

Risk & Compliance Manager

- Broaden your skillset, development your career
- Fast-growing, market leading business
- Incredible culture

About the Role

Summary

Title	Risk & Compliance Manager
Team	Legal & Compliance
Manager	General Counsel & Head of Compliance
Position Type	Permanent, full time
Location	Sydney

About the Role

BetaShares is seeking an energetic, self-motivated risk and compliance professional to join the Legal & Compliance team.

This broad role is suited to a professional looking to apply and broaden their skills within a dynamic and growing business.

About Us

BetaShares was founded in 2009 and launched with the vision to create intelligent and accessible investment solutions for Australian investors. Over the past decade our dedication to helping Australian investors achieve their financial goals has seen BetaShares grow from 'challenger' to market leader, driving disruption and innovation in the exchange traded funds (ETFs) industry.

BetaShares offers the broadest range of exchange traded funds on the ASX and is trusted by hundreds of thousands of investors. As of November 2021, BetaShares manages over \$23 billion in assets.

We currently have offices in Sydney, Melbourne, Brisbane, and New Zealand and a growing team of over 80 people.

Our Values

As our business continues to grow, we're committed to creating a workplace that gives us all the best opportunity to succeed, and that is enjoyable to be a part of.

We prize ambition and drive, but equally we value honesty and humility.

We support each other, and we respect our clients and our competitors. We are always looking for better ways to do things and are willing to take measured risks and learn from our mistakes along the way.

What we offer

BetaShares believes our most important asset is our people and we are proud of the culture we have built – but we are always striving to be better. We want every BetaShares employee to be doing their best work and developing their careers.

In addition to a competitive salary and opportunity to participate in a bonus program, we also offer:

- Staff career development and training allowance.
- Access to a health and wellbeing platform with physical, mental, social and financial programs available.
- Volunteering days off, so you can contribute to a cause that matters to you.
- Hybrid workplace – you'll have the opportunity to work from home some of the time.
- Newly renovated CBD offices with fully stocked kitchen, team breakfasts and catered lunches on a regular basis.
- Fun and inclusive social events.

This role is a fantastic opportunity for someone looking to further their career in a growing and dynamic business.

To apply, please email your cover letter and CV to careers@betashares.com.au.

Role Responsibilities

- Developing, implementing and maintaining risk and compliance frameworks
- Maintaining risk & compliance policies and registers
- Identifying risk & compliance improvement opportunities
- Advising the business on risk and compliance matters
- Conducting risk and compliance reviews
- Reporting to governance bodies
- Breach and incident management
- Complaints management
- Preparing and lodging regulatory filings
- Conducting due diligence exercises
- Implementing regulatory changes

Key skills and experience

- 7-10 years in a risk and/or compliance related role within the financial services industry, particularly funds/wealth management/platforms
- Tertiary qualification in a relevant discipline, such as law, commerce or accounting
- Strong analytical skills
- Strong communication skills and ability to work collaboratively with other business areas
- Highly organised

Ready to make an impact? If you right to live and work in Australia please submit your CV to careers@betashares.com.au. Your interest will be treated in strict confidence.