa Trevino as Director  | Mgmt      | For      | For                  | For                 |
| 3.1                | Authorize Share Repurchase Program  | Mgmt      | For      | For                  | For                 |
| 3.2                | Authorize Company to Call EGM with 15 Days' Notice  | Mgmt      | For      | For                  | For                 |
| 4.1                | Amend Articles Re: Corporate Purpose and Representation of Shares   | Mgmt      | For      | For                  | For                 |
| 4.2                | Amend Article 18 Re: Allow Shareholder<br>Meetings to be Held in Virtual-Only Format  | Mgmt      | For      | For                  | For                 |
| 4.3                | Amend Articles Re: Competences, Proxies,<br>Adoption of Resolution, Minutes of Meetings and<br>Certifications   | Mgmt      | For      | For                  | For                 |
| 4.4                | Amend Article 31 Re: Board Term and Remuneration  | Mgmt      | For      | For                  | For                 |
| 4.5                | Amend Articles Re: Board of Directors and Board Committees  | Mgmt      | For      | For                  | For                 |
| 4.6                | Amend Articles Re: Annual Accounts  | Mgmt      | For      | For                  | For                 |
| 5.1                | Amend Articles of General Meeting Regulations<br>Re: Interpretation, Competences, Information<br>Available for Shareholders, Information Subject<br>to Request by Shareholders, Meeting Location<br>and Request for Information | Mgmt      | For      | For                  | For                 |
| 5.2                | Amend Articles of General Meeting Regulations<br>Re: Allow Shareholder Meetings to be Held in<br>Virtual-Only Format  | Mgmt      | For      | For                  | For                 |
| 6                  | Advisory Vote on Remuneration Report  | Mgmt      | For      | Against              | Against             |
| 8                  | Authorize Board to Ratify and Execute Approved Resolutions  | Mgmt      | For      | For                  | For                 |

# CyberArk Software Ltd.

Meeting Date: 29/06/2021 Record Date: 21/05/2021

Country: Israel
Meeting Type: Annual

**Primary Security ID:** M2682V108

Ticker: CYBR

Reporting Period: 01/07/2020 to 30/06/2021

# **CyberArk Software Ltd.**

Shares Voted: 72,131

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1.1                | Reelect Ehud (Udi) Mokady as Director  | Mgmt      | For      | For                  | For                 |
| 1.2                | Reelect David Schaeffer as Director  | Mgmt      | For      | For                  | For                 |
| 2                  | Reappoint Kost Forer Gabbay & Kasierer as<br>Auditors and Authorize Board to Fix Their<br>Remuneration | Mgmt      | For      | For                  | For                 |

# **Daiwa House Industry Co., Ltd.**

**Meeting Date:** 29/06/2021 **Record Date:** 31/03/2021

**Country:** Japan **Meeting Type:** Annual

Primary Security ID: J11508124

**Ticker:** 1925

Shares Voted: 61,400

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 66 | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Yoshii, Keiichi                                | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Kosokabe, Takeshi                              | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Otomo, Hirotsugu                               | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Director Urakawa, Tatsuya                               | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Dekura, Kazuhito                               | Mgmt      | For      | For                  | For                 |
| 2.6                | Elect Director Ariyoshi, Yoshinori                            | Mgmt      | For      | For                  | For                 |
| 2.7                | Elect Director Shimonishi, Keisuke                            | Mgmt      | For      | For                  | For                 |
| 2.8                | Elect Director Ichiki, Nobuya                                 | Mgmt      | For      | For                  | For                 |
| 2.9                | Elect Director Murata, Yoshiyuki                              | Mgmt      | For      | For                  | For                 |
| 2.10               | Elect Director Kimura, Kazuyoshi                              | Mgmt      | For      | For                  | For                 |
| 2.11               | Elect Director Shigemori, Yutaka                              | Mgmt      | For      | For                  | For                 |
| 2.12               | Elect Director Yabu, Yukiko                                   | Mgmt      | For      | For                  | For                 |
| 2.13               | Elect Director Kuwano, Yukinori                               | Mgmt      | For      | For                  | For                 |
| 2.14               | Elect Director Seki, Miwa                                     | Mgmt      | For      | For                  | For                 |
| 3.1                | Appoint Statutory Auditor Maeda, Tadatoshi                    | Mgmt      | For      | For                  | For                 |
| 3.2                | Appoint Statutory Auditor Kishimoto, Tatsuji                  | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Annual Bonus  | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **GDS Holdings Limited**

