

Risk & Compliance Manager

- Broaden your skillset, develop your career
- Fast-growing, market leading business
- Incredible culture

About the Role

Summary

Title	Risk & Compliance Manager
Team	Legal & Compliance
Manager	General Counsel & Head of Compliance
Position Type	Permanent, full time
Location	Sydney

About the Role

BetaShares is seeking an energetic, self-motivated risk and compliance professional to join their team and take your career to the next level.

This varied role will report to the Senior Risk & Compliance Manager and is a great opportunity to apply previous experience whilst expanding your skillset in an innovative and growing business.

You'll have the opportunity to develop the Risk and Compliance function and collaborate with all areas of the business.

About Us

BetaShares was founded in 2009 and launched with the vision to create intelligent and accessible investment solutions for Australian investors. Over the past decade our dedication to helping Australian investors achieve their financial goals has seen BetaShares grow from 'challenger' to market leader, driving disruption and innovation in the exchange traded funds (ETFs) industry.

BetaShares offers the broadest range of exchange traded funds on the ASX and is trusted by hundreds of thousands of investors. As of March 2022, BetaShares manages over \$22 billion in assets.

We currently have offices in Sydney, Melbourne, Brisbane, and New Zealand and a growing team of over 90 people.

Our Values

As our business continues to grow, we're committed to creating a workplace that gives us all the best opportunity to succeed, and that is enjoyable to be a part of.

We prize ambition and drive, but equally we value honesty and humility.

We support each other, and we respect our clients and our competitors. We are always looking for better ways to do things and are willing to take measured risks and learn from our mistakes along the way.

What we offer

BetaShares believes our most important asset is our people and we are proud of the culture we have built – but we are always striving to be better. We want every BetaShares employee to be doing their best work and developing their careers.

In addition to a competitive salary and opportunity to participate in a bonus program, we also offer:

- Staff career development and training allowance.
- Access to a health and wellbeing platform with physical, mental, social and financial programs available.
- Volunteering days off, so you can contribute to a cause that matters to you.
- Flexible workplace – you'll have the opportunity to work flexibly based on your individual needs and circumstances.
- Newly renovated CBD offices with fully stocked kitchen, team breakfasts and catered lunches on a regular basis.
- Fun and inclusive social events.

This role is a fantastic opportunity for someone looking to further their career in a growing and dynamic business.

To apply, please email your cover letter and CV to careers@betashares.com.au.

Role Responsibilities

- Development and implementation of risk and compliance framework including:
 - Maintaining policies and registers
 - Identifying improvement opportunities
 - Advising the business on best practice and related matters
 - Conducting reviews
 - Breach & incident rectification
- Reporting to Board and other governance committees
- Complaints handling
- Preparing and lodging regulatory filings
- Project management and overseeing the implementation of applicable regulatory changes

Key skills and experience

- +7 years in a related role within the financial services industry, funds/wealth management or platforms experience desirable
- Tertiary qualification in a relevant discipline, such as Law, Commerce or Accounting
- Strong analytical skills
- Strong communication skills and ability to work collaboratively with other business areas
- Ability to multi-task and prioritise

Ready to make an impact? If you right to live and work in Australia please submit your CV to careers@betashares.com.au. Your interest will be treated in strict confidence.