**Meeting Date:** 29/06/2021 **Record Date:** 04/06/2021

**Country:** Cayman Islands **Meeting Type:** Annual

Primary Security ID: G3902L109

Ticker: 9698

Shares Voted: 39,148

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Elect Director Lim Ah Doo  | Mgmt      | For      | For                  | For                 |
| 2                  | Elect Director Chang Sun   | Mgmt      | For      | For                  | For                 |
| 3                  | Elect Director Judy Qing Ye  | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify KPMG Huazhen LLP as Auditors  | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights | Mgmt      | For      | For                  | For                 |
| 6                  | Amend Certificate of Incorporation   | Mgmt      | For      | For                  | For                 |
| 7                  | Authorize Board to Ratify and Execute Approved Resolutions                       | Mgmt      | For      | For                  | For                 |

# Keio Corp.

**Meeting Date:** 29/06/2021 **Record Date:** 31/03/2021

Country: Japan Meeting Type: Annual Primary Security ID: J32190126

Ticker: 9008

Shares Voted: 14,400

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 20 | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Nagata, Tadashi                                | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Komura, Yasushi                                | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Nakaoka, Kazunori                              | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Director Minami, Yoshitaka                              | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Terada, Yuichiro                               | Mgmt      | For      | For                  | For                 |
| 2.6                | Elect Director Takahashi, Atsushi                             | Mgmt      | For      | For                  | For                 |
| 2.7                | Elect Director Furuichi, Takeshi                              | Mgmt      | For      | For                  | For                 |
| 2.8                | Elect Director Komada, Ichiro                                 | Mgmt      | For      | For                  | For                 |
| 2.9                | Elect Director Maruyama, So                                   | Mgmt      | For      | For                  | For                 |
| 2.10               | Elect Director Wakabayashi, Katsuyoshi                        | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

## **Keio Corp.**

| Proposal<br>Number |                                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---------------------------------|-----------|----------|----------------------|---------------------|
| 2.11               | Elect Director Tsumura, Satoshi | Mgmt      | For      | For                  | For                 |

## Mitsui Fudosan Co., Ltd.

**Meeting Date:** 29/06/2021 **Record Date:** 31/03/2021

Country: Japan Meeting Type: Annual Primary Security ID: J4509L101

Ticker: 8801

Shares Voted: 110,900

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 22 | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Iwasa, Hiromichi                               | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Komoda, Masanobu                               | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Kitahara, Yoshikazu                            | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Director Fujibayashi, Kiyotaka                          | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Onozawa, Yasuo                                 | Mgmt      | For      | For                  | For                 |
| 2.6                | Elect Director Yamamoto, Takashi                              | Mgmt      | For      | For                  | For                 |
| 2.7                | Elect Director Ueda, Takashi                                  | Mgmt      | For      | For                  | For                 |
| 2.8                | Elect Director Hamamoto, Wataru                               | Mgmt      | For      | For                  | For                 |
| 2.9                | Elect Director Nogimori, Masafumi                             | Mgmt      | For      | For                  | For                 |
| 2.10               | Elect Director Nakayama, Tsunehiro                            | Mgmt      | For      | For                  | For                 |
| 2.11               | Elect Director Ito, Shinichiro                                | Mgmt      | For      | For                  | For                 |
| 2.12               | Elect Director Kawai, Eriko                                   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Annual Bonus  | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

## **Oriental Land Co., Ltd.**

**Meeting Date:** 29/06/2021 **Record Date:** 31/03/2021

Country: Japan Meeting Type: Annual Primary Security ID: J6174U100

Ticker: 4661

Shares Voted: 25,700

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 13 | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Oriental Land Co., Ltd.**

| Proposal Text                            | Proponent  | Mgmt Rec  | Voting<br>Policy Rec  | Vote<br>Instruction  |
|--|--|---|---|--|
| Amend Articles to Reduce Directors' Term | Mgmt   | For   | For   | For  |
| Elect Director Kagami, Toshio            | Mgmt   | For   | For   | For  |
| Elect Director Takano, Yumiko            | Mgmt   | For   | For   | For  |
| Elect Director Katayama, Yuichi          | Mgmt   | For   | For   | For  |
| Elect Director Yokota, Akiyoshi          | Mgmt   | For   | For   | For  |
| Elect Director Takahashi, Wataru         | Mgmt   | For   | For   | For  |
| Elect Director Kaneki, Yuichi            | Mgmt   | For   | For   | For  |
| Elect Director Kambara, Rika             | Mgmt   | For   | For   | For  |
| Elect Director Hanada, Tsutomu           | Mgmt   | For   | For   | For  |
| Elect Director Mogi, Yuzaburo            | Mgmt   | For   | For   | For  |
| Elect Director Yoshida, Kenji            | Mgmt   | For   | For   | For  |
|  | Amend Articles to Reduce Directors' Term  Elect Director Kagami, Toshio  Elect Director Takano, Yumiko  Elect Director Katayama, Yuichi  Elect Director Yokota, Akiyoshi  Elect Director Takahashi, Wataru  Elect Director Kaneki, Yuichi  Elect Director Kambara, Rika  Elect Director Hanada, Tsutomu  Elect Director Mogi, Yuzaburo | Amend Articles to Reduce Directors' Term  Elect Director Kagami, Toshio  Elect Director Takano, Yumiko  Elect Director Katayama, Yuichi  Elect Director Yokota, Akiyoshi  Elect Director Takahashi, Wataru  Elect Director Kaneki, Yuichi  Elect Director Kaneki, Yuichi  Elect Director Kambara, Rika  Elect Director Hanada, Tsutomu  Mgmt  Elect Director Mogi, Yuzaburo  Mgmt | Amend Articles to Reduce Directors' Term  Mgmt For  Elect Director Kagami, Toshio Mgmt For  Elect Director Takano, Yumiko Mgmt For  Elect Director Katayama, Yuichi Mgmt For  Elect Director Yokota, Akiyoshi Mgmt For  Elect Director Takahashi, Wataru Mgmt For  Elect Director Kaneki, Yuichi Mgmt For  Elect Director Kaneki, Yuichi Mgmt For  Elect Director Kaneki, Tuichi Mgmt For  Elect Director Kanbara, Rika Mgmt For  Elect Director Hanada, Tsutomu Mgmt For  Elect Director Mogi, Yuzaburo Mgmt For | Proposal TextProponentMgmt RecPolicy RecAmend Articles to Reduce Directors' TermMgmtForForElect Director Kagami, ToshioMgmtForForElect Director Takano, YumikoMgmtForForElect Director Katayama, YuichiMgmtForForElect Director Yokota, AkiyoshiMgmtForForElect Director Takahashi, WataruMgmtForForElect Director Kaneki, YuichiMgmtForForElect Director Kambara, RikaMgmtForForElect Director Hanada, TsutomuMgmtForForElect Director Mogi, YuzaburoMgmtForFor |

# Solaria Energia y Medio Ambiente SA

**Meeting Date:** 29/06/2021 **Record Date:** 25/06/2021

**Country:** Spain **Meeting Type:** Annual

**Primary Security ID:** E8935P110

Ticker: SLR

Shares Voted: 6,669

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Consolidated and Standalone Financial Statements  | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Allocation of Income  | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Board  | Mgmt      | For      | For                  | For                 |
| 4.A                | Reelect Enrique Diaz-Tejeiro Gutierrez as<br>Director   | Mgmt      | For      | Against              | Against             |
| 4.B                | Reelect Manuel Azpilicueta Ferrer as Director   | Mgmt      | For      | Against              | Against             |
| 4.C                | Reelect Carlos Francisco Abad Rico as Director  | Mgmt      | For      | For                  | For                 |
| 4.D                | Reelect Elena Pisonero Ruiz as Director   | Mgmt      | For      | For                  | For                 |
| 4.E                | Dismiss Corporacion Arditel SL as Director and<br>Elect Arturo Diaz-Tejeiro Larranaga as Director | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Remuneration of Directors   | Mgmt      | For      | For                  | For                 |
| 6.A                | Amend Article 27 Re: Allow Shareholder<br>Meetings to be Held in Virtual-Only Format              | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# Solaria Energia y Medio Ambiente SA

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 6.B                | Amend Article 30 Re: Allow Shareholder<br>Meetings to be Held in Virtual-Only Format   | Mgmt      | For      | For                  | For                 |
| 6.C                | Amend Article 36 Re: Allow Shareholder<br>Meetings to be Held in Virtual-Only Format   | Mgmt      | For      | For                  | For                 |
| 6.D                | Amend Article 48 Re: Audit Committee   | Mgmt      | For      | For                  | For                 |
| 7.A                | Add New Article 10 ter to General Meeting<br>Regulations Re: Allow Shareholder Meetings to<br>be Held in Virtual-Only Format | Mgmt      | For      | For                  | For                 |
| 7.B                | Amend Article 27 of General Meeting<br>Regulations Re: Allow Shareholder Meetings to<br>be Held in Virtual-Only Format       | Mgmt      | For      | For                  | For                 |
| 8                  | Renew Appointment of Ernst & Young as Auditor  | Mgmt      | For      | For                  | For                 |
| 9                  | Authorize Board to Ratify and Execute Approved Resolutions   | Mgmt      | For      | For                  | For                 |
| 10                 | Advisory Vote on Remuneration Report   | Mgmt      | For      | For                  | For                 |

## **Takeda Pharmaceutical Co., Ltd.**

Meeting Date: 29/06/2021 **Record Date:** 31/03/2021

Country: Japan

Meeting Type: Annual

Primary Security ID: J8129E108

Ticker: 4502

Shares Voted: 72,900

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 90 | Mgmt      | For      | For                  | For                 |
| 2                  | Amend Articles to Allow Virtual Only<br>Shareholder Meetings  | Mgmt      | For      | For                  | For                 |
| 3.1                | Elect Director Christophe Weber                               | Mgmt      | For      | For                  | For                 |
| 3.2                | Elect Director Iwasaki, Masato                                | Mgmt      | For      | For                  | For                 |
| 3.3                | Elect Director Andrew Plump                                   | Mgmt      | For      | For                  | For                 |
| 3.4                | Elect Director Constantine Saroukos                           | Mgmt      | For      | For                  | For                 |
| 3.5                | Elect Director Sakane, Masahiro                               | Mgmt      | For      | For                  | For                 |
| 3.6                | Elect Director Olivier Bohuon                                 | Mgmt      | For      | For                  | For                 |
| 3.7                | Elect Director Jean-Luc Butel                                 | Mgmt      | For      | For                  | For                 |
| 3.8                | Elect Director Ian Clark                                      | Mgmt      | For      | For                  | For                 |
| 3.9                | Elect Director Fujimori, Yoshiaki                             | Mgmt      | For      | For                  | For                 |
|                    |   |           |          |                      |                     |

Reporting Period: 01/07/2020 to 30/06/2021

# Takeda Pharmaceutical Co., Ltd.

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 3.10               | Elect Director Steven Gillis                             | Mgmt      | For      | For                  | For                 |
| 3.11               | Elect Director Kuniya, Shiro                             | Mgmt      | For      | For                  | For                 |
| 3.12               | Elect Director Shiga, Toshiyuki                          | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Director and Audit Committee Member Iijima, Masami | Mgmt      | For      | For                  | For                 |
| 5                  | Approve Annual Bonus                                     | Mgmt      | For      | For                  | For                 |

## **Tokyu Corp.**

**Meeting Date:** 29/06/2021 **Record Date:** 31/03/2021

Country: Japan Meeting Type: Annual **Primary Security ID:** J88720149

Ticker: 9005

Shares Voted: 65,700

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 1                  | Approve Allocation of Income, with a Final Dividend of JPY 5 | Mgmt      | For      | For                  | For                 |
| 2.1                | Elect Director Nomoto, Hirofumi                              | Mgmt      | For      | For                  | For                 |
| 2.2                | Elect Director Takahashi, Kazuo                              | Mgmt      | For      | For                  | For                 |
| 2.3                | Elect Director Tomoe, Masao                                  | Mgmt      | For      | For                  | For                 |
| 2.4                | Elect Director Hoshino, Toshiyuki                            | Mgmt      | For      | For                  | For                 |
| 2.5                | Elect Director Fujiwara, Hirohisa                            | Mgmt      | For      | For                  | For                 |
| 2.6                | Elect Director Takahashi, Toshiyuki                          | Mgmt      | For      | For                  | For                 |
| 2.7                | Elect Director Hamana, Setsu                                 | Mgmt      | For      | For                  | For                 |
| 2.8                | Elect Director Kanazashi, Kiyoshi                            | Mgmt      | For      | For                  | For                 |
| 2.9                | Elect Director Watanabe, Isao                                | Mgmt      | For      | For                  | For                 |
| 2.10               | Elect Director Konaga, Keiichi                               | Mgmt      | For      | For                  | For                 |
| 2.11               | Elect Director Kanise, Reiko                                 | Mgmt      | For      | For                  | For                 |
| 2.12               | Elect Director Miyazaki, Midori                              | Mgmt      | For      | For                  | For                 |
| 2.13               | Elect Director Shimada, Kunio                                | Mgmt      | For      | For                  | For                 |
| 2.14               | Elect Director Shimizu, Hiroshi                              | Mgmt      | For      | For                  | For                 |
| 3                  | Appoint Alternate Statutory Auditor Matsumoto,<br>Taku       | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

### **Unibail-Rodamco-Westfield NV**

**Meeting Date:** 29/06/2021 **Record Date:** 01/06/2021

**Country:** Netherlands **Meeting Type:** Annual

**Primary Security ID:** N96244103

Ticker: N/A

Shares Voted: 138,685

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Remuneration Report                               | Mgmt      | For      | For                  | For                 |
| 2                  | Adopt Financial Statements and Statutory Reports          | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Discharge of Management Board                     | Mgmt      | For      | For                  | For                 |
| 4                  | Approve Discharge of Supervisory Board                    | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Dominic Lowe to Management Board                    | Mgmt      | For      | For                  | For                 |
| 6                  | Elect Jean-Marie Tritant to Supervisory Board             | Mgmt      | For      | Against              | Against             |
| 7                  | Elect Fabrice Mouchel to Supervisory Board                | Mgmt      | For      | Against              | Against             |
| 8                  | Elect Catherine Pourre to Supervisory Board               | Mgmt      | For      | For                  | For                 |
| 9                  | Ratify Ernst & Young Accountants LLP as Auditors          | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Remuneration Policy for Management Board Members  | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Remuneration Policy for Supervisory Board Members | Mgmt      | For      | For                  | For                 |
| 12                 | Amend Articles of Association                             | Mgmt      | For      | For                  | For                 |
| 13                 | Authorize Repurchase of Shares                            | Mgmt      | For      | For                  | For                 |
| 14                 | Approve Cancellation of Repurchased Shares                | Mgmt      | For      | For                  | For                 |

# **CrowdStrike Holdings, Inc.**

**Meeting Date:** 30/06/2021 **Record Date:** 05/05/2021

**Country:** USA **Meeting Type:** Annual

**Primary Security ID:** 22788C105

Ticker: CRWD

Shares Voted: 95,974

| Proposal<br>Number | Proposal Text                                 | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1.1                | Elect Director Roxanne S. Austin              | Mgmt      | For      | Withhold             | Withhold            |
| 1.2                | Elect Director Sameer K. Gandhi               | Mgmt      | For      | Withhold             | Withhold            |
| 1.3                | Elect Director Gerhard Watzinger              | Mgmt      | For      | Withhold             | Withhold            |
| 2                  | Ratify PricewaterhouseCoopers LLP as Auditors | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **CrowdStrike Holdings, Inc.**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec    | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|-------------|----------------------|---------------------|
| 3                  | Advisory Vote to Ratify Named Executive Officers' Compensation | Mgmt      | For         | Against              | Against             |
| 4                  | Advisory Vote on Say on Pay Frequency                          | Mgmt      | Three Years | One Year             | One Year            |
| 5                  | Amend Qualified Employee Stock Purchase Plan                   | Mgmt      | For         | For                  | For                 |

# **Kingfisher Plc**

**Meeting Date:** 30/06/2021 **Record Date:** 28/06/2021

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G5256E441

Ticker: KGF

Shares Voted: 219,800

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Accept Financial Statements and Statutory<br>Reports          | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Remuneration Report                                   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Final Dividend  | Mgmt      | For      | For                  | For                 |
| 4                  | Elect Catherine Bradley as Director                           | Mgmt      | For      | For                  | For                 |
| 5                  | Elect Tony Buffin as Director                                 | Mgmt      | For      | For                  | For                 |
| 6                  | Re-elect Claudia Arney as Director                            | Mgmt      | For      | For                  | For                 |
| 7                  | Re-elect Bernard Bot as Director                              | Mgmt      | For      | For                  | For                 |
| 8                  | Re-elect Jeff Carr as Director                                | Mgmt      | For      | For                  | For                 |
| 9                  | Re-elect Andrew Cosslett as Director                          | Mgmt      | For      | For                  | For                 |
| 10                 | Re-elect Thierry Garnier as Director                          | Mgmt      | For      | For                  | For                 |
| 11                 | Re-elect Sophie Gasperment as Director                        | Mgmt      | For      | For                  | For                 |
| 12                 | Re-elect Rakhi Goss-Custard as Director                       | Mgmt      | For      | For                  | For                 |
| 13                 | Reappoint Deloitte LLP as Auditors                            | Mgmt      | For      | For                  | For                 |
| 14                 | Authorise the Audit Committee to Fix Remuneration of Auditors | Mgmt      | For      | For                  | For                 |
| 15                 | Authorise UK Political Donations and Expenditure              | Mgmt      | For      | For                  | For                 |
| 16                 | Authorise Issue of Equity                                     | Mgmt      | For      | For                  | For                 |
| 17                 | Authorise Issue of Equity without Pre-emptive Rights          | Mgmt      | For      | For                  | For                 |

Reporting Period: 01/07/2020 to 30/06/2021

# **Kingfisher Plc**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 18                 | Authorise Issue of Equity without Pre-emptive<br>Rights in Connection with an Acquisition or<br>Other Capital Investment | Mgmt      | For      | For                  | For                 |
| 19                 | Authorise Market Purchase of Ordinary Shares   | Mgmt      | For      | For                  | For                 |
| 20                 | Authorise the Company to Call General Meeting with Two Weeks' Notice   | Mgmt      | For      | For                  | For                 |

### **SUEZ SA**

**Meeting Date:** 30/06/2021 **Record Date:** 28/06/2021

Country: France
Meeting Type: Annual

**Primary Security ID:** F6327G101

Ticker: SEV

Shares Voted: 33,355

| Proposal<br>Number | Proposal Text   | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|---|-----------|----------|----------------------|---------------------|
| 1                  | Approve Financial Statements and Statutory<br>Reports   | Mgmt      | For      | For                  | For                 |
| 2                  | Approve Consolidated Financial Statements and Statutory Reports   | Mgmt      | For      | For                  | For                 |
| 3                  | Approve Allocation of Income and Dividends of EUR 0.65 per Share  | Mgmt      | For      | For                  | For                 |
| 4                  | Ratify Appointment of Bertrand Meunier as Director  | Mgmt      | For      | For                  | For                 |
| 5                  | Ratify Appointment of Jacques Richier as Director   | Mgmt      | For      | For                  | For                 |
| 6                  | Ratify Appointment of Anthony R. Coscia as Director   | Mgmt      | For      | For                  | For                 |
| 7                  | Ratify Appointment of Philippe Petitcolin as Director   | Mgmt      | For      | For                  | For                 |
| 8                  | Approve Auditors' Special Report on<br>Related-Party Transactions   | Mgmt      | For      | For                  | For                 |
| 9                  | Approve Compensation Report of Corporate Officers   | Mgmt      | For      | For                  | For                 |
| 10                 | Approve Compensation of Jean-Louis<br>Chaussade, Chairman of the Board Until May<br>12, 2020                  | Mgmt      | For      | For                  | For                 |
| 11                 | Approve Compensation of Philippe Varin,<br>Chairman of the Board From May 12, 2020 Until<br>December 31, 2020 | Mgmt      | For      | For                  | For                 |
| 12                 | Approve Compensation of Bertrand Camus, CEO   | Mgmt      | For      | For                  | For                 |
| 13                 | Approve Remuneration Policy of Chairman of the Board  | Mgmt      | For      | For                  | For                 |

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#### **SUEZ SA**

| Proposal<br>Number | Proposal Text  | Proponent | Mgmt Rec | Voting<br>Policy Rec | Vote<br>Instruction |
|--------------------|--|-----------|----------|----------------------|---------------------|
| 14                 | Approve Remuneration Policy of CEO                       | Mgmt      | For      | For                  | For                 |
| 15                 | Approve Remuneration Policy of Directors                 | Mgmt      | For      | For                  | For                 |
| 16                 | Authorize Filing of Required Documents/Other Formalities | Mgmt      | For      | For                  | For                 |

BetaShares Capital Limited (AFSL #341 181) ('BetaShares') is the report issuer.

As described in the Proxy Voting Policy, BetaShares has appointed Institutional Shareholder Services (Australia) Pty Ltd ('ISS') to assist in proxy voting. Note From 8 March 2021, all recommendations provided by ISS were consistent with either their 'SRI' or 'Sustainability' policy approach (fund dependent).

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